

Changelog

Changes made in this version not seen in first lecture:

27 September: sum example (to global): fix error where i was used in place of sum

Threads 2 / Synchronization 0

last time

Linux's completely fair scheduler

- proportional share scheduler

- lowest "virtual time"

- increment virtual time based on weight, usage

real time scheduling

- physically determined deadlines

- earliest deadline first

- provide gaurentees, maybe

multi-threaded processes

- shared memory, files, etc. between threads

- own registers

- stack allocated for each thread

pthread API — create, join, detach

quiz question (1)

The XV6 scheduler scans the proc table (after first getting a lock) looking for a ready to run process. This is both slow and non scalable to thousands of processes. An alternative that is faster is to:

if N processes, K runnable, average of N/K reads to find next process

quiz question (1)

The XV6 scheduler scans the proc table (after first getting a lock) looking for a ready to run process. This is both slow and non scalable to thousands of processes. An alternative that is faster is to:

if N processes, K runnable, average of N/K reads to find next process

Randomly select a task to run and run it.

not all tasks are runnable

Maintain a hash table or ready to run processes keyed on their priority.

need to scan high, unused priorities

arbitrarily more work depending on # of priorities

quiz question (2)

The XV6 scheduler scans the proc table (after first getting a lock) looking for a ready to run process. This is both slow and non-scalable to thousands of processes. An alternative that is faster is to:

if N processes, K runnable, average of N/K reads to find next process

Maintain a stack of proc table pointers and pop process to run them and push them when they become ready to run.

1 read to find process, better than N/K
but *causes very bad starvation*

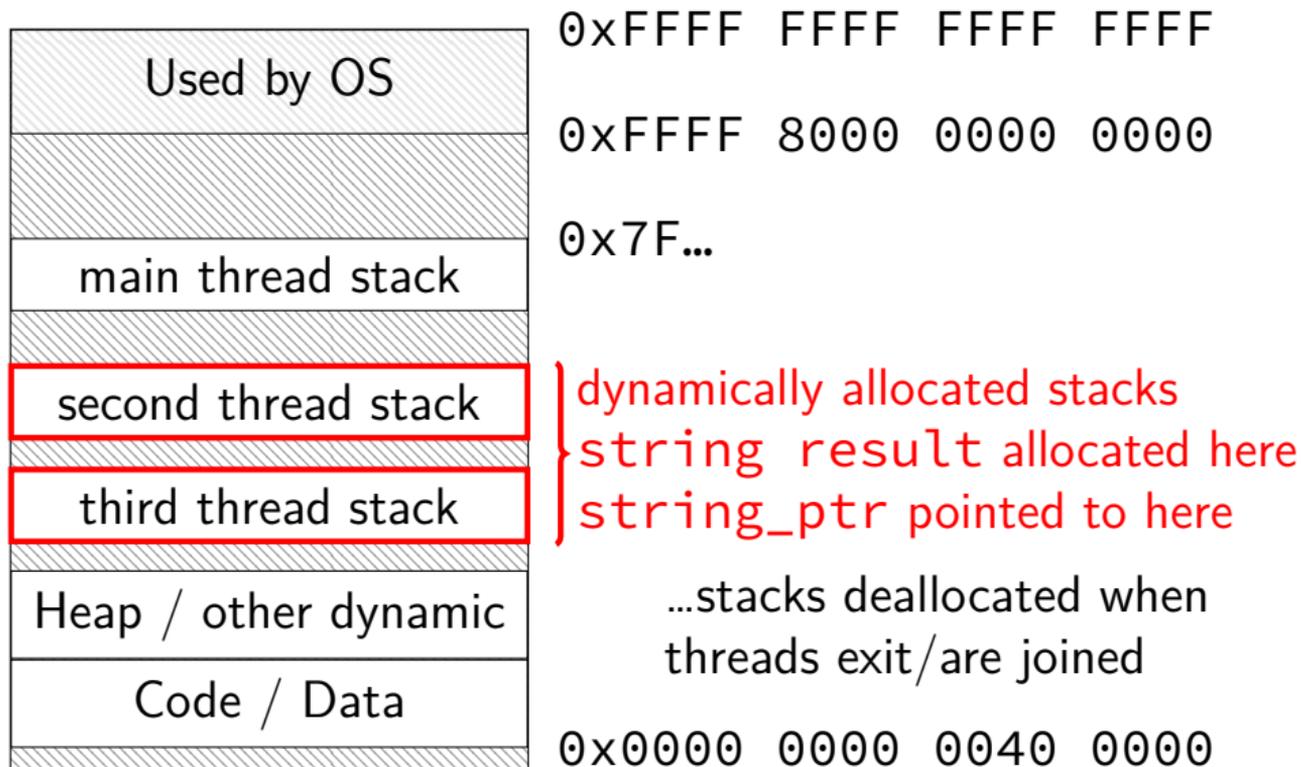
Maintain a linked list of ready to run processes and always run the first process on the list, and place newly ready processes at the end.

1 read to find process, better than N/K

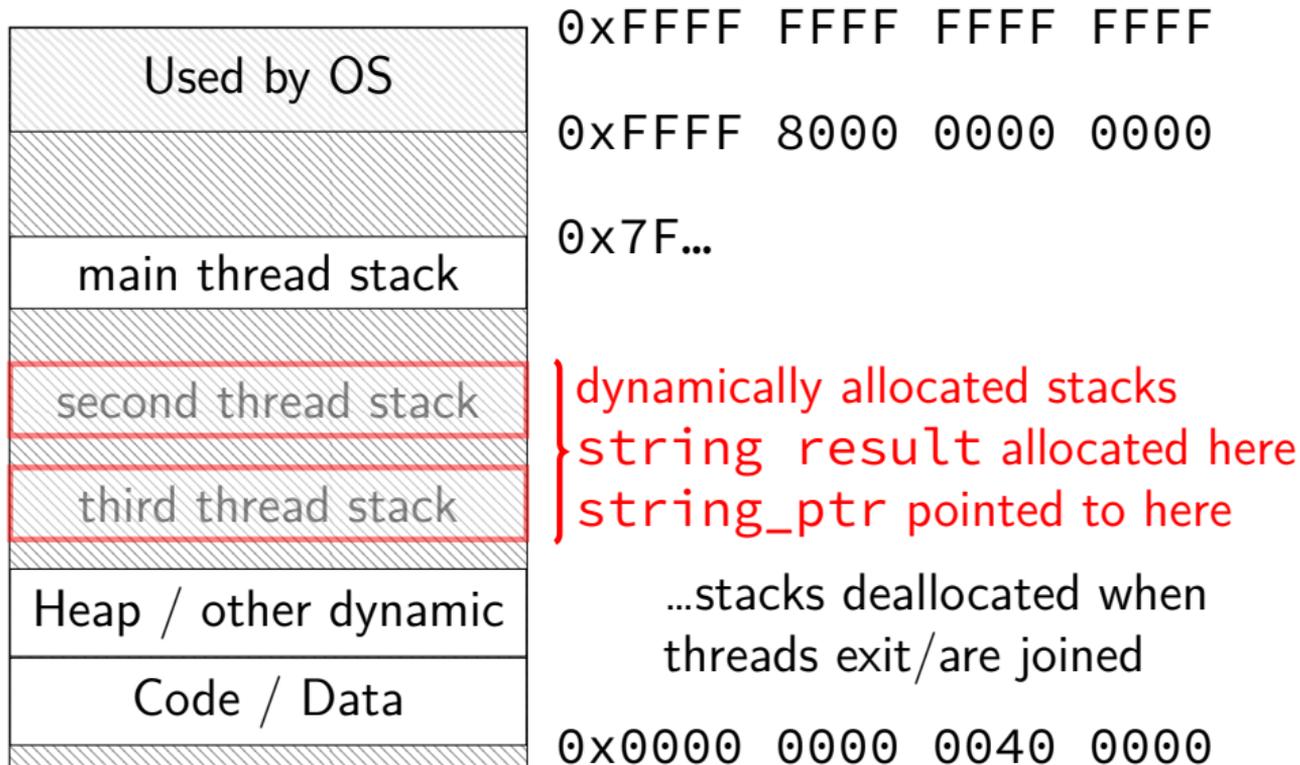
what's wrong with this?

```
/* omitted: headers, using statements */
void *create_string(void *ignored_argument) {
    string result;
    result = ComputeString();
    return &result;
}
int main() {
    pthread_t the_thread;
    pthread_create(&the_thread, NULL, get_string, NULL);
    string *string_ptr;
    pthread_join(the_thread, &string_ptr);
    cout << "string_is_" << *string_ptr;
}
```

program memory



program memory



thread resources

to create a thread, allocate:

new stack (how big???)

thread control block

pthread: by default need to join thread to deallocate everything

thread kept around to allow collecting return value

pthread_detach

```
void *show_progress(void * ...) { ... }  
void spawn_show_progress_thread() {  
    pthread_t show_progress_thread;  
    pthread_create(&show_progress_thread, NULL, show_progress, NULL);  
    pthread_detach(show_progress_thread);  
}  
int main() {  
    spawn_show_progress_thread();  
    do_other_stuff();  
    ...  
}
```

starting threads detached

```
void *show_progress(void * ...) { ... }  
void spawn_show_progress_thread() {  
    pthread_t show_progress_thread;  
    pthread_attr_t attrs;  
    pthread_attr_init(&attrs);  
    pthread_attr_setdetachstate(&attrs, PTHREAD_CREATE_DETACHED);  
    pthread_create(&show_progress_thread, attrs, show_progress, NULL);  
    pthread_attr_destroy(&attrs);  
}
```

setting stack sizes

```
void *show_progress(void * ...) { ... }  
void spawn_show_progress_thread() {  
    pthread_t show_progress_thread;  
    pthread_attr_t attrs;  
    pthread_attr_init(&attrs);  
    pthread_attr_setstacksize(&attrs, 32 * 1024 /* bytes */);  
    pthread_create(&show_progress_thread, NULL, show_progress,  
}
```

threads: sum example (to global)

```
int array[1024];
int results[2];
void *sum_thread(void *argument) {
    int id = (int) argument;
    int sum = 0;
    for (int i = id * 512; i < (id + 1) * 512; ++i) {
        sum += array[i];
    }
    results[id] = sum;
    return NULL;
}
int sum_all() {
    pthread_t thread[2];
    for (int i = 0; i < 2; ++i) {
        pthread_create(&threads[i], NULL, sum_thread, (void *) i);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return results[0] + results[1];
}
```

threads: sum example (to global)

array, results: global variables — shared

```
int array[1024];
int results[2];
void *sum_thread(void *argument) {
    int id = (int) argument;
    int sum = 0;
    for (int i = id * 512; i < (id + 1) * 512; ++i) {
        sum += array[i];
    }
    results[id] = sum;
    return NULL;
}
int sum_all() {
    pthread_t thread[2];
    for (int i = 0; i < 2; ++i) {
        pthread_create(&threads[i], NULL, sum_thread, (void *) i);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return results[0] + results[1];
}
```

threads: sum example (to main stack)

```
int array[1024];
struct ThreadInfo {
    int start, end, result;
};
void *sum_thread(void *argument) {
    ThreadInfo *my_info = (ThreadInfo *) argument;
    int sum = 0;
    for (int i = my_info->start; i < my_info->end; ++i) {
        sum += array[i];
    }
    my_info->result = sum;
    return NULL;
}
int sum_all() {
    pthread_t threads[2]; ThreadInfo info[2];
    for (int i = 0; i < 2; ++i) {
        info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, &info[i]);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

threads: sum example (to main stack)

```
int array[1024];  
struct ThreadInfo {  
    int start, end, result;  
};  
void *sum_thread(void *argument) {  
    ThreadInfo *my_info = (ThreadInfo *) argument;  
    int sum = 0;  
    for (int i = my_info->start; i < my_info->end; ++i) {  
        sum += array[i];  
    }  
    my_info->result = sum;  
    return NULL;  
}  
int sum_all() {  
    pthread_t threads[2]; ThreadInfo info[2];  
    for (int i = 0; i < 2; ++i) {  
        info[i].start = i*512; info[i].end = (i+1)*512;  
        pthread_create(&threads[i], NULL, sum_thread, &info[i]);  
    }  
    for (int i = 0; i < 2; ++i)  
        pthread_join(threads[i], NULL);  
    return info[0].result + info[1].result;  
}
```

array: global variable — shared

threads: sum example (to main stack)

```
int array[1024];
struct ThreadInfo {
    int start, end, result;
};
void *sum_thread(void *argument) {
    ThreadInfo *my_info = (ThreadInfo *) argument;
    int sum = 0;
    for (int i = my_info->start; i < my_info->end; ++i)
        sum += array[i];
    my_info->result = sum;
    return NULL;
}
int sum_all() {
    pthread_t threads[2]; ThreadInfo info[2];
    for (int i = 0; i < 2; ++i) {
        info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, &info[i]);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

my_info: pointer to sum_all's stack
only okay because sum_all waits!

threads: sum example (to main stack)

```
int array[1024];
struct ThreadInfo {
    int start, end, result;
};
void *sum_thread(void *argument) {
    ThreadInfo *my_info = (ThreadInfo *) argument;
    int sum = 0;
    for (int i = my_info->start; i < my_info->end; ++i) {
        sum += array[i];
    }
    my_info->result = sum;
    return NULL;
}
int sum_all() {
    pthread_t threads[2]; ThreadInfo info[2];
    for (int i = 0; i < 2; ++i) {
        info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, &info[i]);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

threads: sum example (no globals)

```
struct ThreadInfo { int *array; int start; int end; int result };
void *sum_thread(void *argument) {
    ThreadInfo *my_info = (ThreadInfo *) argument;
    int sum = 0;
    for (int i = my_info->start; i < my_info->end; ++i) {
        sum += my_info->array[i];
    }
    my_info->result = sum;
    return NULL;
}
int sum_all(int *array) {
    ThreadInfo info[2]; pthread_t thread[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, (void *) i);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

threads: sum example (no globals)

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struct ThreadInfo { int *array; int start; int end; int result };
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    int sum = 0;
    for (int i = my_info->start; i < my_info->end; ++i) {
        sum += my_info->array[i];
    }
    my_info->result = sum;
    return NULL;
}

int sum_all(int *array) {
    ThreadInfo info[2]; pthread_t thread[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, (void *) i);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

threads: sum example (no globals)

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struct ThreadInfo { int *array; int start; int end; int result };
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    ThreadInfo *my_info = (ThreadInfo *) argument;
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        sum += my_info->array[i];
    }
    my_info->result = sum;
    return NULL;
}
int sum_all(int *array) {
    ThreadInfo info[2]; pthread_t thread[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, (void *) i);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

threads: sum example (no globals)

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void *sum_thread(void *argument) {
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    int sum = 0;
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        sum += my_info->array[i];
    }
    my_info->result = sum;
    return NULL;
}

int sum_all(int *array) {
    ThreadInfo info[2]; pthread_t thread[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&threads[i], NULL, sum_thread, (void *) i);
    }
    for (int i = 0; i < 2; ++i)
        pthread_join(threads[i], NULL);
    return info[0].result + info[1].result;
}
```

threads: sum example (on heap)

```
struct ThreadInfo { pthread_t thread; int *array; int start; int end; int result };
void *sum_thread(void *argument) {
    ...
}
ThreadInfo *start_sum_all(int *array) {
    ThreadInfo *info = new ThreadInfo[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&info[i].thread, NULL, sum_thread, (void *) &info[i]);
    }
    return info;
}
void finish_sum_all(ThreadInfo *info) {
    for (int i = 0; i < 2; ++i)
        pthread_join(info[i].thread, NULL);
    int result = info[0].result + info[1].result;
    delete[] info;
    return result;
}
```

threads: sum example (on heap)

```
struct ThreadInfo { pthread_t thread; int *array; int start; int end; int result };
void *sum_thread(void *argument) {
    ...
}
ThreadInfo *start_sum_all(int *array) {
    ThreadInfo *info = new ThreadInfo[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&info[i].thread, NULL, sum_thread, (void *) &info[i]);
    }
    return info;
}
void finish_sum_all(ThreadInfo *info) {
    for (int i = 0; i < 2; ++i)
        pthread_join(info[i].thread, NULL);
    int result = info[0].result + info[1].result;
    delete[] info;
    return result;
}
```

threads: sum example (on heap)

```
struct ThreadInfo { pthread_t thread; int *array; int start; int end; int result };
void *sum_thread(void *argument) {
    ...
}
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    ThreadInfo *info = new ThreadInfo[2];
    for (int i = 0; i < 2; ++i) {
        info[i].array = array; info[i].start = i*512; info[i].end = (i+1)*512;
        pthread_create(&info[i].thread, NULL, sum_thread, (void *) &info[i]);
    }
    return info;
}
void finish_sum_all(ThreadInfo *info) {
    for (int i = 0; i < 2; ++i)
        pthread_join(info[i].thread, NULL);
    int result = info[0].result + info[1].result;
    delete[] info;
    return result;
}
```

the correctness problem

schedulers introduce non-determinism

scheduler might run threads in **any order**
scheduler can switch threads at **any time**

worse with threads on multiple cores

cores **not precisely synchronized** (stalling for caches, etc., etc.)
different cores happen in different order each time

makes reliable testing very difficult

solution: correctness by design

example application: ATM server

commands: withdraw, deposit

one correctness goal: don't lose money

ATM server

(pseudocode)

```
ServerLoop() {  
    while (true) {  
        ReceiveRequest(&operation, &accountNumber, &amount);  
        if (operation == DEPOSIT) {  
            Deposit(accountNumber, amount);  
        } else ...  
    }  
}  
  
Deposit(accountNumber, amount) {  
    account = GetAccount(accountId);  
    account->balance += amount;  
    StoreAccount(account);  
}
```

a threaded server?

```
Deposit(accountNumber, amount) {  
    account = GetAccount(accountId);  
    account->balance += amount;  
    StoreAccount(account);  
}
```

maybe Get/StoreAccount can be slow?

read/write disk sometimes? contact another server sometimes?

maybe lots of requests to process?

maybe real logic has more checks than Deposit()

...

all reasons to handle multiple requests at once

→ many threads all running the server loop

multiple threads

```
main() {
    for (int i = 0; i < NumberOfThreads; ++i) {
        pthread_create(&server_loop_threads[i], NULL,
                      ServerLoop, NULL);
    }
    ...
}

ServerLoop() {
    while (true) {
        ReceiveRequest(&operation, &accountNumber, &amount);
        if (operation == DEPOSIT) {
            Deposit(accountNumber, amount);
        } else ...
    }
}
```

a side note

why am I spending time justifying this?

multiple threads for something like this make things much trickier

we'll be learning why...

the lost write

account->balance += amount; (in two threads, same account)

Thread A

```
mov account->balance, %rax  
add amount, %rax
```

context switch

```
mov %rax, account->balance
```

context switch

Thread B

```
mov account->balance, %rax  
add amount, %rax
```

```
mov %rax, account->balance
```

the lost write

account->balance += amount; (in two threads, same account)

Thread A

```
mov account->balance, %rax  
add amount, %rax
```

context switch

```
mov %rax, account->balance
```

context switch

lost write to balance

Thread B

```
mov account->balance, %rax  
add amount, %rax
```

context switch

```
mov %rax, account->balance
```

“winner” of the race

the lost write

account->balance += amount; (in two threads, same account)

Thread A

```
mov account->balance, %rax  
add amount, %rax
```

```
mov %rax, account->balance
```

lost write to balance

Thread B

```
mov account->balance, %rax  
add amount, %rax
```

```
mov %rax, account->balance
```

“winner” of the race

lost track of thread A's money

thinking about race conditions (1)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A **Thread B**

$x \leftarrow 1$

$y \leftarrow 2$

thinking about race conditions (1)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A **Thread B**

$x \leftarrow 1$ $y \leftarrow 2$

must be 1. Thread B can't do anything

thinking about race conditions (2)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A	Thread B
-----------------	-----------------

$x \leftarrow y + 1$	
----------------------	--

	$y \leftarrow 2$
--	------------------

	$y \leftarrow y \times 2$
--	---------------------------

thinking about race conditions (2)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A **Thread B**

$x \leftarrow y + 1$ $y \leftarrow 2$
 $y \leftarrow y \times 2$

1 or 3 or 5 (non-deterministic)

thinking about race conditions (3)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A **Thread B**

$x \leftarrow 1$

$x \leftarrow 2$

thinking about race conditions (3)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A **Thread B**

$x \leftarrow 1$

$x \leftarrow 2$

1 or 2

thinking about race conditions (3)

what are the possible values of x ?

(initially $x = y = 0$)

Thread A **Thread B**

$x \leftarrow 1$

$x \leftarrow 2$

1 or 2

...but why not 3? maybe each bit of x assigned seperately?

atomic operation

atomic operation = operation that runs to completion or not at all

we will use these to let threads work together

most machines: loading/storing words is atomic

so can't get 3 from $x \leftarrow 1$ and $x \leftarrow 2$ running in parallel

but some instructions are not atomic

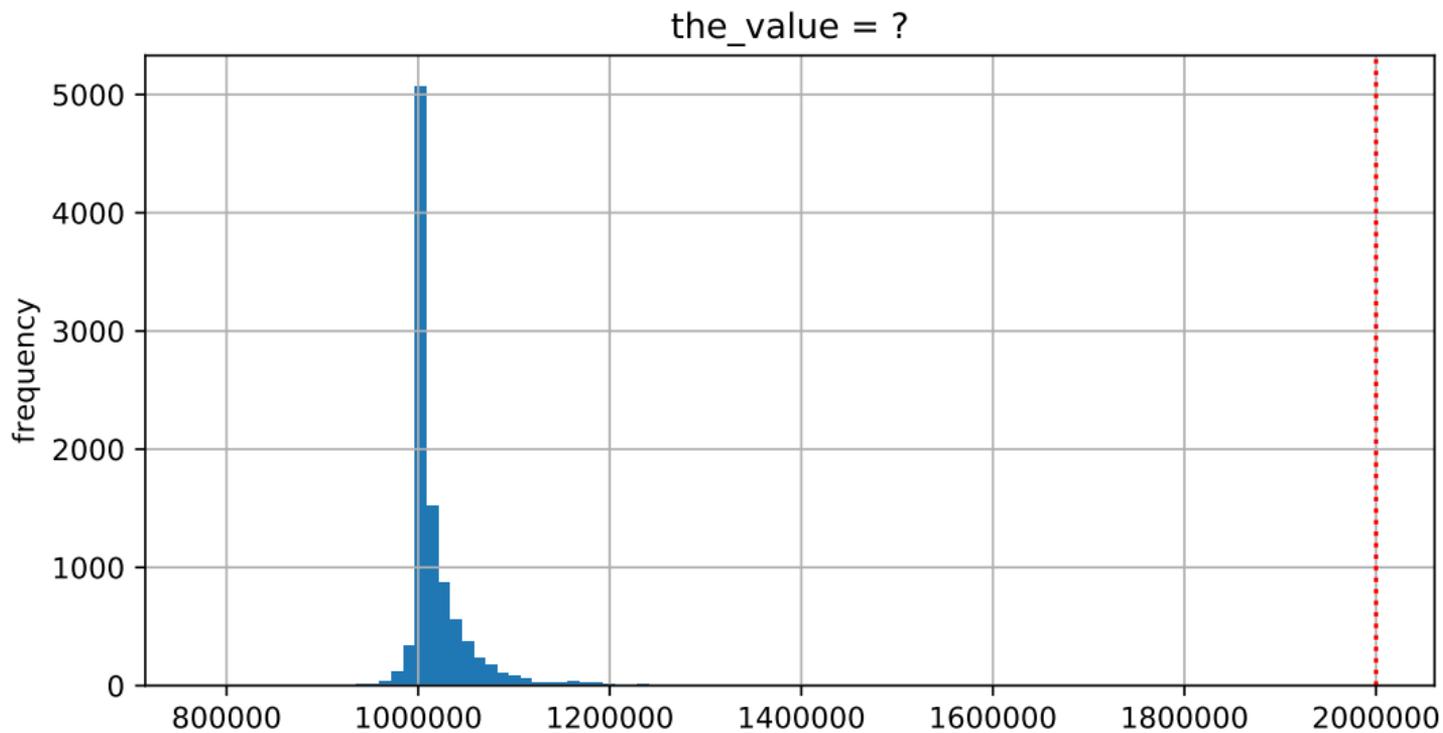
one example: normal x86 add constant to memory

lost adds (program)

```
.global update_loop
update_loop:
    addl $1, the_value // the_value (global variable) += 1
    dec %rdi           // argument 1 -= 1
    jg update_loop    // if argument 1 >= 0 repeat
    ret
```

```
int the_value;
extern void *update_loop(void *);
int main(void) {
    the_value = 0;
    pthread_t A, B;
    pthread_create(&A, NULL, update_loop, (void*) 1000000);
    pthread_create(&B, NULL, update_loop, (void*) 1000000);
    pthread_join(A, NULL);
    pthread_join(B, NULL);
    // expected result: 1000000 + 1000000 = 2000000
    printf("the_value = %d\n", the_value);
}
```

lost adds (results)



but how?

probably not possible on single core

exceptions can't occur in the middle of add instruction

...but 'add to memory' implemented with multiple steps

still needs to load, add, store internally

can be interleaved with what other cores do

but how?

probably not possible on single core

exceptions can't occur in the middle of add instruction

...but 'add to memory' implemented with multiple steps

still needs to load, add, store internally

can be interleaved with what other cores do

(and actually it's more complicated than that — we'll talk later)

so, what is actually atomic

for now we'll assume: load/stores of 'words'
(64-bit machine = 64-bits words)

in general: **processor designer will tell you**

their job to design caches, etc. to work as documented

too much milk

roommates Alice and Bob want to keep fridge stocked with milk:

time	Alice	Bob
3:00	look in fridge. no milk	
3:05	leave for store	
3:10	arrive at store	look in fridge. no milk
3:15	buy milk	leave for store
3:20	return home, put milk in fridge	arrive at store
3:25		buy milk
3:30		return home, put milk in fridge

how can Alice and Bob coordinate better?

too much milk “solution” 1 (algorithm)

leave a note: “I am buying milk”

place before buying

remove after buying

don't try buying if there's a note

≈ setting/checking a variable (e.g. “note = 1”)
with atomic load/store of variable

```
if (no milk) {  
    if (no note) {  
        leave note;  
        buy milk;  
        remove note;  
    }  
}
```

too much milk “solution” 1 (timeline)

Alice

```
if (no milk) {  
  if (no note) {  
  
    leave note;  
    buy milk;  
    remove note;  
  
  }  
}
```

Bob

```
if (no milk) {  
  if (no note) {  
  
    leave note;  
    buy milk;  
    remove note;  
  
  }  
}
```

too much milk “solution” 2 (algorithm)

intuition: leave note when buying or checking if need to buy

```
leave note;
if (no milk) {
    if (no note) {
        buy milk;
    }
}
remove note;
```

too much milk: “solution” 2 (timeline)

Alice

```
leave note;  
if (no milk) {  
    if (no note) {  
        buy milk;  
    }  
}  
remove note;
```

too much milk: “solution” 2 (timeline)

Alice

```
leave note;  
if (no milk) {  
    if (no note) { ← but there's always a note  
        buy milk;  
    }  
}  
remove note;
```

too much milk: “solution” 2 (timeline)

Alice

```
leave note;
```

```
if (no milk) {
```

```
  if (no note) {
```

```
    buy milk;
```

```
  }
```

```
}
```

```
remove note;
```

← but there's **always a note**

...will never buy milk (twice or once)

“solution” 3: algorithm

intuition: label notes so Alice knows which is hers (and vice-versa)

computer equivalent: separate noteFromAlice and noteFromBob variables

Alice

```
leave note from Alice;  
if (no milk) {  
    if (no note from Bob) {  
        buy milk  
    }  
}  
remove note from Alice;
```

Bob

```
leave note from Bob;  
if (no milk) {  
    if (no note from Alice) {  
        buy milk  
    }  
}  
remove note from Bob;
```

too much milk: “solution” 3 (timeline)

Alice

```
leave note from Alice
```

```
if (no milk) {
```

```
    if (no note from Bob) {
```

```
        buy milk
```

```
    }
```

```
}
```

```
remove note from Alice
```

Bob

```
leave note from Bob
```

```
if (no milk) {
```

```
    if (no note from Alice) {
```

```
        buy milk
```

```
    }
```

```
}
```

```
remove note from Bob
```

too much milk: is it possible

is there a solutions with writing/reading notes?

≈ loading/storing from shared memory

yes, but it's not very elegant

too much milk: solution 4 (algorithm)

Alice

```
leave note from Alice
while (note from Bob) {
    do nothing
}
if (no milk) {
    buy milk
}
remove note from Alice
```

Bob

```
leave note from Bob
if (no note from Alice) {
    if (no milk) {
        buy milk
    }
}
remove note from Bob
```

too much milk: solution 4 (algorithm)

Alice

```
leave note from Alice
while (note from Bob) {
    do nothing
}
if (no milk) {
    buy milk
}
remove note from Alice
```

Bob

```
leave note from Bob
if (no note from Alice) {
    if (no milk) {
        buy milk
    }
}
remove note from Bob
```

exercise (hard): prove (in)correctness

too much milk: solution 4 (algorithm)

Alice

```
leave note from Alice
while (note from Bob) {
    do nothing
}
if (no milk) {
    buy milk
}
remove note from Alice
```

Bob

```
leave note from Bob
if (no note from Alice) {
    if (no milk) {
        buy milk
    }
}
remove note from Bob
```

exercise (hard): prove (in)correctness

too much milk: solution 4 (algorithm)

Alice

```
leave note from Alice
while (note from Bob) {
    do nothing
}
if (no milk) {
    buy milk
}
remove note from Alice
```

Bob

```
leave note from Bob
if (no note from Alice) {
    if (no milk) {
        buy milk
    }
}
remove note from Bob
```

exercise (hard): prove (in)correctness

exercise (hard): extend to three people

Peterson's algorithm

general version of solution

see, e.g., Wikipedia

we'll use special hardware support instead

some definitions

mutual exclusion: ensuring only one thread does a particular thing at a time

like checking for and, if needed, buying milk

some definitions

mutual exclusion: ensuring only one thread does a particular thing at a time

like checking for and, if needed, buying milk

critical section: code that exactly one thread can execute at a time

result of critical section

some definitions

mutual exclusion: ensuring only one thread does a particular thing at a time

like checking for and, if needed, buying milk

critical section: code that exactly one thread can execute at a time

result of critical section

lock: object only one thread can hold at a time

interface for creating critical sections

the lock primitive

locks: an object with (at least) two operations:

acquire or *lock* — wait until lock is free, then “grab” it
release or *unlock* — let others use lock, wakeup waiters

```
Lock(MilkLock);  
if (no milk) {  
    buy milk  
}  
Unlock(MilkLock);
```

pthread mutex

```
#include <pthread.h>

pthread_mutex_t MilkLock;
pthread_mutex_init(&MilkLock, NULL);
...
pthread_mutex_lock(&MilkLock);
if (no milk) {
    buy milk
}
pthread_mutex_unlock(&MilkLock);
```

xv6 spinlocks

```
#include "spinlock.h"
...
struct spinlock MilkLock;
initlock(&MilkLock, "name_for_debugging");
...
acquire(&MilkLock);
if (no milk) {
    buy milk
}
release(&MilkLock);
```

C++ containers and locking

can you use a vector from multiple threads?

...question: how is it implemented?

C++ containers and locking

can you use a vector from multiple threads?

...question: how is it implemented?

- dynamically allocated array
- reallocated on size changes

C++ containers and locking

can you use a vector from multiple threads?

...question: how is it implemented?

- dynamically allocated array
- reallocated on size changes

can access from multiple threads ...**as long as not being resized?**

C++ standard rules for containers

multiple threads can **read anything at the same time**

can only read element **if no other thread is modifying it**

can **only add/remove elements if no other threads** are accessing container

some exceptions, read documentation really carefully

implementing locks: single core

intuition: context switch only happens on interrupt
timer expiration, I/O, etc. causes OS to run

solution: disable them
reenable on unlock

implementing locks: single core

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solution: disable them
reenable on unlock

x86 instructions:

`cli` — disable interrupts

`sti` — enable interrupts

naive interrupt enable/disable (1)

```
Lock() {  
    disable interrupts  
}
```

```
Unlock() {  
    enable interrupts  
}
```

naive interrupt enable/disable (1)

```
Lock() {                               Unlock() {  
    disable interrupts                 enable interrupts  
}
```

problem: user can **hang the system**:

```
    Lock(some_lock);  
    while (true) {}
```

naive interrupt enable/disable (1)

```
Lock() {                               Unlock() {
    disable interrupts                 enable interrupts
}
```

problem: user can **hang the system**:

```
Lock(some_lock);
while (true) {}
```

problem: can't do I/O within lock

```
Lock(some_lock);
read from disk
    /* waits forever for (disabled) interrupt
       from disk IO finishing */
```

naive interrupt enable/disable (2)

```
Lock() {  
    disable interrupts  
}
```

```
Unlock() {  
    enable interrupts  
}
```

naive interrupt enable/disable (2)

```
Lock() {  
    disable interrupts  
}
```

```
Unlock() {  
    enable interrupts  
}
```

naive interrupt enable/disable (2)

```
Lock() {  
    disable interrupts  
}
```

```
Unlock() {  
    enable interrupts  
}
```

naive interrupt enable/disable (2)

```
Lock() {                               Unlock() {  
    disable interrupts                 enable interrupts  
}
```

problem: nested locks

```
Lock(milk_lock);  
if (no milk) {  
    Lock(store_lock);  
    buy milk  
    Unlock(store_lock);  
    /* interrupts enabled here?? */  
}  
Unlock(milk_lock);
```

xv6 interrupt disabling (1)

```
...
acquire(struct spinlock *lk) {
    pushcli(); // disable interrupts to avoid deadlock
    ... /* this part basically just for multicore */
}
release(struct spinlock *lk)
{
    ... /* this part basically just for multicore */
    popcli();
}
```

xv6 push/popcli

pushcli / popcli — need to be in pairs

pushcli — disable interrupts if not already

popcli — enable interrupts if corresponding pushcli disabled them
don't enable them if they were already disabled

a simple race

thread_A:

```
movl $1, x    /* x ← 1 */  
movl y, %eax  /* return y */  
ret
```

thread_B:

```
movl $1, y    /* y ← 1 */  
movl x, %eax  /* return x */  
ret
```

```
x = y = 0;  
pthread_create(&A, NULL, thread_A, NULL);  
pthread_create(&B, NULL, thread_B, NULL);  
pthread_join(A, &A_result); pthread_join(B, &B_result);  
printf("A:%d_B:%d\n", (int) A_result, (int) B_result);
```

a simple race

thread_A:

```
movl $1, x /* x ← 1 */  
movl y, %eax /* return y */  
ret
```

thread_B:

```
movl $1, y /* y ← 1 */  
movl x, %eax /* return x */  
ret
```

```
x = y = 0;  
pthread_create(&A, NULL, thread_A, NULL);  
pthread_create(&B, NULL, thread_B, NULL);  
pthread_join(A, &A_result); pthread_join(B, &B_result);  
printf("A:%d_B:%d\n", (int) A_result, (int) B_result);
```

if loads/stores atomic, then possible results:

A:1 B:1 — moves into x/y execute, then moves into eax

A:0 B:1 — thread A executes before thread B

A:1 B:0 — thread B executes before thread A

a simple race: results

thread_A:

```
movl $1, x /* x ← 1 */  
movl y, %eax /* return y */  
ret
```

thread_B:

```
movl $1, y /* y ← 1 */  
movl x, %eax /* return x */  
ret
```

```
x = y = 0;  
pthread_create(&A, NULL, thread_A, NULL);  
pthread_create(&B, NULL, thread_B, NULL);  
pthread_join(A, &A_result); pthread_join(B, &B_result);  
printf("A:%d_B:%d\n", (int) A_result, (int) B_result);
```

my desktop, 100M trials:

frequency	result	
99 823 739	A:0 B:1	('A executes before B')
171 161	A:1 B:0	('B executes before A')
4 706	A:1 B:1	('execute moves into x/y first')
394	A:0 B:0	???

a simple race: results

thread_A:

```
movl $1, x /* x ← 1 */  
movl y, %eax /* return y */  
ret
```

thread_B:

```
movl $1, y /* y ← 1 */  
movl x, %eax /* return x */  
ret
```

```
x = y = 0;  
pthread_create(&A, NULL, thread_A, NULL);  
pthread_create(&B, NULL, thread_B, NULL);  
pthread_join(A, &A_result); pthread_join(B, &B_result);  
printf("A:%d_B:%d\n", (int) A_result, (int) B_result);
```

my desktop, 100M trials:

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394	A:0 B:0	???

load/store reordering

recall: out-of-order processors

processors execute instructions in different order

hide delays from slow caches, variable computation rates, etc.

convenient optimization: execute loads/stores in different order

why load/store reordering?

prior example: load of x executing before store of y

why do this? otherwise delay the load

if x and y unrelated — no benefit to waiting

some x86 reordering restrictions

each core sees its own loads/stores in order

(if a core store something, it can always load it back)

stores *from other cores* appear in a consistent order

(but a core might observe its own stores “too early”)

causality: if a core reads X, then writes Y, no core can observe the read of Y before the read X

how do you do anything with this?

special instructions with stronger ordering rules

special instructions that restrict ordering of instructions around them (“fences”)

loads/stores can't cross the fence

pthread and reordering

synchronizing pthreads functions **prevent reordering**

everything before function call actually happens before everything after

includes **preventing some optimizations**

e.g. keeping global variable in register for too long

not just pthread_mutex_lock/unlock!

includes pthread_create, pthread_join, ...

GCC: preventing reordering

intended to help implementing things like `pthread_mutex_lock`

builtin functions starting with `__sync` and `__atomic`

prevent CPU reordering *and* prevent compiler reordering

also provide other tools for implementing locks (more later)

could also hand-write assembly code

 compiler can't know what assembly code is doing

GCC: preventing reordering example (1)

```
void Alice() {
    note_from_alice = 1;
    do {
        __atomic_thread_fence(__ATOMIC_SEQ_CST);
    } while (note_from_bob);
    if (no_milk) {++milk;}
}
```

Alice:

```
    movl $1, note_from_alice // note_from_alice ← 1
```

```
.L3:
```

```
    mfence // make sure store is visible to other cores before
           // not needed on second+ iteration of loop
```

```
    cmpl $0, note_from_bob // if (note_from_bob == 0) repeat fe
    jne .L3
```

```
    cmpl $0, no_milk
```

```
    ...
```

mfence

x86 instruction mfence

make sure all loads/stores in progress finish

...and make sure no loads/stores were started early

fairly expensive

Intel 'Skylake': order 33 cycles + time waiting for pending stores/loads

GCC: preventing reordering example (2)

```
void Alice() {
    int one = 1;
    __atomic_store(&note_from_alice, &one, __ATOMIC_SEQ_CST);
    do {
    } while (__atomic_load_n(&note_from_bob, __ATOMIC_SEQ_CST));
    if (no_milk) {++milk;}
}
```

```
Alice:
    movl $1, note_from_alice
    mfence
.L2:
    movl note_from_bob, %eax
    testl %eax, %eax
    jne .L2
    ...
```

backup slides

passing thread IDs (1)

```
DataType items[1000];
void *thread_function(void *argument) {
    int thread_id = (int) argument;
    int start = 500 * thread_id;
    int end = start + 500;
    for (int i = start; i < end; ++i) {
        DoSomethingWith(items[i]);
    }
    ...
}
void run_threads() {
    vector<pthread_t> threads(2);
    for (int i = 0; i < 2; ++i) {
        pthread_create(&threads[i], NULL,
            thread_function, (void*) i);
    }
}
```

passing thread IDs (1)

```
DataType items[1000];  
void *thread_function(void *argument) {  
    int thread_id = (int) argument;  
    int start = 500 * thread_id;  
    int end = start + 500;  
    for (int i = start; i < end; ++i) {  
        DoSomethingWith(items[i]);  
    }  
    ...  
}  
void run_threads() {  
    vector<pthread_t> threads(2);  
    for (int i = 0; i < 2; ++i) {  
        pthread_create(&threads[i], NULL,  
            thread_function, (void*) i);  
    }  
}
```

passing thread IDs (2)

```
DataType items[1000];
int num_threads;
void *thread_function(void *argument) {
    int thread_id = (int) argument;
    int start = thread_id * (1000 / num_threads);
    int end = start + (1000 / num_threads);
    if (thread_id == num_threads - 1) end = 1000;
    for (int i = start; i < end; ++i) {
        DoSomethingWith(items[i]);
    }
    ...
}
void run_threads() {
    vector<pthread_t> threads(num_threads);
    for (int i = 0; i < num_threads; ++i) {
        pthread_create(&threads[i], NULL,
            thread_function, (void*) i);
    }
    ...
}
```

passing thread IDs (2)

```
DataType items[1000];
int num_threads;
void *thread_function(void *argument) {
    int thread_id = (int) argument;
    int start = thread_id * (1000 / num_threads);
    int end = start + (1000 / num_threads);
    if (thread_id == num_threads - 1) end = 1000;
    for (int i = start; i < end; ++i) {
        DoSomethingWith(items[i]);
    }
    ...
}
void run_threads() {
    vector<pthread_t> threads(num_threads);
    for (int i = 0; i < num_threads; ++i) {
        pthread_create(&threads[i], NULL,
            thread_function, (void*) i);
    }
    ...
}
```

passing data structures

```
class ThreadInfo {
public:
    ...
};

void *thread_function(void *argument) {
    ThreadInfo *info = (ThreadInfo *) argument;
    ...
    delete info;
}

void run_threads(int N) {
    vector<pthread_t> threads(num_threads);
    for (int i = 0; i < num_threads; ++i) {
        pthread_create(&threads[i], NULL,
            thread_function, (void *) new ThreadInfo(...));
    }
    ...
}
```

passing data structures

```
class ThreadInfo {
public:
    ...
};

void *thread_function(void *argument) {
    ThreadInfo *info = (ThreadInfo *) argument;
    ...
    delete info;
}

void run_threads(int N) {
    vector<pthread_t> threads(num_threads);
    for (int i = 0; i < num_threads; ++i) {
        pthread_create(&threads[i], NULL,
            thread_function, (void *) new ThreadInfo(...));
    }
    ...
}
```