One-Slide Summary

• A proof of X in a formal system is a sequence of steps starting with axioms. Each step must use a valid rule of inference and the final step must be X.

• All interesting logical systems are incomplete: there are true statements that cannot be proven within the system.

• An algorithm is a (mechanizable) procedure that always terminates.

• A problem is decidable if there exists an algorithm to solve it. A problem is undecidable if it is not possible for an algorithm to exists that solves it.

• The halting problem is undecidable.

Outline

• Gödel’s Proof
• Unprovability
• Algorithms
• Computability
• The Halting Problem

Epimenides Paradox

Epimenides (a Cretan):

“All Cretans are liars.”

Equivalently:

“This statement is false.”

Russell’s types can help with the set paradox, but not with these.

Gödel’s Solution

All consistent axiomatic formulations of number theory include undecidable propositions.

(GEB, p. 17)

undecidable - cannot be proven either true or false inside the system.

Kurt Gödel

• Born 1906 in Brno (now Czech Republic, then Austria-Hungary)
• 1931: publishes Über formal unentscheidbare Sätze der Principia Mathematica und verwandter Systeme (On Formally Undecidable Propositions of Principia Mathematica and Related Systems)
- 1939: flees Vienna
- Institute for Advanced Study, Princeton
- Died in 1978 – convinced everything was poisoned and refused to eat

Gödel’s Theorem

In the Principia Mathematica system, there are statements that cannot be proven either true or false.

Gödel’s Theorem

In any interesting rigid system, there are statements that cannot be proven either true or false.

Proof - General Idea

- Theorem: In the Principia Mathematica system, there are statements that cannot be proven either true or false.
- Proof: Find such a statement!

Gödel’s Statement

$G$: This statement does not have any proof in the system of *Principia Mathematica*.

$G$ is unprovable, but true!

Why?
Gödel’s Statement

G: This statement does not have any proof in the system.

Possibilities:
1. G is true ⇒ G has no proof  
   System is incomplete
2. G is false ⇒ G has a proof  
   System is inconsistent

Gödel’s Proof Idea

G: This statement does not have any proof in the system of PM.

If G is provable, PM would be inconsistent.
If G is unprovable, PM would be incomplete.

Thus, PM cannot be complete and consistent!

Liberal Arts Trivia: Women’s Studies

• This American-invented contact sport involves two teams roller skating around an oval track. It became popular in 1935 during the Great Depression and continued to grow in the '50s, '60s and '70s. Teams score points when the jammer passes an opposing blocker or pivot. The sport is strongly associated with third-wave feminism.

Liberal Arts Trivia: Philosophy

• In philosophy, this is a hypothetical being that cannot be distinguished from a normal human except that it lacks conscious experience, qualia or sentience. That is, it does not feel pain, but will react appropriately when poked with a sharp stick. They are typically invoked in thought experiments in the philosophy of mind to argue against physicalist stances such as materialism or behaviorism, such as those of David Chalmers in The Conscious Mind.

Finishing The Proof

• Turn G into a statement in the Principia Mathematica system
• Is PM powerful enough to express “This statement does not have any proof in the PM system.”?

How to express “does not have any proof in the system of PM”

• What does “have a proof of S in PM” mean?
  - There is a sequence of steps that follow the inference rules that starts with the initial axioms and ends with S
• What does it mean to “not have any proof of S in PM”?
  - There is no sequence of steps that follow the inference rules that starts with the initial axioms and ends with S
Can PM express unprovability?

• There is no sequence of steps that follows the inference rules that starts with the initial axioms and ends with $S$

• Sequence of steps:
  $T_0, T_1, T_2, ..., T_N$

  $T_0$ must be the axioms
  $T_N$ must include $S$

  Every step must follow from the previous using an inference rule

Can we express “This statement”?

• Yes!
  - Optional Reading: the TNT Chapter in GEB

• We can write turn every statement into a number, so we can turn “This statement does not have any proof in the system” into a number

Gödel’s Proof

$G$: This statement does not have any proof in the system of $PM$.

If $G$ is provable, $PM$ would be inconsistent.
If $G$ is unprovable, $PM$ would be incomplete.
$PM$ can express $G$.
Thus, $PM$ cannot be complete and consistent!

Generalization

All logical systems of any complexity are incomplete: there are statements that are true that cannot be proven within the system.

Practical Implications

• Mathematicians will never be completely replaced by computers
  - There are mathematical truths that cannot be determined mechanically
  - We can build a computer that will prove only true theorems about number theory, but if it cannot prove something we do not know that that is not a true theorem.

• We’ll always need creativity.

What does it mean for an axiomatic system to be complete and consistent?

Derives all true statements, and no false statements starting from a finite number of axioms and following mechanical inference rules.
What does it mean for an axiomatic system to be complete and consistent?

It means the axiomatic system is weak.

Indeed, it is so weak, it cannot express: “This statement has no proof.”

### Inconsistent Axiomatic System

Derives all true statements, and some false statements starting from a finite number of axioms and following mechanical inference rules.

Once you can prove one false statement, everything can be proven! false ⇒ anything

### Liberal Arts Trivia: Chemistry

- Also known as the rapture of the deep, this is a completely reversible alteration in consciousness that occurs while scuba diving at depth. The state is quite similar to alcohol intoxication, and usually occurs at depths beyond 100 feet. It is caused by breathing gasses that dissolve into nerve membranes and disrupt transmission: apart from helium, all breathable gasses have a narcotic effect, which is greater as lipid solubility increases.

### Liberal Arts Trivia: History

- Between 1945 and 1946, the political and military leadership of Nazi Germany, such as Hermann Göring, were tried in military tribunals in this location. The trials had a lasting legacy on international criminal law, including the later Geneva Conventions.

### Liberal Arts Trivia: Music

- This is the name given to a chord consisting of only the root note of the chord and the fifth, usually played on an electric guitar through an amplification process with distortion. They are a key element of many styles of rock music. In these, the ratio between the frequencies of the root and fifth is simply 3:2, leading to a coherent sound and harmonics closely related to the original two notes when played through distortion.
Algorithms

• What’s an algorithm?
  A procedure that always terminates.

• What’s a procedure?
  A precise (mechanizable) description of a process.

Computability

• Is there an algorithm that solves a problem?

• Computable (decidable) problems:
  - There is an algorithm that solves the problem.
  - Make a photomosaic, sorting, drug discovery, winning chess (it doesn’t mean we know the algorithm, but there is one)

• Uncomputable (undecidable) problems:
  - There is no algorithm that solves the problem.
  - There might be a procedure, but it doesn’t always terminate.

Are there any uncomputable problems?

The Halting Problem

Input: a specification of a procedure \( P \)

Output: If evaluating an application of \( P \) halts, output \text{true}. Otherwise, output \text{false}.

Alan Turing (1912-1954)

• Codebreaker at Bletchley Park
  - Broke Enigma Cipher
  - Perhaps more important than Lorenz

• Published \textit{On Computable Numbers} ... (1936)
  - Introduced the Halting Problem
  - Formal model of computation
    (now known as “Turing Machine”)

• After the war: convicted of homosexuality (then a crime in Britain), committed suicide eating cyanide apple

Halting Problem

Define a procedure \( \text{halts?} \) that takes a procedure specification and evaluates to \text{True} if evaluating that code would terminate, and to \text{False} if evaluating that code would not terminate.

\[
\text{def halts?}(\text{proc}): \quad ...
\]
Examples

>>> halts?("(lambda x : 3 + 3)(5)")
True
>>> code = """
    def loop(): return loop()
    loop()"
>>> halts?(code)
False

Halting Examples

>>> halts?("""
    def fact(n):
       if n == 1: return 1
       return n * fact(n-1)
    fact(7)
"""
True
>>> halts?("""
    def fact(n):
       if n == 1: return 1
       return n * fact(n-1)
    fact(0)
"""
False

Halting Examples

>>> halts?("""
    def fibo(n):
        if n <= 2: return 1
        return fibo(n-1) + fibo(n-2)
    fibo(100)"
True

Can we define halts? ?

• We could try for a really long time, get something to work for simple examples, but could we solve the problem - make it work for all possible inputs?

Informal Proof

define paradox():
    def loop_forever():
        return loop_forever()
    if halts?(paradox):
        loop_forever()
    else: return "halts"

If paradox halts, the if test is true and it evaluates loop_forever() - it doesn’t halt!

If paradox doesn’t halt, the if test if false, and it evaluates to “halts”. It halts!

Goldbach Conjecture (see GEB, p. 394): Every even integer can be written as the sum of two primes.

Proof by Contradiction

Goal: Show that A is false.
1. Show X is nonsensical.
2. Show that if you have A you can make X.
3. Therefore, A must not exist.

\[ X = \text{paradox} \]
\[ A = \text{halts? algorithm} \]
How convincing is our Halting Problem proof?

```python
define paradox():
    if halts?(paradox):
        loop_forever()
    else: return "halts"
```

If paradox halts, the if test is true and it evaluates to `loop_forever()` - it doesn’t halt!
If paradox doesn’t halt, the if test if false, and it evaluates to “halts”. It halts!

This “proof” assumes Python exists and is consistent! Python is too complex to believe this...we need a simpler model of computation (in two weeks).

---

Homework

• Read Chapter 12
• Read Obituary
• PS6 Due Tuesday