

exercise: different pipeline

split execute into two stages: F/D/E1/E2/M/W

result only available near end of second execute stage

where does forwarding, stalls occur?

	cycle #	0	1	2	3	4	5	6	7	8
(1) addq %rcx, %r9	F	D	E1	E2	M	W				
(2) addq %r9, %rbx										
(3) addq %rax, %r9										
(4) movq %r9, (%rbx)										
(5) movq %rcx, %r9										

exercise: different pipeline

split execute into two stages: F/D/E1/E2/M/W

	<i>cycle #</i>	0	1	2	3	4	5	6	7	8
<code>addq %rcx, %r9</code>	F	D	E1	E2	M	W				
<code>addq %r9, %rbx</code>										
<code>addq %rax, %r9</code>										
<code>movq %r9, (%rbx)</code>										

exercise: different pipeline

split execute into two stages: F/D/E1/E2/M/W

	cycle #	0	1	2	3	4	5	6	7	8
addq %rcx, %r9		F	D	E1	E2	M	W			
addq %r9, %rbx			F	D	E1	E2	M	W		
addq %rax, %r										
movq %r9, (%rbx)					F	D	E1	E2	M	W

r9 not available yet — can't forward here
so try stalling in addq's decode...

exercise: different pipeline

split execute into two stages: F/D/E1/E2/M/W

	cycle #	0	1	2	3	4	5	6	7	8
addq %rcx, %r9		F	D	E1	E2	M	W			
addq %r9, %rbx			F	D	E1	E2	M	W		
addq %r9, %rbx			F	D	D	E1	E2	M	W	
addq %rax, %r9										
after stalling once, now we can forward										
addq %rax, %r9			F	F	D	E1	E2	M	W	
movq %r9, (%rbx)				F	D	E1	E2	M	W	
movq %r9, (%rbx)					F	D	E1	E2	M	W

exercise: different pipeline

split execute into two stages: F/D/E1/E2/M/W

	cycle #	0	1	2	3	4	5	6	7	8
addq %rcx, %r9		F	D	E1	E2	M	W			
addq %r9, %rbx		F	D	E1	E2	M	W			
addq %r9, %rbx		F	D	D	E1	E2	M	W		
addq %rax, %r9		F	D	E1	E2	M	W			
addq %rax, %r9		F	F	D	E1	E2	M	W		
movq %r9, (%rbx)		F	D	E1	E2	M	W			
movq %r9, (%rbx)		F	D	E1	E2	M	W			

exercise: different pipeline

split execute into two stages: F/D/E1/E2/M/W

	cycle #	0	1	2	3	4	5	6	7	8
addq %rcx, %r9		F	D	E1	E2	M	W			
addq %r9, %rbx			F	D	E1	E2	M	W		
addq %r9, %rbx			F	D	D	E1	E2	M	W	
addq %rax, %r9				F	D	E1	E2	M	W	
addq %rax, %r9				F	F	D	E1	E2	M	W
movq %r9, (%rbx)					F	D	E1	E2	M	W
movq %r9, (%rbx)					F	D	E1	E2	M	W
movq %rcx, %r9					F	D	E1	E2	M	W

last time

diminishing returns for pipelines

hazards

pipeline does not work because value not ready

stalling to resolve hazards

insert no-operations to wait

forwarding

take value from elsewhere in pipeline

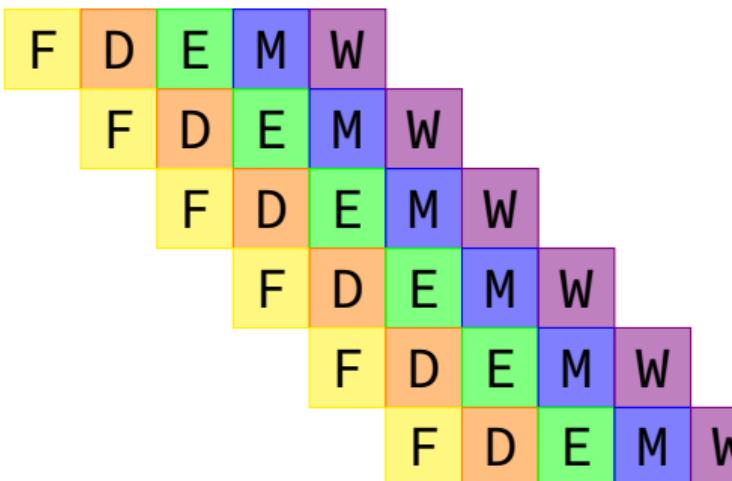
replace value just read

make decision using MUX

jXX: stalling?

```
cmpq %r8, %r9  
jne LABEL           // not taken  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...  
cmpq %r8, %r9  
jne LABEL  
(do nothing)  
(do nothing)  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```

cycle # 0 1 2 3 4 5 6 7 8



jXX: stalling?

```
cmpq %r8, %r9  
jne LABEL           // not taken  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```

```
cmpq %r8, %r9  
jne LABEL  
(do nothing)  
(do nothing)  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```



jXX: stalling?

```
cmpq %r8, %r9  
jne LABEL           // not taken  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```

```
cmpq %r8, %r9
```

```
jne LABEL      compute if jump goes to LABEL  
(do nothing)
```

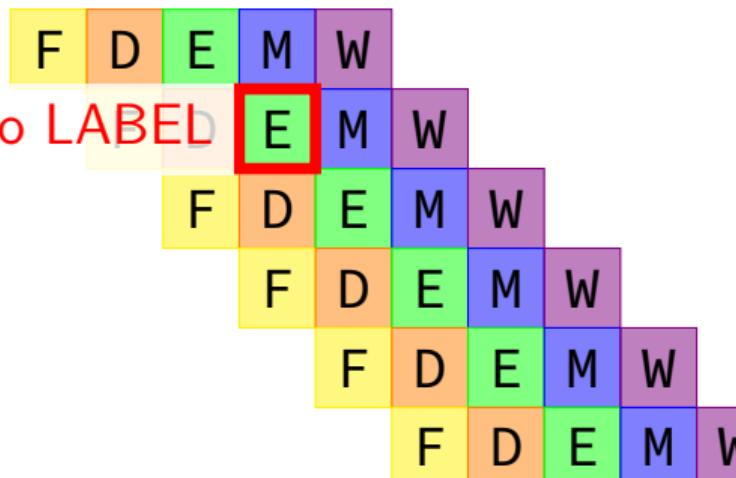
```
(do nothing)
```

```
xorq %r10, %r11
```

```
movq %r11, 0(%r12)
```

```
...
```

cycle # 0 1 2 3 4 5 6 7 8



jXX: stalling?

```
cmpq %r8, %r9  
jne LABEL           // not taken  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```

```
cmpq %r8, %r9
```

```
jne LABEL
```

```
(do nothing)
```

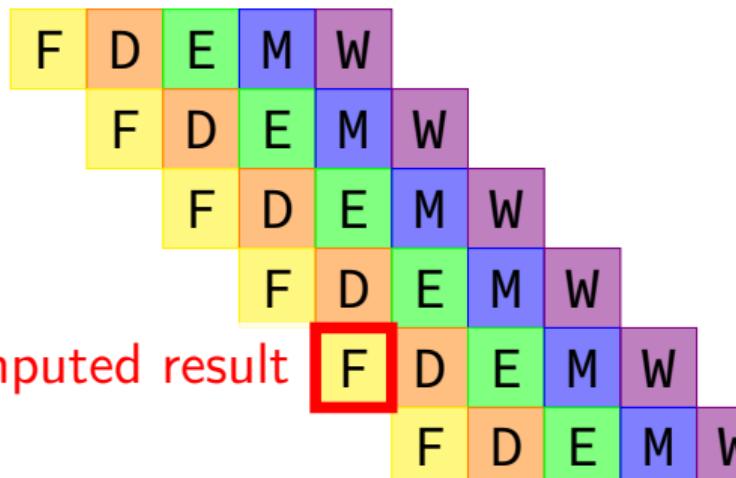
```
(do nothing)
```

```
xorq %r10, %r11
```

```
movq %r11, 0(%r12)
```

```
...
```

cycle # 0 1 2 3 4 5 6 7 8



use computed result

making guesses

```
    cmpq %r8, %r9  
    jne LABEL  
    xorq %r10, %r11  
    movq %r11, 0(%r12)  
    ...
```

```
LABEL: addq %r8, %r9  
       imul %r13, %r14  
       ...
```

speculate (guess): **jne** won't go to LABEL

right: 2 cycles faster!; wrong: undo guess before too late

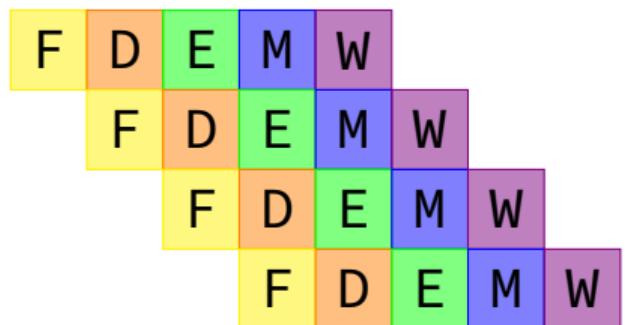
jXX: speculating right (1)

```
cmpq %r8, %r9  
jne LABEL  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```

```
LABEL: addq %r8, %r9  
imul %r13, %r14  
...
```

```
cmpq %r8, %r9  
jne LABEL  
xorq %r10, %r11  
movq %r11, 0(%r12)  
...
```

cycle # 0 1 2 3 4 5 6 7 8



jXX: speculating wrong

	cycle #	0	1	2	3	4	5	6	7	8
cmpq %r8, %r9		F	D	E	M	W				
jne LABEL		F	D	E	M	W				
xorq %r10, %r11		F	D							
(inserted nop)				E	M	W				
movq %r11, 0(%r12)		F								
(inserted nop)			D	E	M	W				
LABEL: addq %r8, %r9		F	D	E	M	W				
imul %r13, %r14		F	D	E	M	W				
...										

jXX: speculating wrong

	cycle #	0	1	2	3	4	5	6	7	8
cmpq %r8, %r9		F	D	E	M	W				
jne LABEL		F	D	E	M	W				
xorq %r10, %r11		F	D							
(inserted nop)				E	M	W				
movq %r11, 0(%r12)		F								
(inserted nop)			D	E	M	W				
LABEL: addq %r8, %r9		F	D	E	M	W				
imul %r13, %r14		F	D	E	M	W				
...										

“squashed” instructions

on misprediction need to undo partially executed instructions

mostly: remove from pipeline registers

more complicated pipelines: replace written values in
cache/registers/etc.

static branch prediction

forward ($\text{target} > \text{PC}$) not taken; backward taken

intuition: loops:

LOOP: ...

...

je LOOP

LOOP: ...

jne SKIP_LOOP

...

jmp LOOP

SKIP_LOOP:

predict: repeat last

PC of branch

0x40042A

hash function

<i>index</i>	<i>prediction/ last result?</i>
0	taken (1)
1	not taken (0)
2	taken (1)
3	taken (1)
...	...
14	not taken (0)
15	taken (1)

predict: repeat last

PC of branch

0x40042A

hash function

index *prediction/
last result?*

0 taken (1)

1 not taken (0)

2 taken (1)

3 taken (1)

...

14 not taken (0)

typical choice: some bits of branch address
for our example: will use bits 4-7

predict: repeat last

PC of branch

0x40042A



hash function

index	<i>prediction/ last result?</i>
0	taken (1)
1	not taken (0)
2	taken (1)
3	taken (1)
...	...
14	not taken (0)
15	taken (1)

predict: repeat last

PC of branch

0x40042A



hash function

index	<i>prediction/ last result?</i>
0	taken (1)
1	not taken (0)
2	taken (1)
3	taken (1)
...	...
14	not taken (0)
15	taken (1)



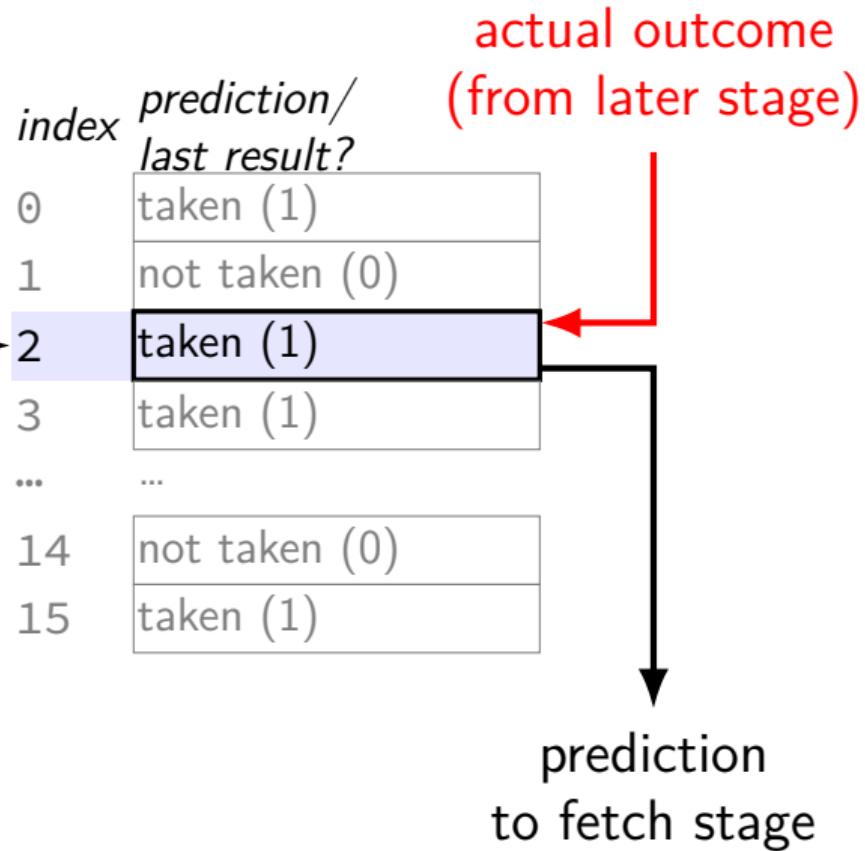
**prediction
to fetch stage**

predict: repeat last

PC of branch

0x40042A

hash function



example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

actual outcome
from later stage

idx prediction/
last result?

0 taken (1)

1 not taken (0)

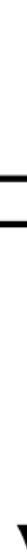
2 not taken (0)

3 taken (1)

...

14 not taken (0)

15 taken (1)



prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

assembly version of:

i = 4; do { ...; i -= 1; } while (i)

idx prediction/
last result?

0 taken (1)

1 not taken (0)

2 not taken (0)

3 taken (1)

...

14 not taken (0)

15 taken (1)

actual outcome
from later stage

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

*idx prediction/
last result?*

0	taken (1)
1	not taken (0)
2	not taken (0)
3	taken (1)
...	...

iteration	prediction	outcome
1	not taken	taken

actual outcome
from later stage

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

actual outcome
from later stage

*idx prediction/
last result?*

0 taken (1)

1 not taken (0)

2 not taken (0)

3 taken (1)

... ...

iteration	prediction	outcome
1	not taken	taken

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

actual outcome
from later stage

idx prediction/
last result?

0 taken (1)

1 not taken (0)

2 not taken **taken (1)**

3 taken (1)

... ...

iteration	prediction	outcome
1	not taken	taken

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

*idx prediction/
last result?*

0 taken (1)

1 not taken (0)

2 not taken taken (1)

3 taken (1)

... ...

iteration	prediction	outcome	
1	not taken	taken	
2	taken	taken	

actual outcome
from later stage

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

*idx prediction/
last result?*

0 taken (1)

1 not taken (0)

2 not taken taken (1)

3 taken (1)

actual outcome
from later stage

iteration	prediction	outcome	
1	not taken	taken	
2	taken	taken	

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

*idx prediction/
last result?*

0 taken (1)

1 not taken (0)

2 not taken taken (1)

3 taken (1)

actual outcome
from later stage

iteration	prediction	outcome	
1	not taken	taken	
2	taken	taken	
3	taken	taken	
4	taken	not taken	
1	not taken	taken	
2	taken	taken	

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

idx prediction/
last result?

0 taken (1)

1 not taken (0)

2 not taken taken (1)

3 taken (1)

actual outcome
from later stage

iteration	prediction	outcome
1	not taken	taken
2	taken	taken
3	taken	taken
4	taken	not taken
1	not taken	taken
2	taken	taken

prediction
to fetch stage

example

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jnz 0x400423
0x40042B	...

*idx prediction/
last result?*

0 taken (1)

1 not taken (0)

2 not taken taken (1)

3 taken (1)

...

...

iteration	prediction	outcome	
1	not taken	taken	
2	taken	taken	
3	taken	taken	
4	taken	not taken	
1	not taken	taken	
2	taken	taken	

actual outcome
from later stage

prediction
to fetch stage

collisions?

two branches could have same hashed PC

nothing in table tells us about this

versus direct-mapped cache: had *tag bits* to tell

is it worth it?

adding tag bits makes table *much* larger and/or slower

but does anything go wrong when there's a collision?

collision results

possibility 1: both branches usually taken

no actual conflict — prediction is better(!)

possibility 2: both branches usually not taken

no actual conflict — prediction is better(!)

possibility 3: one branch taken, one not taken

performance probably worse

1-bit predictor for loops

predicts first and last iteration wrong

example: branch to beginning — but same for branch from beginning to end

everything else correct

exercise (pt 1)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate for $i \% 3 == 0$?

```
int i = 0;
while (true) {
    if (i % 3 == 0)
        goto next;
    ...
next:
    i += 1;
    if (i == 50)
        break;
}
```

exercise (pt 1)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate for $i \% 3 == 0$?

```
int i = 0;
while (true) {
    if (i % 3 == 0)
        goto next;
    ...
next:
    i += 1;
    if (i == 50)
        break;
}
```

$i =$	branch	pred	outcome	correct?
0	mod 3	???	T	???
1	mod 3	T	F	no
2	mod 3	F	F	yes
3	mod 3	F	T	no
...

exercise (pt 1)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate for $i \% 3 == 0$?

```
int i = 0;
while (true) {
    if (i % 3 == 0)
        goto next;
    ...
next:
    i += 1;
    if (i == 50)
        break;
}
```

$i =$	branch	pred	outcome	correct?
0	mod 3	???	T	???
1	mod 3	T	F	no
2	mod 3	F	F	yes
3	mod 3	F	T	no
...

exercise (pt 1)

use 1-bit predictor on this loop
executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate for $i \% 3 == 0$?

```
int i = 0;
while (true) {
    if (i % 3 == 0)
        goto next;
    ...
next:
    i += 1;
    if (i == 50)
        break;
}
```

$i =$	branch	pred	outcome	correct?
0	mod 3	???	T	???
1	mod 3	T	F	no
2	mod 3	F	F	yes
3	mod 3	F	T	no
...

exercise (pt 2)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate for $i == 50$?

```
int i = 0;
while (true) {
    if (i % 3 == 0)
        goto next;
    ...
next:
    i += 1;
    if (i == 50)
        break;
}
```

exercise (full)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate?

```
int i = 0;
while (true) {
    if (i % 3 == 0)
        goto next;
    ...
next:
    i += 1;
    if (i == 50)
        break;
}
```

exercise (full)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate?

```
int i = 0;  
while (true) {  
    if (i % 3 == 0)  
        goto next;  
    ...  
next:  
    i += 1;  
    if (i == 50)  
        break;  
}
```

i =	branch	pred	outcome	correct?
0	mod 3	???	T	???
1	== 50	???	F	???
1	mod 3	T	F	—
2	== 50	F	F	✓
...

exercise (full)

use 1-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional jump misprediction rate?

```
int i = 0;  
while (true) {  
    if (i % 3 == 0)  
        goto next;  
    ...  
next:  
    i += 1;  
    if (i == 50)  
        break;  
}
```

i =	branch	pred	outcome	correct?
0	mod 3	???	T	???
1	== 50	???	F	???
1	mod 3	T	F	—
2	== 50	F	F	✓
...

exercise soln (1)

i=	branch	predicted	outcome	correct?	mod 3: correct for i=2,5,8,...,49 (16/50) break: correct for i=2,3,...,48 (48/50) overall: 64/100
0	mod 3	???	T	???	
1	== 50	???	N	???	
1	mod 3	T	N		
2	== 50	N	N	✓	
2	mod 3	N	N	✓	
3	== 50	N	N	✓	
3	mod 3	N	T		
4	== 50	N	N	✓	
...	
48	mod 3	N	T		
49	== 50	N	N	✓	
49	mod 3	T	N		
50	== 50	N	T		
0	mod 3	N	T		
1	== 50	T	N		

```
int i = 0;
while (true) {
    if (i % 3 == 0) goto next;
    ...
next:
    i += 1;
    if (i == 50) break;
}
```

exercise soln (1)

i=	branch	predicted	outcome	correct?
0	mod 3	???	T	???
1	== 50	???	N	???
1	mod 3	T	N	
2	== 50	N	N	✓
2	mod 3	N	N	✓
3	== 50	N	N	✓
3	mod 3	N	T	
4	== 50	N	N	✓
...
48	mod 3	N	T	
49	== 50	N	N	✓
49	mod 3	T	N	
50	== 50	N	T	
0	mod 3	N	T	
1	== 50	T	N	

mod 3: correct for i=2,5,8,...,49 (16/50)

break: correct for i=2,3,...,48 (48/50)

overall: 64/100

```
int i = 0;
while (true) {
    if (i % 3 == 0) goto next;
    ...
next:
    i += 1;
    if (i == 50) break;
}
```

exercise soln (1)

i=	branch	predicted	outcome	correct?
0	mod 3	???	T	???
1	$\equiv 50$???	N	???
1	mod 3	T	N	
2	$\equiv 50$	N	N	✓
2	mod 3	N	N	✓
3	$\equiv 50$	N	N	✓
3	mod 3	N	T	
4	$\equiv 50$	N	N	✓
...
48	mod 3	N	T	
49	$\equiv 50$	N	N	✓
49	mod 3	T	N	
50	$\equiv 50$	N	T	
0	mod 3	N	T	
1	$\equiv 50$	T	N	

mod 3: correct for i=2,5,8,...,49 (16/50)

break: correct for i=2,3,...,48 (48/50)

overall: 64/100

```
int i = 0;
while (true) {
    if (i % 3 == 0) goto next;
    ...
next:
    i += 1;
    if (i == 50) break;
}
```

exercise soln (1)

i=	branch	predicted	outcome	correct?
0	mod 3	???	T	???
1	$\equiv 50$???	N	???
1	mod 3	T	N	
2	$\equiv 50$	N	N	✓
2	mod 3	N	N	✓
3	$\equiv 50$	N	N	✓
3	mod 3	N	T	
4	$\equiv 50$	N	N	✓
...
48	mod 3	N	T	
49	$\equiv 50$	N	N	✓
49	mod 3	T	N	
50	$\equiv 50$	N	T	
0	mod 3	N	T	
1	$\equiv 50$	T	N	

mod 3: correct for i=2,5,8,...,49 (16/50)

break: correct for i=2,3,...,48 (48/50)

overall: 64/100

```
int i = 0;
while (true) {
    if (i % 3 == 0) goto next;
    ...
next:
    i += 1;
    if (i == 50) break;
}
```

exercise soln (1)

i=	branch	predicted	outcome	correct?
0	mod 3	???	T	???
1	$\equiv 50$???	N	???
1	mod 3	T	N	
2	$\equiv 50$	N	N	✓
2	mod 3	N	N	✓
3	$\equiv 50$	N	N	✓
3	mod 3	N	T	
4	$\equiv 50$	N	N	✓
...
48	mod 3	N	T	
49	$\equiv 50$	N	N	✓
49	mod 3	T	N	
50	$\equiv 50$	N	T	
0	mod 3	N	T	
1	$\equiv 50$	T	N	

mod 3: correct for i=2,5,8,...,49 (16/50)

break: correct for i=2,3,...,48 (48/50)

overall: 64/100

```
int i = 0;
while (true) {
    if (i % 3 == 0) goto next;
    ...
next:
    i += 1;
    if (i == 50) break;
}
```

branch target buffer

what if we can't decode LABEL from machine code for `jmp LABEL` or `jle LABEL` fast?

will happen in more complex pipelines

what if we can't decode that there's a RET, CALL, etc. fast?

BTB: cache for branch targets

idx	valid	tag	ofst	type	target	(more info?)
0x00	1	0x400	5	Jxx	0x3FFF3	...
0x01	1	0x401	C	JMP	0x401035	----
0x02	0	---	---	---	---	----
0x03	1	0x400	9	RET	---	...
...
0xFF	1	0x3FF	8	CALL	0x404033	...

valid	...
1	...
0	...
0	...
0	...
...	...
0	...

```
0x3FFF3:  movq %rax, %rsi
0x3FFF7:  pushq %rbx
0x3FFF8:  call 0x404033
0x400001:  popq %rbx
0x400003:  cmpq %rbx, %rax
0x400005:  jle 0x3FFF3
...
0x400031:  ret
...
...
```

BTB: cache for branch targets

idx	valid	tag	ofst	type	target	(more info?)	valid	...
0x00	1	0x400	5	Jxx	0x3FFF3	...	1	...
0x01	1	0x401	C	JMP	0x401035	----	0	...
0x02	0	---	---	---	---	---	0	...
0x03	1	0x400	9	RET	---	...	0	...
...
0xFF	1	0x3FF	8	CALL	0x404033	...	0	...

```
0x3FFF3: movq %rax, %rsi
0x3FFF7: pushq %rbx
0x3FFF8: call 0x404033
0x400001: popq %rbx
0x400003: cmpq %rbx, %rax
0x400005: jle 0x3FFF3
...
0x400031: ret
...
0x400033: ...
```

BTB: cache for branch targets

idx	valid	tag	ofst	type	target	(more info?)	valid	...
0x00	1	0x400	5	Jxx	0x3FFF3	...	1	...
0x01	1	0x401	C	JMP	0x401035	----	0	...
0x02	0	---	---	---	---	---	0	...
0x03	1	0x400	9	RET	---	...	0	...
...
0xFF	1	0x3FF	8	CALL	0x404033	...	0	...

```
0x3FFF3: movq %rax, %rsi
0x3FFF7: pushq %rbx
0x3FFF8: call 0x404033
0x400001: popq %rbx
0x400003: cmpq %rbx, %rax
0x400005: jle 0x3FFF3
...
0x400031: ret
...
0x400031: ret
...
```

indirect branch prediction

`jmp *%rax or jmp *(%rax, %rcx, 8)`

BTB can provide a prediction

but can do better with more context

example—predict based on other recent computed jumps
good for polymorphic method calls

table lookup with Hash(last few jmps)
instead of Hash(this jmp)

beyond pipelining: multiple issue

start **more than one instruction/cycle**

multiple parallel pipelines; many-input/output register file

hazard handling much more complex

	cycle #	0	1	2	3	4	5	6	7	8
addq %r8, %r9		F	D	E	M	W				
subq %r10, %r11		F	D	E	M	W				
xorq %r9, %r11		F	D	E	M	W				
subq %r10, %rbx		F	D	E	M	W				

...

beyond pipelining: out-of-order

find later instructions to do instead of stalling

lists of available instructions in pipeline registers
take any instruction with available values

provide **illusion that work is still done in order**

much more complicated hazard handling logic

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
mov 0(%rbx), %r8		F	D	R	I	E	M	M	M	W	C		
sub %r8, %r9			F	D	R				I	E	W	C	
add %r10, %r11				F	D	R	I	E	W			C	
xor %r12, %r13					F	D	R	I	E	W			C

...

interlude: real CPUs

modern CPUs:

execute **multiple instructions at once**

execute instructions **out of order** — whenever **values available**

out-of-order and hazards

out-of-order execution makes hazards harder to handle

problems for forwarding:

- value in last stage may not be most up-to-date

- older value may be written back before newer value?

problems for branch prediction:

- mispredicted instructions may complete execution before squashing

which instructions to dispatch?

- how to quickly find instructions that are ready?

out-of-order and hazards

out-of-order execution makes hazards harder to handle

problems for forwarding:

- value in last stage may not be most up-to-date

- older value may be written back before newer value?

problems for branch prediction:

- mispredicted instructions may complete execution before squashing

which instructions to dispatch?

- how to quickly find instructions that are ready?

read-after-write examples (1)

	cycle #	0	1	2	3	4	5	6	7	8
addq %r10, %r8		F	D	E	M	W				
addq %r11, %r8			F	D	E	M	W			
addq %r12, %r8				F	D	E	M	W		

normal pipeline: two options for `%r8`?

choose the one from *earliest stage*

because it's from the most recent instruction

read-after-write examples (1)

out-of-order execution:

%r8 from earliest stage might be from *delayed instruction*
can't use same forwarding logic

addq %r10, %r8		I	D	E	M	W			
addq %r12, %r8			F	D	E	M	W		

	cycle #	0	1	2	3	4	5	6	7	8
addq %r10, %r8		F			D	E	M	W		
movq %r8, (%rax)		F			D	E	M	W		
movq \$100, %r8			F	D	E	M	W			
addq %r13, %r8			F		D	E	M	W		

register version tracking

goal: track different versions of registers

out-of-order execution: may compute versions at different times

only forward the correct version

strategy for doing this: preprocess instructions represent version info

makes forwarding, etc. lookup easier

rewriting hazard examples (1)

addq %r10, %r8	addq %r10, %r8 _{v1} → %r8 _{v2}
addq %r11, %r8	addq %r11, %r8 _{v2} → %r8 _{v3}
addq %r12, %r8	addq %r12, %r8 _{v3} → %r8 _{v4}

read different version than the one written

represent with three argument psuedo-instructions

forwarding a value? must match version *exactly*

for now: version numbers

later: something simpler to implement

write-after-write example

	cycle #	0	1	2	3	4	5	6	7	8		
addq %r10, %r8		F				D	E	M	W			
movq %r8, (%rax)		F							D	E	M	W
movq %r11, %r8		F	D	E	M	W						
movq %r8, 8(%rax)		F					D	E	M	W		
movq \$100, %r8		F	D	E	M	W						
addq %r13, %r8		F					D	E	M	W		

write-after-write example

	cycle #	0	1	2	3	4	5	6	7	8		
addq %r10, %r8		F				D	E	M	W			
movq %r8, (%rax)		F							D	E	M	W
movq %r11, %r8			F	D	E	M	W					
movq %r8, 8(%rax)			F					D	E	M	W	
movq \$100, %r8			F	D	E	M	W					
addq %r13, %r8		F						D	E	M	W	

out-of-order execution:

if we don't do something, newest value could be overwritten!

write-after-write example

	cycle #	0	1	2	3	4	5	6	7	8
addq %r10, %r8		F				D	E	M	W	
movq %r8, (%rax)		F							D	E M W
movq %r11, %r8			F	D	E	M	W			
movq %r8, 8(%rax)		F						D	E M W	
movq \$100, %r8			F	D	E	M	W			
addq %r13, %r8		F						D	E M W	

two instructions that haven't been started
could need *different versions* of %r8!

write-after-write example

	cycle #	0	1	2	3	4	5	6	7	8
addq %r10, %r8		F				D	E	M	W	
movq %r8, (%rax)		F						D	E	M W
movq %r11, %r8			F	D	E	M	W			
movq %r8, 8(%rax)		F					D	E	M	W
movq \$100, %r8			F	D	E	M	W			
addq %r13, %r8		F					D	E	M	W

keeping multiple versions

for write-after-write problem: need to keep copies of multiple versions

both the new version and the old version needed by delayed instructions

for read-after-write problem: need to distinguish different versions

solution: have lots of extra registers

...and assign each version a new 'real' register

called register renaming

register renaming

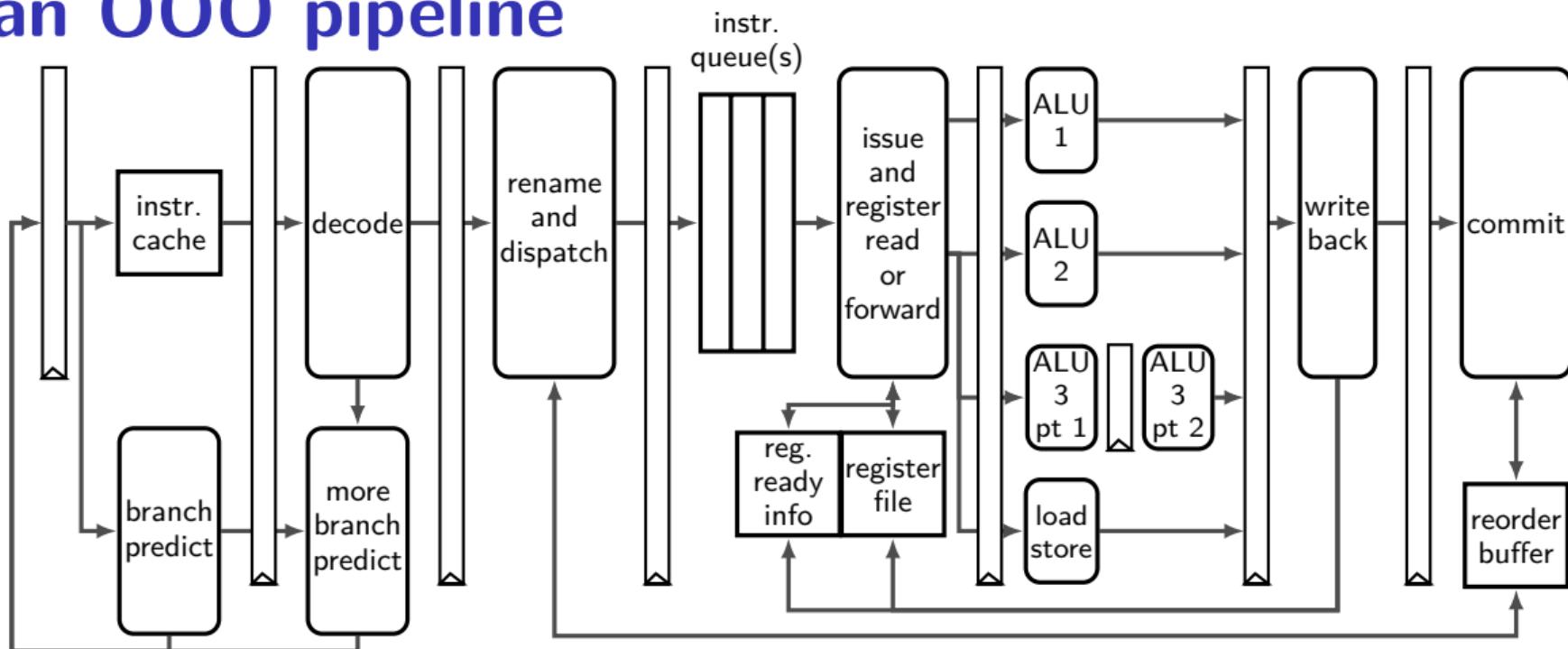
rename *architectural registers* to *physical registers*

different physical register for each version of architectural

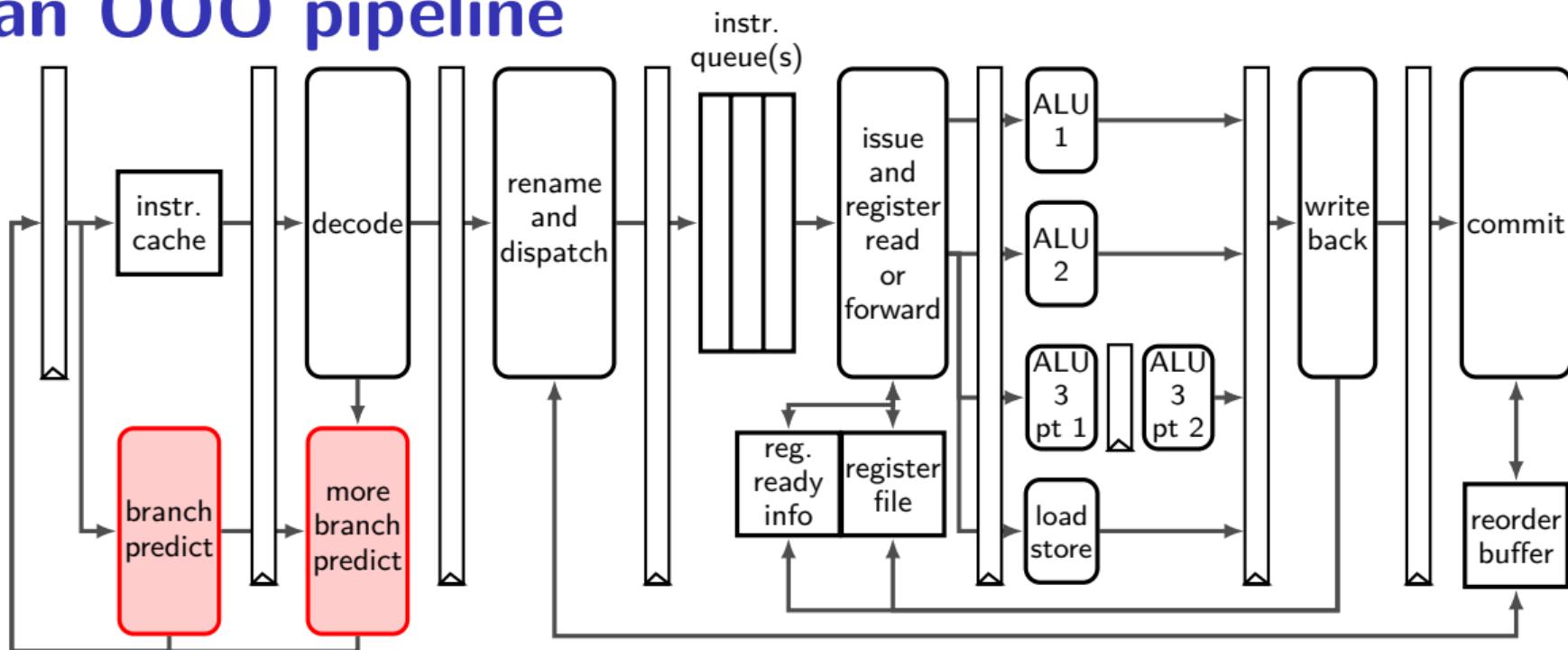
track which physical registers are ready

compare physical register numbers to do forwarding

an 000 pipeline

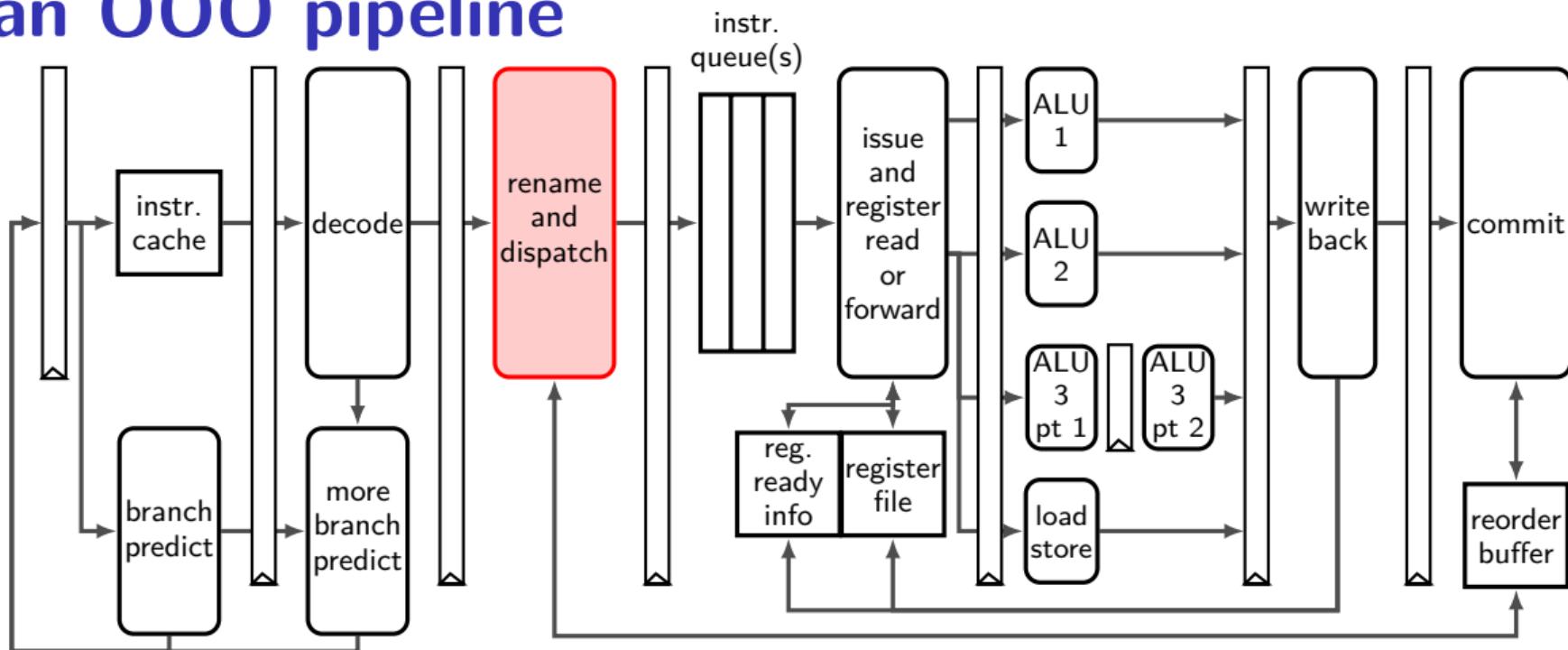


an OOO pipeline



branch prediction needs to happen before instructions decoded
done with cache-like tables of information about recent branches

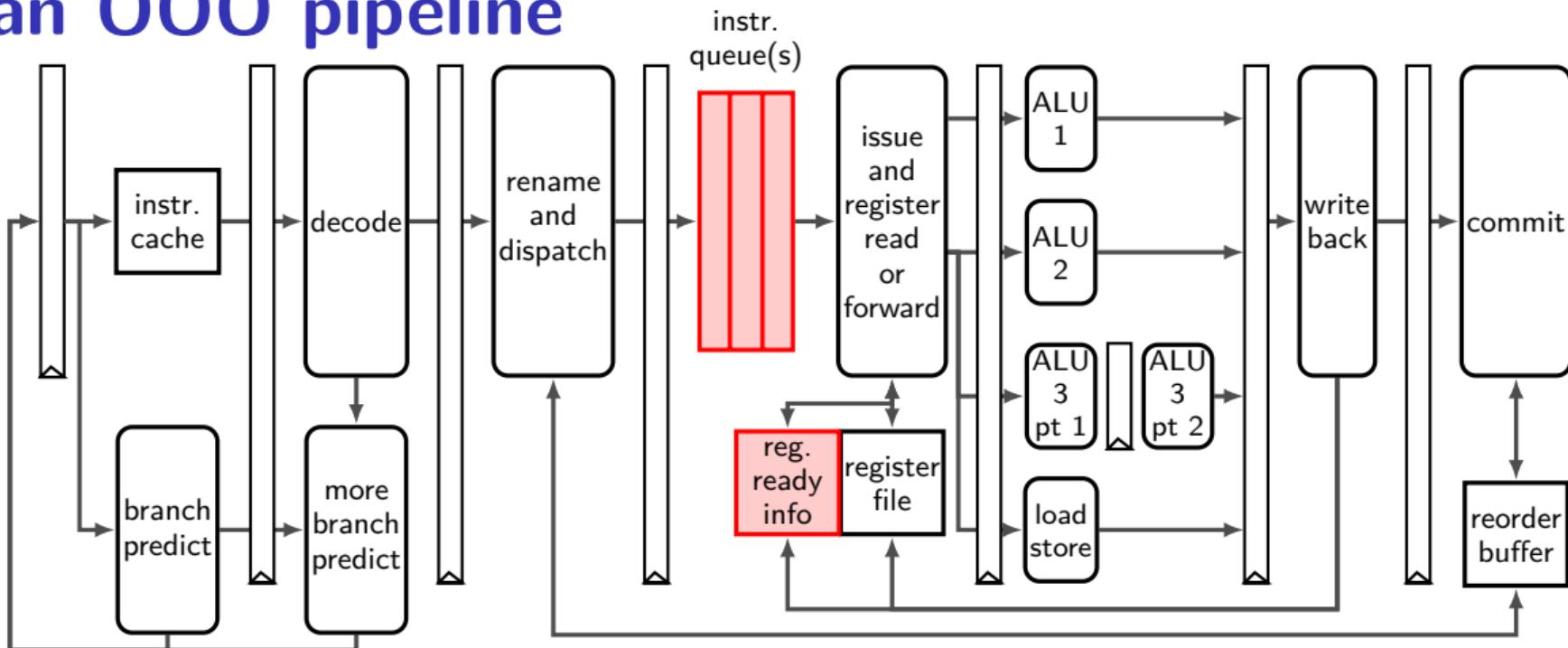
an OOO pipeline



register renaming done here

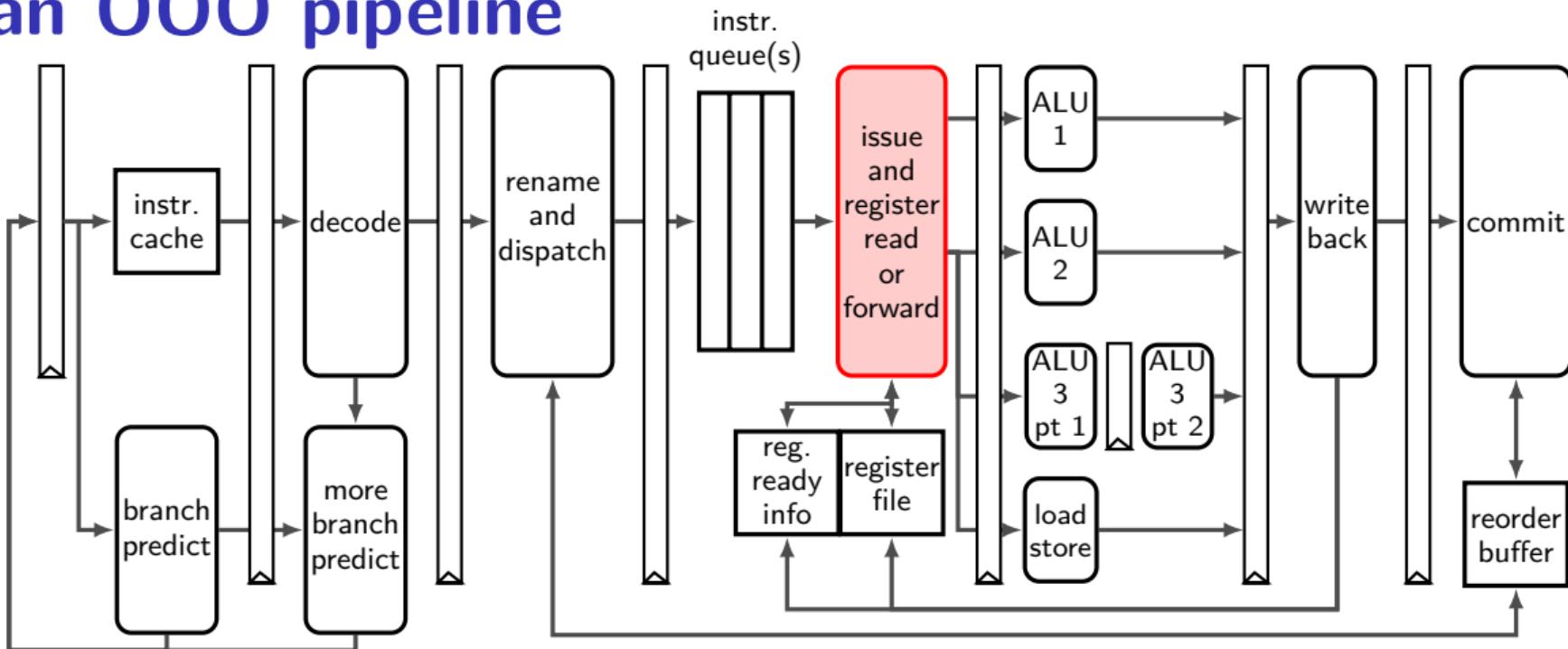
stage needs to keep mapping from architectural to physical names

an OOO pipeline



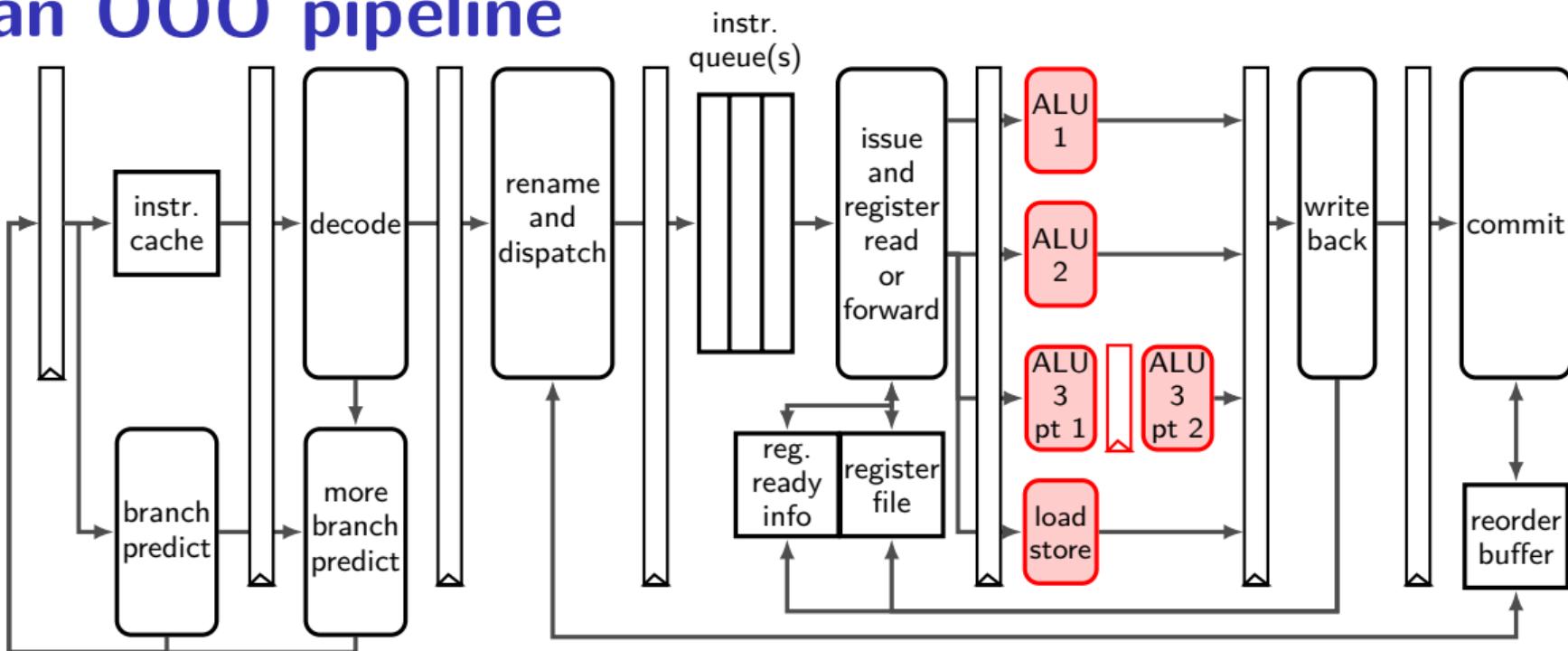
instruction queue holds pending renamed instructions
combined with register-ready info to *issue* instructions
(issue = start executing)

an OOO pipeline



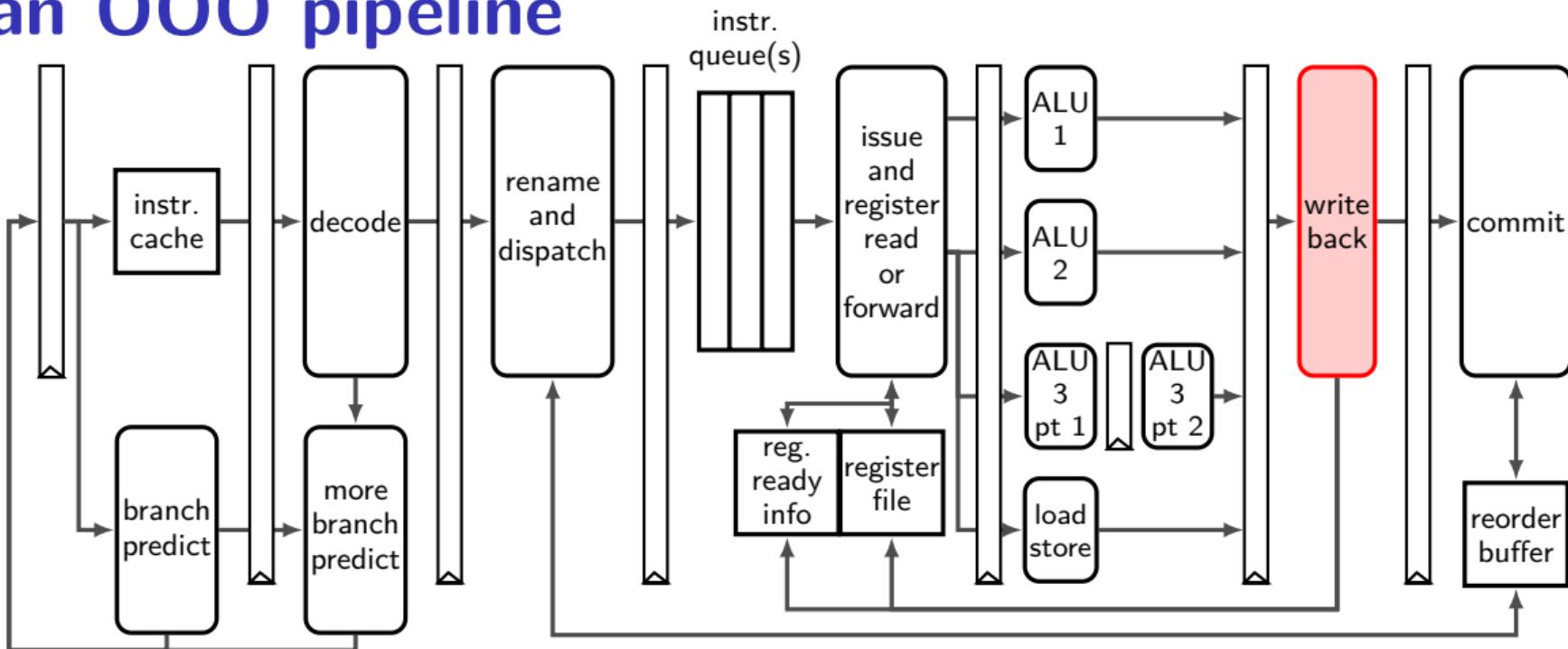
read from much larger register file and handle forwarding
register file: typically read 6+ registers at a time
(extra data paths wires for forwarding not shown)

an OOO pipeline



many *execution units* actually do math or memory load/store
some may have multiple pipeline stages
some may take variable time (data cache, integer divide, ...)

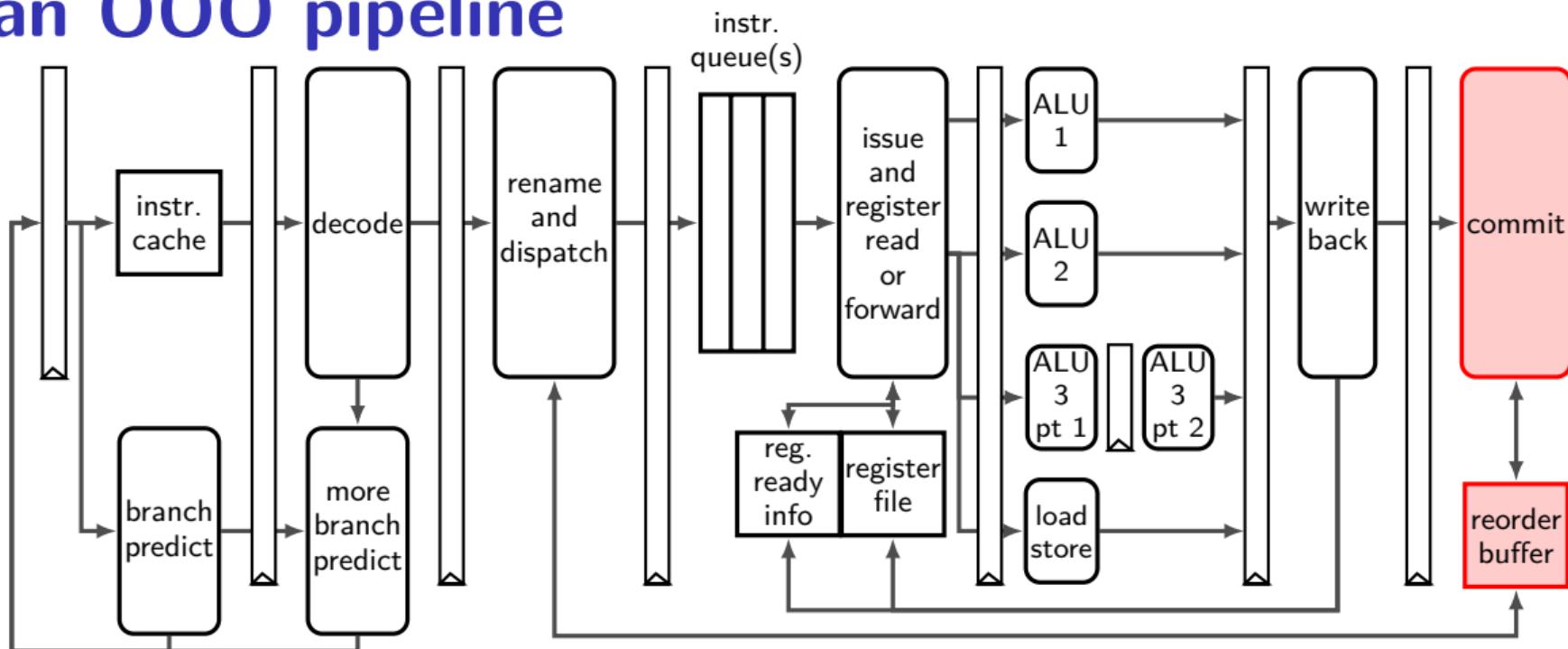
an OOO pipeline



writeback results to physical registers

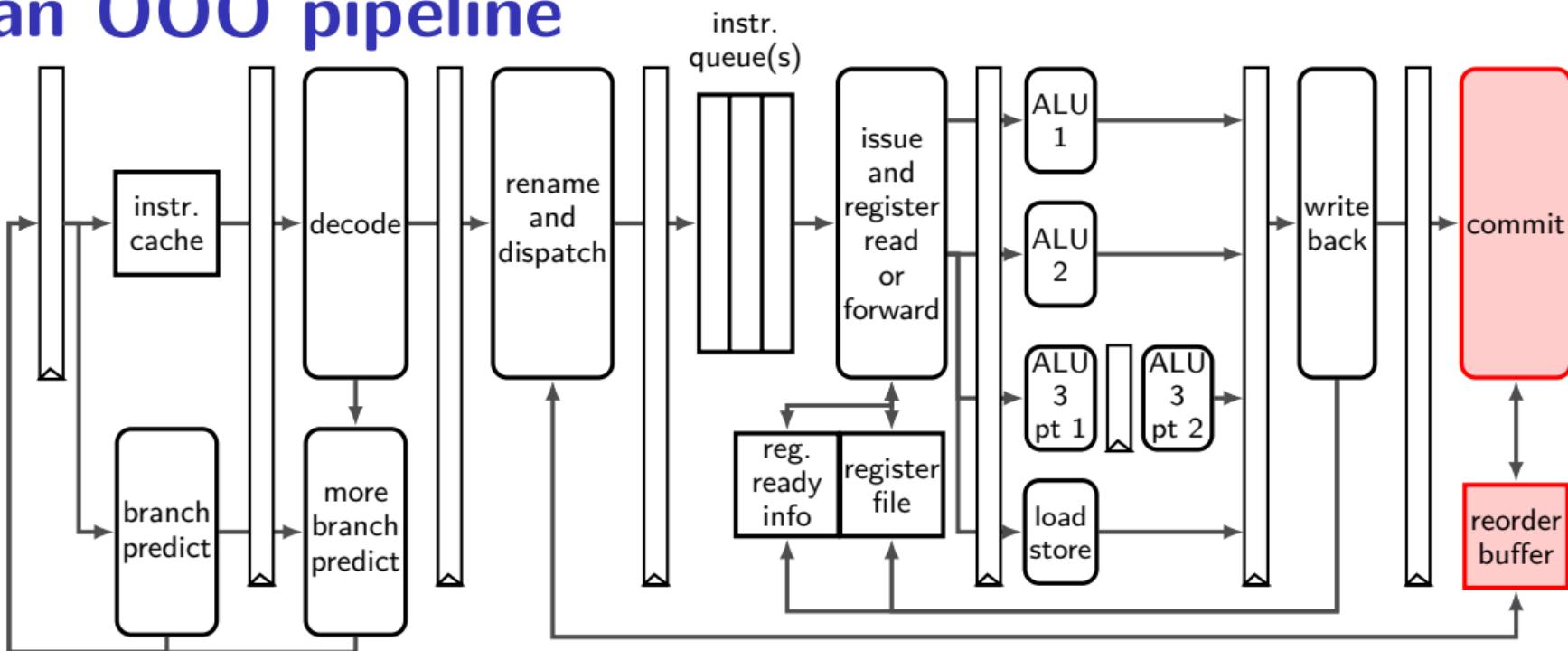
register file: typically support writing 3+ registers at a time

an OOO pipeline



new commit (sometimes *retire*) stage finalizes instruction
figures out when physical registers can be reused again

an OOO pipeline



commit stage also handles branch misprediction
reorder buffer tracks enough information to undo mispredicted instrs.

an OOO pipeline diagram

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
addq %r01, %r05		F	D	R	I	E	W	C					
addq %r02, %r05		F	D	R		I	E	W	C				
addq %r03, %r04		F	D	R	I	E	W	C					
cmpq %r04, %r08		F	D	R		I	E	W	C				
jne ...		F	D	R		I	E	W	C				
addq %r01, %r05		F	D	R	I	E	W		C				
addq %r02, %r05		F	D	R	I	E	W		C				
addq %r03, %r04		F	D	R		I	E	W	C				
cmpq %r04, %r08		F	D	R		I	E	W	C				

an OOO pipeline diagram

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
addq %r01, %r05		F	D	R	I	E	W	C					
addq %r02, %r05		F	D	R		I	E	W	C				
addq %r03, %r04		F	D	R									
cmpq %r04, %r08		F	D	R									
jne ...		F	D										
addq %r01, %r05		F	D	R	I	E	W		C				
addq %r02, %r05		F	D	R	I	E	W		C				
addq %r03, %r04		F	D	R		I	E	W	C				
cmpq %r04, %r08		F	D	R			I	E	W	C			

fetch instructions in order,
several per cycle
unless instruction queue full

an OOO pipeline diagram

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
addq %r01, %r05	F	D	R	I	E	W	C						
addq %r02, %r05	F	D	R	I	E	W	C						
addq %r03, %r04	F	D	R	I	E								issue instructions
cmpq %r04, %r08	F	D	R		I								(to "execution units")
jne ...	F	D	R										when operands ready
addq %r01, %r05	F	D	R	I	E	W		C					
addq %r02, %r05	F	D	R	I	E	W		C					
addq %r03, %r04	F	D	R		I	E	W	C					
cmpq %r04, %r08	F	D	R			I	E	W	C				

an 000 pipeline diagram

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
addq %r01, %r05		F	D	R	I	E	W	C					
addq %r02, %r05		F	D	R		I	E	W	C				
addq %r03, %r04		F	D	R	T	E	W	C					
cmpq %r04	commit instructions in order waiting until next complete					I	E	W	C				
jne ...		F	D	R		I	E	W	C				
addq %r01, %r05		F	D	R	I	E	W		C				
addq %r02, %r05		F	D	R	I	E	W		C				
addq %r03, %r04		F	D	R		I	E	W	C				
cmpq %r04, %r08		F	D	R		I	E	W	C				

an OOO pipeline diagram

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
addq %r01, %r05		F	D	R	I	E	W	C					
addq %r02, %r05		F	D	R		I	E	W	C				
addq %r03, %r04		F	D	R	I	E	W	C					
cmpq %r04, %r08		F	D	R		I	E	W	C				
jne ...		F	D	R		I	E	W	C				
addq %r01, %r05		F	D	R	I	E	W		C				
addq %r02, %r05		F	D	R	I	E	W		C				
addq %r03, %r04		F	D	R		I	E	W	C				
cmpq %r04, %r08		F	D	R		I	E	W	C				

register renaming

rename *architectural registers* to *physical registers*

architectural = part of instruction set architecture

different name for each version of architectural register

register renaming state

original	renamed
add %r10, %r8 ...	
add %r11, %r8 ...	
add %r12, %r8 ...	

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming state

original	renamed
add %r10, %r8 ...	table for architectural (external)
add %r11, %r8 ...	and physical (internal) name
add %r12, %r8 ...	(for next instr. to process)

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming state

original

```
add %r10, %r8 ...
add %r11, %r8 ...
add %r12, %r8 ...
```

renamed

list of available physical registers
added to as instructions finish

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming example (1)

original

```
add %r10, %r8
add %r11, %r8
add %r12, %r8
```

renamed

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming example (1)

original	renamed
add %r10, %r8	add %x19, %x13 → %x18
add %r11, %r8	
add %r12, %r8	

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming example (1)

original	renamed
add %r10, %r8	add %x19, %x13 → %x18
add %r11, %r8	add %x07, %x18 → %x20
add %r12, %r8	

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18 %x20
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming example (1)

original	renamed
add %r10, %r8	add %x19, %x13 → %x18
add %r11, %r8	add %x07, %x18 → %x20
add %r12, %r8	add %x05, %x20 → %x21

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18 %x20 %x21
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming example (1)

original	renamed
add %r10, %r8	add %x19, %x13 → %x18
add %r11, %r8	add %x07, %x18 → %x20
add %r12, %r8	add %x05, %x20 → %x21

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13
%r9	%x18
%r10	%x20
%r11	%x21
%r12	
...	...

free reg list

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original

```
addq %r10, %r8  
movq %r8, (%rax)  
subq %r8, %r11  
movq 8(%r11), %r11  
movq $100, %r8  
addq %r11, %r8
```

renamed

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original

```
addq %r10, %r8  
movq %r8, (%rax)  
subq %r8, %r11  
movq 8(%r11), %r11  
movq $100, %r8  
addq %r11, %r8
```

renamed

```
addq %x19, %x13 → %x18
```

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original

```
addq %r10, %r8  
movq %r8, (%rax)  
subq %r8, %r11  
movq 8(%r11), %r11  
movq $100, %r8  
addq %r11, %r8
```

renamed

```
addq %x19, %x13 → %x18  
movq %x18, (%x04) → (memory)
```

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original

```
addq %r10, %r8  
movq %r8, (%rax)  
subq %r8, %r11  
movq 8(%r11), %r11  
movq $100, %r8  
addq %r11, %r8
```

renamed

```
addq %x19, %x13 → %x18  
movq %x18, (%x04) → (memory)
```

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18
%r9	%x17
%r10	%x19
%r11	%x07
%r12	%x05

could be that $\%rax = 8 + \%r11$
could load before value written!
possible data hazard!

not handled via register renaming
option 1: run load+stores in order
option 2: compare load/store addresses

%x21
%x23
%x24
...

register renaming example (2)

original

```
addq %r10, %r8  
movq %r8, (%rax)  
subq %r8, %r11  
movq 8(%r11), %r11  
movq $100, %r8  
addq %r11, %r8
```

renamed

```
addq %x19, %x13 → %x18  
movq %x18, (%x04) → (memory)  
subq %x18, %x07 → %x20
```

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18
%r9	%x17
%r10	%x19
%r11	%x07 %x20
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original

```
addq %r10, %r8  
movq %r8, (%rax)  
subq %r8, %r11  
movq 8(%r11), %r11  
movq $100, %r8  
addq %r11, %r8
```

renamed

```
addq %x19, %x13 → %x18  
movq %x18, (%x04) → (memory)  
subq %x18, %x07 → %x20  
movq 8(%x20), (memory) → %x21
```

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18
%r9	%x17
%r10	%x19
%r11	%x07 %x20 %x21
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original	renamed
addq %r10, %r8	addq %x19, %x13 → %x18
movq %r8, (%rax)	movq %x18, (%x04) → (memory)
subq %r8, %r11	subq %x18, %x07 → %x20
movq 8(%r11), %r11	movq 8(%x20), (memory) → %x21
movq \$100, %r8	movq \$100 → %x23
addq %r11, %r8	

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18 %x23
%r9	%x17
%r10	%x19
%r11	%x07 %x20 %x21
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

register renaming example (2)

original	renamed
addq %r10, %r8	addq %x19, %x13 → %x18
movq %r8, (%rax)	movq %x18, (%x04) → (memory)
subq %r8, %r11	subq %x18, %x07 → %x20
movq 8(%r11), %r11	movq 8(%x20), (memory) → %x21
movq \$100, %r8	movq \$100 → %x23
addq %r11, %r8	addq %x21, %x23 → %x24

arch → phys register map

%rax	%x04
%rcx	%x09
...	...
%r8	%x13 %x18 %x23 %x24
%r9	%x17
%r10	%x19
%r11	%x07 %x20 %x21
%r12	%x05

free
regs

%x18
%x20
%x21
%x23
%x24
...

backup slides

beyond local 1-bit predictor

can predict using more historical info

whether taken last several times

example: taken 3 out of 4 last times → predict taken

pattern of how taken recently

example: if last few are T, N, T, N, T, N; next is probably T
makes two branches hashing to same entry not so bad

outcomes of last N conditional jumps (“global history”)

take into account conditional jumps in surrounding code

example: loops with if statements will have regular patterns

predicting ret: ministack of return addresses

predicting ret — ministack in processor registers
push on ministack on call; pop on ret

ministack overflows? discard oldest, mispredict it later

baz saved registers
baz return address
bar saved registers
bar return address
foo local variables
foo saved registers
foo return address
foo saved registers

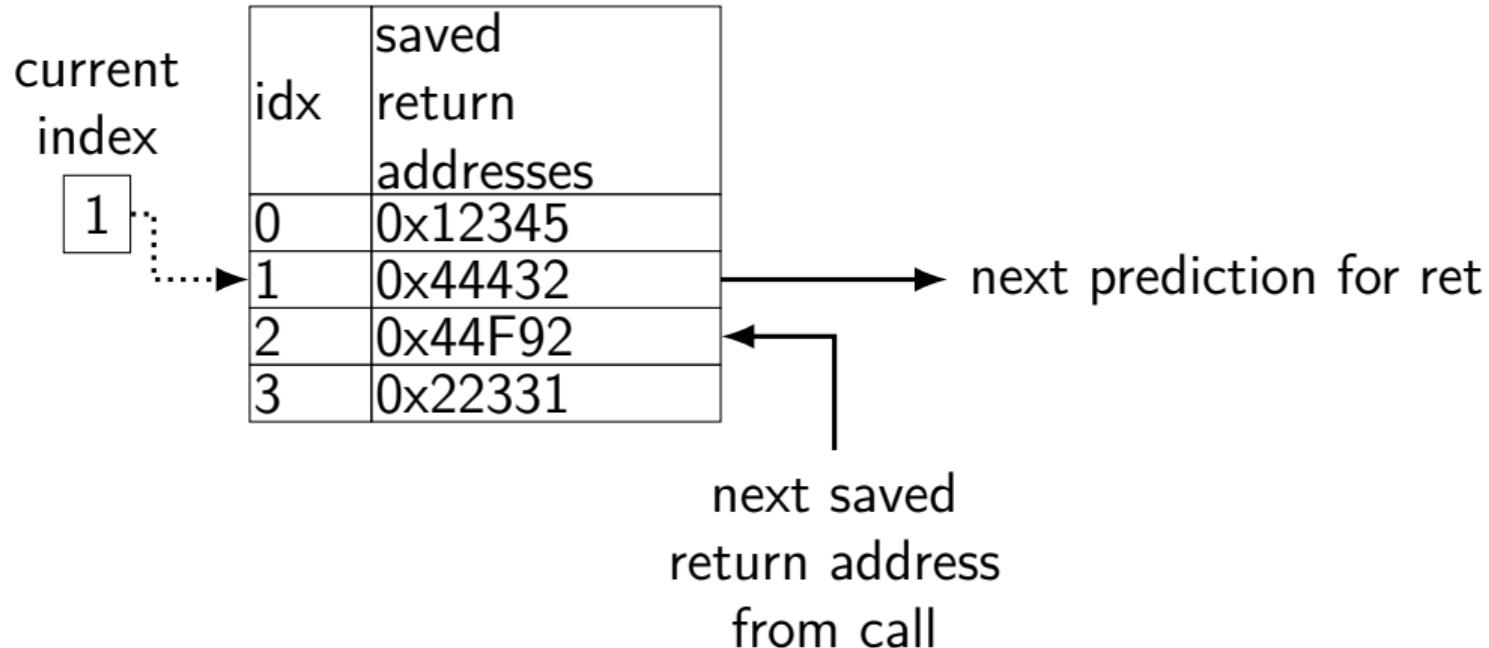
baz return address
bar return address
foo return address

(partial?) stack
in CPU registers

stack in memory

4-entry return address stack

4-entry return address stack in CPU



pipeline with different hazards

example: 4-stage pipeline:

fetch/decode/execute+memory/writeback

	// 4 stage	// 5 stage
addq %rax, %r8	//	// W
subq %rax, %r9	// W	// M
xorq %rax, %r10	// EM	// E
andq %r8, %r11	// D	// D

pipeline with different hazards

example: 4-stage pipeline:

fetch/decode/execute+memory/writeback

	// 4 stage	// 5 stage
addq %rax, %r8	//	// W
subq %rax, %r9	// W	// M
xorq %rax, %r10	// EM	// E
andq %r8, %r11	// D	// D

addq/andq is hazard with 5-stage pipeline

addq/andq is **not** a hazard with 4-stage pipeline

pipeline with different hazards

example: 4-stage pipeline:

fetch/decode/execute+memory/writeback

	// 4 stage	// 5 stage
addq %rax, %r8	//	// W
subq %rax, %r9	// W	// M
xorq %rax, %r10	// EM	// E
andq %r8, %r11	// D	// D

more hazards with more pipeline stages

performance

hypothetical instruction mix

kind	portion	cycles	
		(predict not-taken)	(stall)
taken jXX	3%	3	3
non-taken jXX	5%	1	3
others	92%	1*	1*

performance

hypothetical instruction mix

kind	portion	cycles (predict not-taken)	cycles (stall)
taken jXX	3%	3	3
non-taken jXX	5%	1	3
others	92%	1*	1*

predict: $3 \times .03 + 1 \times .05 + 1 \times .92 =$
1.06 cycles/instr.

stall: $3 \times .03 + 3 \times .05 + 1 \times .92 =$
1.16 cycles/instr. ($1.19 \div$
 $1.09 \approx 1.09\times$ faster)

* — ignoring data hazards

hazards versus dependencies

dependency — X needs result of instruction Y?

has potential for being messed up by pipeline
(since part of X may run before Y finishes)

hazard — will it not work in some pipeline?

before extra work is done to “resolve” hazards
multiple kinds: so far, *data hazards*

ex.: dependencies and hazards (1)

addq %rax, %rbx

subq %rax, %rcx

movq \$100, %rcx

addq %rcx, %r10

addq %rbx, %r10

where are dependencies?

which are hazards in our pipeline?

which are resolved with forwarding?

ex.: dependencies and hazards (1)

addq	%rax,	%rbx
subq	%rax,	%rcx
movq	\$100,	%rcx
addq	%rcx,	%r10
addq	%rbx,	%r10

where are dependencies?

which are hazards in our pipeline?

which are resolved with forwarding?

ex.: dependencies and hazards (1)

addq	%rax,	%rbx
subq	%rax,	%rcx
movq	\$100,	%rcx
addq	%rcx,	%r10
addq	%rbx,	%r10

where are dependencies?

which are hazards in our pipeline?

which are resolved with forwarding?

ex.: dependencies and hazards (1)

addq	%rax,	%rbx
subq	%rax,	%rcx
movq	\$100,	%rcx
addq	%rcx,	%r10
addq	%rbx,	%r10

where are dependencies?
which are hazards in our pipeline?
which are resolved with forwarding?

beyond 1-bit predictor

devote *more space* to storing history

main goal: rare exceptions don't immediately change prediction

example: branch taken 99% of the time

1-bit predictor: wrong about 2% of the time

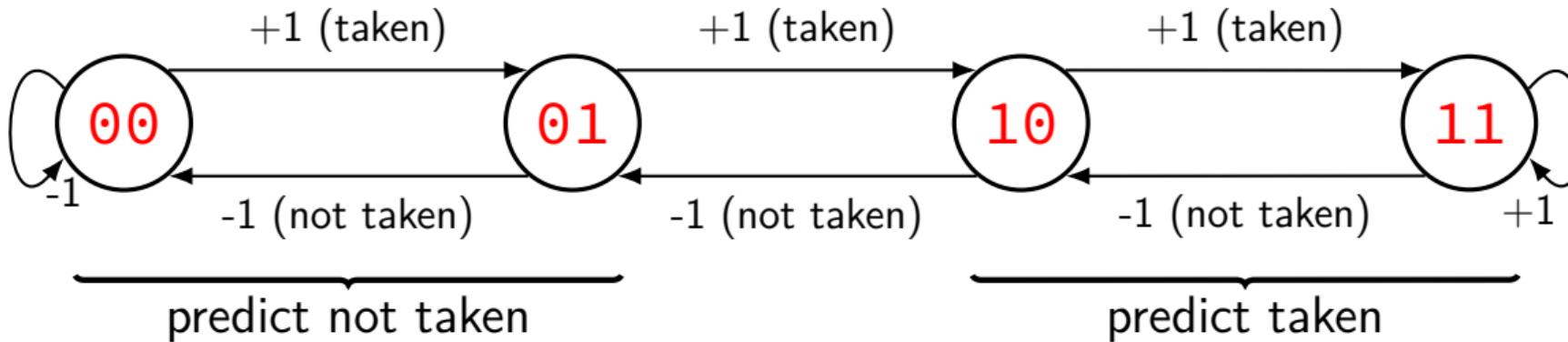
1% when branch not taken

1% of taken branches right after branch not taken

new predictor: wrong about 1% of the time

1% when branch not taken

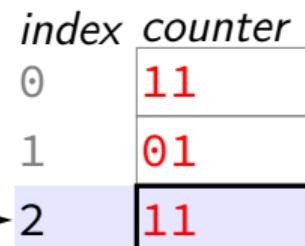
2-bit saturating counter



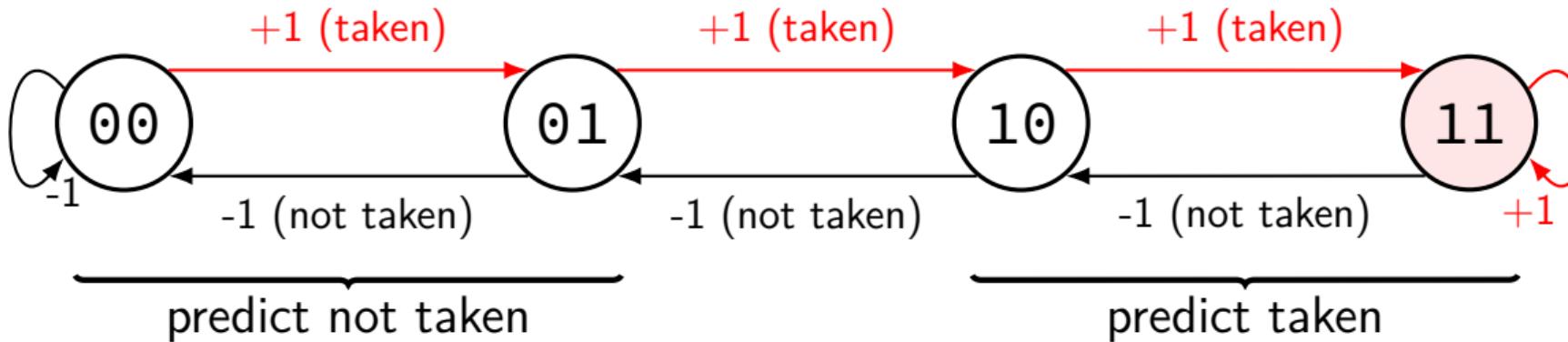
PC of branch

0x40042A

hash function

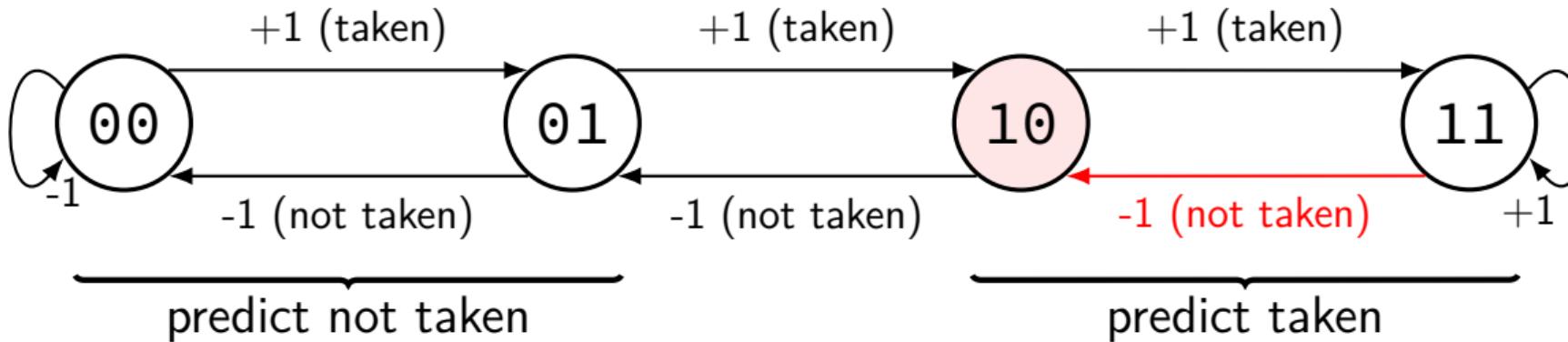


2-bit saturating counter



branch always taken:
value increases to 'strongest' taken value

2-bit saturating counter



branch almost always taken, then not taken once:
still predicted as taken

example

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

iter.	table before	prediction	outcome	table after
1	01	not taken	taken	10
2	10	taken	taken	11
3	11	taken	taken	11
4	11	taken	not taken	10
1	10	taken	taken	11
2	11	taken	taken	11
3	11	taken	taken	11
4	11	taken	not taken	10
1	10	taken	taken	11
...

generalizing saturating counters

2-bit counter: ignore one exception to taken/not taken

3-bit counter: ignore more exceptions

$000 \leftrightarrow 001 \leftrightarrow 010 \leftrightarrow 011 \leftrightarrow 100 \leftrightarrow 101 \leftrightarrow 110 \leftrightarrow 111$

$000-011$: not taken

$100-111$: taken

exercise

use 2-bit predictor on this loop

executed in outer loop (not shown) many, many times

what is the conditional branch misprediction rate?

```
int i = 0;
while (true) {
    if (i % 3 == 0) goto next;
    ...
next:
    i += 1;
    if (i == 50) break;
}
```

exercise soln (1)

i=	branch	predicted	outcome	correct?	
0	mod 3	01 (N)	T		mod 3: correct for i=1,2,4,5,7,8,...,49 (33/50)
1	break	01 (N)	N	✓	mod 3: ends up always predicting not taken
1	mod 3	10 (T)	N		break: correct for i=2,3,...,48
2	break	00 (N)	N	✓	(49/50)
2	mod 3	01 (N)	N	✓	break: ends up always predicting not taken
3	break	00 (N)	N	✓	overall: 82/100
3	mod 3	00 (N)	T		
4	break	00 (N)	N	✓	<pre>int i = 0; while (true) { if (i % 3 == 0) goto next; ... next: i += 1; if (i == 50) break; }</pre>
...	
48	mod 3	00 (N)	T		
49	break	00 (N)	N	✓	
49	mod 3	01 (N)	N	✓	
50	break	00 (N)	T		
0	mod 3	00 (N)	T		
1	break	01 (N)	N		

branch patterns

```
i = 4;  
do {  
    ...  
    i -= 1;  
} while (i != 0);
```

typical pattern for jump to top of do-while above:

TTTN TTTN TTTN TTTN TTTN...(T = taken, N = not taken)

goal: take advantage of recent pattern to make predictions

just saw 'NTTTNT'? predict T next

'TNTTTN'? predict T; 'TTNTTT'? predict N next

local pattern predictor (incomplete)

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

4-iter loop: TTTN TTTN TTTN ...

recent
pattern

0	NNNNNN
1	NNTNTT
2	TTTTNT
3	TTTTTT
...	...
14	NTNTTN
15	NNNTTT

actual outcome
from commit(?) stage

???
convert to
prediction
???

prediction
to fetch stage

local pattern predictor (incomplete)

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

4-iter loop: TTTN TTTN TTTN ...

iter.	pattern tbl before	predicted	outcome	pattern tbl after
1	TTTNNT	???	taken	TTTNTT

idx	recent pattern
0	NNNNNN
1	NNNTNT
2	TTTTNT
3	TTTTTT
...	...
14	NTNTTN
15	NNNTTT

actual outcome
from commit(?) stage

???
convert to
prediction
???

prediction
to fetch stage

local pattern predictor (incomplete)

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

4-iter loop: TTTN TTTN TTTN ...

iter.	pattern tbl before	predicted	outcome	pattern tbl after
1	TTTNNT	???	taken	TTTNTT

idx	recent pattern
0	NNNNNN
1	NNNTNT
2	TTTTNTT
3	TTTTTT
...	...
14	NTNTTN
15	NNNTTT

actual outcome
from commit(?) stage

???
convert to
prediction
???

prediction
to fetch stage

local pattern predictor (incomplete)

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

4-iter loop: TTTN TTTN TTTN ...

iter.	pattern tbl before	predicted	outcome	pattern tbl after
1	TTTNNT	???	taken	TTTNTT
2	TTTNTT	???	taken	TTNTTT

idx	recent pattern
0	NNNNNN
1	NNNTNT
2	TTTTNT
3	TTTTTT
...	...
14	NTNTTN
15	NNNTTT

actual outcome
from commit(?) stage

???
convert to
prediction
???

prediction
to fetch stage

local pattern predictor (incomplete)

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

4-iter loop: TTTN TTTN TTTN ...

iter.	pattern tbl before	predicted	outcome	pattern tbl after
1	TTTNNT	???	taken	TTTNTT
2	TTTNTT	???	taken	TTNTTT
3	TTNTTT	???	taken	TNTTTT

idx	recent pattern
0	NNNNNN
1	NNNTNT
2	TTTTNTTT
3	TTTTTT
...	...
14	NTNTTN
15	NNNTTT

actual outcome
from commit(?) stage

???
convert to
prediction
???

prediction
to fetch stage

local pattern predictor (incomplete)

PC of branch

0x40042A

hash function

0x40041B	movq \$4, %rax
0x400423	...
0x400429	decq %rax
0x40042A	jz 0x400423
0x40042B	...

4-iter loop: TTTN TTTN TTTN ...

iter.	pattern tbl before	predicted	outcome	pattern tbl after
1	TTTNNT	???	taken	TTTNTT
2	TTTNTT	???	taken	TTNTTT
3	TTNTTT	???	taken	TNTTTT
4	TNTTTT	???	not taken	NTTTTN

idx
*recent
pattern*

0	NNNNNN
1	NNNTNT
2	TTTTNTTT
3	TTTTTT
...	...
14	NTNTTN
15	NNNTTT

actual outcome
from commit(?) stage

???
convert to
prediction
???

prediction
to fetch stage

recent pattern to prediction?

easy cases:

just saw TTTTTT: predict T

just saw NNNNNN: predict N

just saw TNTNTN: predict T

hard cases:

TTNTTTT

predict T? loop with many iterations

(NTTTTTTTNTTTTTTTNTTTTT...)

predict T? if statement mostly taken

(TTTTNTTNTTTTTTTNTTTT...)

history of history

PC of branch

0x40042A

hash

<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTN
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10
...	...
TNTT	11
...	...
TTNT	01
TTTN	01
TTTT	11

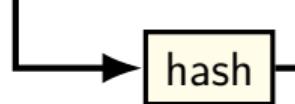
iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT

prediction
to fetch stage

history of history

PC of branch

0x40042A



<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10
...	...
TNTT	11
...	...
TTNT	01
...	...
TTTN	01
TTTT	11

iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT

prediction
to fetch stage

history of history

PC of branch

0x40042A

hash

<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10
...	...
TNTT	11
...	...
TTNT	01
TTTN	01 10
TTTT	11

iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT

prediction
to fetch stage

history of history

PC of branch

0x40042A

hash

<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTNTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10
...	...
TNTT	11
...	...
TTNT	01 10
TTTN	01 10
TTTT	11

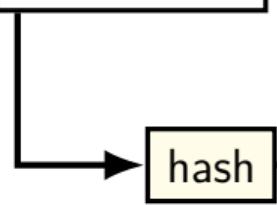
iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT

prediction
to fetch stage

history of history

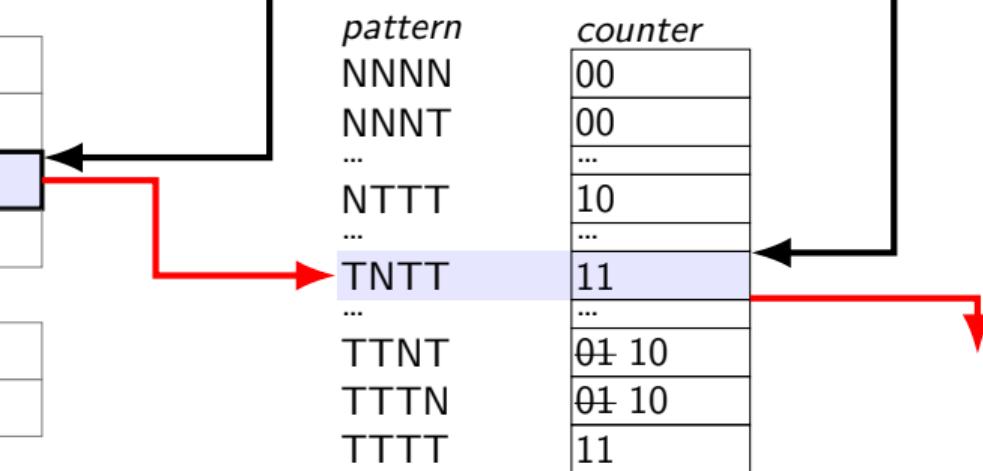
PC of branch

0x40042A



<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage



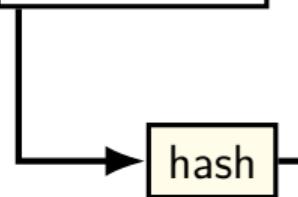
iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT

prediction
to fetch stage

history of history

PC of branch

0x40042A



<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>
NNNN
NNNT
...
NTTT
...
TNTT
...
TTNT
TTTN
TTTT

<i>counter</i>
00
00
...
10
...
11
...
01 10
01 10
11

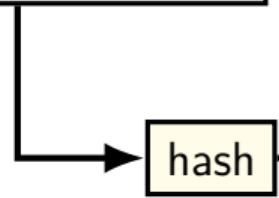
iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT

prediction
to fetch stage

history of history

PC of branch

0x40042A



<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10
...	...
TNTT	11
...	...
TTNT	01 10
TTTN	01 10
TTTT	11

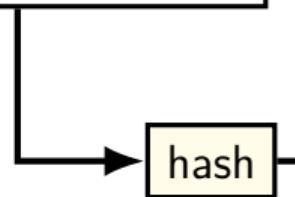
iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT
4	NTTT	01	10	TTTT

prediction
to fetch stage

history of history

PC of branch

0x40042A



<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10 11
...	...
TNTT	11
...	...
TTNT	01 10
TTTN	01 10
TTTT	11

iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT
4	NTTT	01	10	TTTT

prediction
to fetch stage

history of history

PC of branch

0x40042A

hash

<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

pattern

NNNN
NNNT
...
NTTT
...
TNTT
...
TTNT
TTTN
TTTT

counter

00
00
...
10 11
...
11
...
01 10
01 10
11

prediction
to fetch stage

iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT
4	NTTT	01	-	-	10	TTTT

history of history

PC of branch

0x40042A

hash

<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

pattern

NNNN
NNNT
...
NTTT
...
TNTT
...
TTNT
TTTN
TTTT

counter

00
00
...
10 11
...
11
...
01 10
01 10 11
11

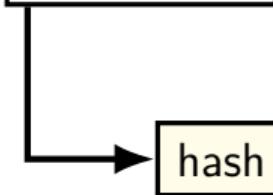
iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT
4	NTTT	01	-	-	10	TTTT

prediction
to fetch stage

history of history

PC of branch

0x40042A



<i>idx</i>	<i>recent pattern</i>
0	NNNN
1	TNTT
2	TTTNTTTT
3	TTTT
...	...
14	NTTN
15	TTTT

actual outcome
from commit(?) stage

<i>pattern</i>	<i>counter</i>
NNNN	00
NNNT	00
...	...
NTTT	10 11
...	...
TNTT	11
...	...
TTNT	01 10
TTTN	01 10 11
TTTT	11

iter.	branch to pat. tbl before	pat. to counter before	predict	actual	pat. to counter after	branch to pat. tbl after
1	TTTN	01	not taken	taken	10	TTNT
2	TTNT	01	not taken	taken	10	TNTT
3	TNTT	11	taken	taken	11	NTTT
4	NTTT	01	-	-	10	TTTT

prediction
to fetch stage

local patterns and collisions (1)

```
i = 10000;  
do {  
    p = malloc(...);  
    if (p == NULL) goto error; // BRANCH 1  
    ...  
} while (i-- != 0); // BRANCH 2
```

what if branch 1 and branch 2 hash to same table entry?

local patterns and collisions (1)

```
i = 10000;  
do {  
    p = malloc(...);  
    if (p == NULL) goto error; // BRANCH 1  
    ...  
} while (i-- != 0); // BRANCH 2
```

what if branch 1 and branch 2 hash to same table entry?

pattern: TNTNTNTNTNTNTNTNT...

actually no problem to predict!

local patterns and collisions (2)

```
i = 10000;  
do {  
    if (i % 2 == 0) goto skip; // BRANCH 1  
    ...  
    p = malloc(...);  
    if (p == NULL) goto error; // BRANCH 2  
skip: ...  
} while (i-- != 0); // BRANCH 3
```

what if branch 1 and branch 2 and branch 3 hash to same table entry?

local patterns and collisions (2)

```
i = 10000;  
do {  
    if (i % 2 == 0) goto skip; // BRANCH 1  
    ...  
    p = malloc(...);  
    if (p == NULL) goto error; // BRANCH 2  
skip: ...  
} while (i-- != 0); // BRANCH 3
```

what if branch 1 and branch 2 and branch 3 hash to same table entry?

pattern: TTNNTTNNNTTNNNTTNNNTT

also no problem to predict!

local patterns and collisions (3)

```
i = 10000;  
do {  
    if (A) goto one // BRANCH 1  
    ...  
one:  
    if (B) goto two // BRANCH 2  
    ...  
two:  
    if (A or B) goto three // BRANCH 3  
    ...  
    if (A and B) goto three // BRANCH 4  
    ...  
three:  
    ... // changes A, B  
} while (i-- != 0);
```

what if branch 1-4 hash to same table entry?

better for prediction of branch 3 and 4

global history predictor: idea

one predictor idea: ignore the PC

just record taken/not-taken pattern for all branches

lookup in big table like for local patterns

global history predictor (1)

branch history register



outcome
from
commit(?)

pat *counter*

NNNN	00
NNNT	00
...	...
NTTT	10
TNNN	01
TNNT	10
TNTN	11
...	...
TTTN	10
TTTT	11

prediction
to fetch stage

global history predictor (1)

```
i = 10000;
do {
    if (i % 2 == 0) goto skip;
    ...
    if (p == NULL) goto error;
skip:
    ...
} while (i-- != 0);
```

branch history register



pat	counter	outcome from commit(?)
NNNN	00	
NNNT	00	
...	...	
NTTT	10	
TNNN	01	
TNNT	10	
TNTN	11	
...	...	
TTTN	10	
TTTT	11	

prediction to fetch stage

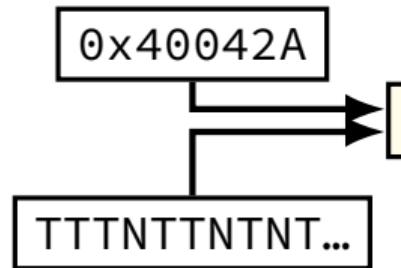
iter./branch	history before	counter before	predict	outcome	counter after	history after
0/mod 2	NTTT	10	taken	taken	11	TTTT
0/loop	TTTT			taken		TTTT
1/mod 2	TTTT			not taken		TTTN
1/error	TTTN			not taken		TTNN
1/loop	TNNT			taken		NNTT

correlating predictor

global history *and* local info good together

one idea: **combine history register + PC ("gshare")**

PC of branch



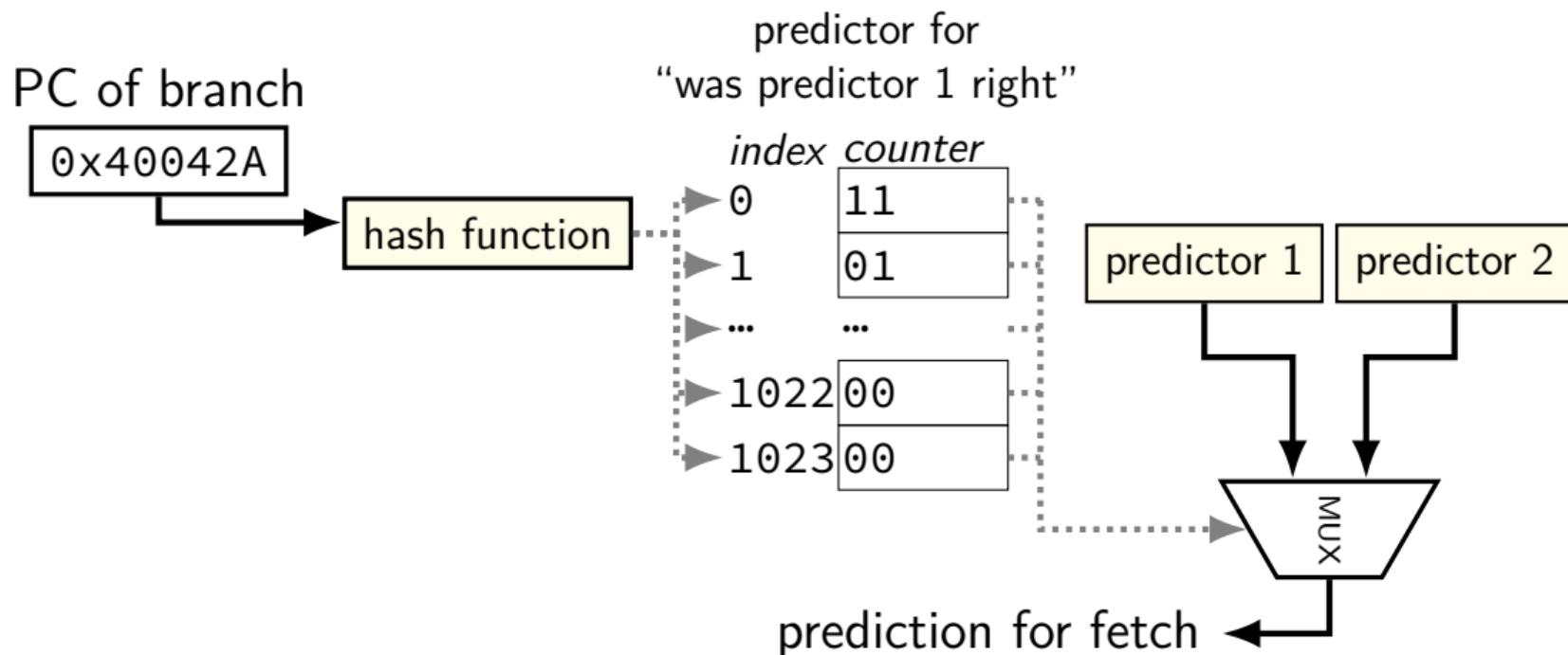
branch history register

index	counter
0	11
1	01
2	11
...	...
1021	10
1022	00
1023	00

mixing predictors

different predictors good at different times

one idea: have two predictors, + predictor to predict which is right



loop count predictors (1)

```
for (int i = 0; i < 64; ++i)  
    ...
```

can we predict this perfectly with predictors we've seen

yes — local or global history with 64 entries

but this is very important — more efficient way?

loop count predictors (2)

loop count predictor idea: look for NNNNNNNT+repeat (or TTTTTTN+repeat)

track for each possible loop branch:

- how many repeated Ns (or Ts) so far

- how many repeated Ns (or Ts) last time before one T (or N)

- something to indicate this pattern is useful?

known to be used on Intel

benchmark results

from 1993 paper

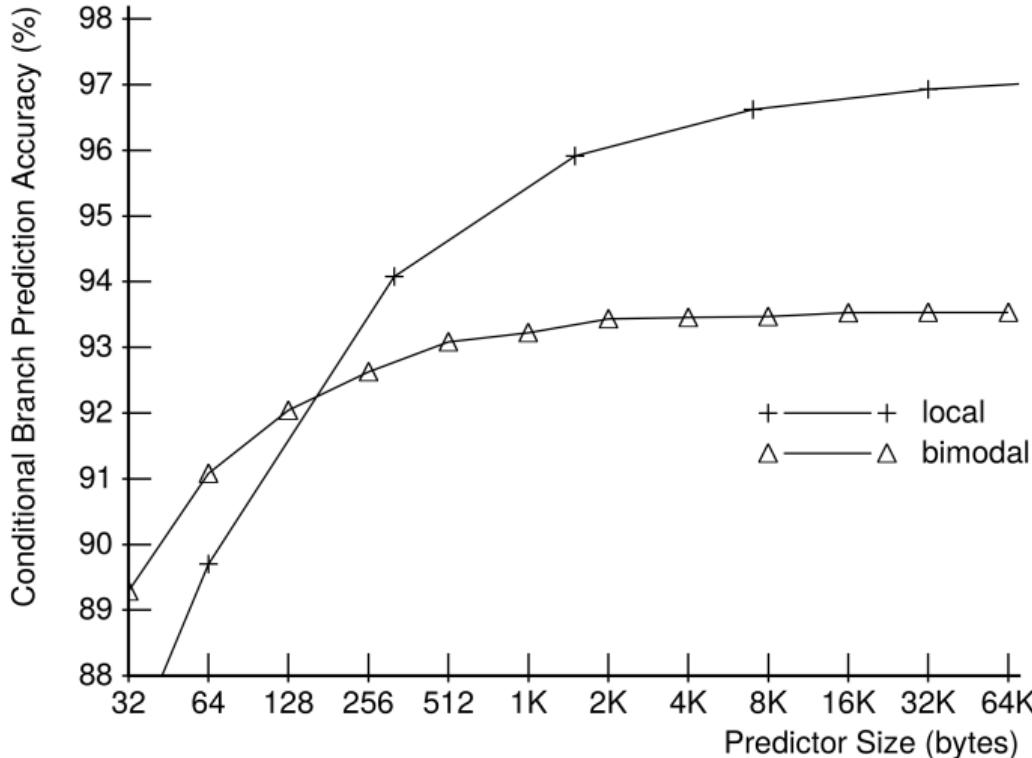
(not representative of modern workloads?)

rate for conditional branches on benchmark

variable table sizes

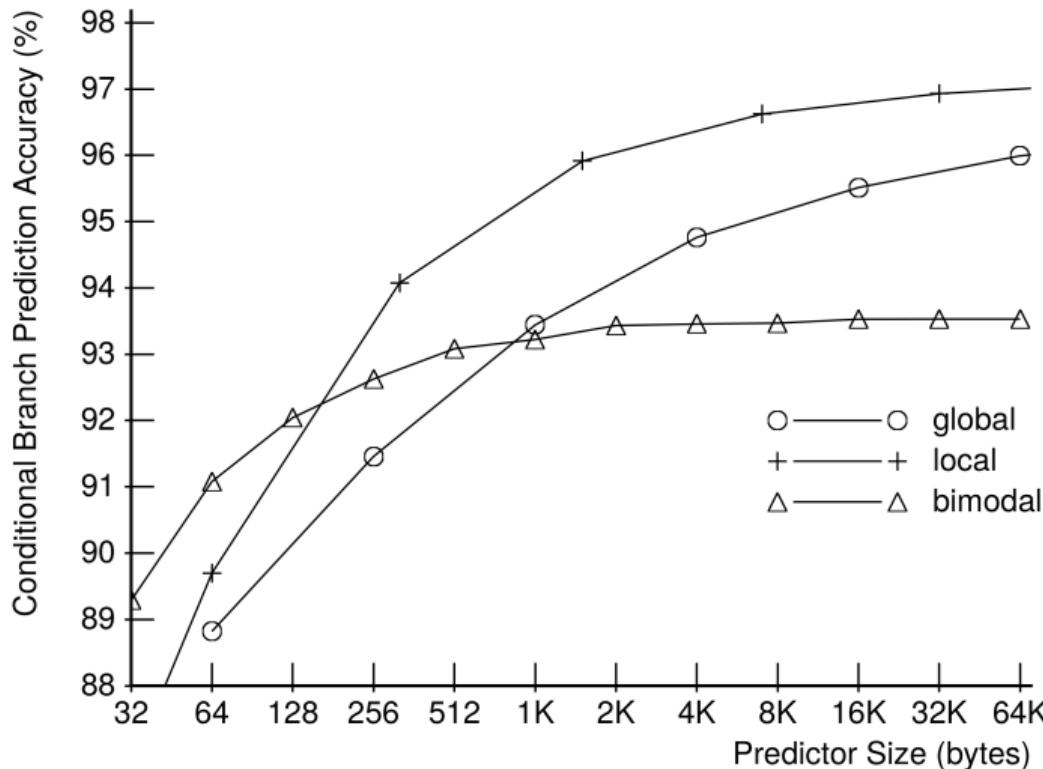
2-bit ctr + local history

from McFarling, "Combining Branch Predictors" (1993)



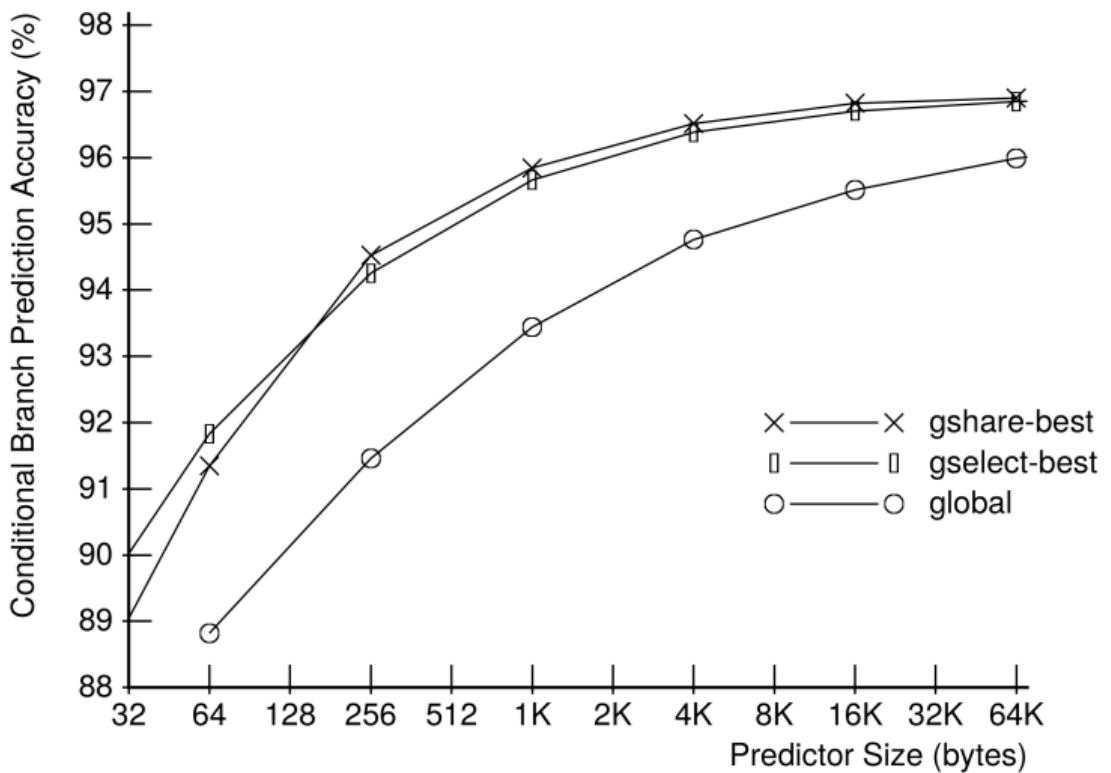
2-bit (bimodal) + local + global hist

from McFarling, "Combining Branch Predictors" (1993)



global + hash(global+PC) (gshare/gselect)

from McFarling, "Combining Branch Predictors" (1993)



real BP?

details of modern CPU's branch predictors often not public
but...

Google Project Zero blog post with reverse engineered details

<https://googleprojectzero.blogspot.com/2018/01/reading-privileged-memory-with-side.html>

for RE'd BTB size:

<https://xania.org/201602/haswell-and-ivy-btb>

reverse engineering Haswell BPs

branch target buffer

- 4-way, 4096 entries

- ignores bottom 4 bits of PC?

- hashes PC to index by shifting + XOR

- seems to store 32 bit offset from PC (not all 48+ bits of virtual addr)

indirect branch predictor

- like the global history + PC predictor we showed, but...

- uses history of recent branch addresses instead of taken/not taken

- keeps some info about last 29 branches

what about conditional branches??? loops???

- couldn't find a reasonable source

exercise: static prediction

```
.global foo
foo:
    xor %eax, %eax // eax <- 0
foo_loop_top:
    test $0x1, %edi
    je foo_loop_bottom // if (edi & 1 == 0) goto for_loop_bottom
    add %edi, %eax
foo_loop_bottom:
    dec %edi           // edi = edi - 1
    jg for_loop_top // if (edi > 0) goto for_loop_top
    ret
```

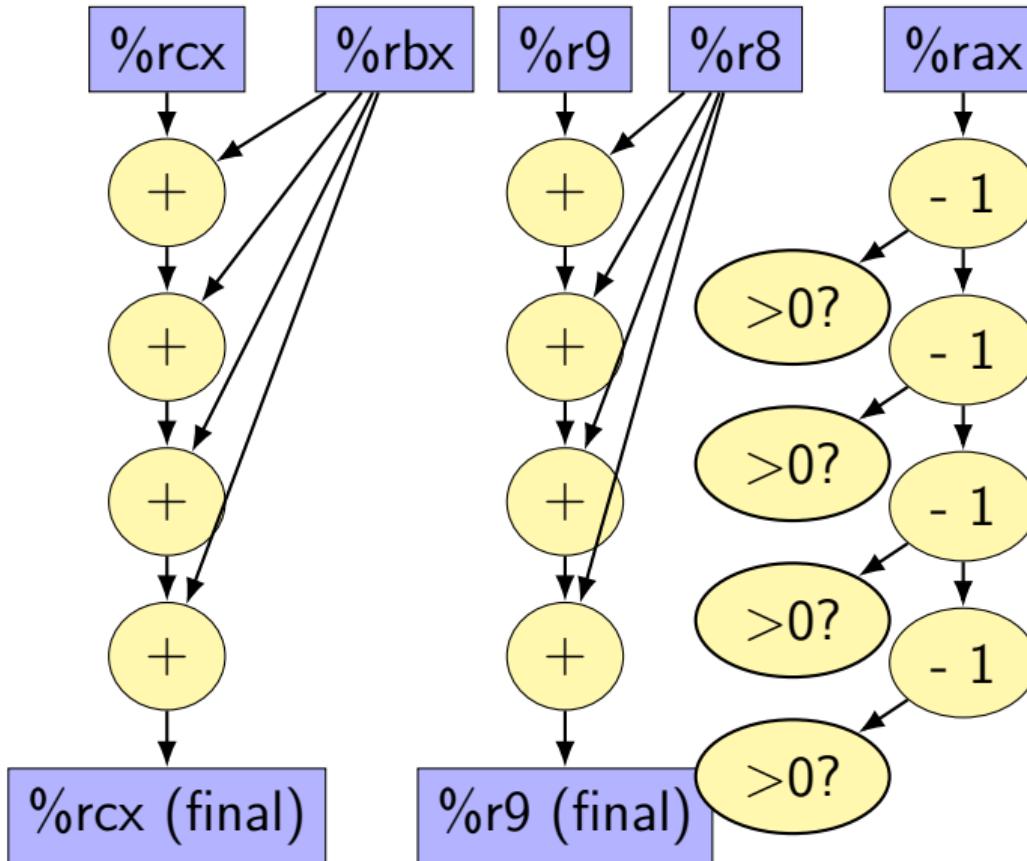
suppose $\%edi = 3$ (initially)

and using forward-not-taken, backwards-taken strategy:

how many mispredictions for je? for jg?

backup slides

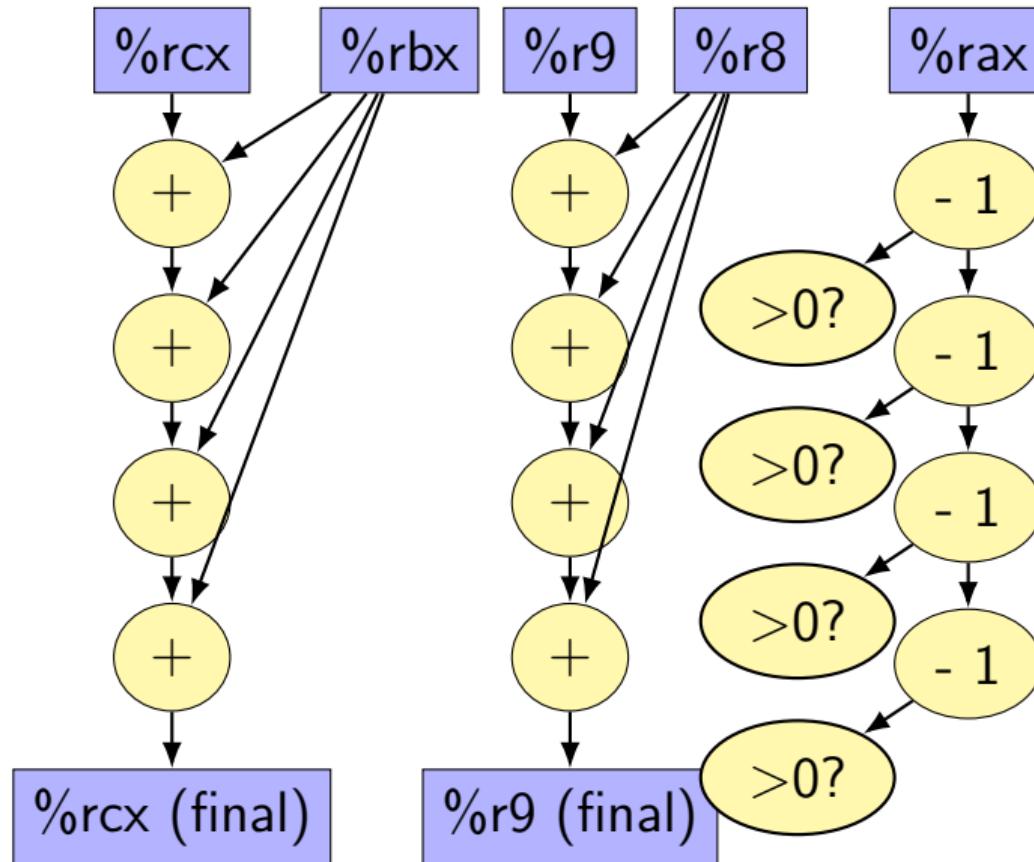
data flow model and limits (1)



loop2:

```
addq %rbx, %rcx\naddq %r8, %r9\ndecq %rax\njge loop2
```

data flow model and limits (1)



each yellow box = instruction

arrows = dependences

instructions only executed
when dependencies ready

reassociation

with pipelined, 5-cycle latency multiplier; how long does each take to compute?

$$((a \times b) \times c) \times d$$

```
imulq %rbx, %rax  
imulq %rcx, %rax  
imulq %rdx, %rax
```

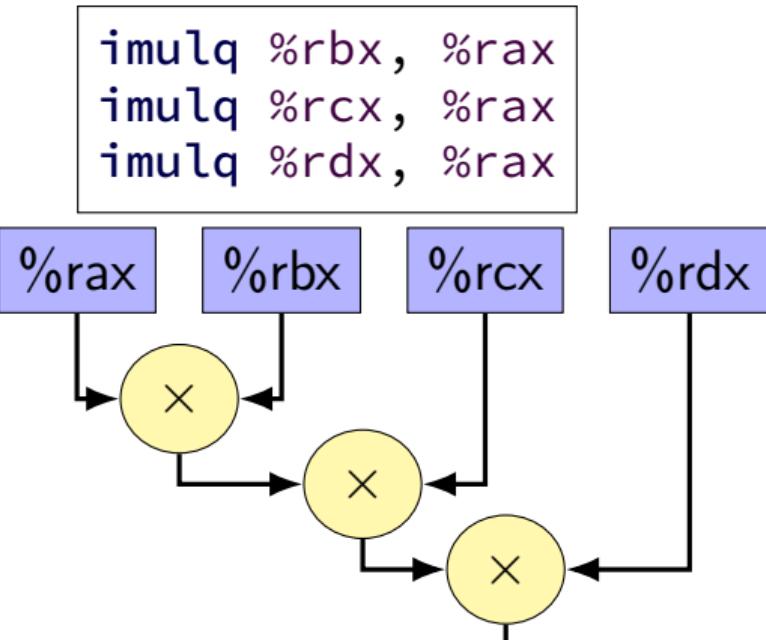
$$(a \times b) \times (c \times d)$$

```
imulq %rbx, %rax  
imulq %rcx, %rdx  
imulq %rdx, %rax
```

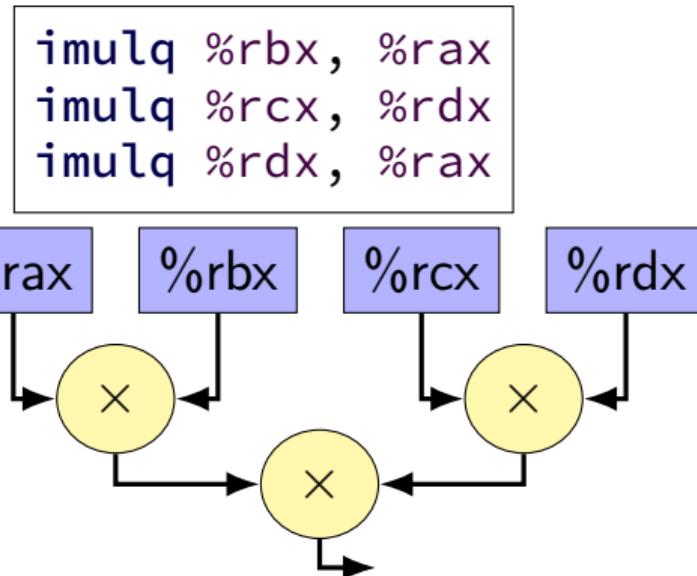
reassociation

with pipelined, 5-cycle latency multiplier; how long does each take to compute?

$$((a \times b) \times c) \times d$$



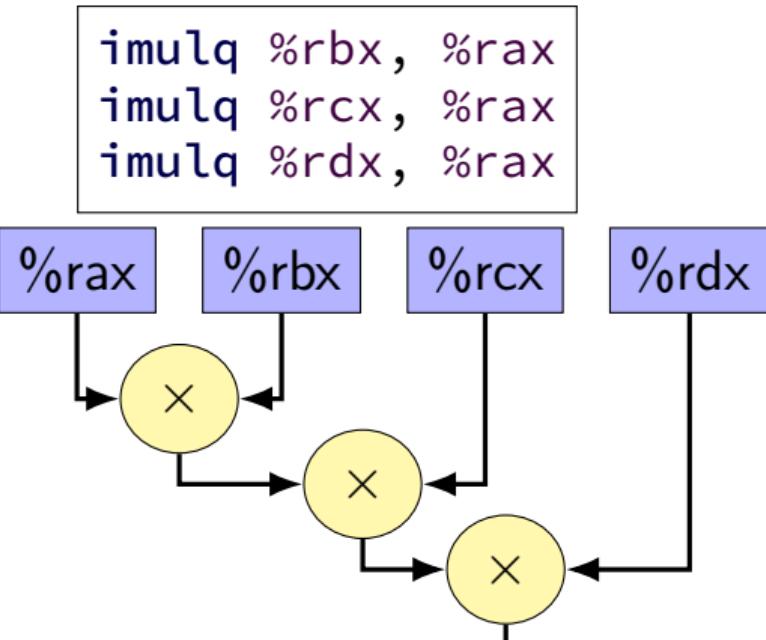
$$(a \times b) \times (c \times d)$$



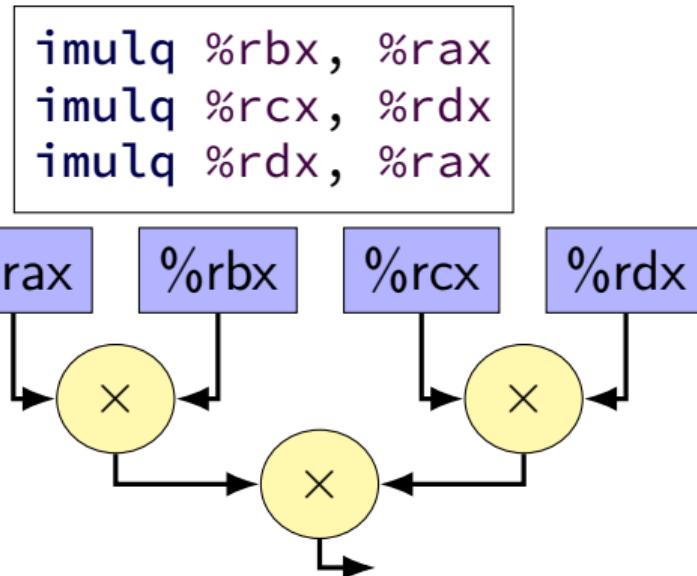
reassociation

with pipelined, 5-cycle latency multiplier; how long does each take to compute?

$$((a \times b) \times c) \times d$$



$$(a \times b) \times (c \times d)$$



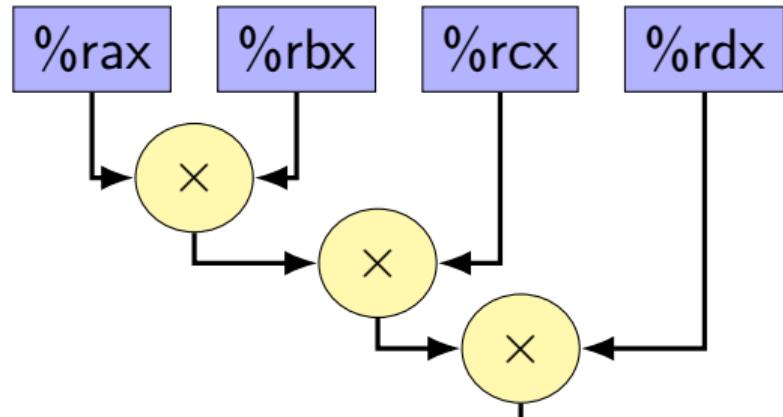
reassociation

with pipelined, 5-cycle latency multiplier; how long does each take to compute?

$$((a \times b) \times c) \times d$$

```
imulq %rbx, %rax  
imulq %rcx, %rax  
imulq %rdx, %rax
```

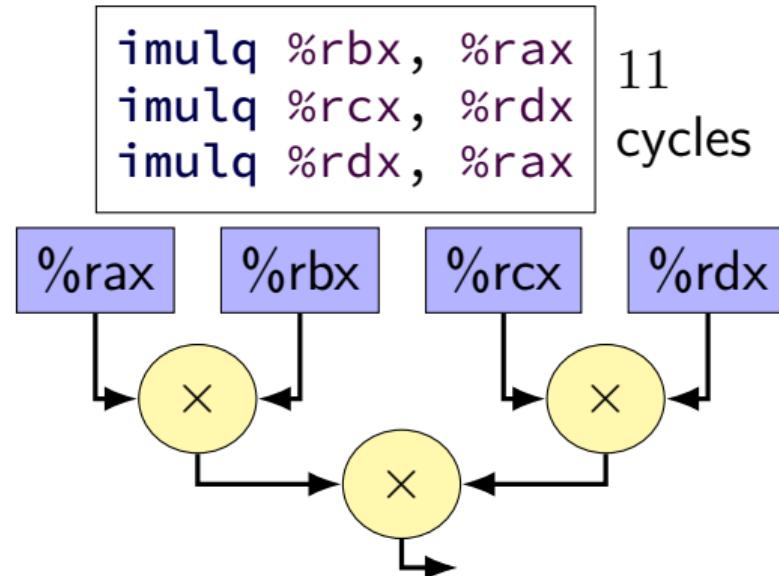
15
cycles



$$(a \times b) \times (c \times d)$$

```
imulq %rbx, %rax  
imulq %rcx, %rdx  
imulq %rdx, %rax
```

11
cycles

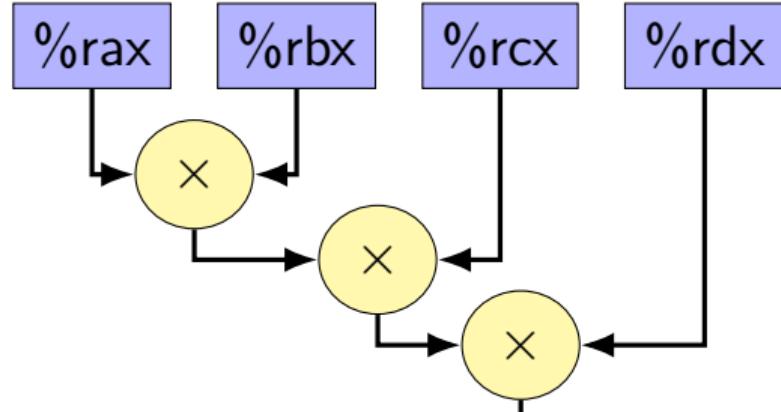


reassociation

with pipelined, 5-cycle latency multiplier; how long does each take to compute?

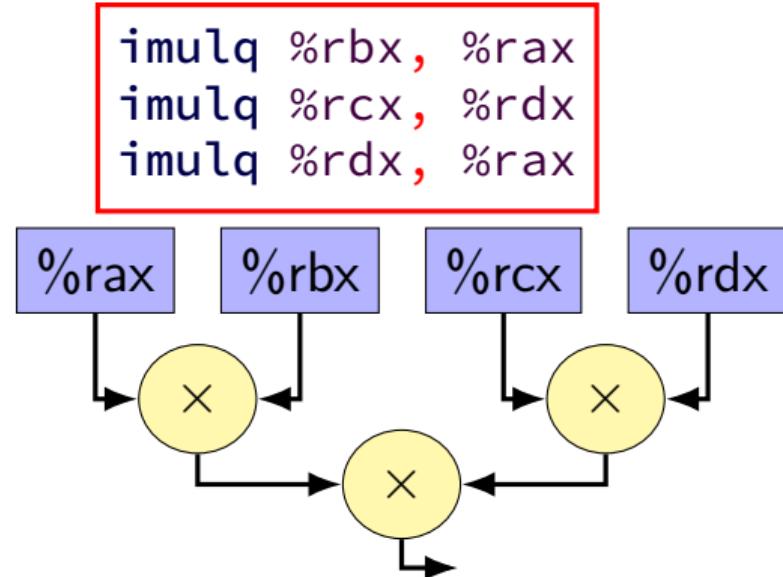
$$((a \times b) \times c) \times d$$

```
imulq %rbx, %rax  
imulq %rcx, %rax  
imulq %rdx, %rax
```



$$(a \times b) \times (c \times d)$$

```
imulq %rbx, %rax  
imulq %rcx, %rdx  
imulq %rdx, %rax
```



Intel Skylake OOO design

2015 Intel design — codename ‘Skylake’

94-entry instruction queue-equivalent

168 physical integer registers

168 physical floating point registers

4 ALU functional units

but some can handle more/different types of operations than others

2 load functional units

but pipelined: supports multiple pending cache misses in parallel

1 store functional unit

224-entry reorder buffer

indirect branch prediction

`jmp *%rax or jmp *(%rax, %rcx, 8)`

BTB can provide a prediction

but can do better with more context

example—predict based on other recent computed jumps
good for polymorphic method calls

table lookup with Hash(last few jmps)
instead of Hash(this jmp)

an OOO pipeline diagram

	cycle #	0	1	2	3	4	5	6	7	8	9	10	11
addq %r01, %r05		F	D	R	I	E	W	C					
addq %r02, %r05		F	D	R		I	E	W	C				
addq %r03, %r04		F	D	R	I	E	W	C					
cmpq %r04, %r08		F	D	R		I	E	W	C				
jne ...		F	D	R		I	E	W	C				
addq %r01, %r05		F	D	R	I	E	W		C				
addq %r02, %r05		F	D	R	I	E	W		C				
addq %r03, %r04		F	D	R		I	E	W	C				
cmpq %r04, %r08		F	D	R		I	E	W	C				

reorder buffer: on rename

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07
...	...

free list

%x19
%x23
...
...

reorder buffer: on rename

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07
...	...

free list

%x19
%x23
...
...

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x23		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31		
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34		
19	0x1249	%rax / %x38		
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		

reorder buffer contains instructions started,
but not fully finished new entries created on rename

reorder buffer: on rename

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07
...	...

free list

%x19
%x23
...
...

remove
here →
on commit

add here
on rename

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x23		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31		
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34		
19	0x1249	%rax / %x38		
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		

place newly started instruction at end of buffer
remember at least its destination register

reorder buffer: on rename

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x23
...
...

remove here
on commit

add here
on rename

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x23		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31		
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34		
19	0x1249	%rax / %x38		
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		
32	0x1230	%rdx / %x19		

next renamed instruction goes in next slot, etc.

reorder buffer: on rename

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x23
...
...

remove here
on commit

add here
on rename

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x23		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31		
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34		
19	0x1249	%rax / %x38		
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		
32	0x1230	%rdx / %x19		

reorder buffer: on commit

arch → phys. reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x13
...
...

remove
here →
on commit

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31		
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34		
19	0x1249	%rax / %x38		
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		

reorder buffer: on commit

arch → phys. reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x13
...
...

remove
here →
on commit

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		✓

instructions marked done in reorder buffer when computed but not removed ('committed') yet

reorder buffer: on commit

arch → phys. reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x13
...
...

arch → phys reg
for committed

arch.	phys.
reg	reg
%rax	%x30
%rcx	%x28
%rbx	%x23
%rdx	%x21
...	...

remove
here →
on commit

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24		
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		✓

commit stage tracks architectural to physical register map
for committed instructions

reorder buffer: on commit

arch → phys. reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x13
...
%x23

arch → phys reg
for committed

arch.	phys.
reg	reg
%rax	%x30
%rcx	%x28
%rbx	%x23 %x24
%rdx	%x21
...	...

remove
here →
on commit

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24	✓	
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		✓
32	0x1230	%rdx / %x19		

when next-to-commit instruction is done
update this register map and free register list
and remove instr. from reorder buffer

reorder buffer: on commit

arch → phys. reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x07 %x19
...	...

free list

%x19
%x13
...
%x23

arch → phys reg remove here
when committed

arch.	phys.
reg	reg
%rax	%x30
%rcx	%x28
%rbx	%x23 %x24
%rdx	%x21
...	...

when next-to-commit instruction is done
update this register map and free register list
and remove instr. from reorder buffer

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24	✓	
15	0x1239	%rax / %x30		
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32		
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC		
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12		✓
32	0x1230	%rdx / %x19		

reorder buffer: commit mispredict (one way)

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x19
...	...

arch → phys reg
for committed

arch.	phys.
reg	reg
%rax	%x30 %x38
%rcx	%x31 %x32
%rbx	%x23 %x24
%rdx	%x21 %x34
...	...

free list

%x19
%x13
...
...

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24	✓	
15	0x1239	%rax / %x30	✓	
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32	✓	
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC	✓	✓
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12	✓	
32	0x1230	%rdx / %x19		



reorder buffer: commit mispredict (one way)

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x12
%rcx	%x17
%rbx	%x13
%rdx	%x19
...	...

arch → phys reg
for committed

arch.	phys.
reg	reg
%rax	%x30 %x38
%rcx	%x31 %x32
%rbx	%x23 %x24
%rdx	%x21 %x34
...	...

free list

%x19
%x13
...
...

when committing a mispredicted instruction...
this is where we undo mispredicted instructions

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24	✓	
15	0x1239	%rax / %x30	✓	
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32	✓	
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC	✓	✓
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12	✓	
32	0x1230	%rdx / %x19		



reorder buffer: commit mispredict (one way)

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x38
%rcx	%x32
%rbx	%x24
%rdx	%x34
...	...

arch → phys reg
for committed

arch.	phys.
reg	reg
%rax	%x30 %x38
%rcx	%x31 %x32
%rbx	%x23 %x24
%rdx	%x21 %x34
...	...

free list

%x19
%x13
...
...

copy commit register map into rename register map
so we can start fetching from the correct PC

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24	✓	
15	0x1239	%rax / %x30	✓	
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32	✓	
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC	✓	✓
21	0x1260	%rcx / %x17		
...
31	0x129f	%rax / %x12	✓	
32	0x1230	%rdx / %x19		

reorder buffer: commit mispredict (one way)

arch → phys reg
for new instrs

arch.	phys.
reg	reg
%rax	%x38
%rcx	%x32
%rbx	%x24
%rdx	%x34
...	...

arch → phys reg
for committed

arch.	phys.
reg	reg
%rax	%x30 %x38
%rcx	%x31 %x32
%rbx	%x23 %x24
%rdx	%x21 %x34
...	...

free list

%x19
%x13
...
...

...and discard all the mispredicted instructions
(without committing them)

reorder buffer (ROB)

instr num.	PC	dest. reg	done?	mispred? / except?
14	0x1233	%rbx / %x24	✓	
15	0x1239	%rax / %x30	✓	
16	0x1242	%rcx / %x31	✓	
17	0x1244	%rcx / %x32	✓	
18	0x1248	%rdx / %x34	✓	
19	0x1249	%rax / %x38	✓	
20	0x1254	PC	✓	✓
21	0x1260	%rcx / %x17		
...		
31	0x129f	%rax / %x12	✓	
32	0x1230	%rdx / %x10		

better? alternatives

can take snapshots of register map on each branch

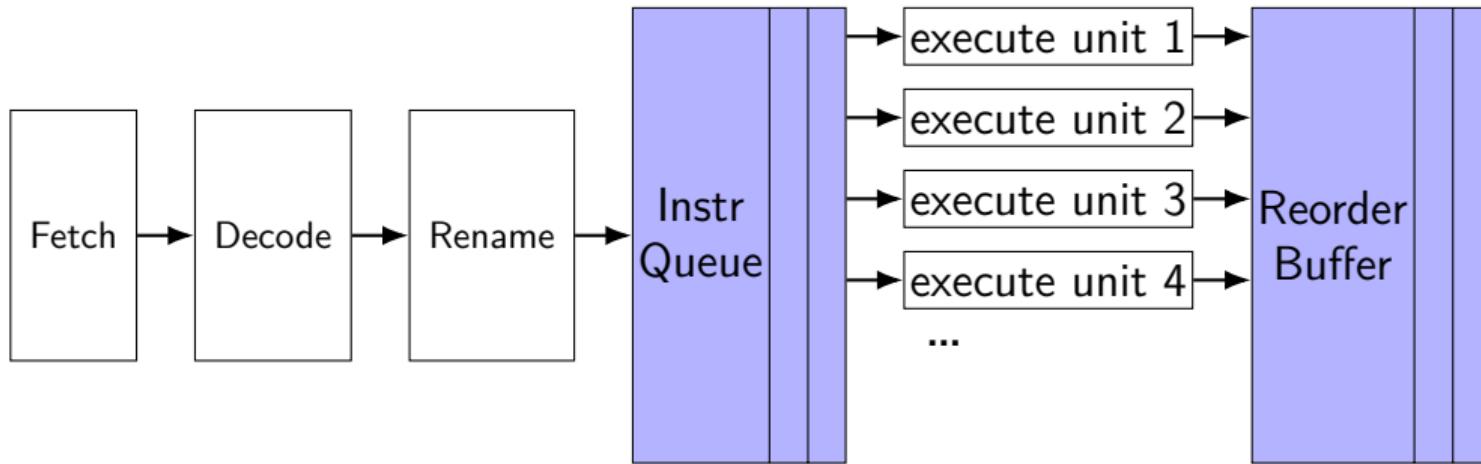
- don't need to reconstruct the table
 - (but how to efficiently store them)

can reconstruct register map before we commit the branch instruction

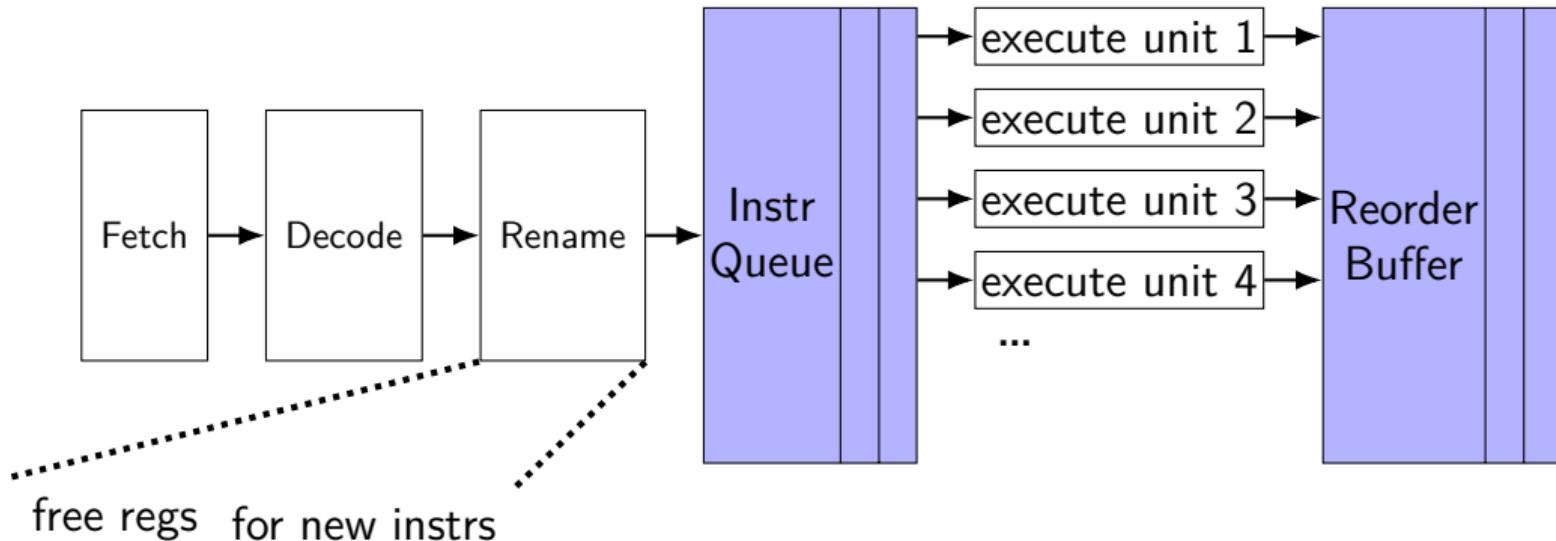
- need to let reorder buffer be accessed even more?

can track more/different information in reorder buffer

exceptions and OOO (one strategy)



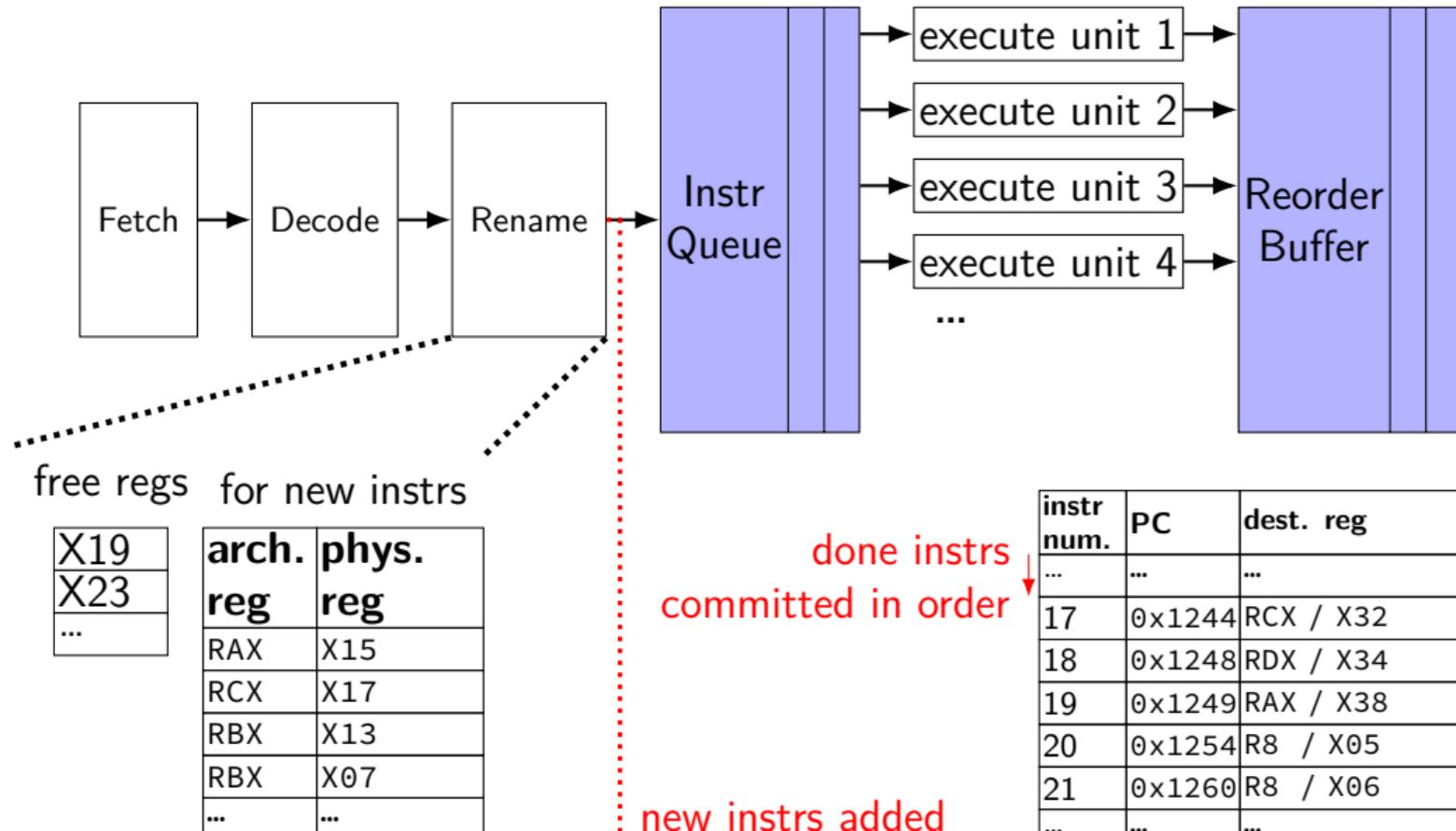
exceptions and OOO (one strategy)



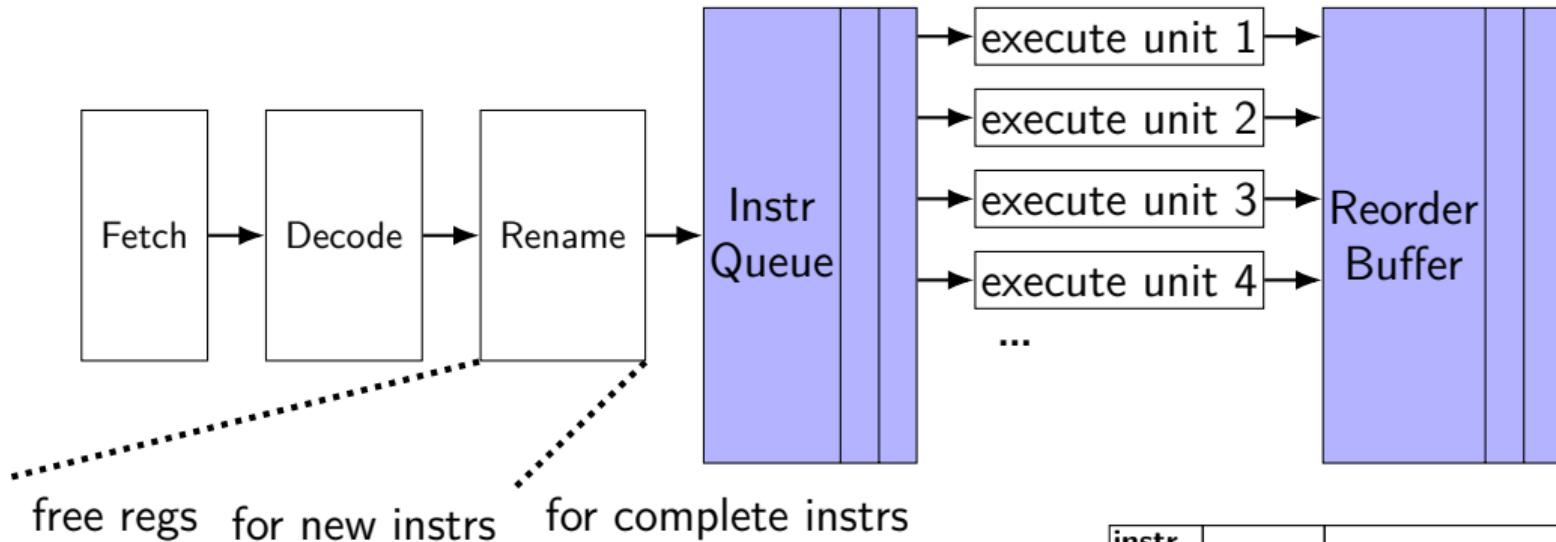
X19
X23
...

arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

exceptions and OOO (one strategy)



exceptions and OOO (one strategy)



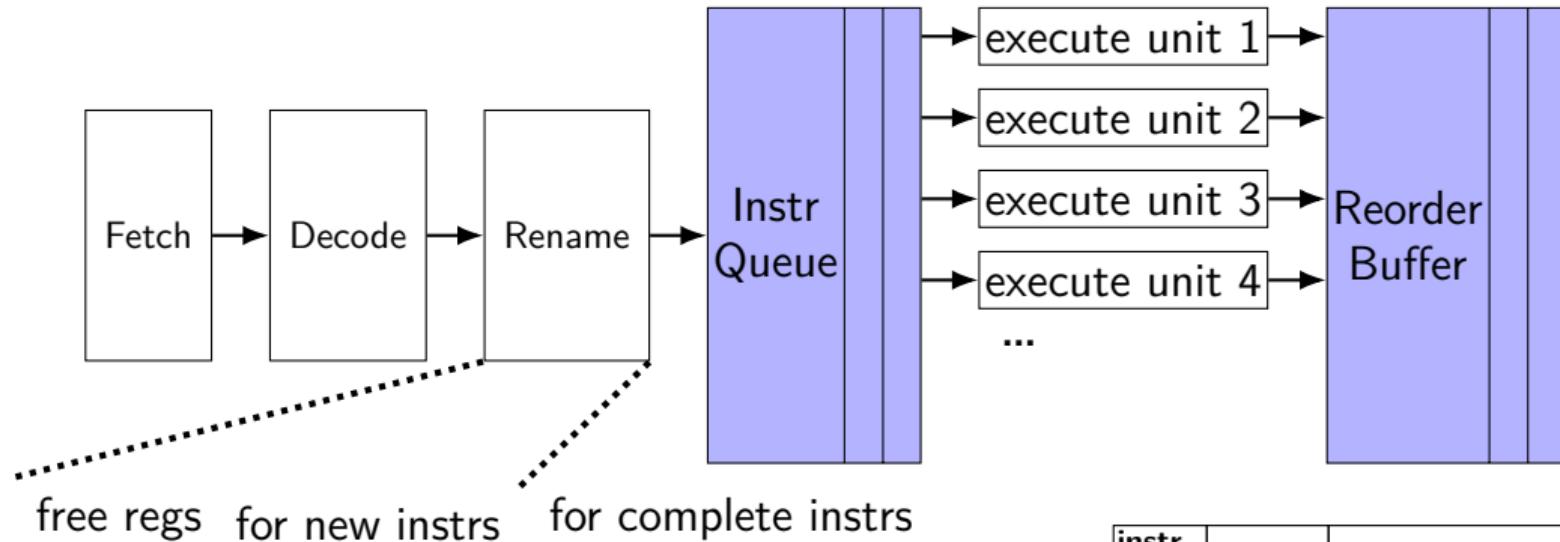
X19
X23
...
RAX
X15

arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

arch. reg	phys. reg
RAX	X21
RCX	X2 X32
RBX	X48
RDX	X37
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34		
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05		
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



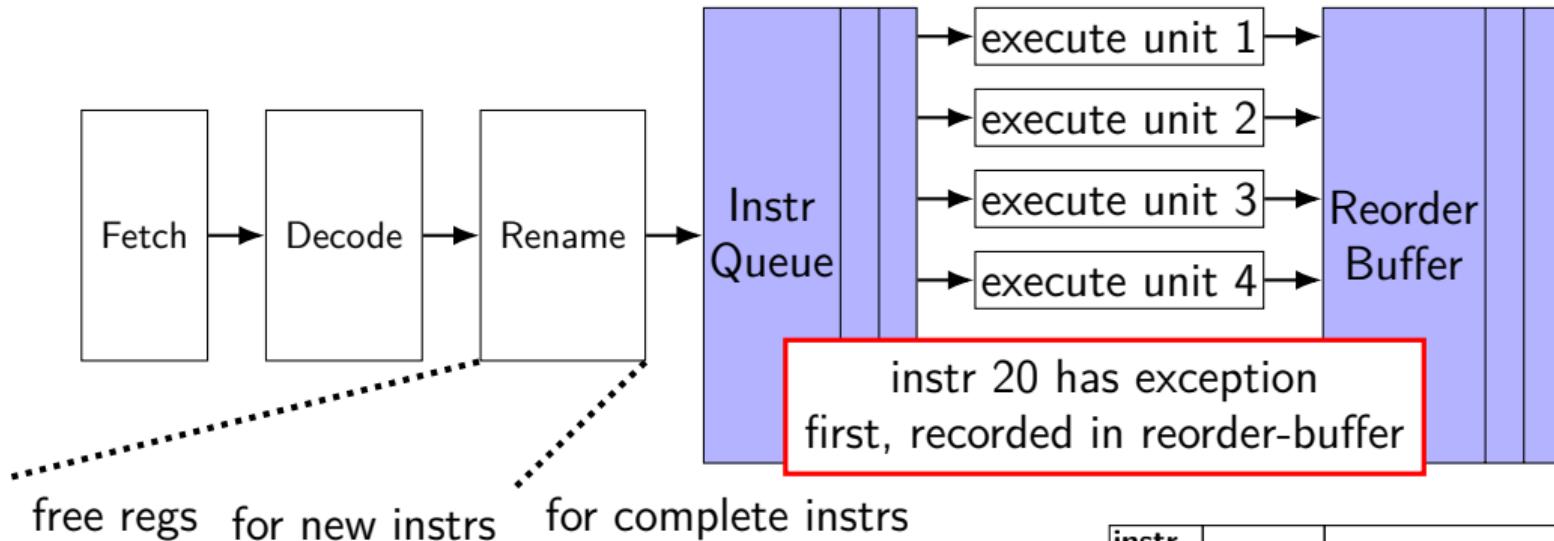
X19
X23
...

arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

arch. reg	phys. reg
RAX	X21
RCX	X2 X32
RBX	X48
RDX	X37
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34		
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05		
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



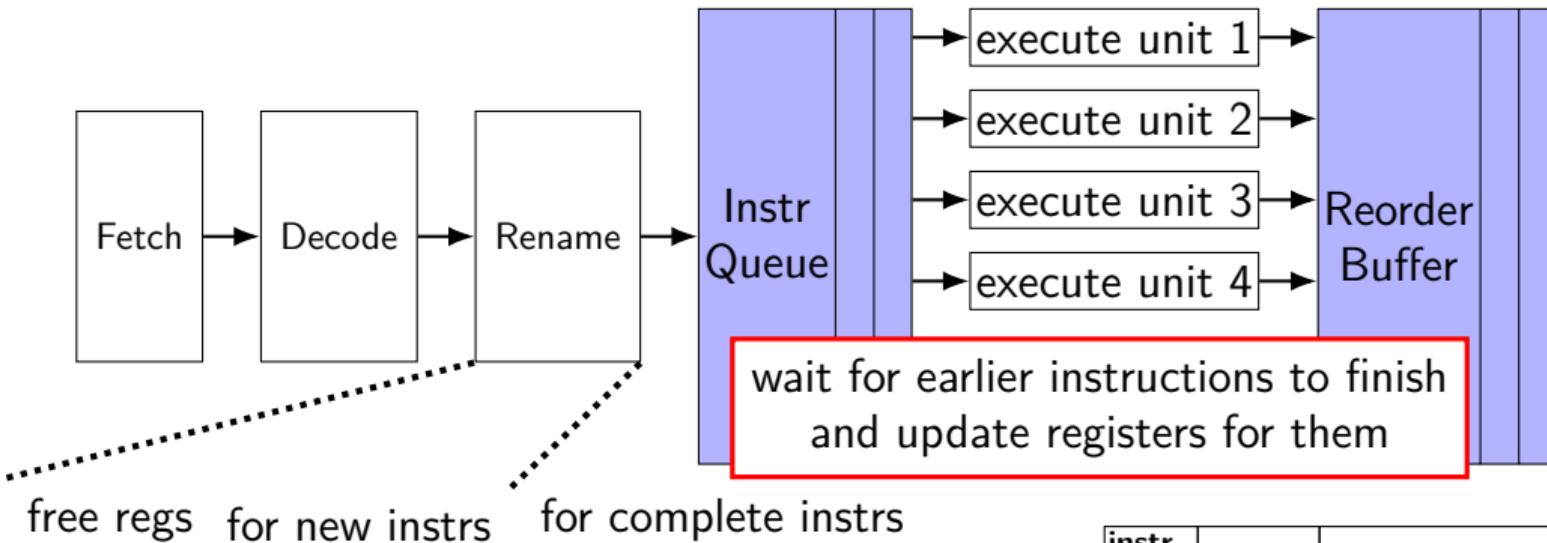
X19
X23
...

arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

arch. reg	phys. reg
RAX	X21
RCX	X2 X32
RBX	X48
RDX	X37
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34		
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05	✓	✓
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



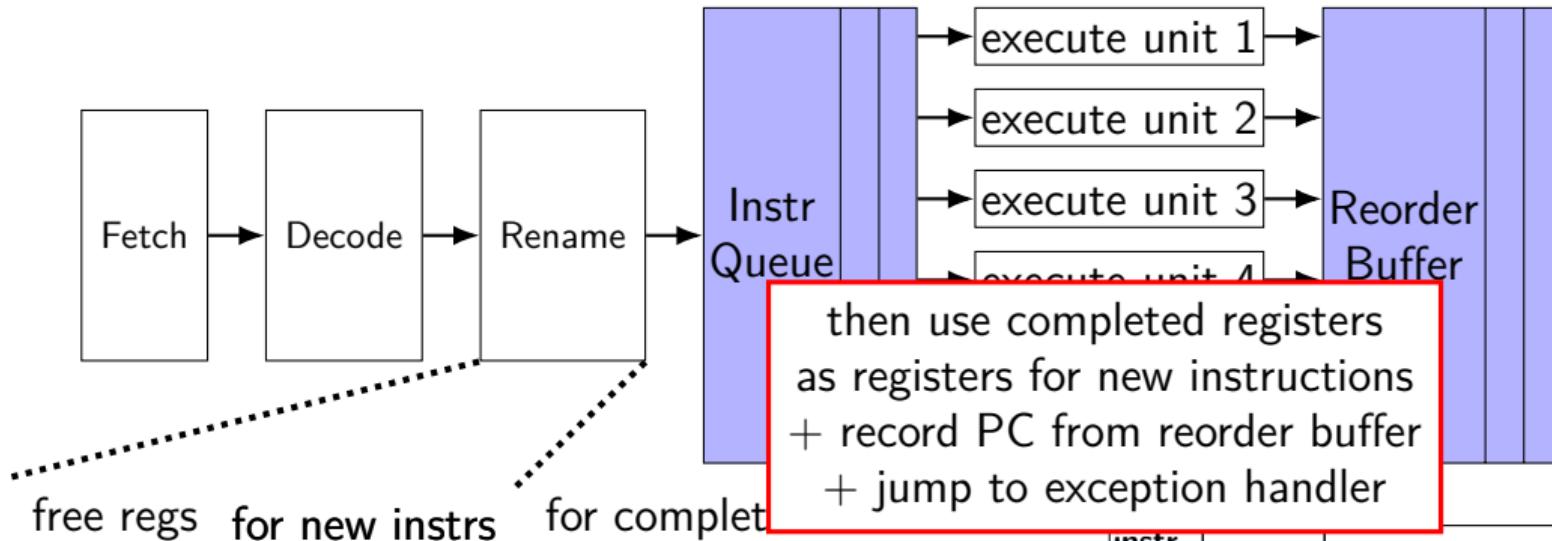
X19
X23
...

arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

arch. reg	phys. reg
RAX	X21 X38
RCX	X2 X32
RBX	X48
RDX	X37 X34
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34	✓	
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05	✓	✓
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



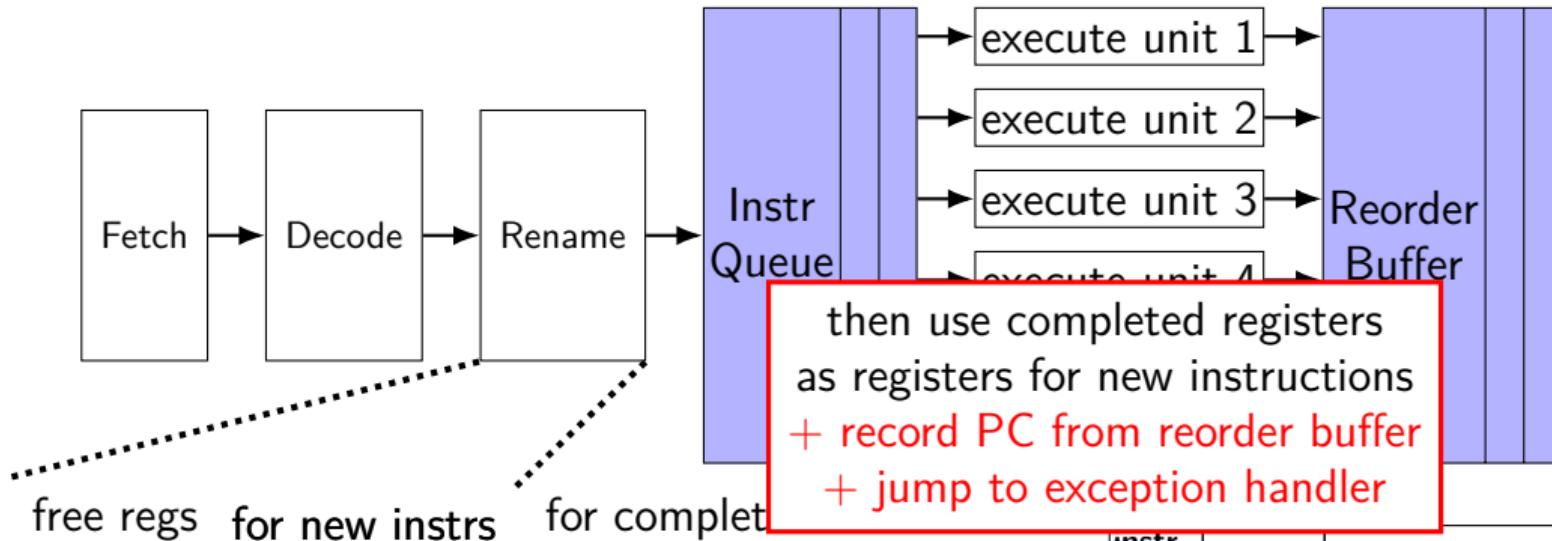
X19
X23
...
...

arch. reg	phys. reg
RAX	X38
RCX	X32
RBX	X48
RBX	X34
...	...

arch. reg	phys. reg
RAX	X21 X38
RCX	X2 X32
RBX	X48
RDX	X37 X34
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34	✓	
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05	✓	✓
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



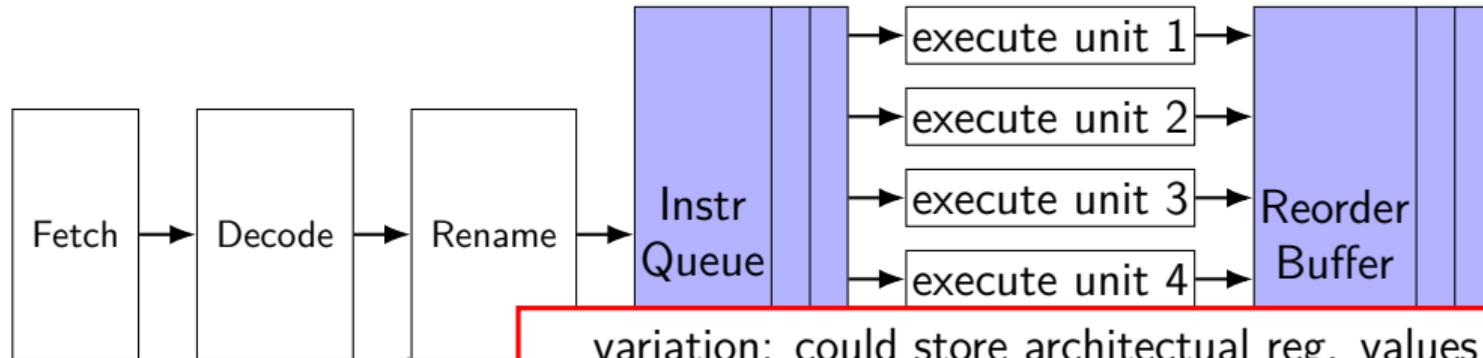
X19
X23
...
...

arch. reg	phys. reg
RAX	X38
RCX	X32
RBX	X48
RBX	X34
...	...

arch. reg	phys. reg
RAX	X21 X38
RCX	X2 X32
RBX	X48
RDX	X37 X34
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34	✓	
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05	✓	✓
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



variation: could store architectural reg. values instead of mapping for completed instrs.
(and copy values instead of mapping on exception)

free regs for new instrs

X19
X23
...
...

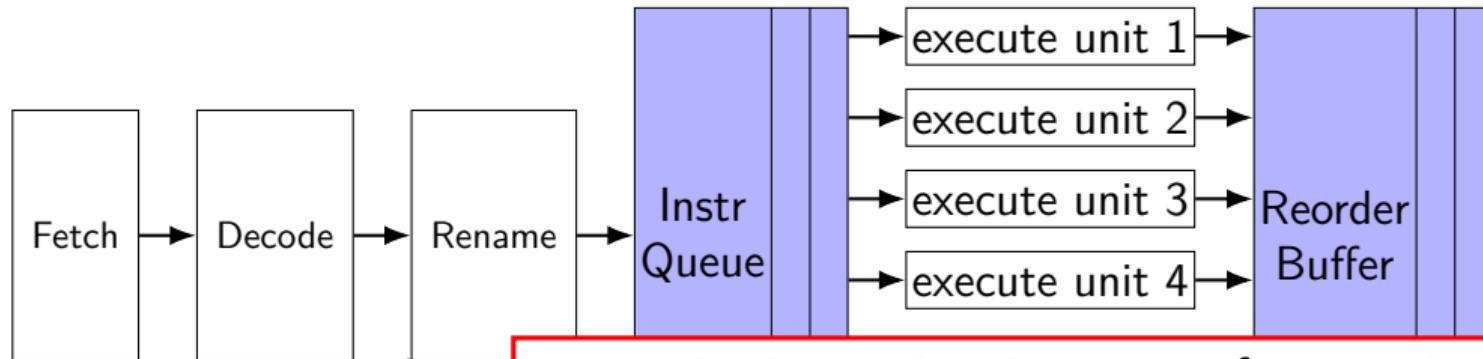
arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

for complete instrs

arch. reg	value
RAX	0x12343
RCX	0x234543
RBX	0x56782
RDX	0xF83A4
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34	✓	
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05	✓	✓
21	0x1260	R8 / X06		
...

exceptions and OOO (one strategy)



stopping instructions in progress for exception
similar to how 'squashing' mispredicted instructions

free reg_s for new instrs

X19
X23
...
...

arch. reg	phys. reg
RAX	X15
RCX	X17
RBX	X13
RBX	X07
...	...

for complete instrs

arch. reg	phys. reg
RAX	X21 X38
RCX	X2 X32
RBX	X48
RDX	X37 X34
...	...

instr num.	PC	dest. reg	done?	except?
...
17	0x1244	RCX / X32	✓	
18	0x1248	RDX / X34	✓	
19	0x1249	RAX / X38	✓	
20	0x1254	R8 / X05	✓	✓
21	0x1260	R8 / X06		
...

handling memory accesses?

one idea:

list of done + uncommitted loads+stores

execute load early + double-check on commit

- have data cache watch for changes to addresses on list
- if changed, treat like branch misprediction

loads check list of stores so you read back own values

actually finish store on commit

- maybe treat like branch misprediction if conflict?

the open-source BROOM pipeline

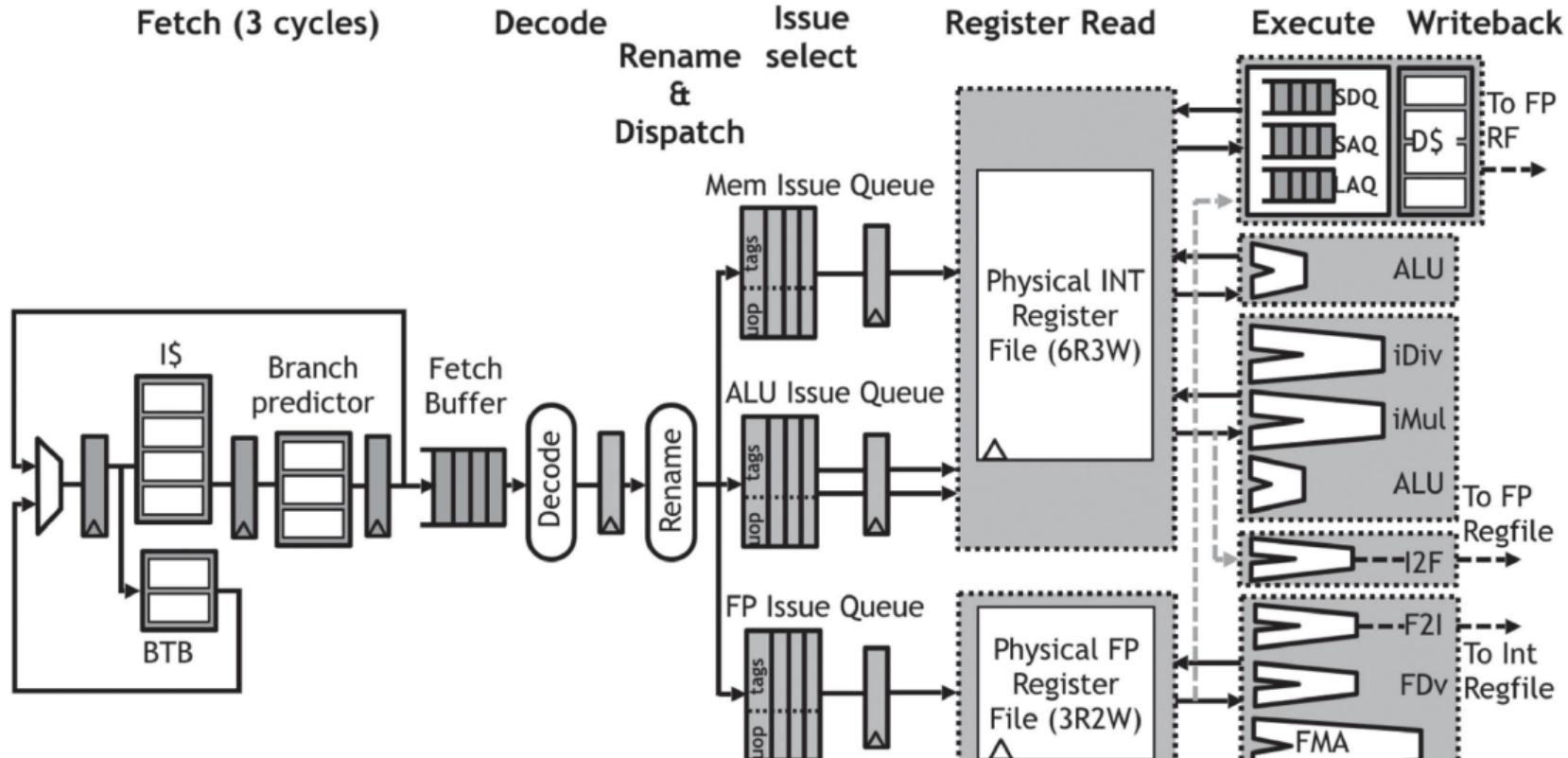
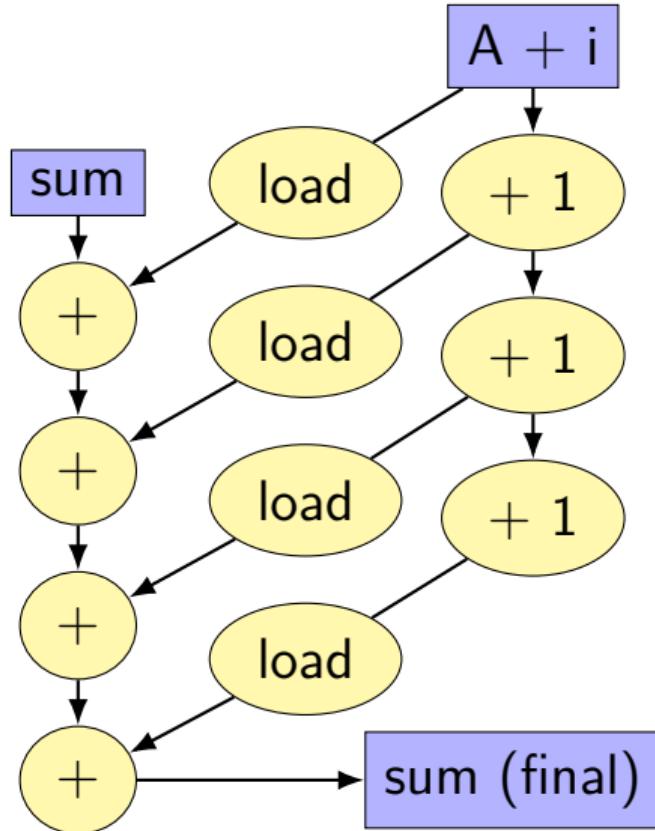


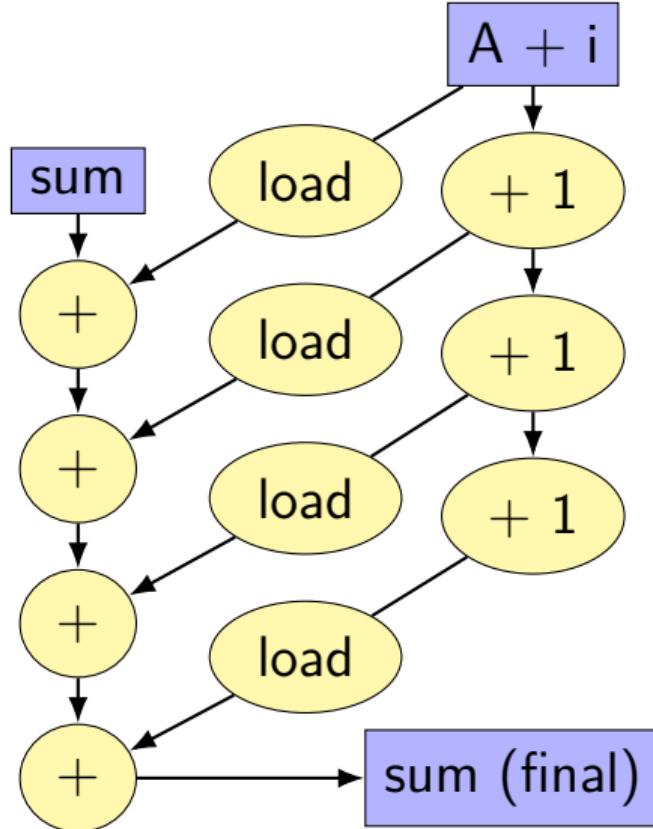
Figure from Celio et al., "BROOM: An Open Source Out-Of-Order Processor With Resilient Low-Voltage Operation in 28-nm CMOS"

data flow model and limits



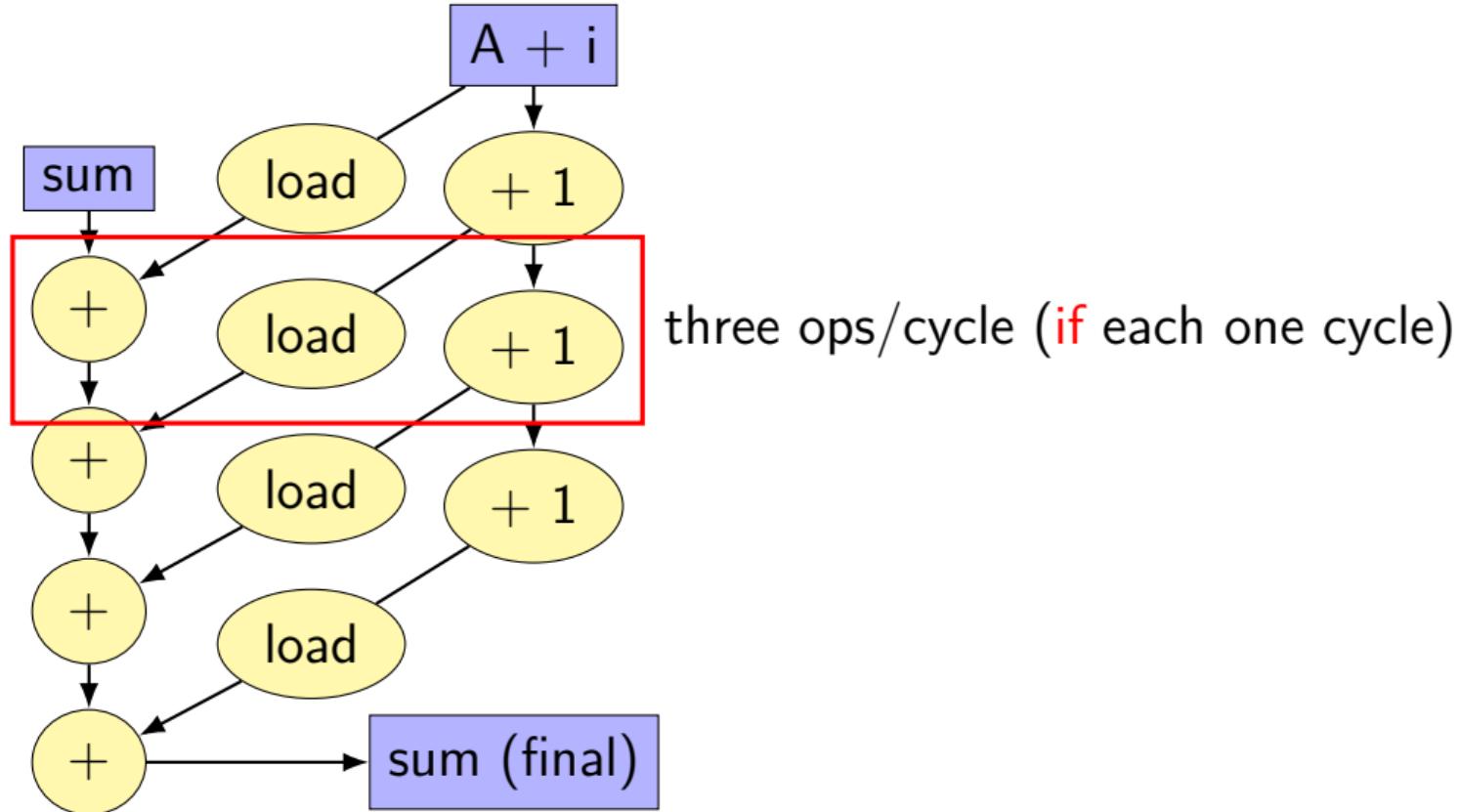
```
for (int i = 0; i < N; i += K) {  
    sum += A[i];  
    sum += A[i+1];  
    ...  
}
```

data flow model and limits

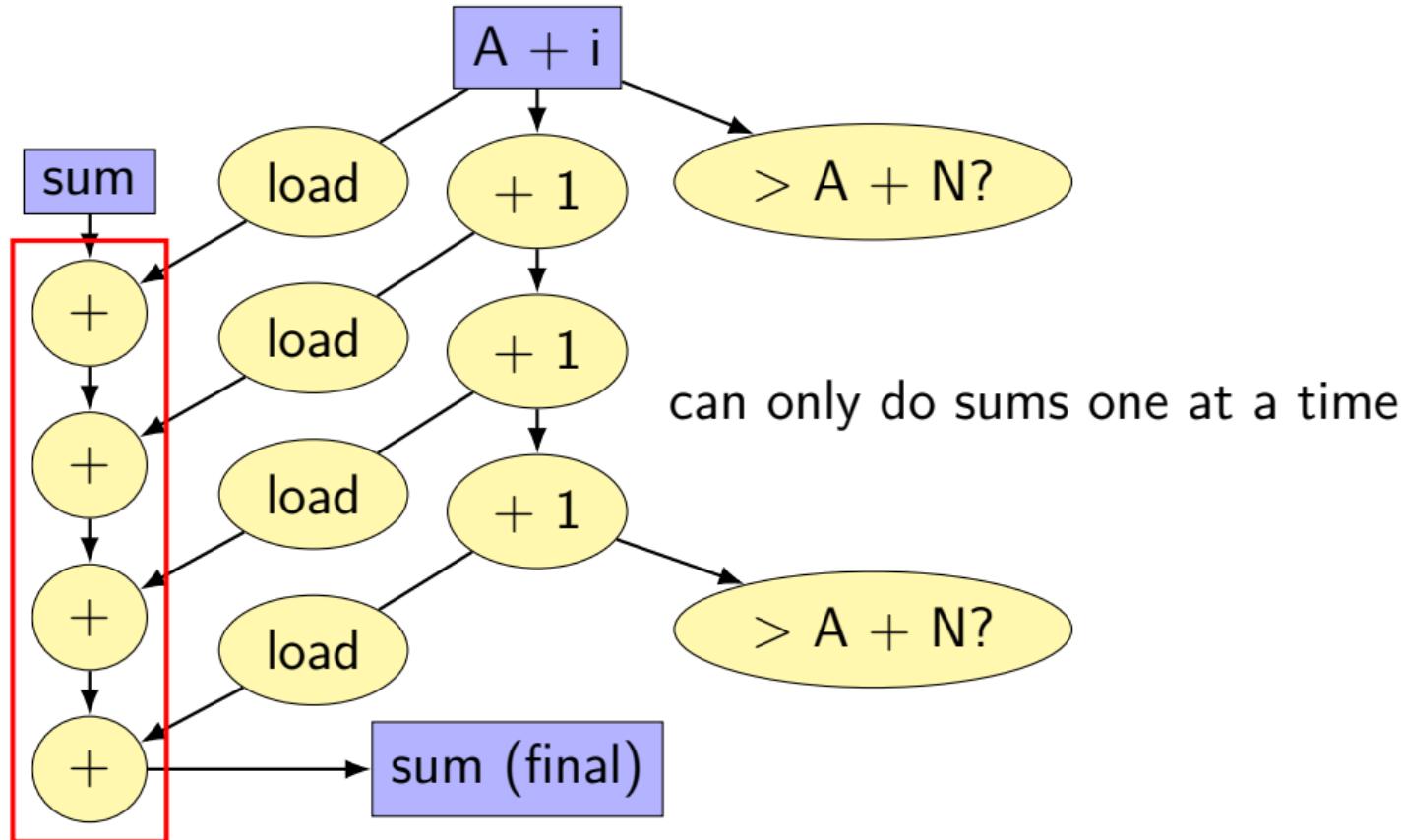


each yellow box = instruction
arrows = dependences
instructions only executed when dependencies

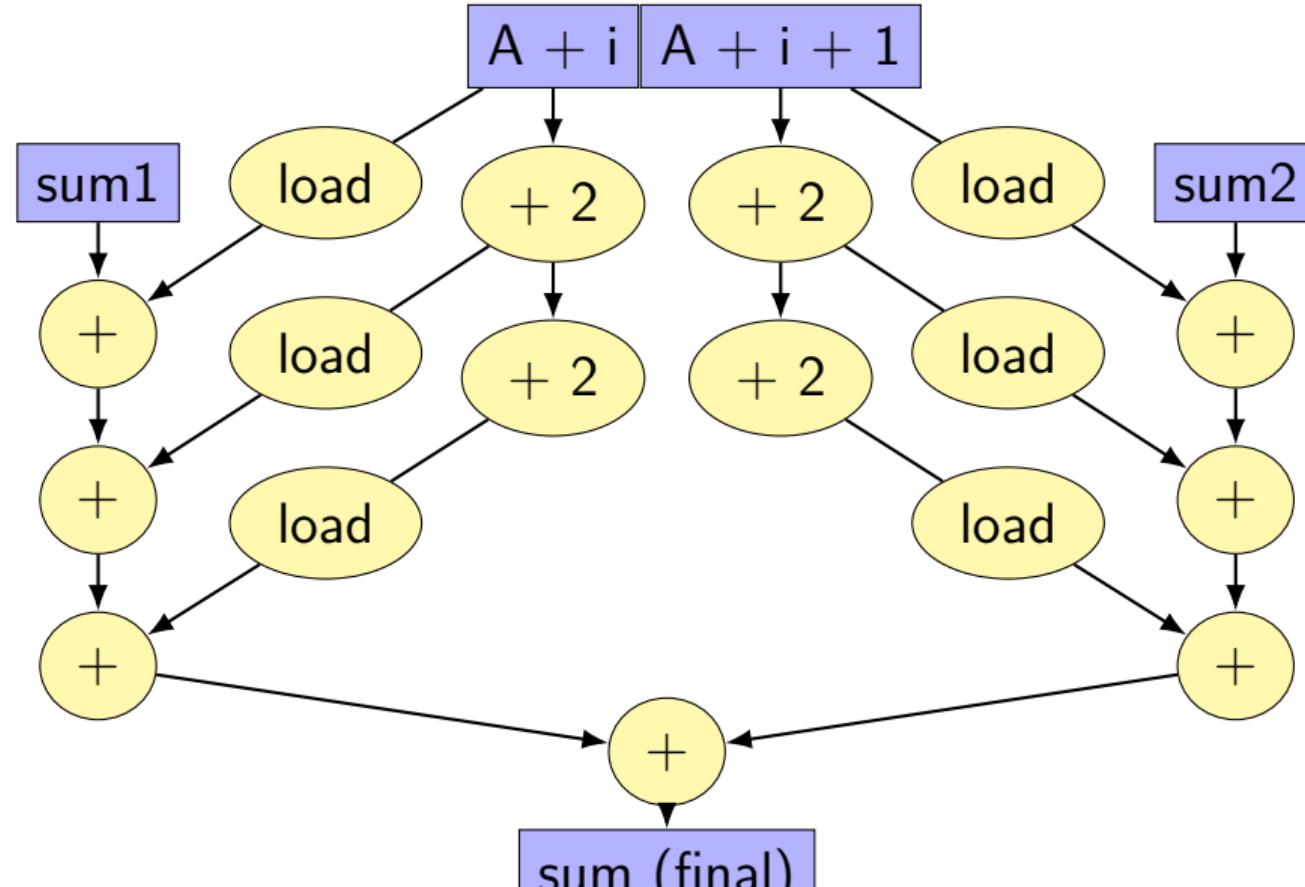
data flow model and limits



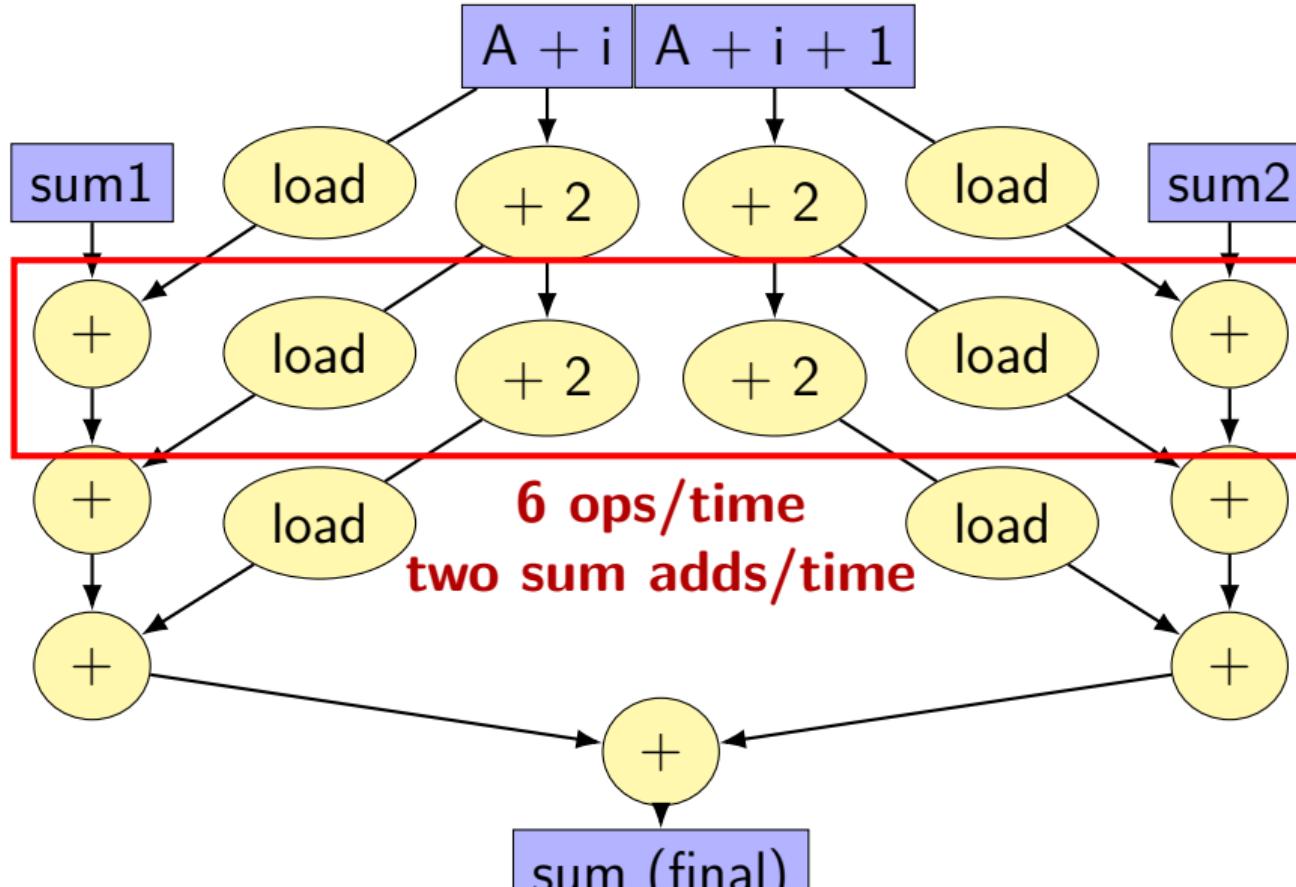
data flow model and limits



better data-flow



better data-flow



better data-flow

