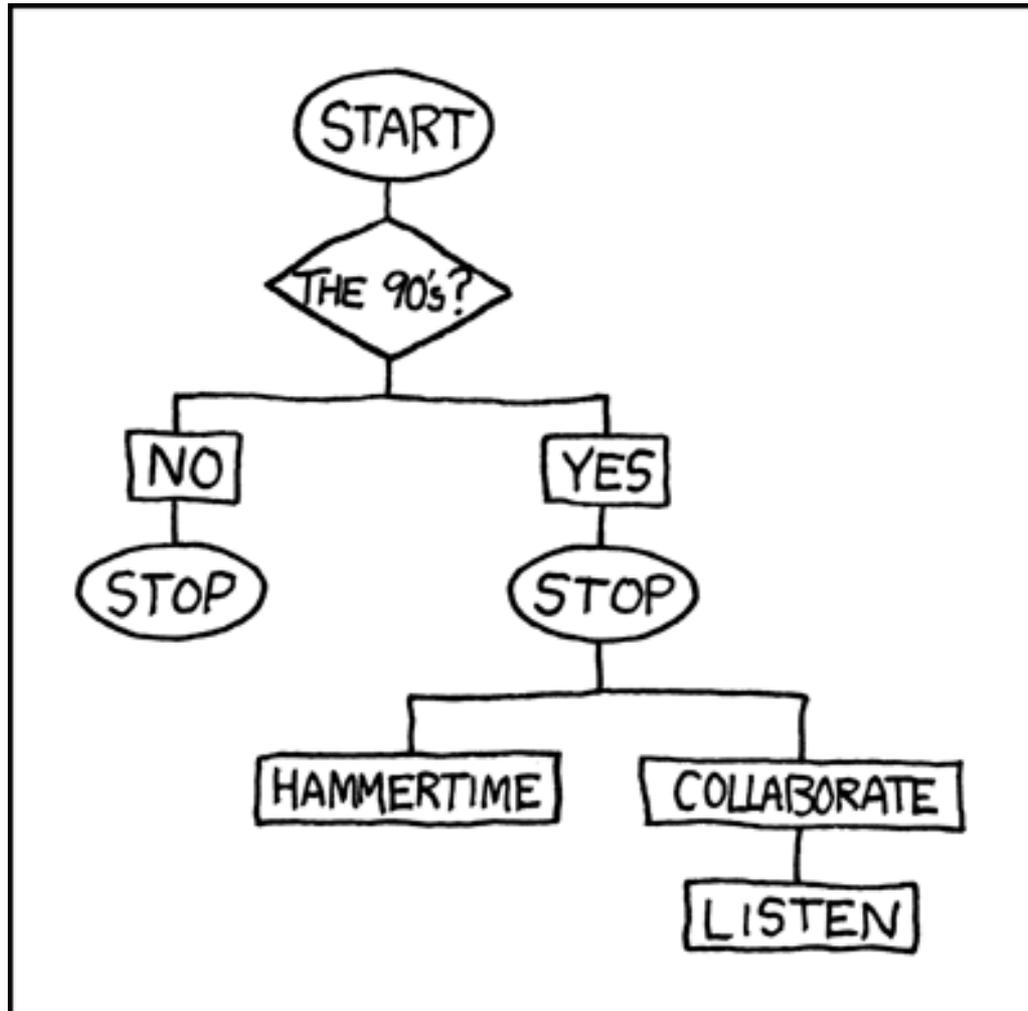


# Indexed Languages

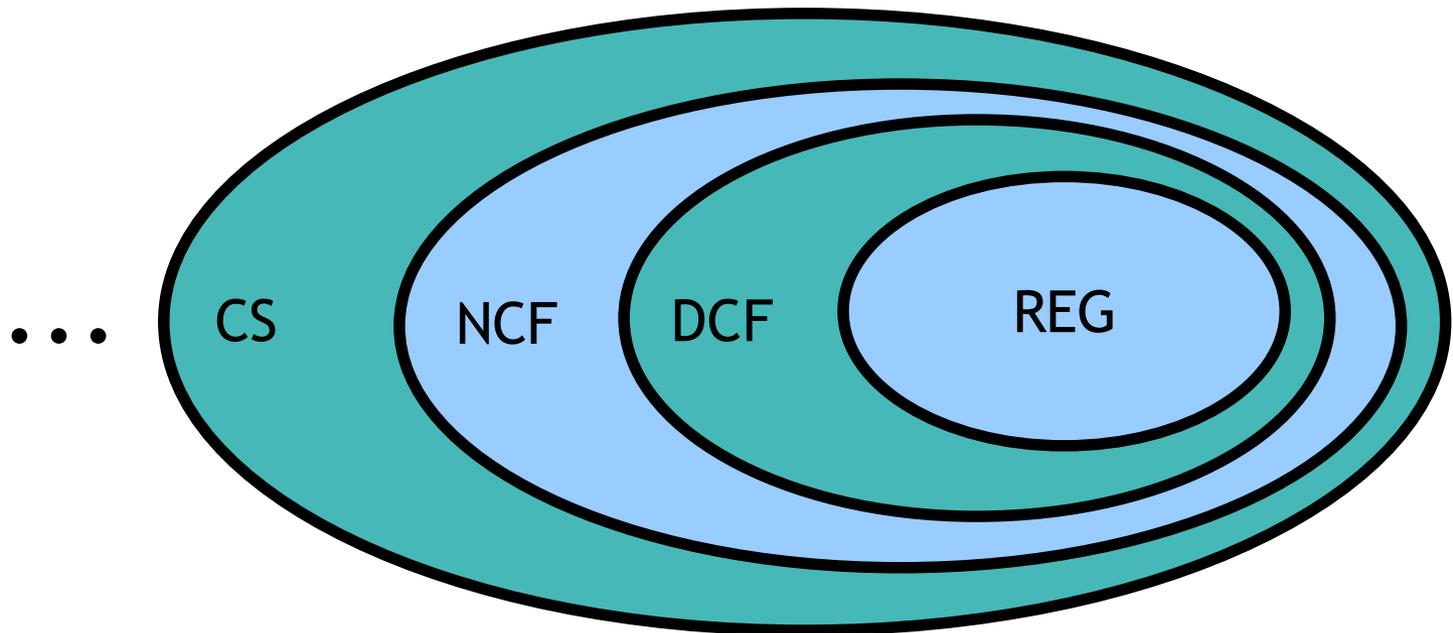
*and why you care*

Presented by Pieter Hooimeijer  
2008-02-07

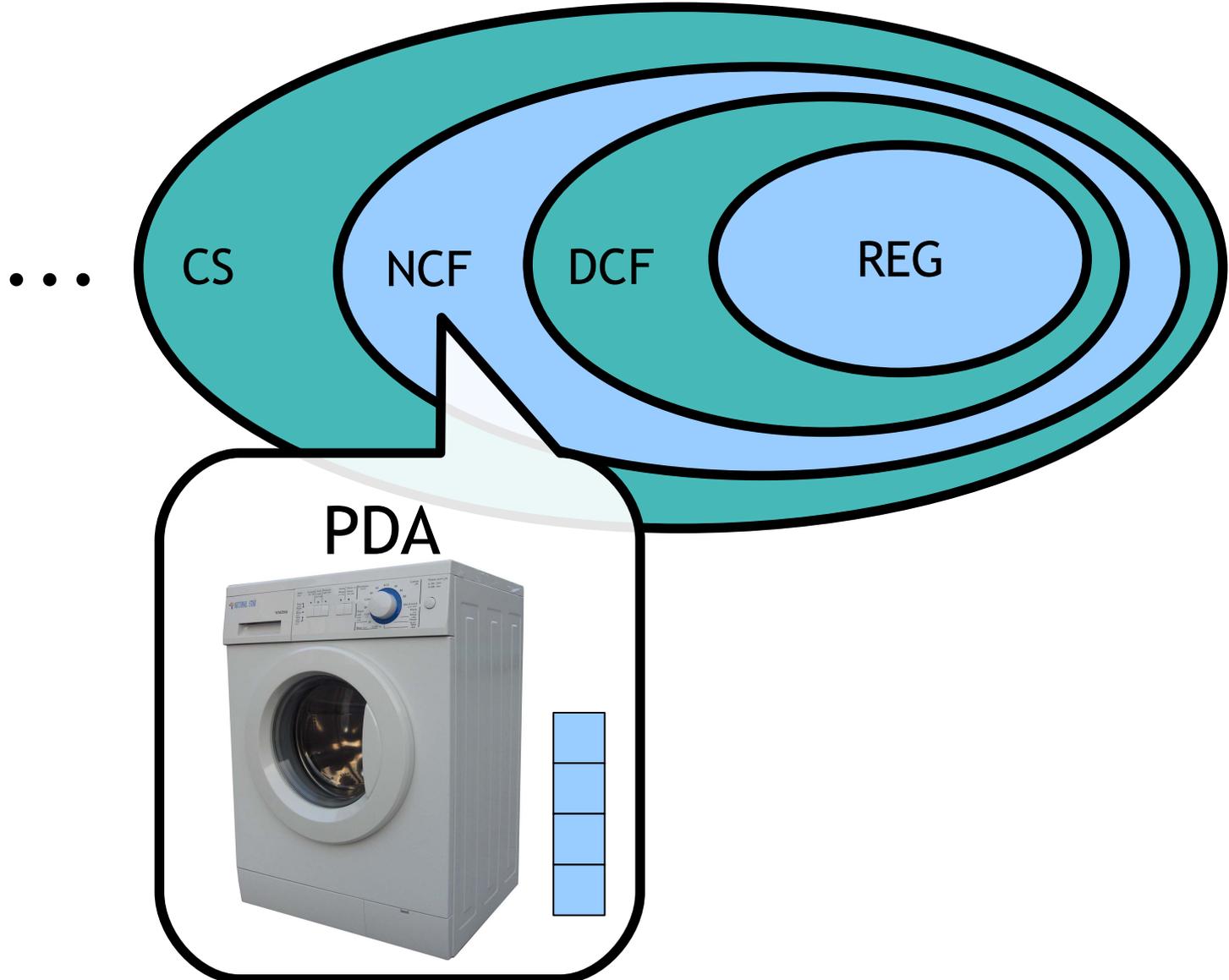
# Think Way Back...



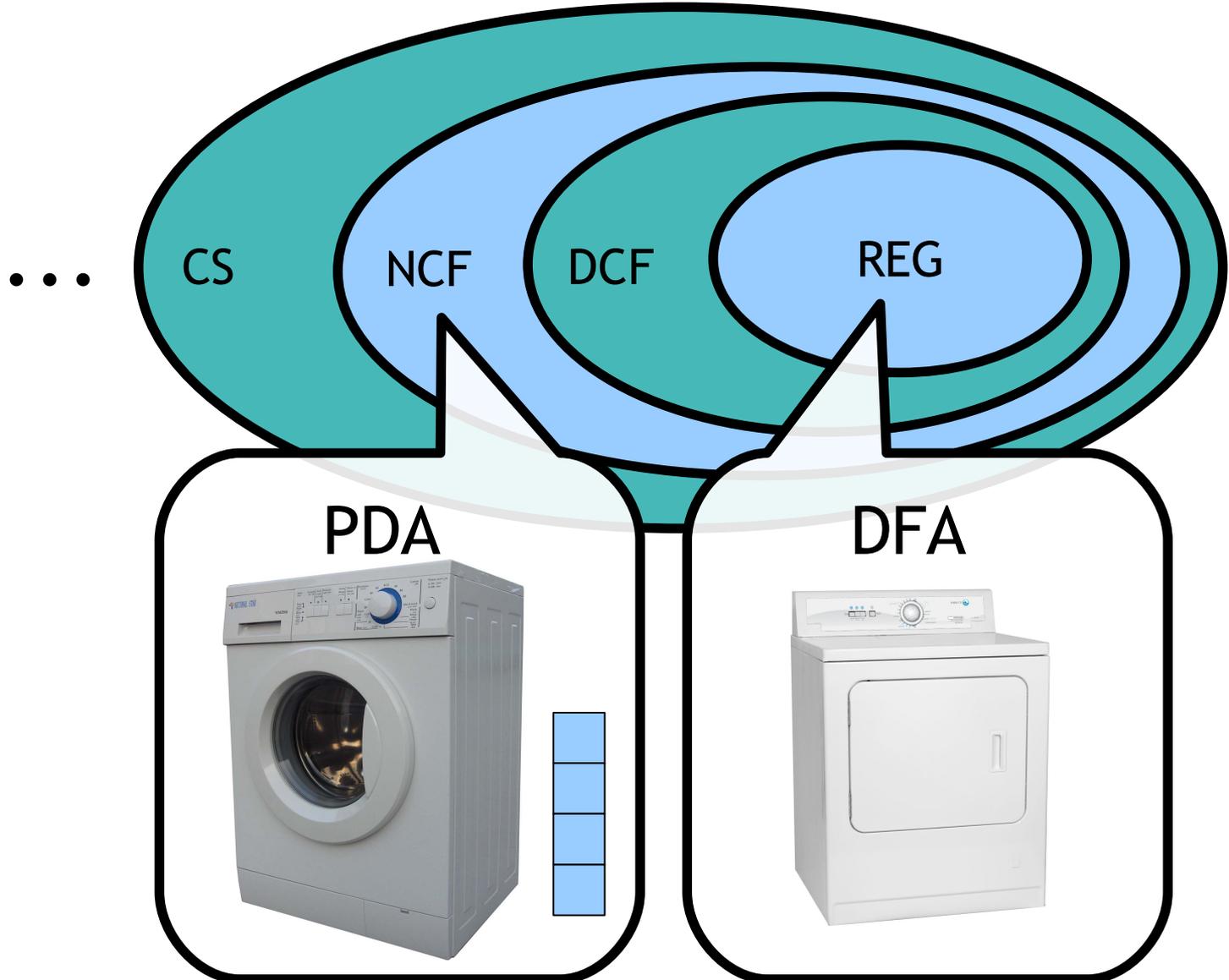
# ...Far Enough:



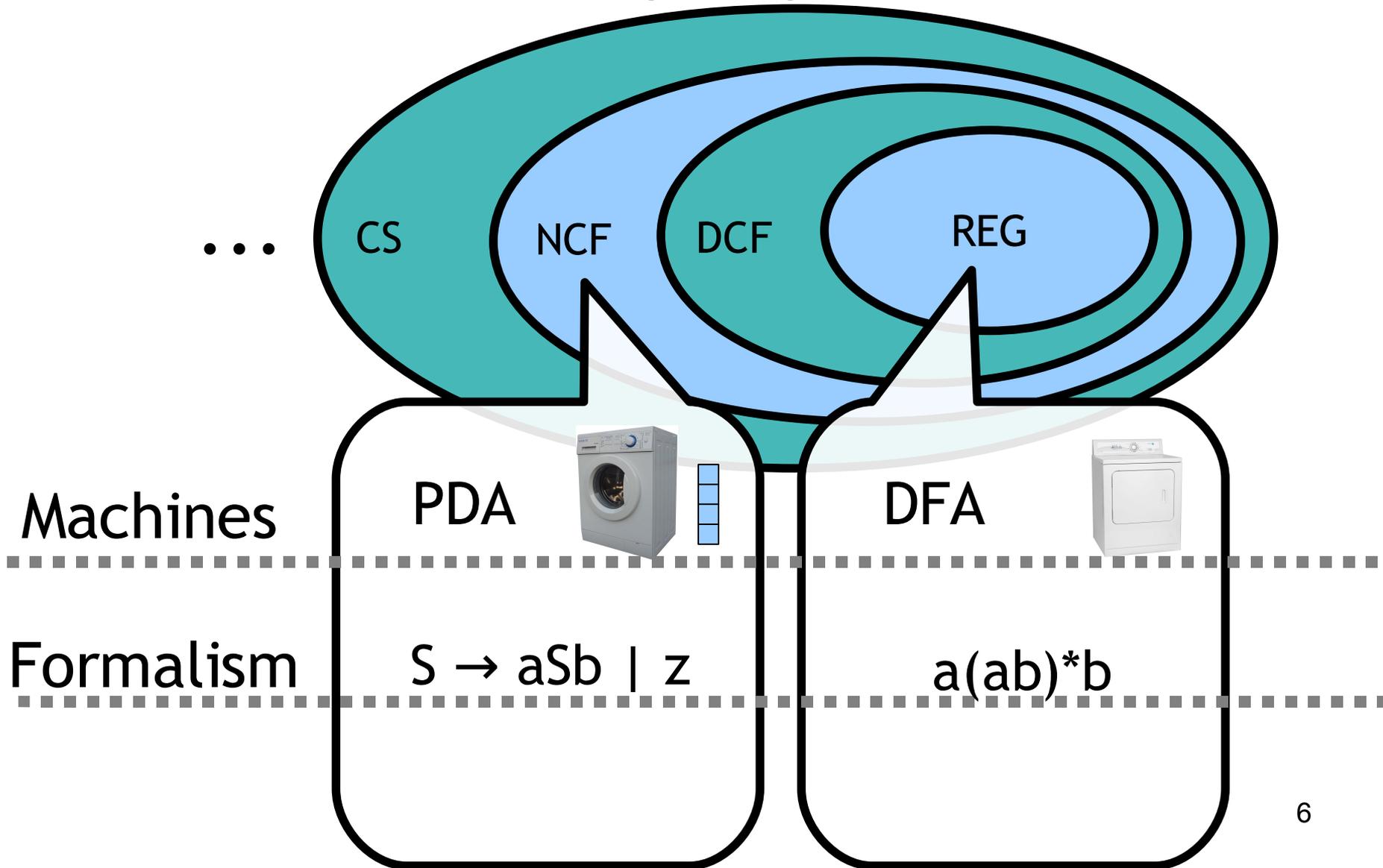
# Formal Language Classes



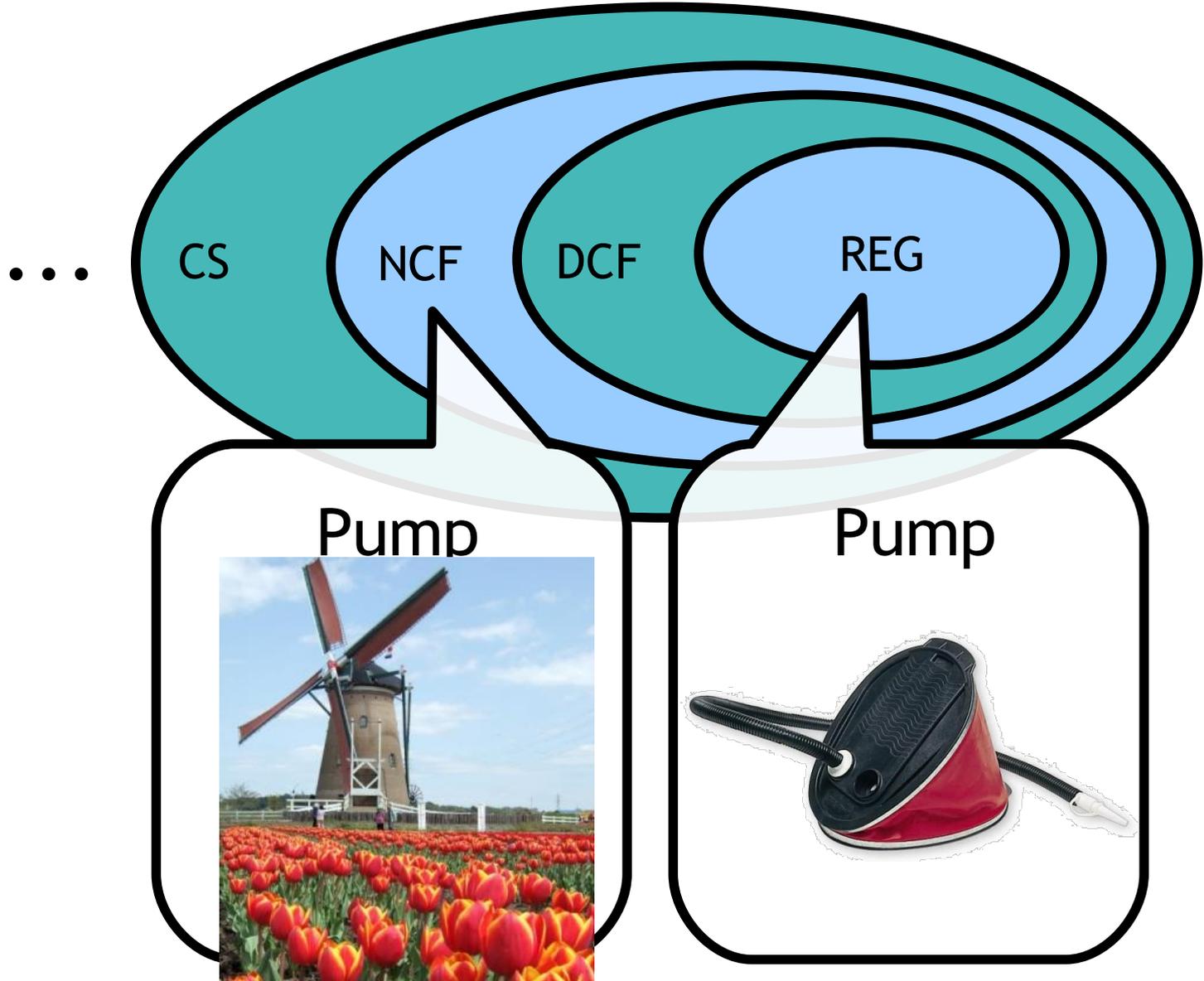
# Formal Language Classes



# Formal Language Classes

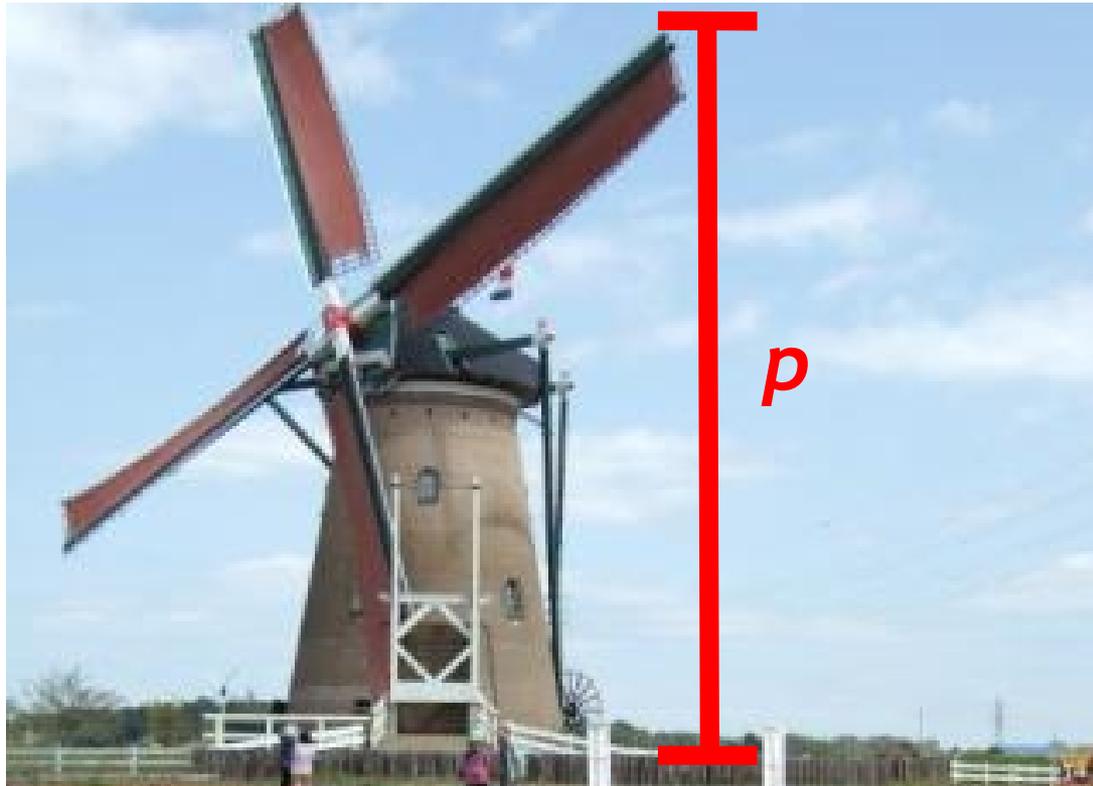


# Formal Language Classes



# The Context-Free Pumping Lemma

Suppose  $L = \{ a^n b^n c^n \mid n = 1 \dots \}$  is context-free. By the pumping lemma, any string  $s$  with  $|s| \geq p$  can be 'pumped.'



# The Context-Free Pumping Lemma

What does 'can be pumped' mean?

$$S = UVXYZ$$

$$1) |vy| > 0$$

$$2) |vxy| \leq p$$

$$3) uv^i xy^i z \text{ is in } L \text{ for all } i \geq 0$$

# The Context-Free Pumping Lemma

Suppose  $L = \{ a^n b^n c^n \mid n = 1 \dots \}$  is context-free.

Consider  $s = a^p b^p c^p$ ; for any split  $s = uvxyz$ , we have:

- if  $v$  contains  $a$ 's, then  $y$  cannot contain  $c$ 's
- if  $y$  contains  $c$ 's, then  $v$  cannot contain  $a$ 's
- a problem:  $uv^0xy^0z = uxz$  will never be in  $L$

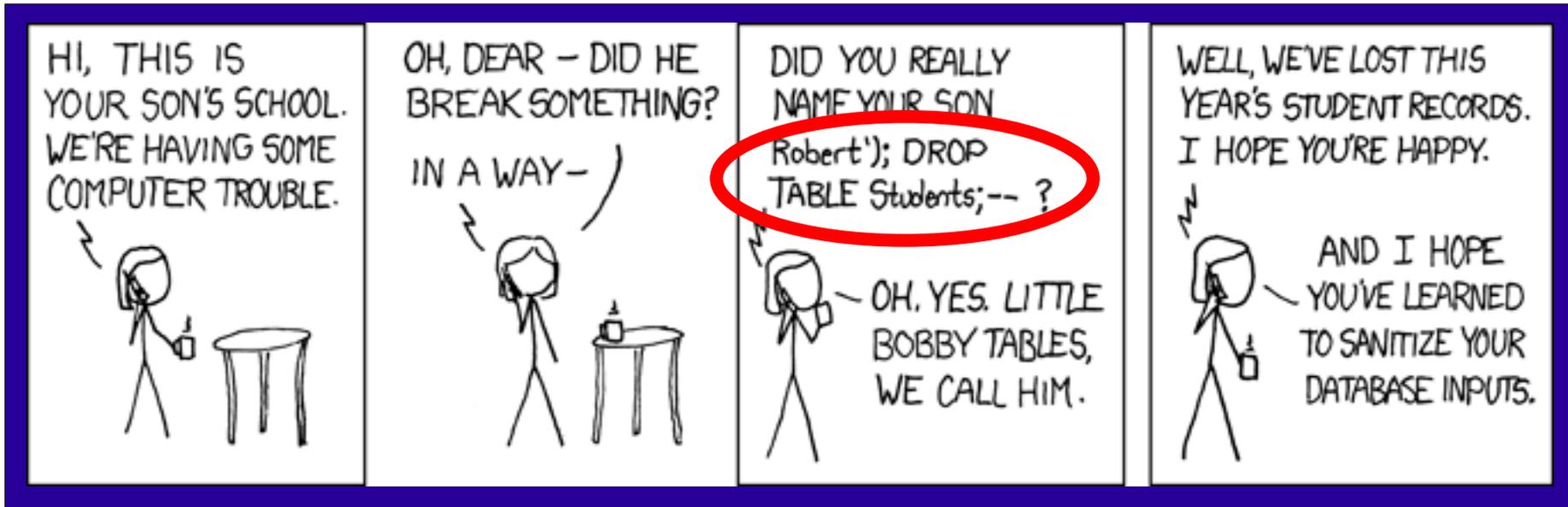
# Moving Right Along



# Motivation - Some Examples

- Can solve problems by phrasing them as 'language' problems:
  - Finding valid control flow graph paths
  - Solving set constraint problems
  - Static string analysis
    - Lexing, parsing
- For fun...

# Example - String Variables



# The Nugget

Some Code:

```
x = 'z';  
  
while (n < 5) {  
    x = '(' . x . ')';  
    n ++;  
}
```

- We want a context free grammar to model **x**
- Suppose we don't know anything about **n**

# The Nugget

Some Code:

```
>x = 'z';  
  
while (n < 5) {  
    x = '(' . x . ')';  
    n ++;  
}
```

Grammar:

```
A -> z
```

# The Nugget

Some Code:

```
x = 'z';  
  
while (n < 5) {  
> x = '(' . x . ')';  
  n ++;  
}
```

Grammar:

```
A -> z [True]  
B -> (A) [n < 5]
```

# The Nugget

Some Code:

```
x = 'z';  
  
while (n < 5) {  
    x = '(' . x . ')';  
    n ++;  
}>>
```

Grammar:

```
A -> z           [True]  
B -> (A)         [n < 5]  
C -> A | B       [n < 5]
```

# The Nugget

Some Code:

```
x = 'z';  
  
while(n < 5) {  
    x = '(' . x . ')';  
    n ++;  
}>>
```

Grammar:

```
A -> z           [True]  
B -> (C)         [n < 5]  
C -> A | B       [True]
```

# The Nugget

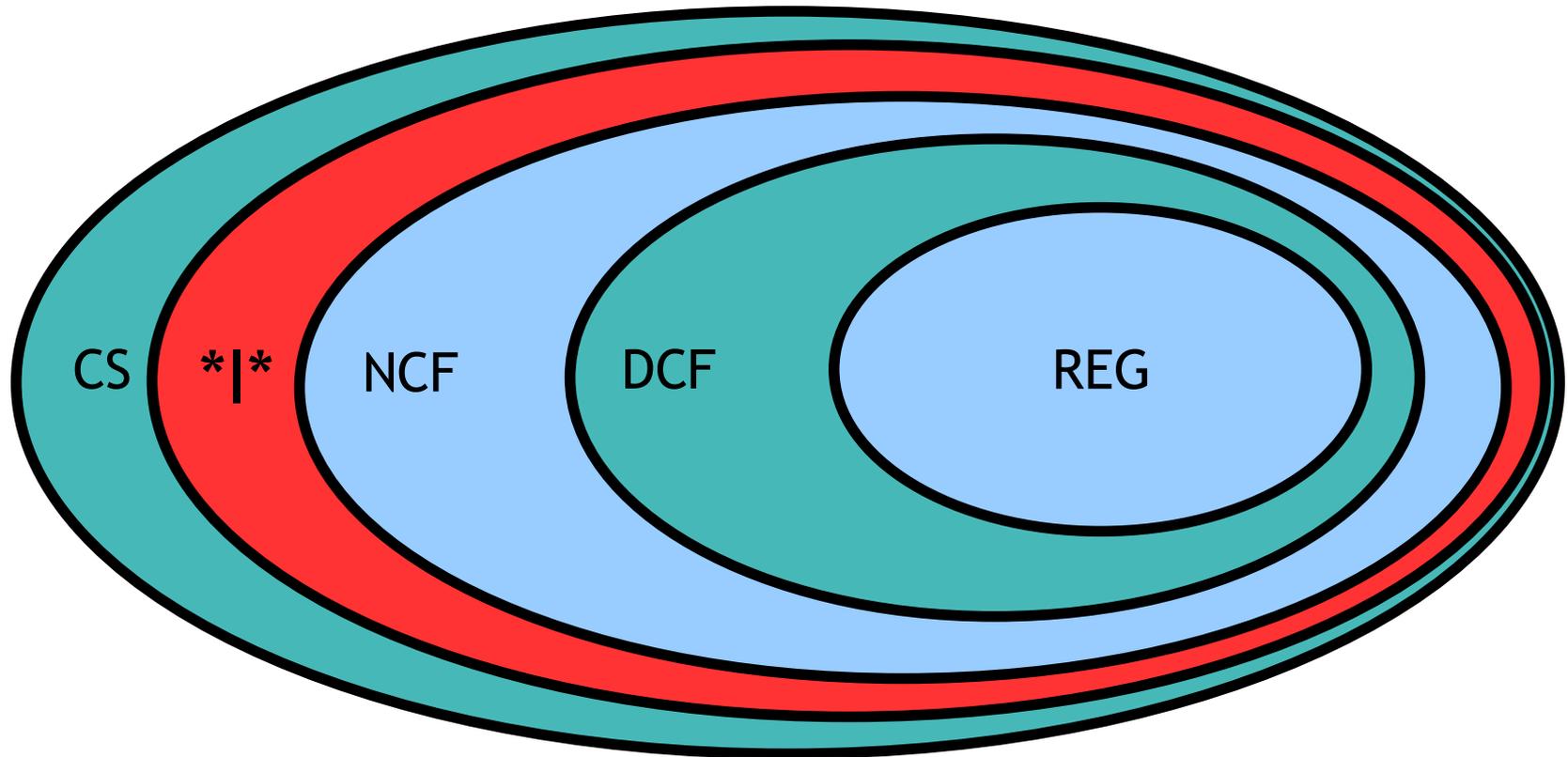
Some Code:

```
x = 'z';  
  
while (n < 5) {  
    x = '(' . x . ')';  
    n ++;  
}>>
```

Grammar:

```
X -> C  
  
A -> z           [True]  
B -> (C)        [n < 5]  
C -> A | B      [True]
```

# Indexed Languages



# Definition: Indexed Grammar

- $G = (N, T, F, P, S)$

$$P : \{ N \rightarrow ((NF^*) \cup T)^* \}$$

$$F : \{ \{ N \rightarrow (N \cup T)^* \}_f \}$$

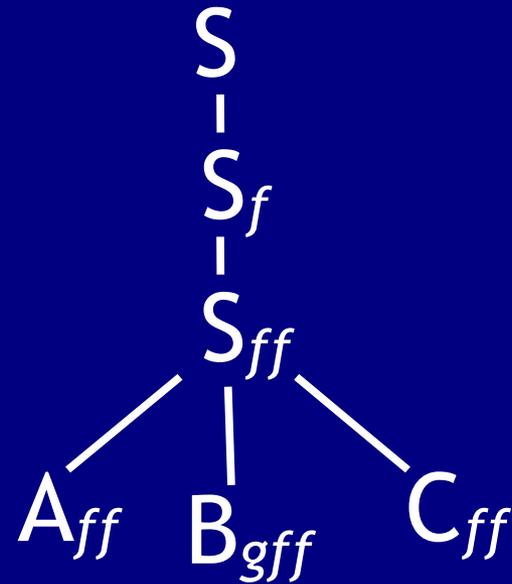


# Derivation Rule Example

1

$$S \rightarrow S_f$$

$$S \rightarrow AB_gC$$



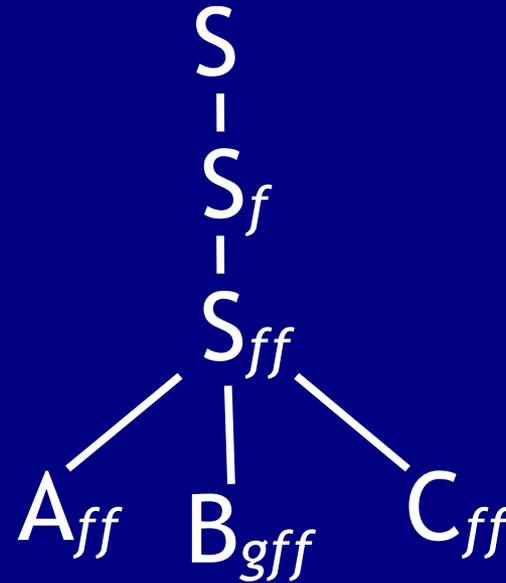
# Derivation Rule Example

1

$$S \rightarrow S_f$$

$$S \rightarrow AB_gC$$

'normal' production:  
*copy index stack*



# Derivation Rule Example

2

$S \rightarrow A_f$

$F = \{ \{ A \rightarrow B \}_f \}$

$S$   
|  
 $A_f$   
|  
 $B \cdot$



# Derivation Rule Example

2

$$S \rightarrow A_f$$

$$F = \{ \{ A \rightarrow B \}_f \}$$

$$\begin{array}{c} S \\ | \\ A_f \\ | \\ B \end{array}$$

# Derivation Rule Example

2

$$S \rightarrow A_f$$

$$F = \{ \{ A \rightarrow B \}_f \}$$

S  
|  
A<sub>f</sub>  
|  
B

'index' production:  
*pop leftmost index*  
*(for current nonterminal only)*

# An Example Grammar

$$\begin{array}{l} S \rightarrow D_f \\ D \rightarrow D_g \mid ABC \end{array} \quad \begin{array}{l} g = \{A \rightarrow Aa \\ B \rightarrow Bb \\ C \rightarrow Cc\} \end{array} \quad \begin{array}{l} f = \{A \rightarrow a \\ B \rightarrow b \\ C \rightarrow c\} \end{array}$$

# An Example Grammar

$S \rightarrow D_f$		$g = \{A \rightarrow Aa$		$f = \{A \rightarrow a$
$D \rightarrow D_g \mid ABC$		$B \rightarrow Bb$		$B \rightarrow b$
		$C \rightarrow Cc\}$		$C \rightarrow c\}$

What is the language of this grammar?



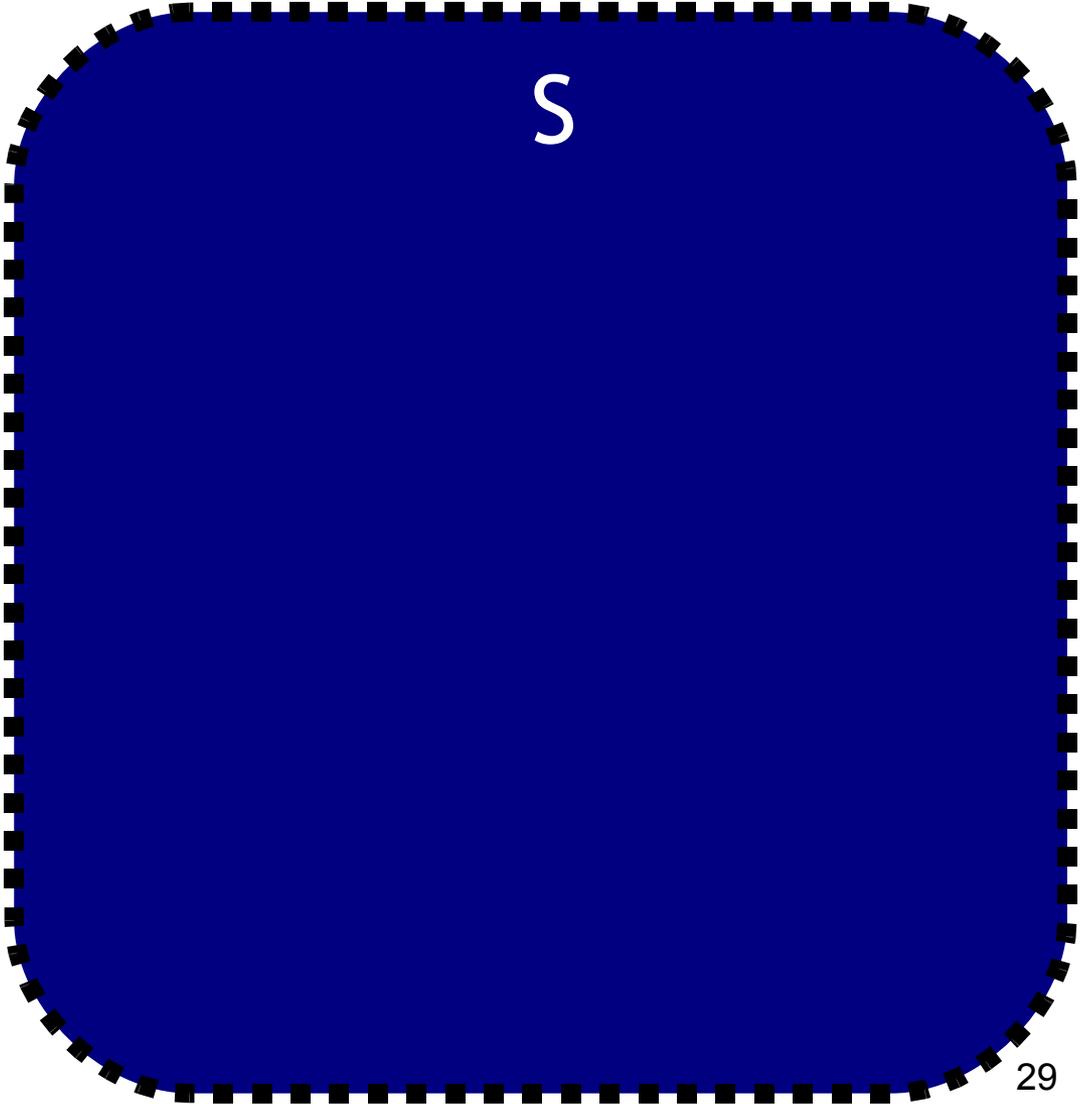
# An Example Grammar

$S \rightarrow D_f$

$D \rightarrow D_g \mid ABC$

$g = \{A \rightarrow Aa$   
 $B \rightarrow Bb$   
 $C \rightarrow Cc\}$

$f = \{A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c\}$



S

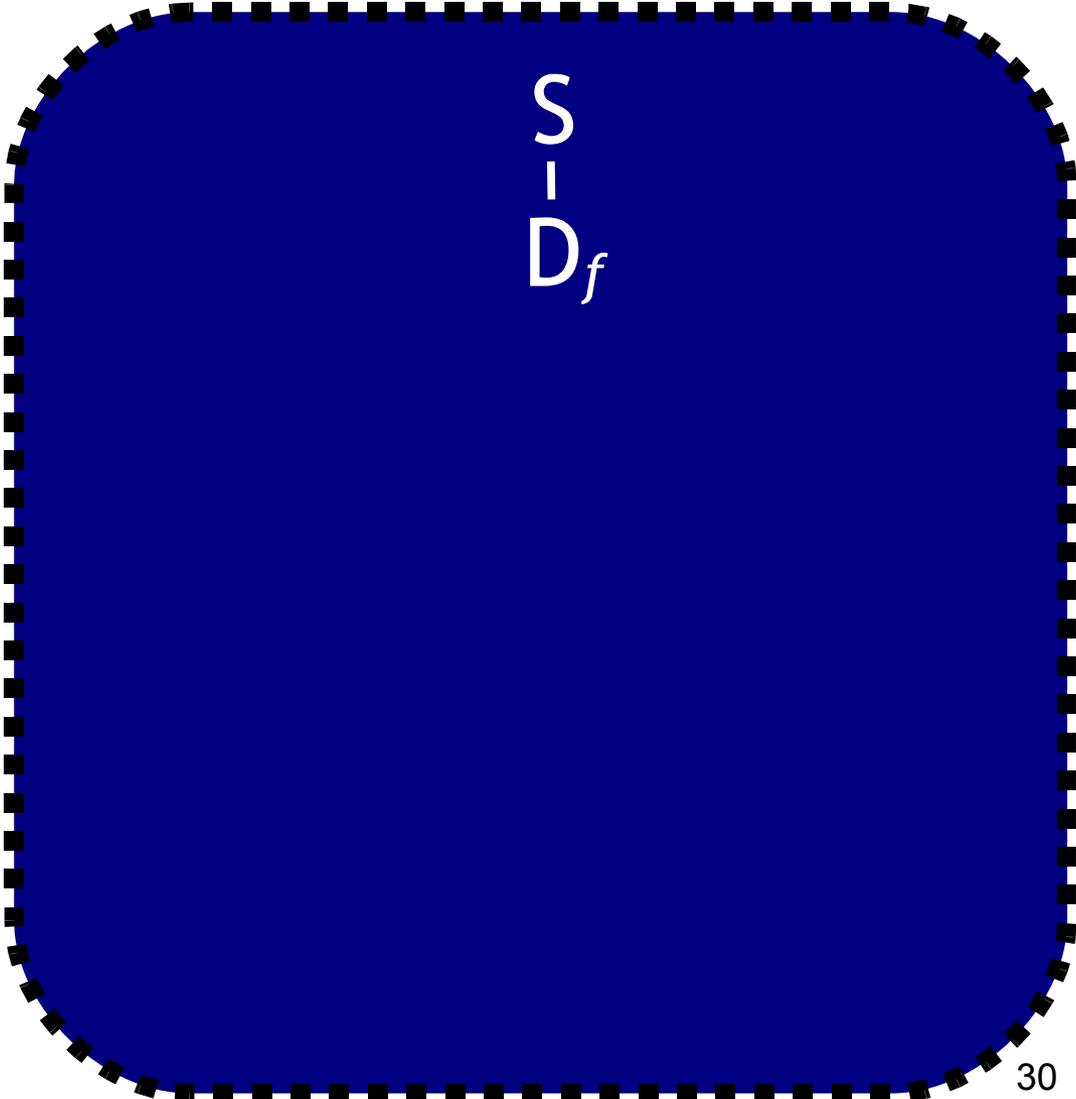
# An Example Grammar

$S \rightarrow D_f$

$D \rightarrow D_g \mid ABC$

$g = \{A \rightarrow Aa$   
 $B \rightarrow Bb$   
 $C \rightarrow Cc\}$

$f = \{A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c\}$



$S$   
|  
 $D_f$

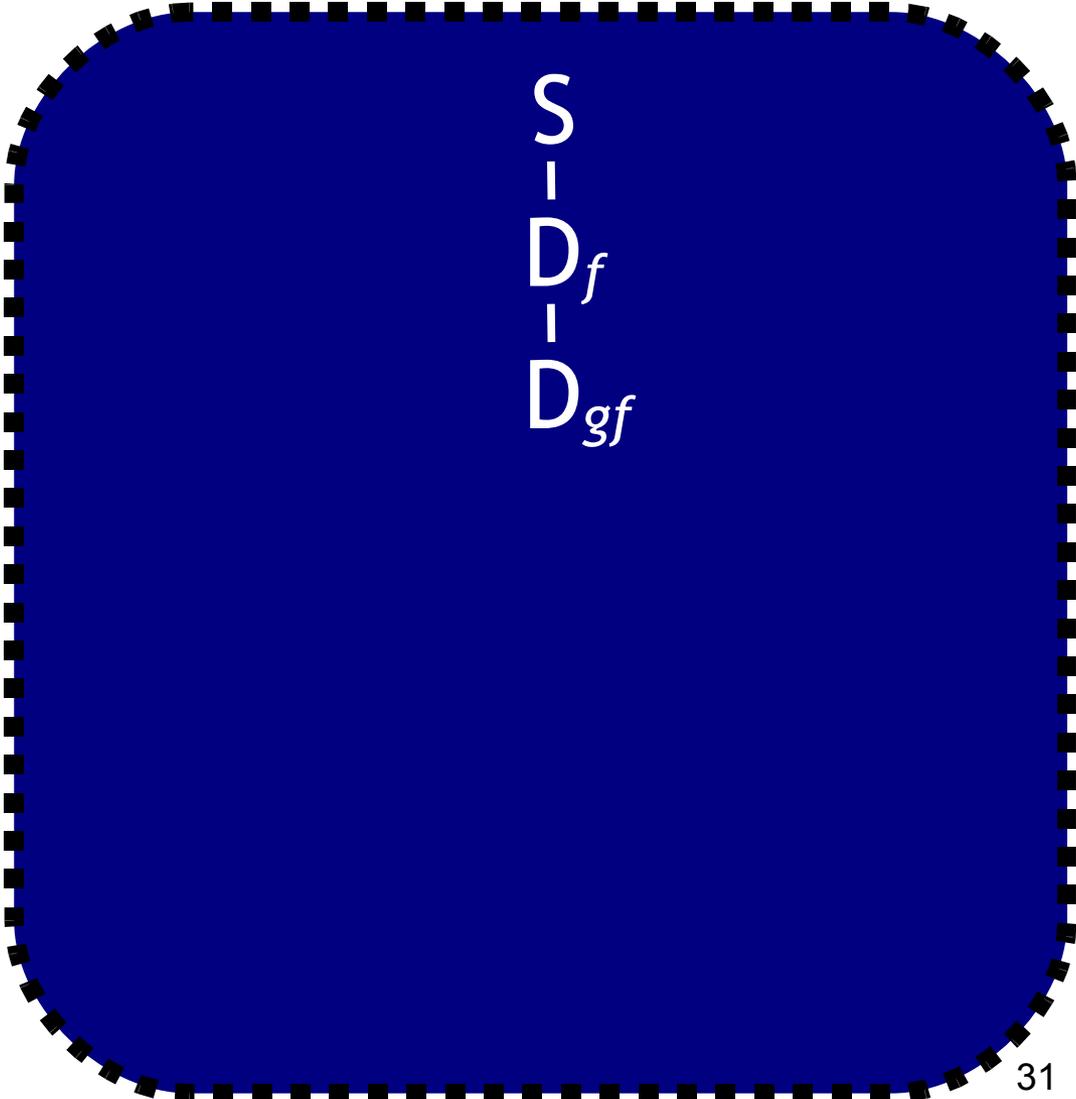
# An Example Grammar

$S \rightarrow D_f$

$D \rightarrow D_g \mid ABC$

$g = \{A \rightarrow Aa$   
 $B \rightarrow Bb$   
 $C \rightarrow Cc\}$

$f = \{A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c\}$



$S$   
|  
 $D_f$   
|  
 $D_{gf}$

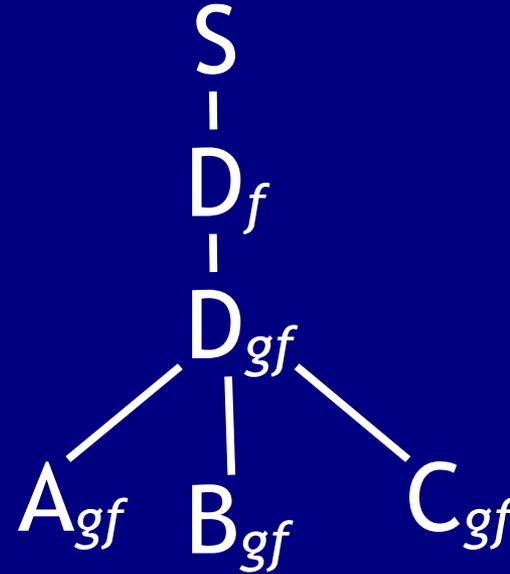
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$S \rightarrow D_f$

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$g = \{A \rightarrow Aa$   
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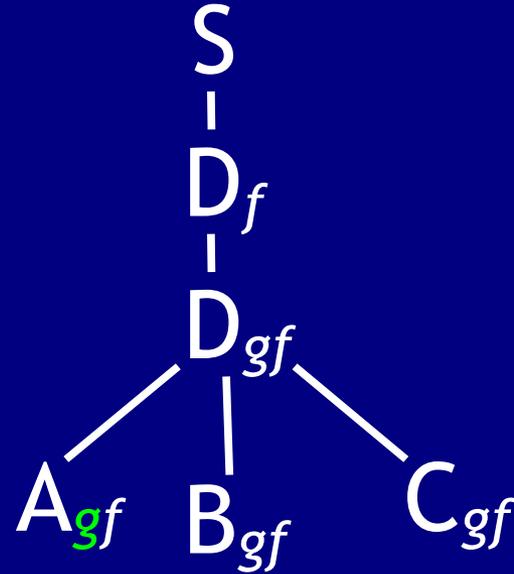
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$g = \{A \rightarrow Aa$   
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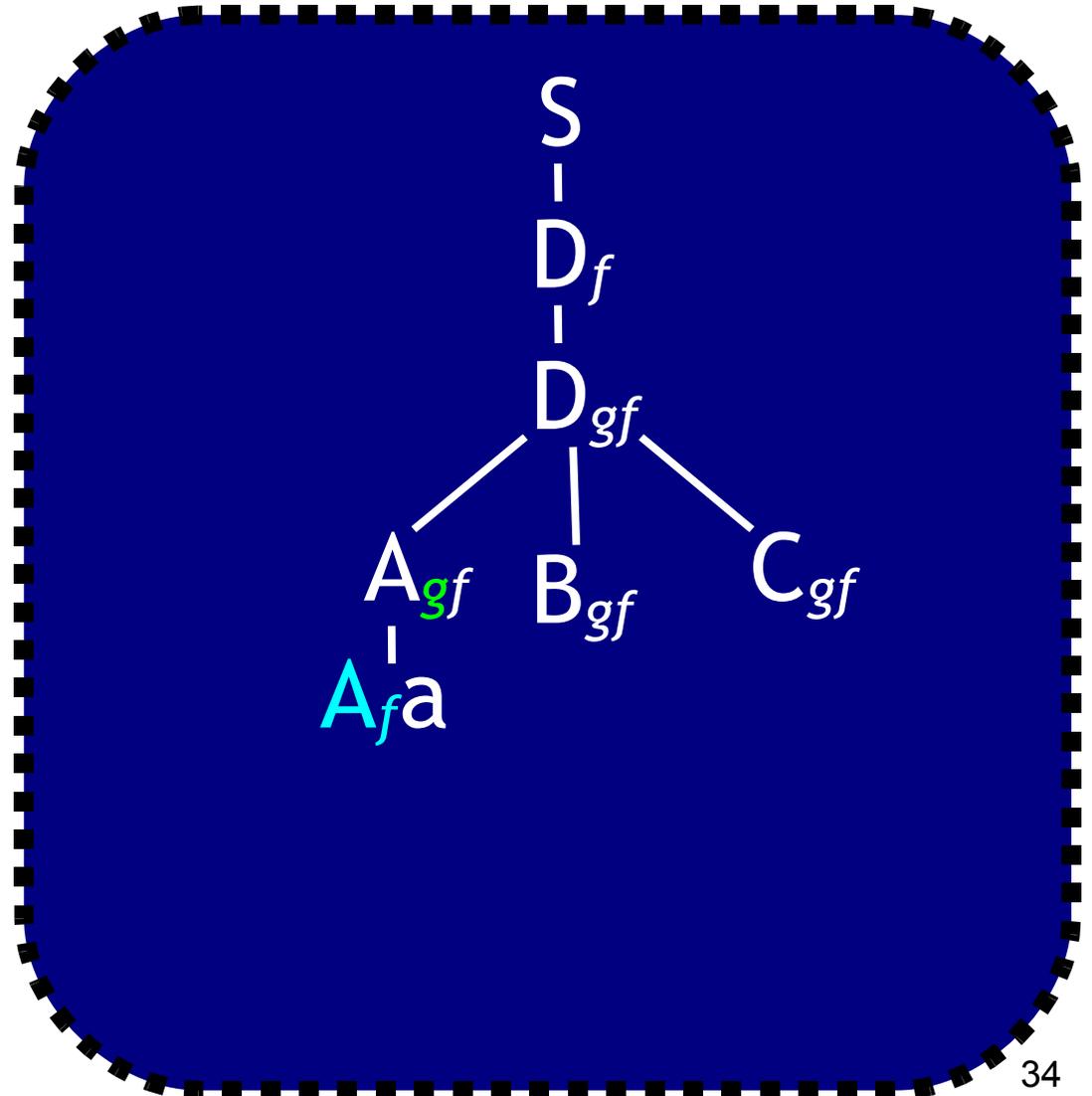
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$g = \{A \rightarrow Aa$   
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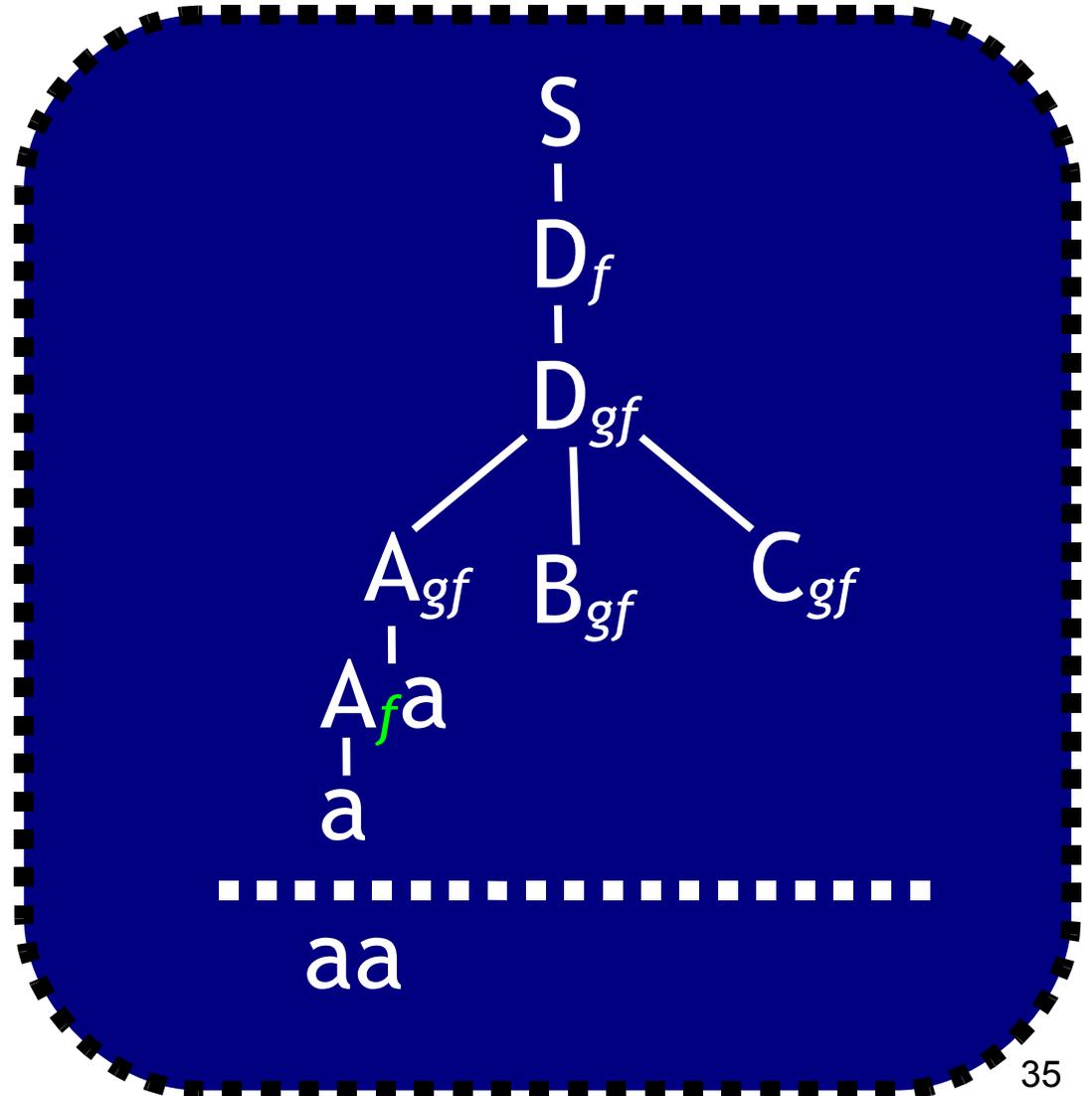
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$g = \{A \rightarrow Aa$   
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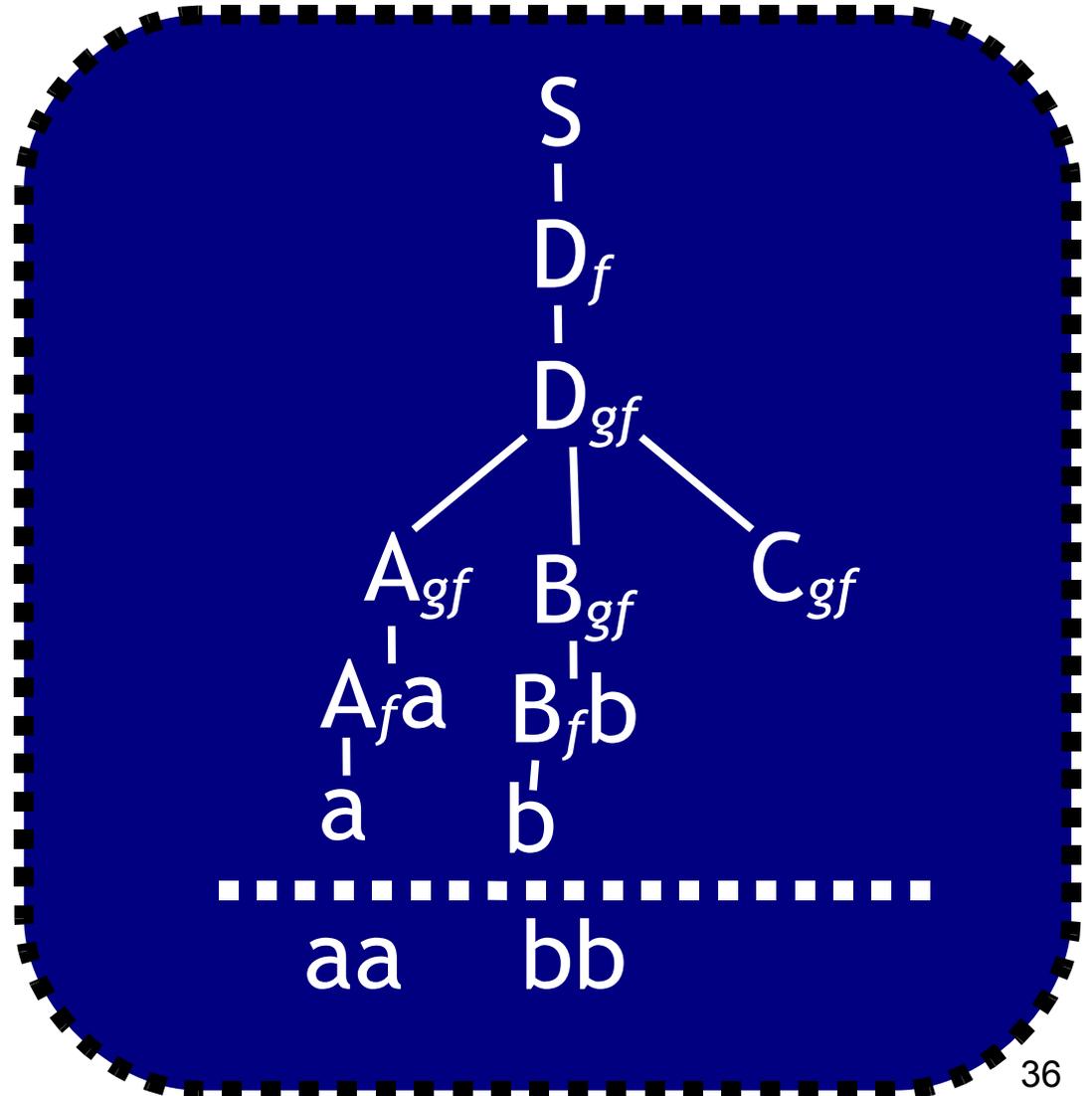
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$g = \{A \rightarrow Aa$   
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 $C \rightarrow Cc\}$

$f = \{A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c\}$



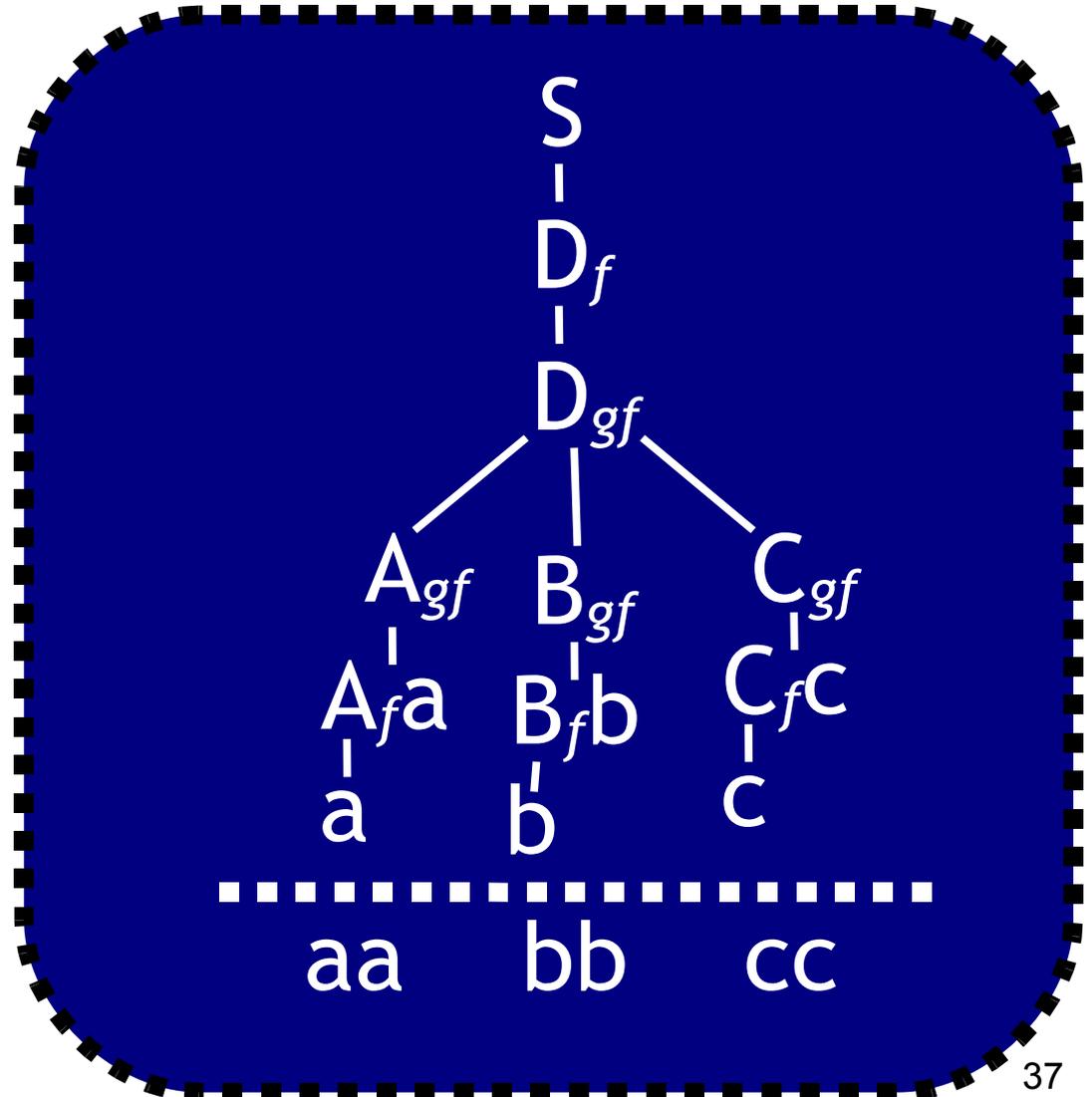
# An Example Grammar

$S \rightarrow D_f$

$D \rightarrow D_g \mid ABC$

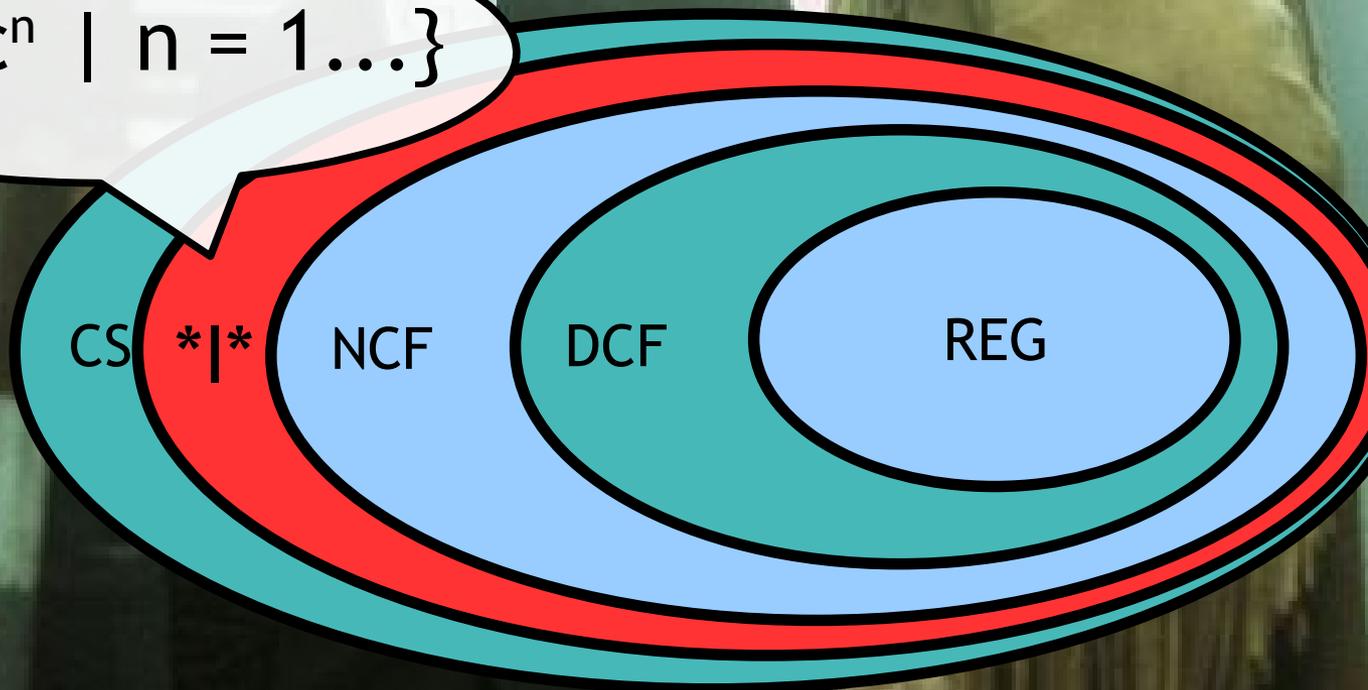
$g = \{A \rightarrow Aa$   
 $B \rightarrow Bb$   
 $C \rightarrow Cc\}$

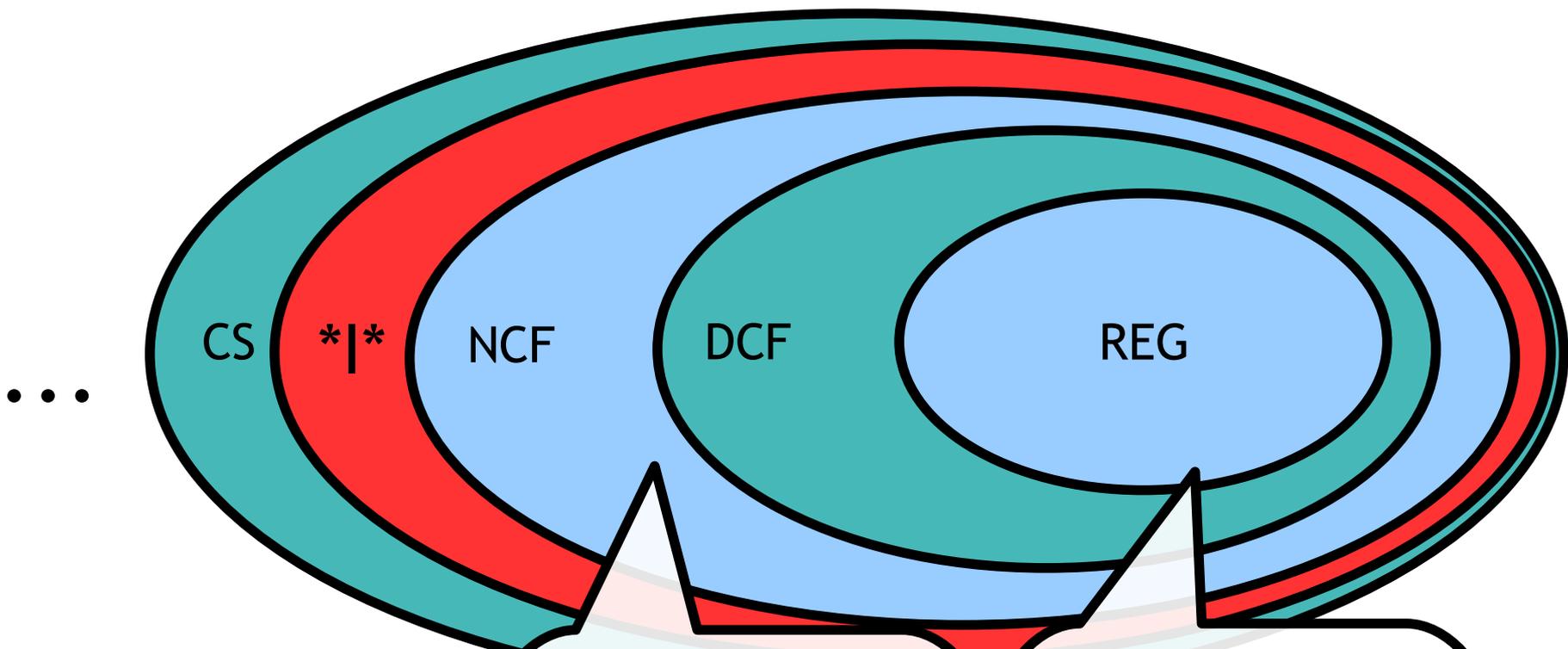
$f = \{A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c\}$



# Where am I?

$\{ a^n b^n c^n \mid n = 1 \dots \}$





Machines

PDA



DFA



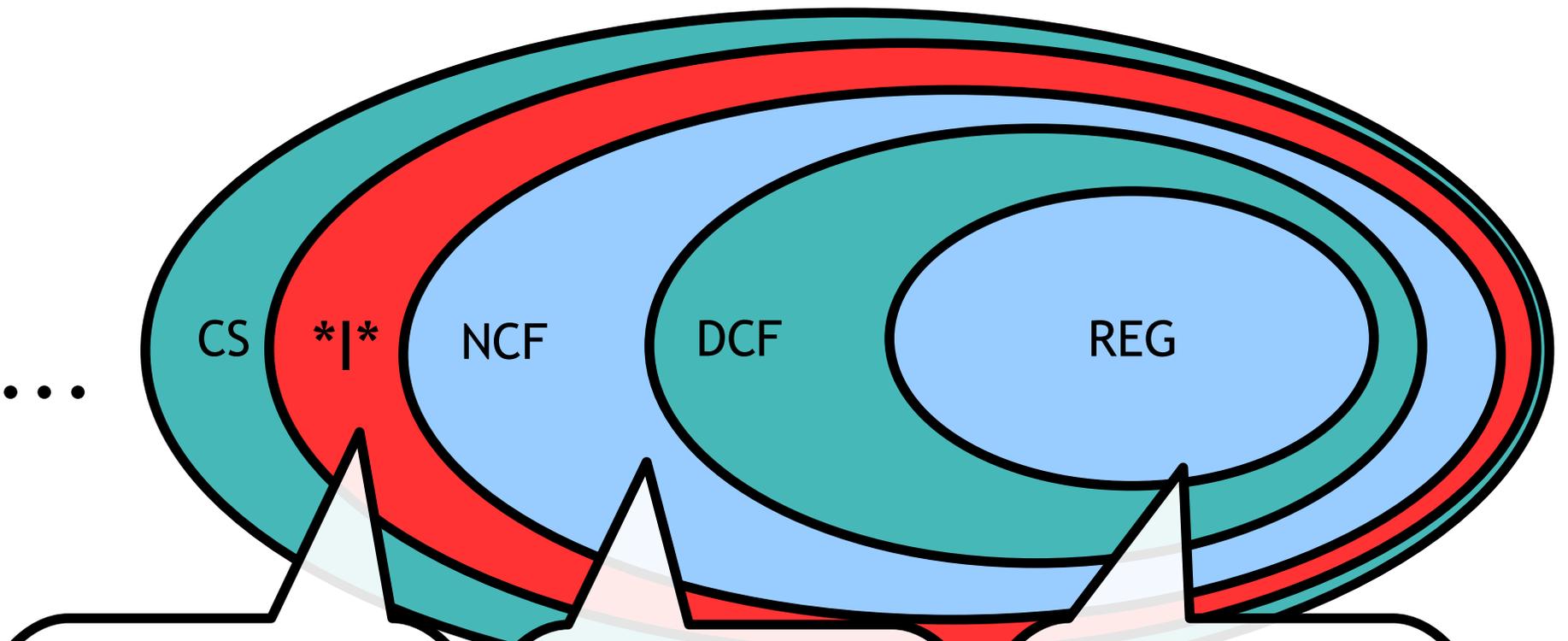
Formalisms

$S \rightarrow aSb \mid z$

$a(ab)^*b$

Pumps





NSA  

---

$S \rightarrow aS_f b \mid z$

---

?

PDA  

---

$S \rightarrow aSb \mid z$

---



DFA 

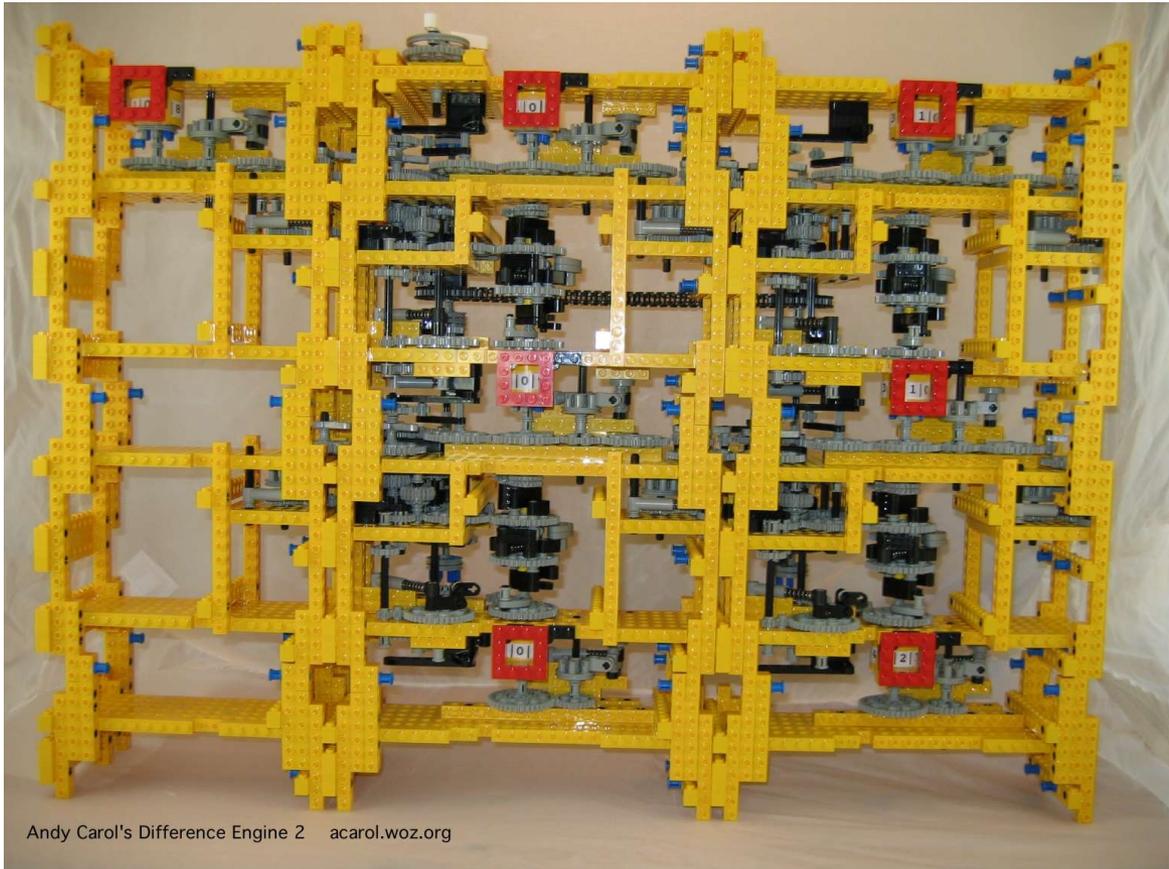
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$a(ab)^*b$

---

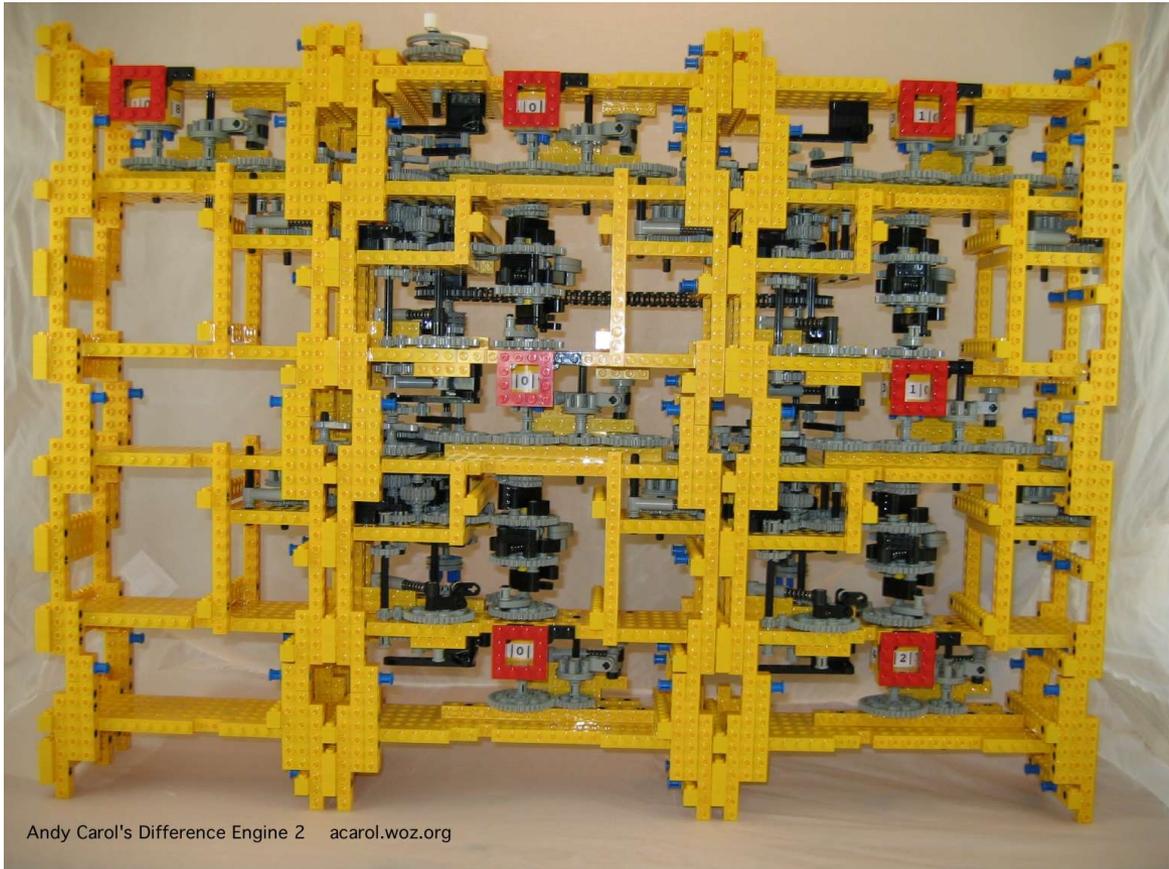


# Associated Automaton

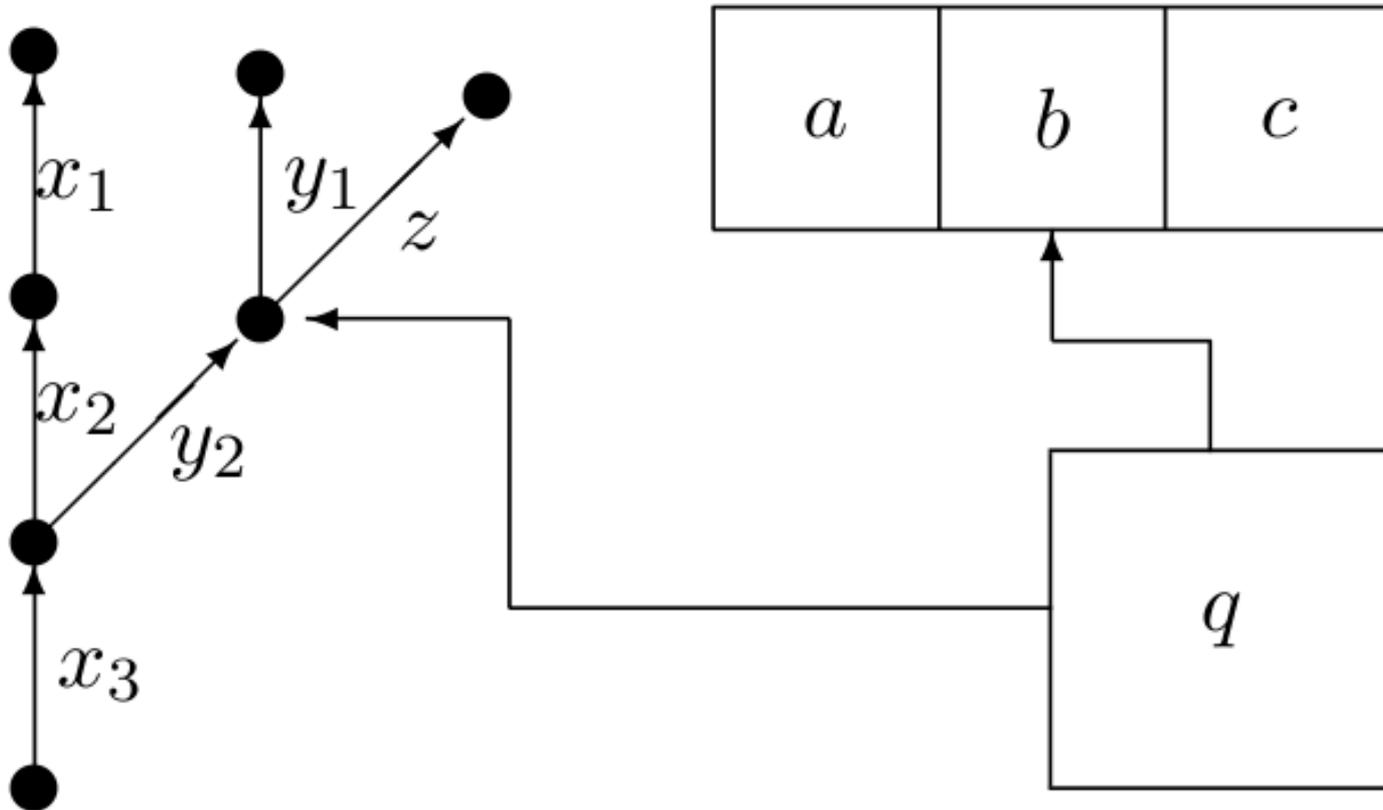


Andy Carol's Difference Engine 2 [acarol.woz.org](http://acarol.woz.org)

# Associated Automaton



# (Actual NSA)



# But will it pump?

- It's complicated - on derivation trees rather than strings [Hayashi 1973]
- Several corollaries exist [Gilman 1996] (less general; easier to use)

# The Lemma

$L$  : indexed language

$m$  : positive integer

There is a constant  $k > 0$  so that each  $w$  in  $L$  can be split ( $w = w_1w_2\dots w_r$ ) subject to:

# The Lemma

$L$  : indexed language

$m$  : positive integer

There is a constant  $k > 0$  so that each  $w$  in  $L$  can be split ( $w = w_1w_2\dots w_r$ ) subject to:

- $m < r \leq k$
- each  $|w_i| > 0$

# The Lemma

$L$  : indexed language

$m$  : positive integer

There is a constant  $k > 0$  so that each  $w$  in  $L$  can be split ( $w = w_1w_2\dots w_r$ ) subject to:

- $m < r \leq k$
- each  $|w_i| > 0$
- any  $m$ -sized set of  $w_i$ 's is a **subset** of some  $w'$  in  $L$ ;  $w'$  is a **subproduct** of  $w$

# Lemma Example

Suppose  $L = \{(ab^n)^n \mid n \in \mathbb{N}\}$  is indexed. Let  $m = 1$ .

Consider  $w = (ab^n)^n$  with  $n > k$ , which can be split into  $r$  subproducts:  $w = w_1 w_2 \dots w_r$ . Since  $r \leq k$ , at least one  $w_i$  must contain two or more  $a$ 's.

# Lemma Example

Suppose  $L = \{(ab^n)^n \mid n \in \mathbb{N}\}$  is indexed. Let  $m = 1$ .

Consider  $w = (ab^n)^n$  with  $n > k$ , which can be split into  $r$  subproducts:  $w = w_1 w_2 \dots w_r$ . Since  $r \leq k$ , at least one  $w_i$  must contain two or more  $a$ 's.

Pick such a  $w_i$ . Any  $w'$  that contains  $w_i$  must contain the substring  $ab^n a$ . Contradiction:  $w'$  cannot simultaneously be a substring of  $w$  and contain  $ab^n a$ .

A dark, monochromatic landscape photograph. In the foreground, a large, dark tree stands on the right side. To the left, a smaller, more rounded tree is visible. In the background, a body of water stretches across the middle ground, with a hazy, mountainous horizon line. The overall scene is dimly lit, creating a somber and atmospheric mood.

(Montage Time)

$\{ (ab^n)^n \mid n = 1 \dots \}$

$\{ a^n b^n c^n \mid n = 1 \dots \}$

CS

\*|\*

NCF

DCF

REG

...

NSA



PDA



DFA



$S \rightarrow aS_f b \mid z$

?

$S \rightarrow aSb \mid z$



$a(ab)^*b$





# References

- Indexed Grammars - An Extension to Context-Free Grammars (Aho)
- Nested Stack Automata (Aho)
- Sequentially Indexed Grammars (van Eijck)
- A Shrinking Lemma for Indexed Languages (Gilman)
- On Groups Whose Word Problem is Solved by a Nested Stack Automaton (Gilman and Shapiro)
- On Derivation Trees of Indexed Grammars (Hayashi)