

I/O 2 / Filesystems 1

# Changelog

Changes made in this version not seen in first lecture:

13 November: Correct cluster number on FAT directory entry slide.

# last time

page replacement modifications for scanning

Linux: guess file pages used once until multiple references  
(but non-file pages do actual LRU approximation)

readahead: proactive replacement

detect sequential access patterns

try to keep slightly ahead of program scanning a file

device drivers

file (or block) interface — top half

interrupt handling — bottom half

devices as magic memory

connected to same bus as memory

often via bus adaptors — or chains of them

# on the homework (1)

yes, debugging more challenging than I expected

what I did? — lots of `cprintf`s

...including (virtual and physical) *addresses* and *process IDs* involved

should be able to track intended state of page tables/physical pages

try to make really simple test cases

    minimize number of pages active

could also potentially use GDB

## on the homework (2)

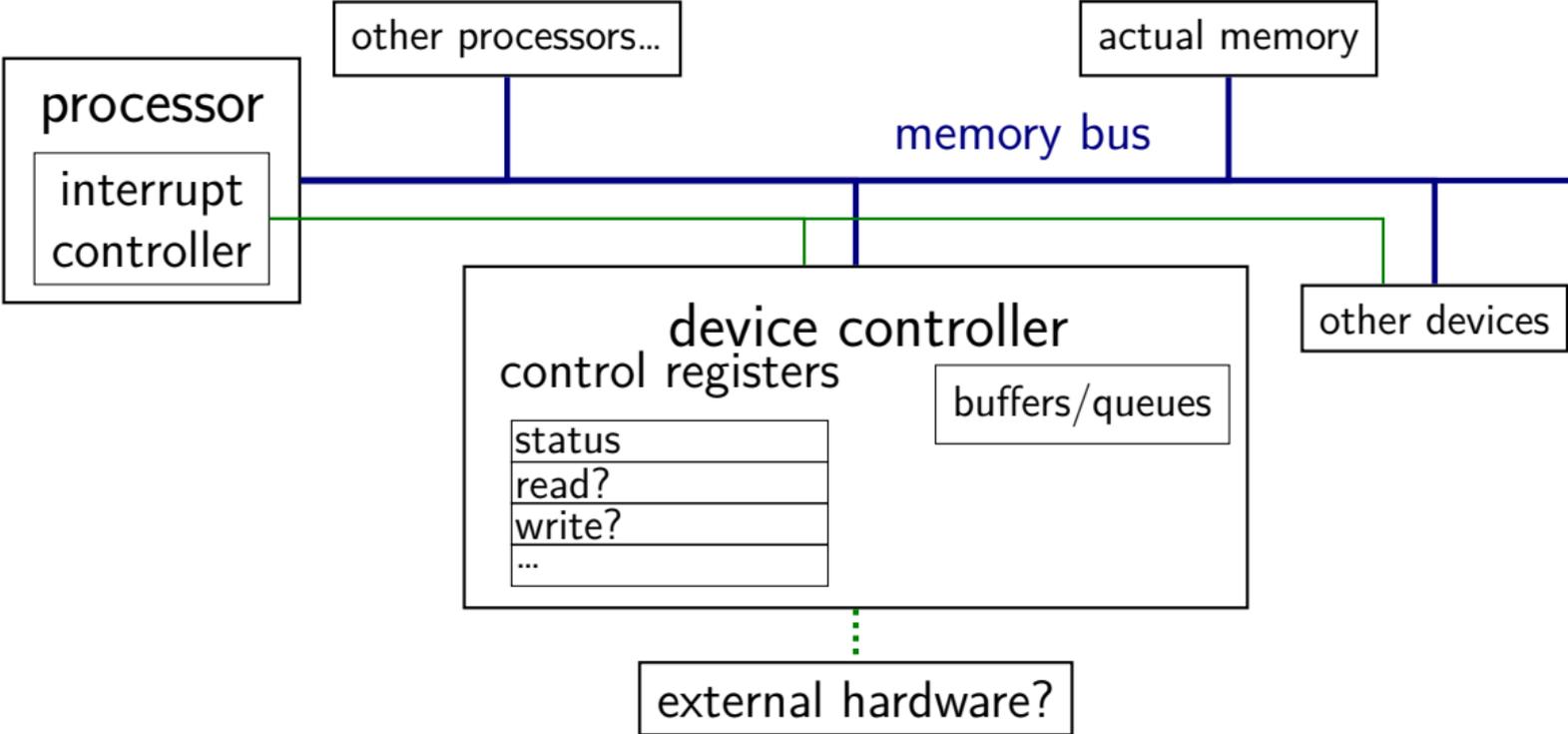
anonymous feedback:

“This homework is the most frustrating homework we’ve had this semester. It’s like everything can go wrong and once it goes wrong it’s almost impossible to figure out what went wrong without knowing every possible interaction. This along with the number of things that can go wrong just makes it very frustrating. At least with previous homeworks, we were able to learn from most of our mistakes whereas here it’s like everything is guess and check. I think if we had a checkpoint where we implemented only allocate on demand and another checkpoint where we implemented copy on write this process could have been better.”

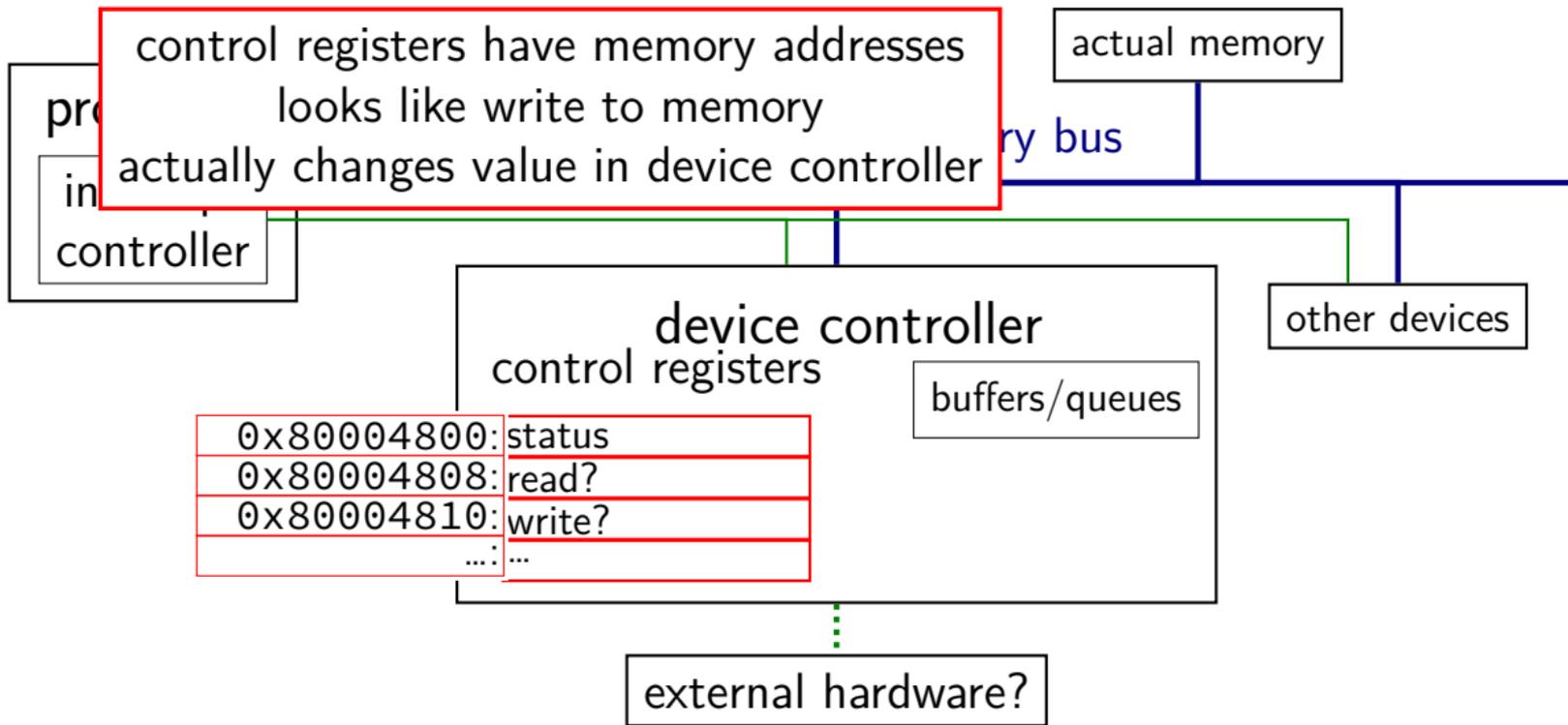
next time — will split into checkpoint (but too late now)

on the homework (3)

# connecting devices

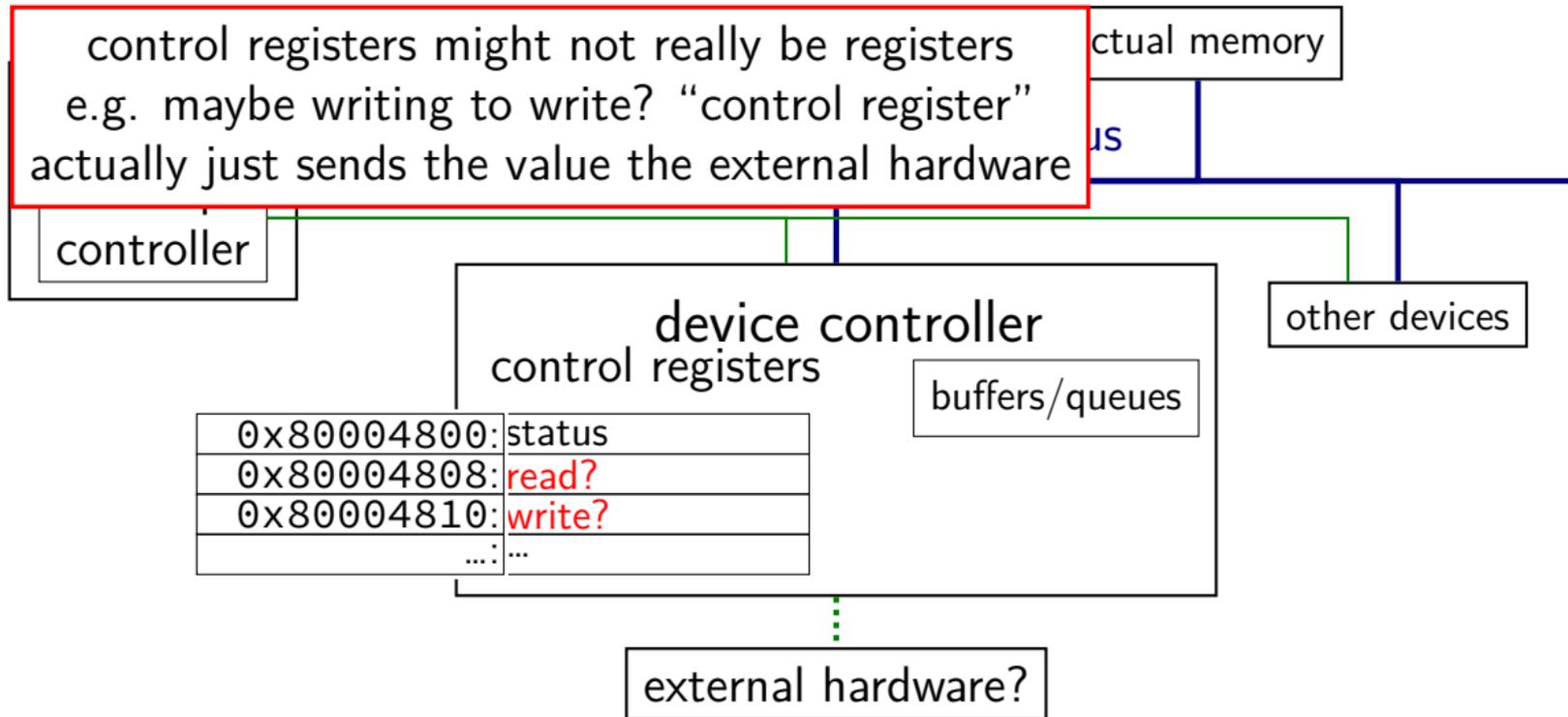


# connecting devices

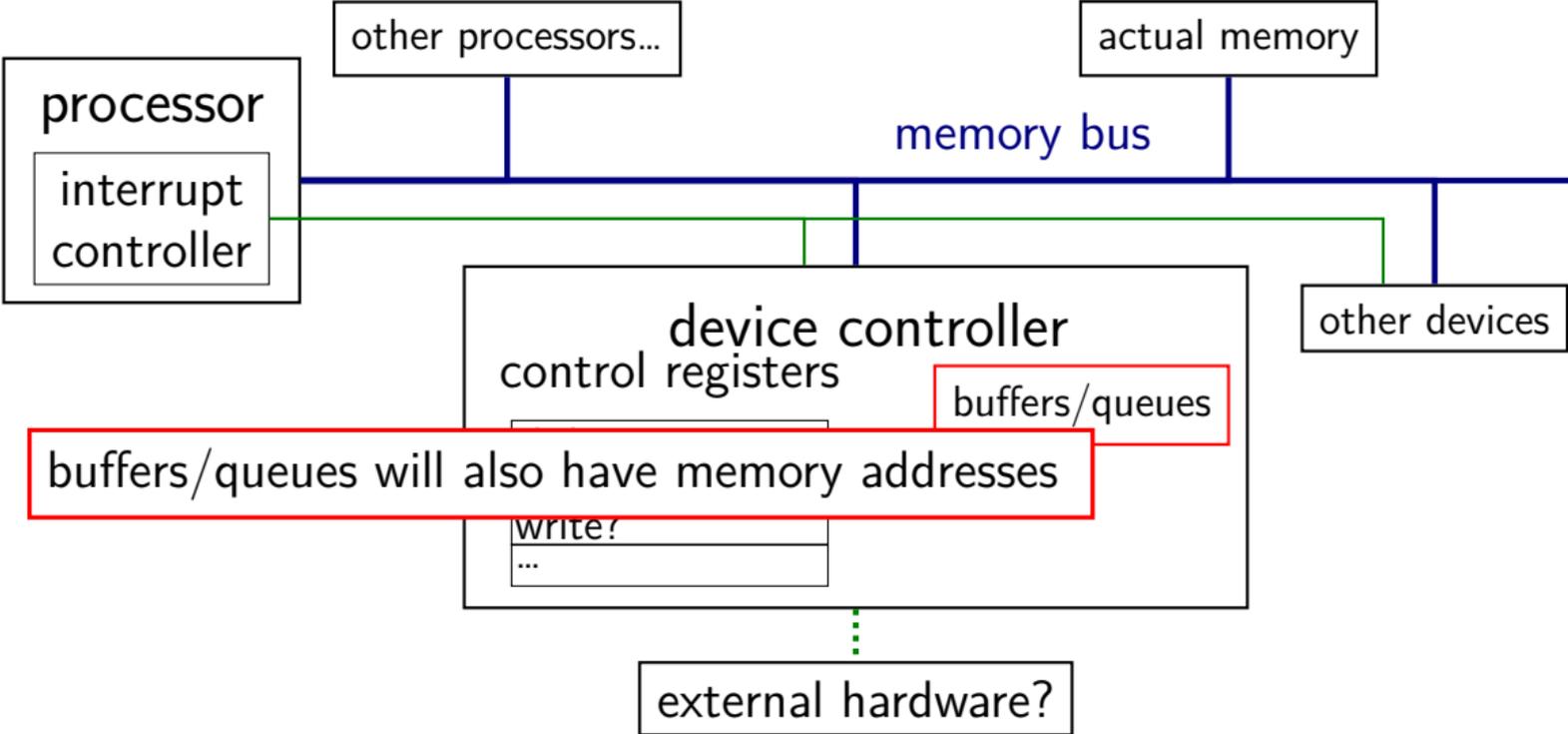


# connecting devices

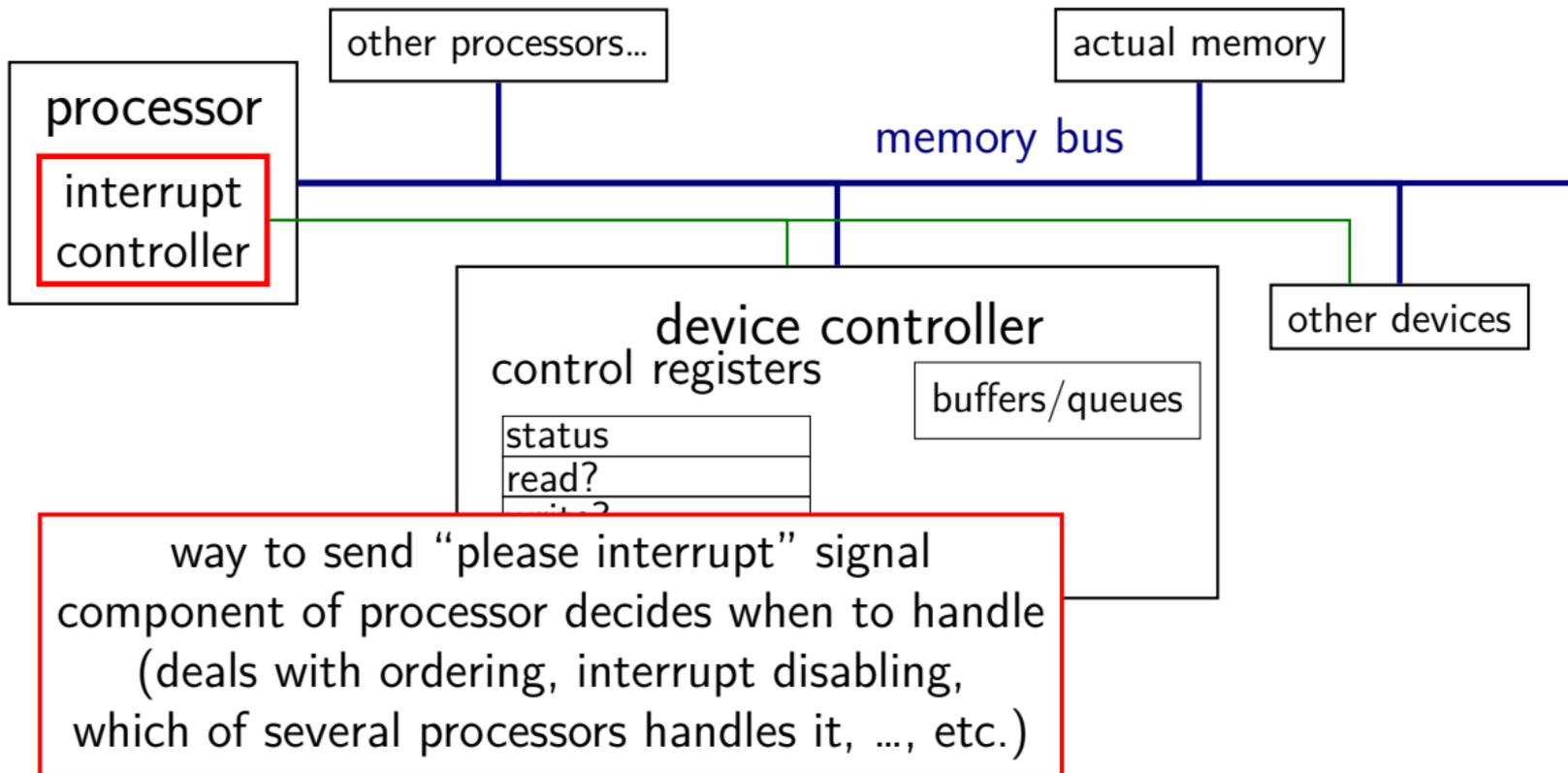
control registers might not really be registers  
e.g. maybe writing to write? "control register"  
actually just sends the value the external hardware



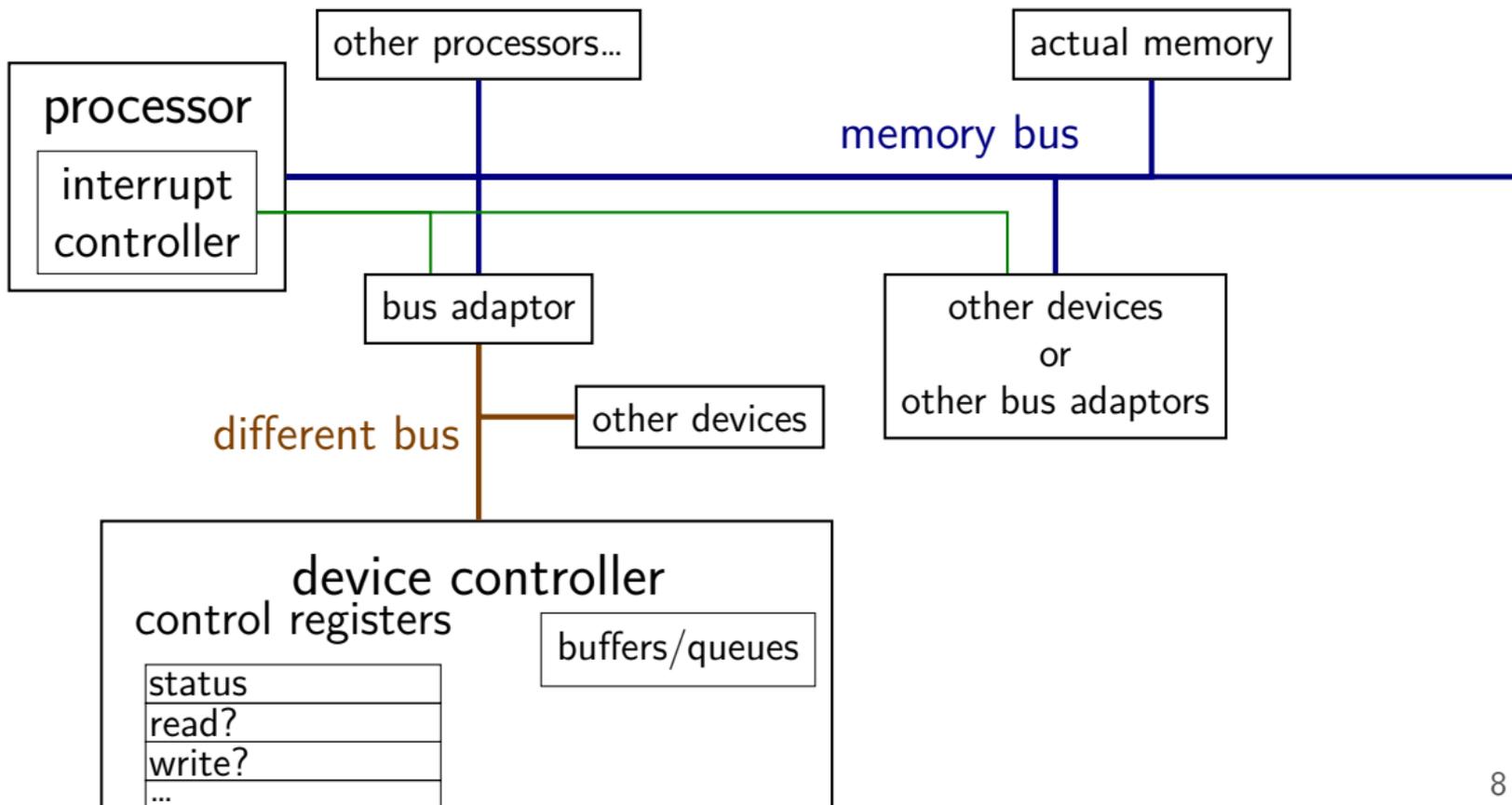
# connecting devices



# connecting devices



# bus adaptors



# devices as magic memory (1)

devices expose memory locations to read/write

use read/write instructions to manipulate device

example: keyboard controller

read from magic memory location — get last keypress/release

reading location clears buffer for next keypress/release

get interrupt whenever new keypress/release you haven't read

# devices as magic memory (1)

devices expose memory locations to read/write

use read/write instructions to manipulate device

example: keyboard controller

**read from magic memory location** — get last keypress/release

reading location clears buffer for next keypress/release

get interrupt whenever new keypress/release you haven't read

# devices as magic memory (1)

devices expose memory locations to read/write

use read/write instructions to manipulate device

example: keyboard controller

read from magic memory location — get last keypress/release

reading location clears buffer for next keypress/release

**get interrupt** whenever new keypress/release you haven't read

## device as magic memory (2)

example: display controller

write to pixels to magic memory location — displayed on screen

other memory locations control format/screen size

example: network interface

write to buffers

write “send now” signal to magic memory location — send data

read from “status” location, buffers to receive

# what about caching?

caching “last keypress/release”?

I press ‘h’, OS reads ‘h’, does that get cached?

# what about caching?

caching “last keypress/release”?

I press ‘h’, OS reads ‘h’, does that get cached?

...I press ‘e’, OS reads what?

# what about caching?

caching “last keypress/release”?

I press ‘h’, OS reads ‘h’, does that get cached?

...I press ‘e’, OS reads what?

solution: OS can **mark memory uncachable**

x86: bit in page table entry can say “no caching”

## aside: I/O space

x86 has a “I/O addresses”

like memory addresses, but accessed with different instruction  
in and out instructions

historically — and sometimes still: separate I/O bus

more recent processors/devices usually use memory addresses  
no need for more instructions, buses  
always have layers of bus adaptors to handle compatibility issues  
other reasons to have devices and memory close (later)

# xv6 keyboard access

two control registers:

KBSTATP: status register (I/O address 0x64)

KBDATAP: data buffer (I/O address 0x60)

```
st = inb(KBSTATP); // in instruction: read from I/O address
if ((st & KBS_DIB) == 0) // bit KBS_DIB indicates data in b
    return -1;
data = inb(KBDATAP); // read from data --- *clears* buffer

/* interpret data to learn what kind of keypress/release */
```

# programmed I/O

“programmed I/O”: write to or read from device controller buffers directly

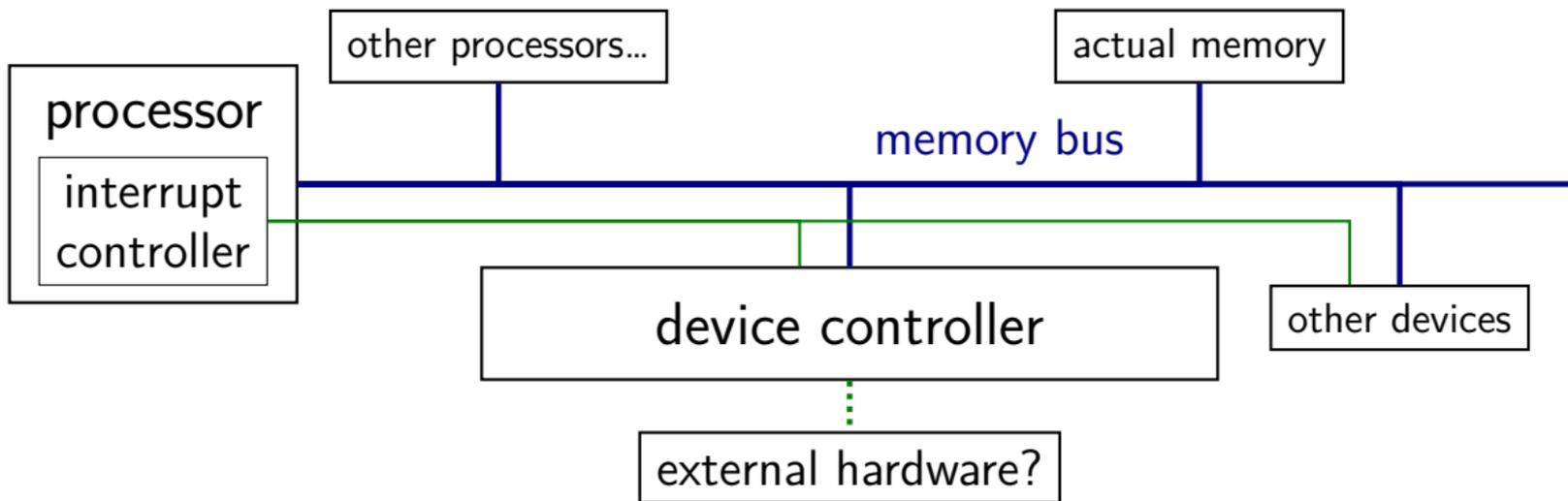
OS runs loop to transfer data to or from device controller

might still be triggered by interrupt

- new data in buffer to read?

- device processed data previously written to buffer?

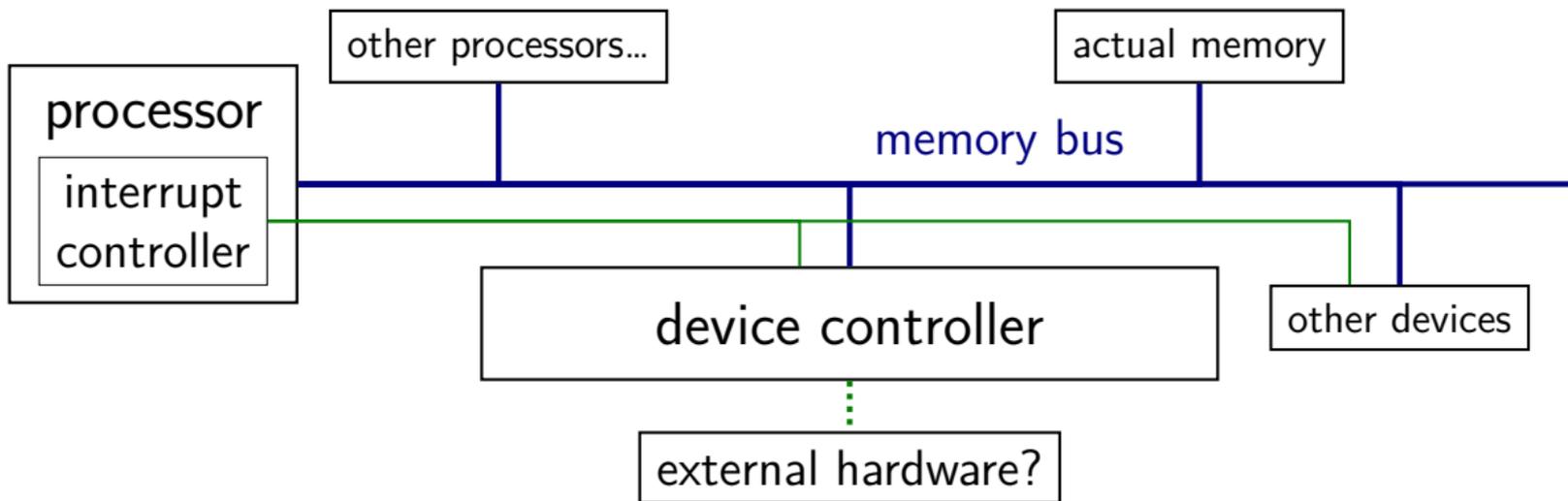
# direct memory access (DMA)



observation: devices can read/write memory

can have **device copy data to/from memory**

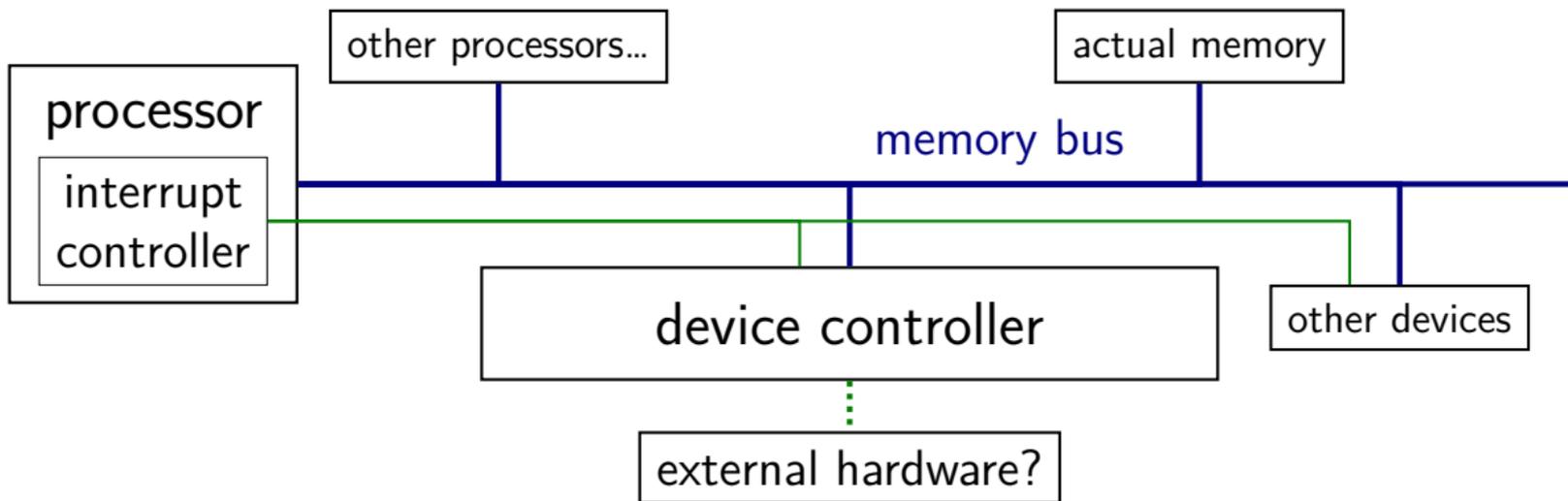
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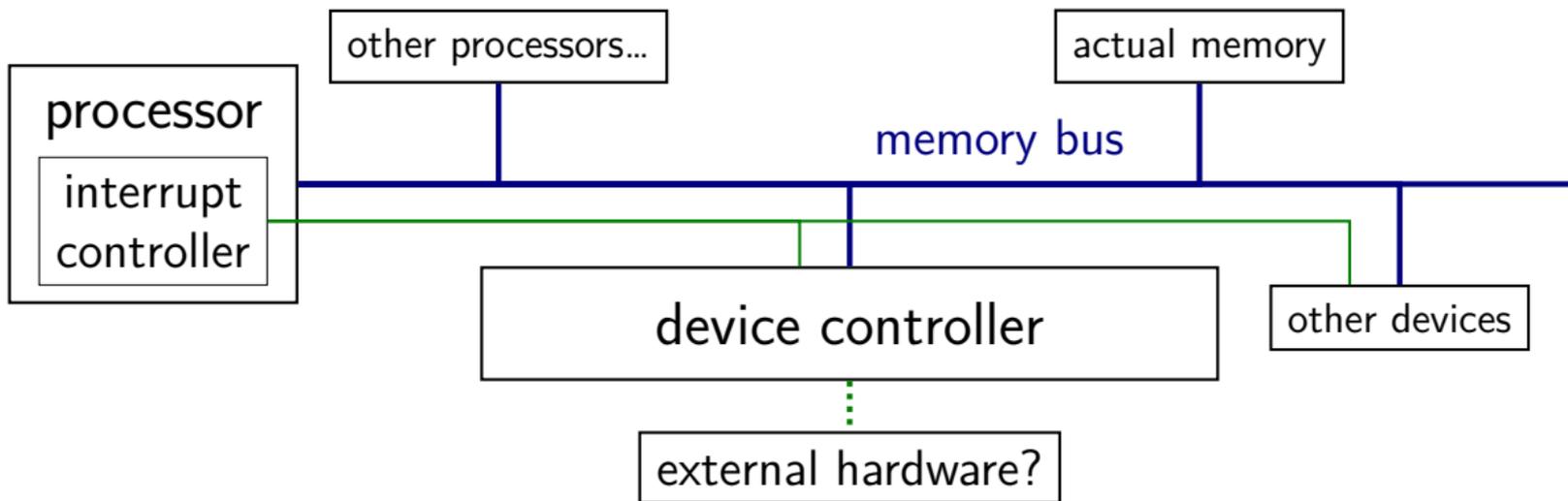
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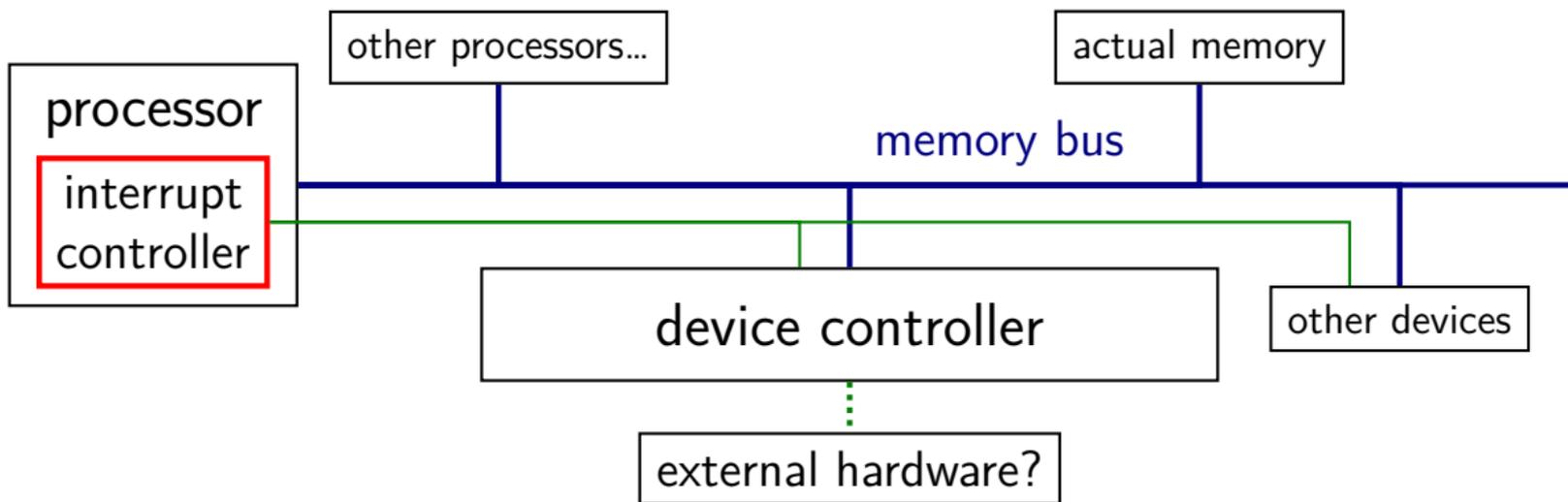
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# direct memory access (DMA)



observation: devices can read/write memory

can have **device copy data to/from memory**

# direct memory access (DMA)

observation: devices can read/write memory

can have **device copy data to/from memory**

*much* faster, e.g., for disk or network I/O

avoids having processor run a loop

allows device to use memory as very large buffer space

allows device to read/write data as it needs/gets it

# direct memory access protocol

store address of buffer *in memory*

OS needs to keep buffer around until device indicates it's done

end of transfer indicated via interrupt + control registers

# IOMMUs

typically, direct memory access requires using physical addresses

- devices don't have page tables

- need contiguous physical addresses (multiple pages if buffer > page size)

- devices that messes up can overwrite arbitrary memory

recent systems have an IO Memory Management Unit

- pagetables for devices

- allows non-contiguous buffers

- enforces protection — broken device can't write wrong memory location

- helpful for virtual machines

# hard drive interfaces

hard drives and solid state disks are divided into **sectors**

historically 512 bytes (larger on recent disks)

disk commands:

read from sector  $i$  to sector  $j$

write from sector  $i$  to sector  $j$  this data

typically want to read/write more than sector— 4K+ at a time

# filesystems

filesystems: store hierarchy of directories on disk

disk is a flat list of blocks of data

given a file (identified how?), where is its data?

which sectors? parts of sectors?

given a directory (identified how?), what files are in it?

metadata: names, owner, permissions, size, ...of file

making a new file: where to put it?

making a file/directory bigger: where does new data go?

# the FAT filesystem

FAT: File Allocation Table

probably simplest widely used filesystem (family)

named for important data structure: *file allocation table*

# FAT and sectors

FAT divides disk into *clusters*

composed of one or more sectors

sector = minimum amount hardware can read

cluster: typically 512 to 4096 bytes

a file's data is stored in clusters

reading a file: determine **the list of clusters**

# FAT: the file allocation table

big array on disk, one entry per cluster

each entry contains a number — usually “next cluster”

cluster num.	entry value
0	4
1	7
2	5
3	1434
...	...
1000	4503
1001	1523
...	...

# FAT: reading a file (1)

get (from elsewhere) first cluster of data

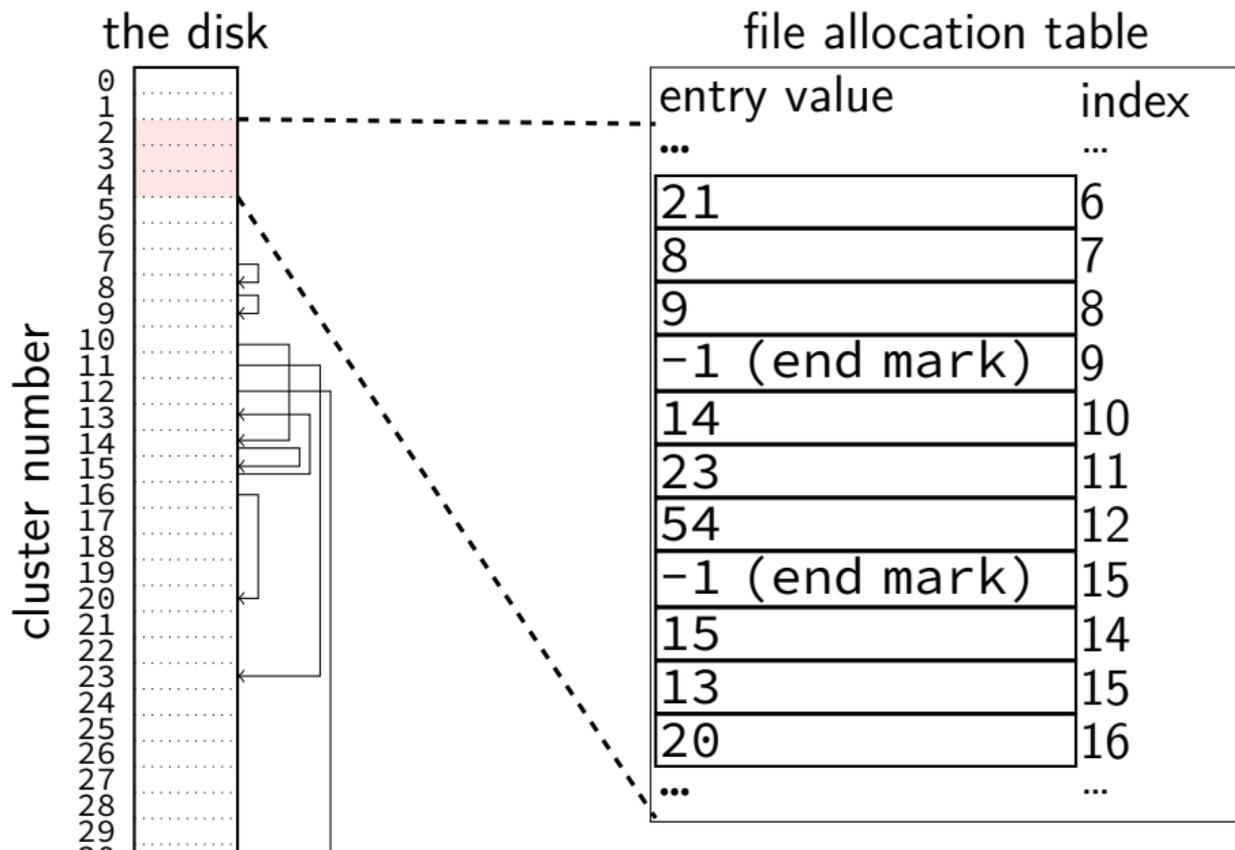
linked list of cluster numbers

next pointers? file allocation table entry for cluster  
special value for NULL

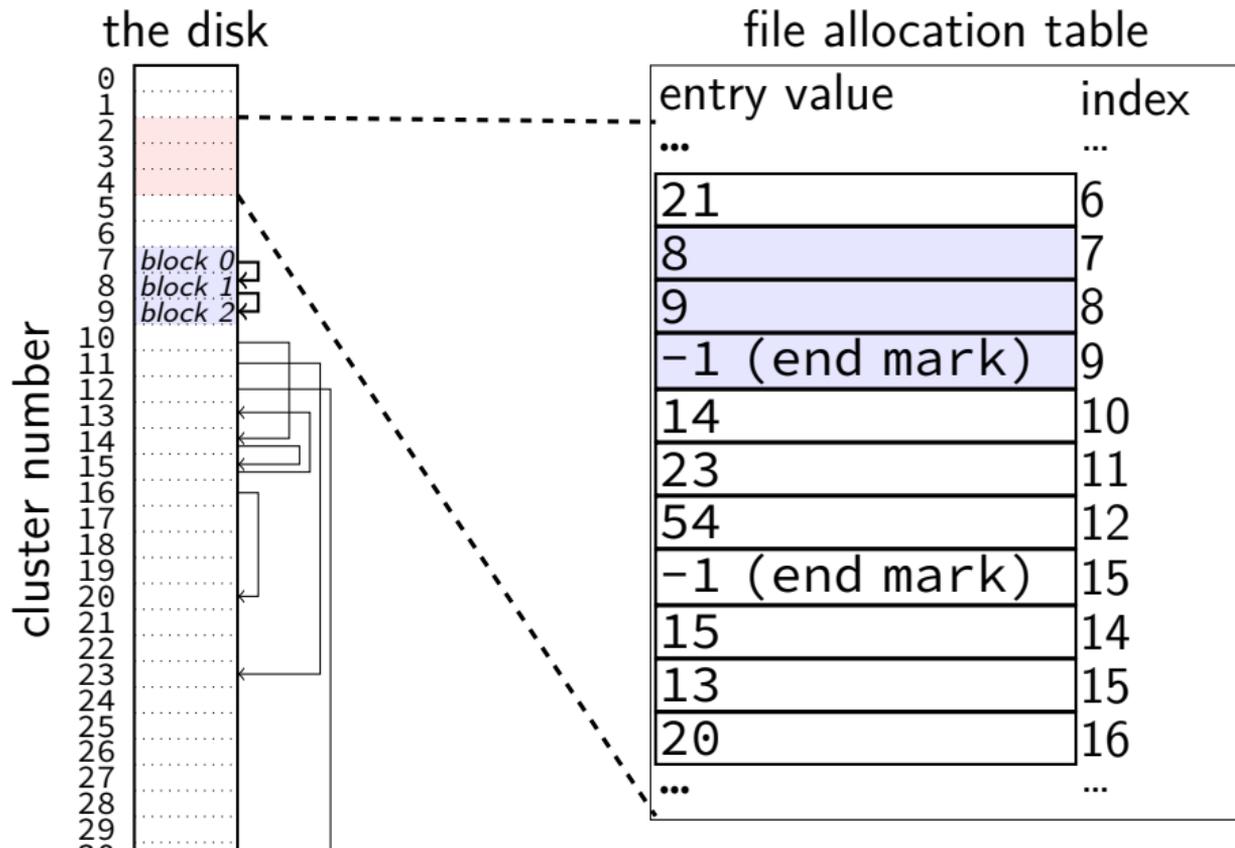
cluster num.	entry value
...	...
10	14
11	23
12	54
13	-1 (end mark)
14	15
15	13
...	...

file starting at cluster 10 contains data in  
cluster 10, then 14, then 15, then 13

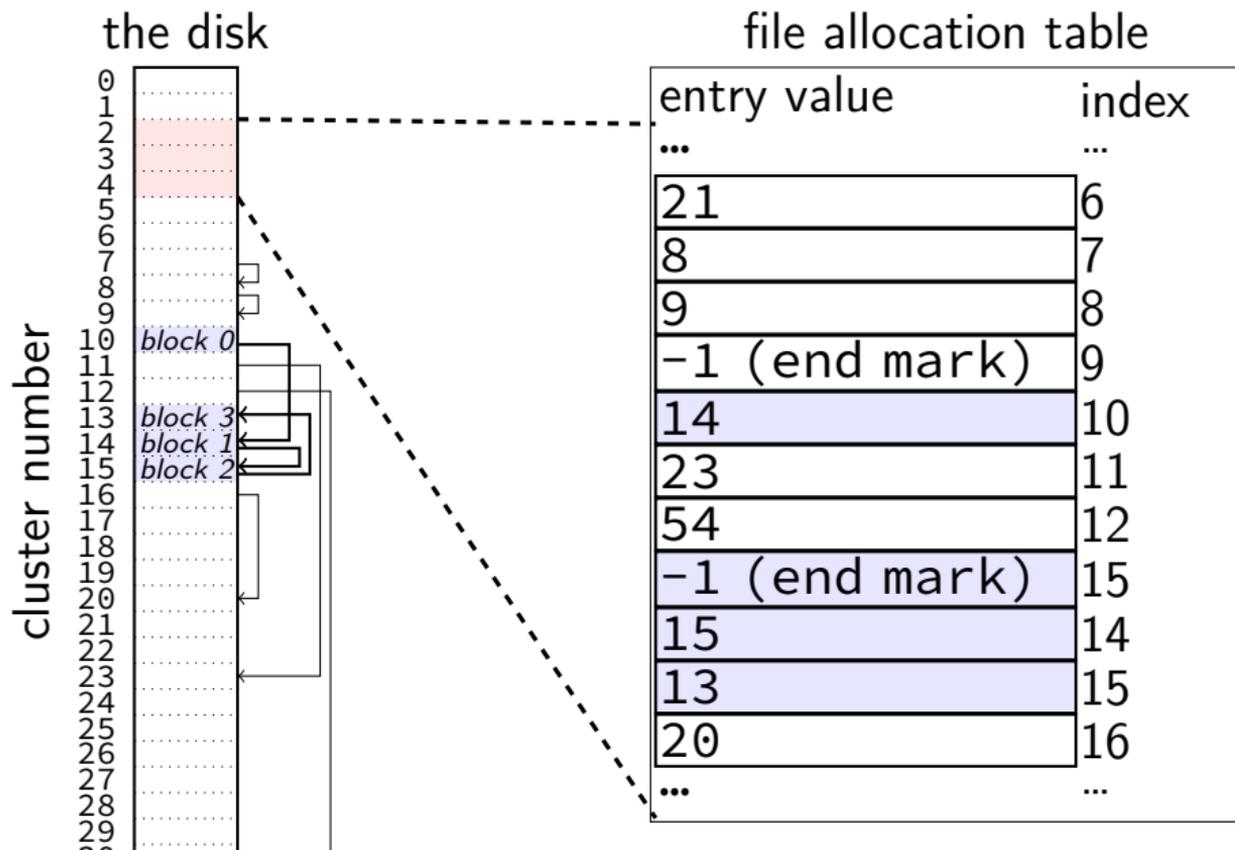
# FAT: reading a file (2)



# FAT: reading a file (2)



# FAT: reading a file (2)



# FAT: reading files

to read a file given its **start location**

read the starting cluster X

get the next cluster Y from FAT entry X

read the next cluster

get the next cluster from FAT entry Y

...

until you see an end marker

# start locations?

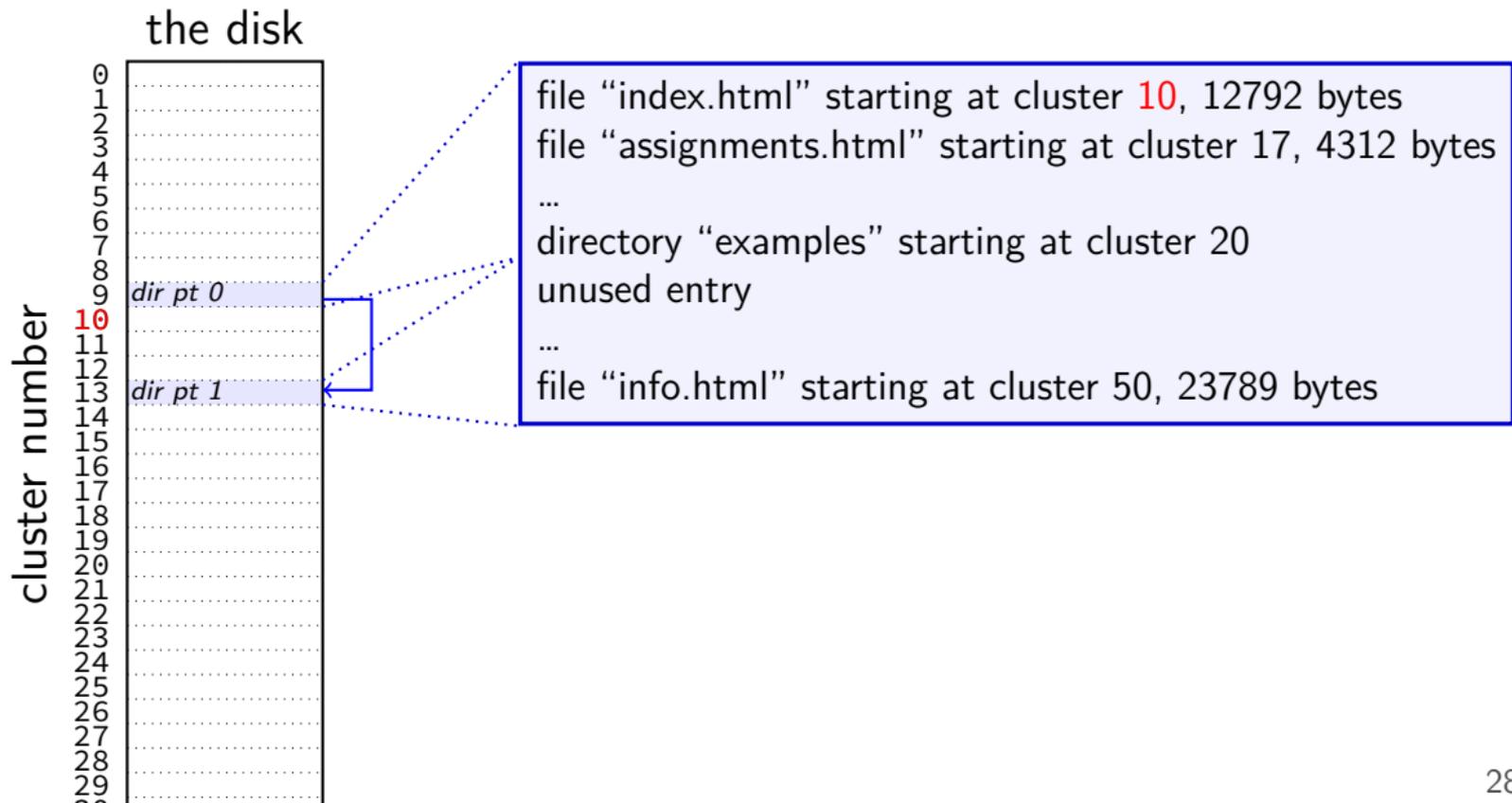
really want filenames

stored in directories!

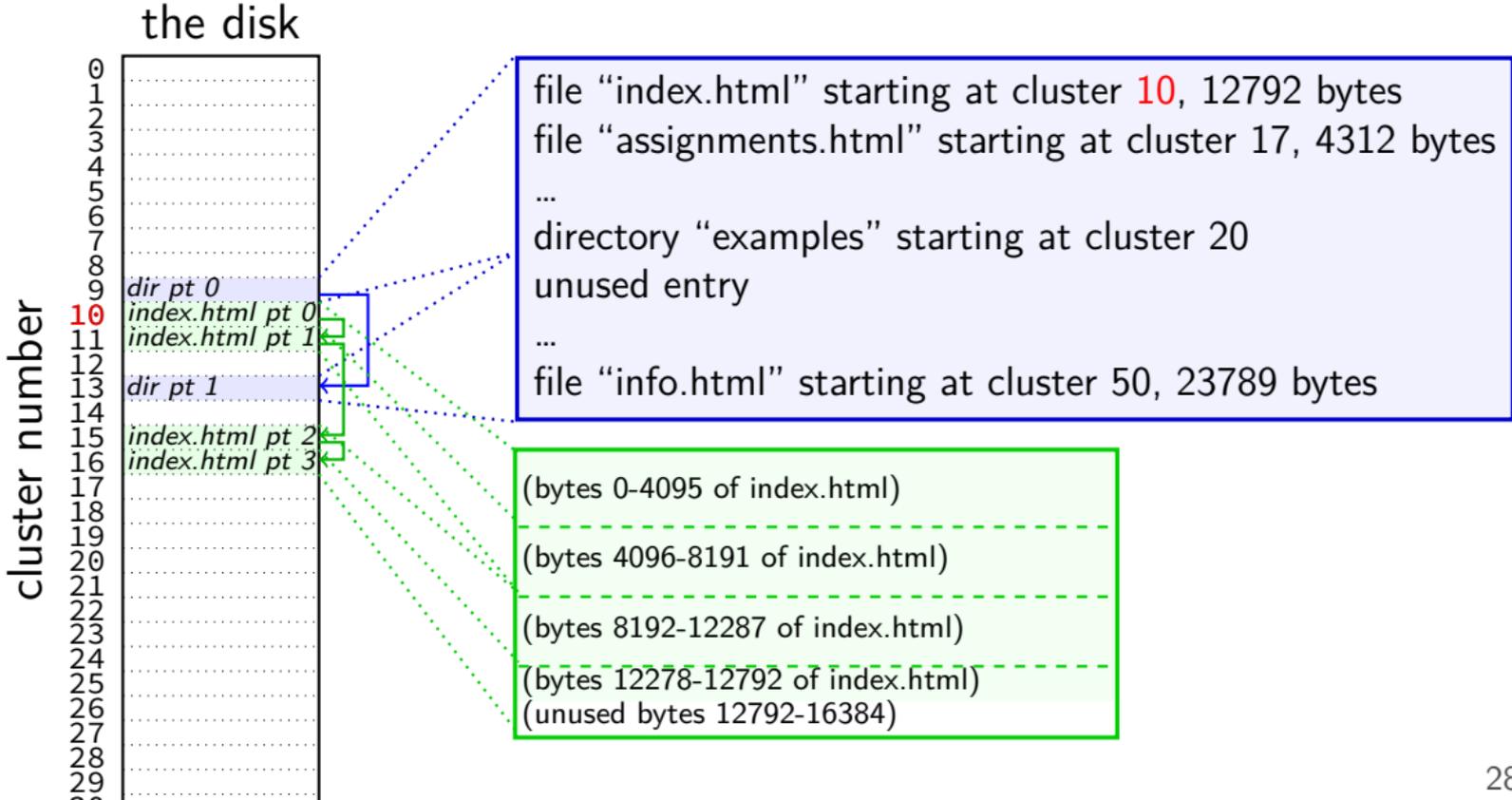
in FAT: directory is a list of:

(name, starting location, other data about file)

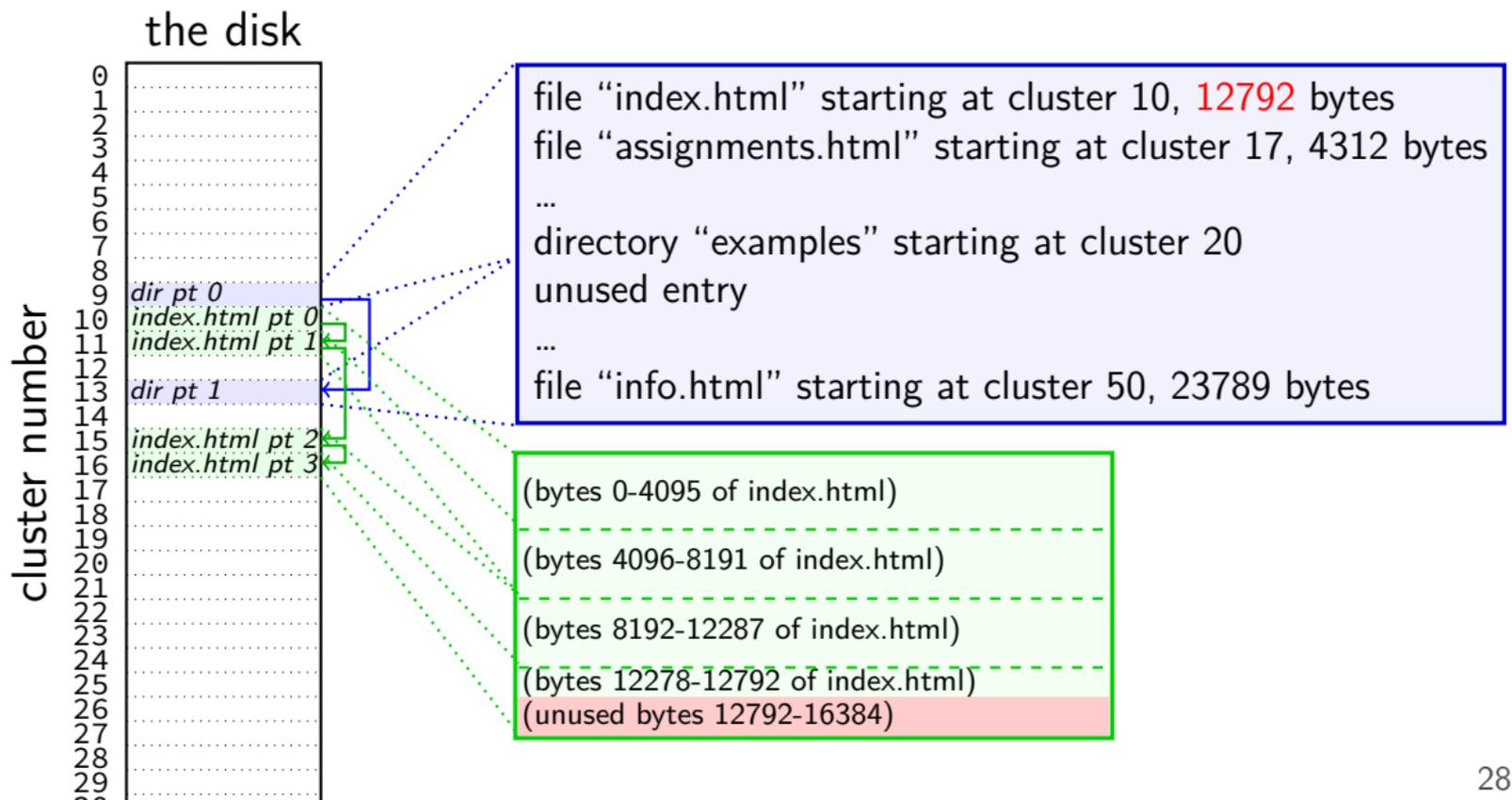
# finding files with directory



# finding files with directory



# finding files with directory



# FAT directory entry

box = 1 byte

entry for README.TXT, 342 byte file, starting at cluster 0x104F4

'R'	'E'	'A'	'D'	'M'	'E'	'_'	'_'	'T'	'X'	'T'	0x00
filename + extension (README.TXT)											attrs

directory?  
read-only?  
hidden?

0x9C	0xA1	0x20	0x7D	0x3C	0x7D	0x3C	0x01	0x00	0xEC	0x62	0x76
creation date + time (2010-03-29 04:05:03.56)				last access (2010-03-29)		cluster # (high bits)		last write (2010-03-22 12:23:12)			

...

0x3C	0xF4	0x04	0x56	0x01	0x00	0x00	'F'	'0'	'0'	...
last write con't	cluster # (low bits)		file size (0x156 bytes)				next directory entry...			

# FAT directory entry

box = 1 byte

entry for README.TXT, 342 byte file, starting at cluster **0x104F4**

'R'	'E'	'A'	'D'	'M'	'E'	'_'	'_'	'T'	'X'	'T'	0x00
filename + extension (README.TXT)											attrs

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...

0x3C	0xF4	0x04	0x56	0x01	0x00	0x00	'F'	'0'	'0'	...
last write con't	cluster # (low bits)		file size (0x156 bytes)			next directory entry...				

32-bit first cluster number split into two parts  
(history: used to only be 16-bits)

# FAT directory entry

box = 1 byte

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'R'	'E'	'A'	'D'	'M'	'E'	'_'	'_'	'T'	'X'	'T'	0x00
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...

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last write con't	cluster # (low bits)		file size (0x156 bytes)			next directory entry...				

8 character filename + 3 character extension  
longer filenames? encoded using extra directory entries  
(special attrs values to distinguish from normal entries)

# FAT directory entry

box = 1 byte

entry for README.TXT, 342 byte file, starting at cluster 0x104F4

'R'	'E'	'A'	'D'	'M'	'E'	'_'	'_'	'T'	'X'	'T'	0x00
filename + extension (README.TXT)											attrs

directory?  
read-only?  
hidden?

0x9C	0xA1	0x20	0x7D	0x3C	0x7D	0x3C	0x01	0x00	0xEC	0x62	0x76
creation date + time (2010-03-29 04:05:03.56)				last access (2010-03-29)		cluster # (high bits)		last write (2010-03-22 12:23:12)			

...

0x3C	0xF4	0x04	0x56	0x01	0x00	0x00	'F'	'O'	'O'	...
last write con't	cluster # (low bits)		file size (0x156 bytes)			next directory entry...				

8 character filename + 3 character extension  
history: used to be all that was supported

# FAT directory entry

box = 1 byte

entry for README.TXT, 342 byte file, starting at cluster 0x104F4

'R'	'E'	'A'	'D'	'M'	'E'	'_'	'_'	'T'	'X'	'T'	0x00
filename + extension (README.TXT)											attrs

directory?  
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...

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last write con't	cluster # (low bits)		file size (0x156 bytes)			next directory entry...				

attributes: is a subdirectory, read-only, ...  
also marks directory entries used to hold extra filename data

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...

0x3C	0xF4	0x04	0x56	0x01	0x00	0x00	'F'	'O'	'O'	...
last write con't	cluster # (low bits)		file size (0x156 bytes)			next directory entry...				

convention: if first character is 0x0 or 0xE5 — unused  
0x00: for filling empty space at end of directory  
0xE5: 'hole' — e.g. from file deletion

## aside: FAT date encoding

seperate date and time fields (16 bits, little-endian integers)

bits 0-4: seconds (divided by 2), 5-10: minute, 11-15: hour

bits 0-4: day, 5-8: month, 9-15: year (minus 1980)

sometimes extra field for 100s(?) of a second

# FAT directory entries (from C)

```
struct __attribute__((packed)) DirEntry {
    uint8_t DIR_Name[11];           // short name
    uint8_t DIR_Attr;              // File sttribute
    uint8_t DIR_NTRes;             // Set value to 0, never c
    uint8_t DIR_CrtTimeTenth;     // millisecond timestamp f
    uint16_t DIR_CrtTime;         // time file was created
    uint16_t DIR_CrtDate;         // date file was created
    uint16_t DIR_LstAccDate;      // last access date
    uint16_t DIR_FstClusHI;       // high word fo this entry
    uint16_t DIR_WrtTime;         // time of last write
    uint16_t DIR_WrtDate;        // dat eof last write
    uint16_t DIR_FstClusLO;      // low word of this entry'
    uint32_t DIR_FileSize;       // 32-bit DWORD hoding thi
};
```

# FAT directory entries (from C)

```
struct __attribute__((packed)) DirEntry {
    uint8_t DIR_Name[11];           // short name
    uint8_t GCC/Clang extension to disable padding
    uint8_t normally compilers add padding to structs
    uint8_t (to avoid splitting values across cache blocks or pages)
    uint16_t DIR_CrtDate;           // date file was created
    uint16_t DIR_LstAccDate;       // last access date
    uint16_t DIR_FstClusHI;       // high word fo this entry
    uint16_t DIR_WrtTime;         // time of last write
    uint16_t DIR_WrtDate;         // dat eof last write
    uint16_t DIR_FstClusLO;       // low word of this entry'
    uint32_t DIR_FileSize;        // 32-bit DWORD hoding thi
};
```

# FAT directory entries (from C)

```
struct __attribute__((packed)) DIR_ENTRY {
    uint8_t DIR_Name;           // 8/16/32-bit unsigned integer
    uint8_t DIR_Attr;          // use exact size that's on disk
    uint8_t DIR_NTRes;         // just copy byte-by-byte from disk to memory
    uint8_t DIR_CrtTime;       // (and everything happens to be little-endian)
    uint16_t DIR_CrtTime;      // time file was created
    uint16_t DIR_CrtDate;      // date file was created
    uint16_t DIR_LstAccDate;    // last access date
    uint16_t DIR_FstClusHI;     // high word for this entry
    uint16_t DIR_WrtTime;       // time of last write
    uint16_t DIR_WrtDate;       // date of last write
    uint16_t DIR_FstClusLO;     // low word of this entry
    uint32_t DIR_FileSize;      // 32-bit DWORD holding this file's size
};
```

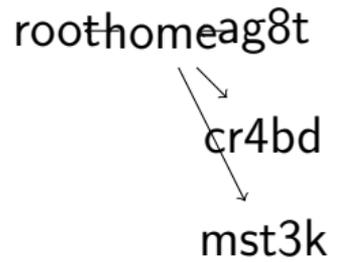
# FAT directory entries (from C)

```
struct __attribute__((packed)) FAT_DIRECTORY_ENTRY {
    uint8_t DIR_Name[11];
    uint8_t DIR_Attr;
    uint8_t DIR_NTRes;
    uint8_t DIR_CrtTimeTenth;
    uint16_t DIR_CrtTime;
    uint16_t DIR_CrtDate;
    uint16_t DIR_LstAccDate;
    uint16_t DIR_FstClusHI;
    uint16_t DIR_WrtTime;
    uint16_t DIR_WrtDate;
    uint16_t DIR_FstClusLO;
    uint32_t DIR_FileSize;
};
```

why are the names so bad ("FstClusHI", etc.)?  
comes from Microsoft's documentation this way

*// Set value to 0, never c*  
*// millisecond timestamp f*  
*// time file was created*  
*// date file was created*  
*// last access date*  
*// high word fo this entry*  
*// time of last write*  
*// dat eof last write*  
*// low word of this entry'*  
*// 32-bit DWORD hoding thi*

# trees of directories



# nested directories

foo/bar/baz/file.txt

read root directory entries to find foo

read foo's directory entries to find bar

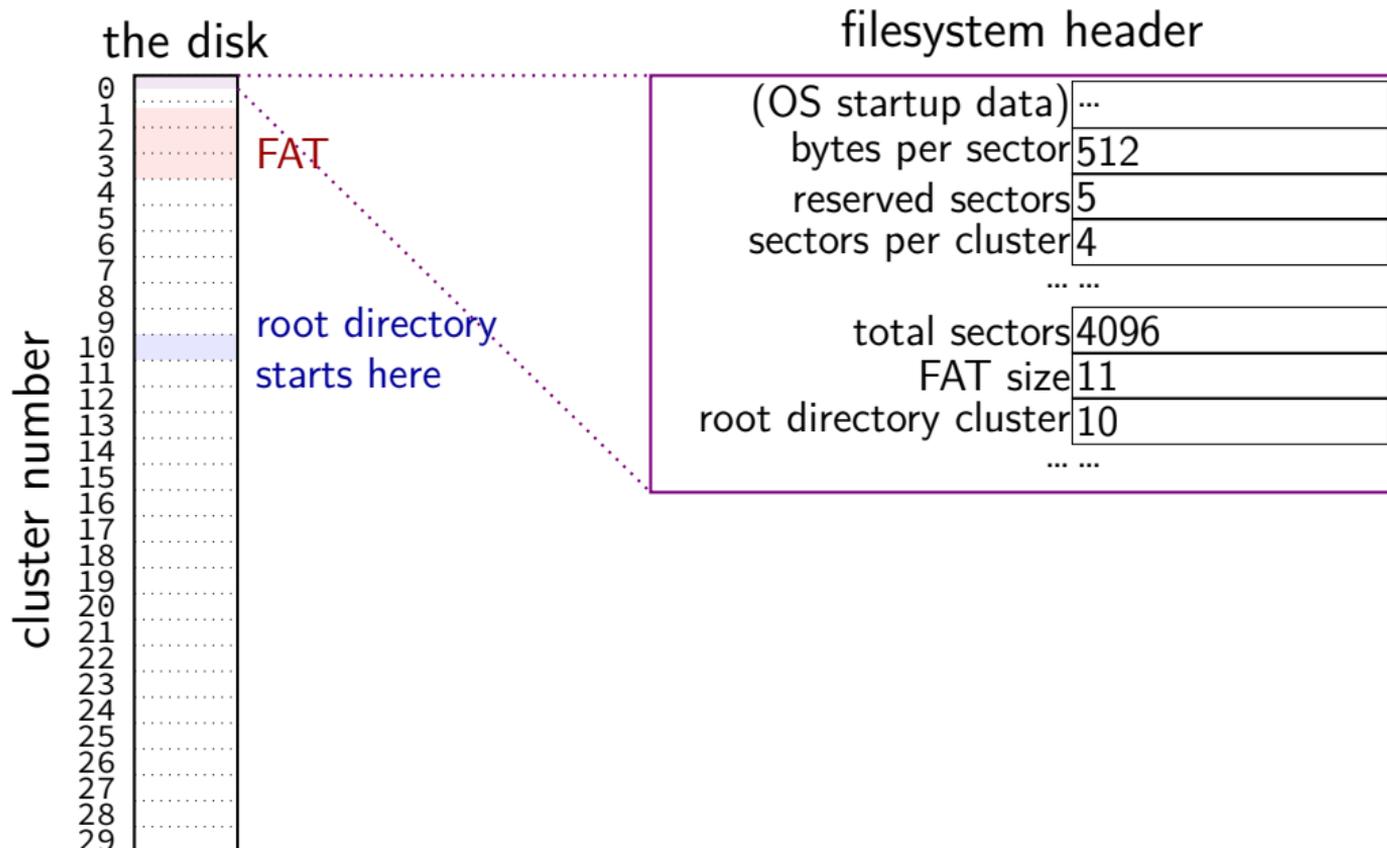
read bar's directory entries to find baz

read baz's directory entries to find file.txt

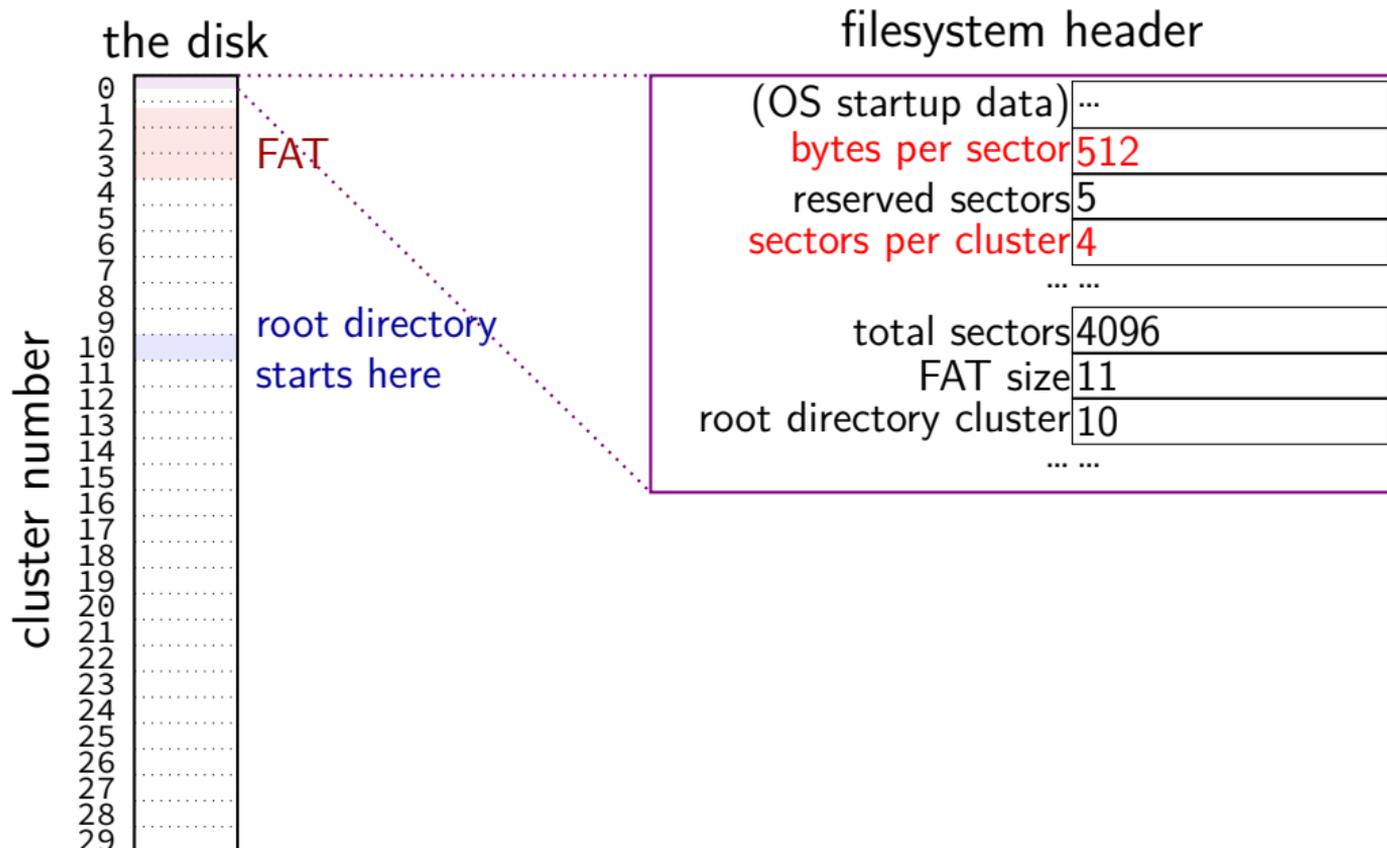
# the root directory?

but where is the first directory?

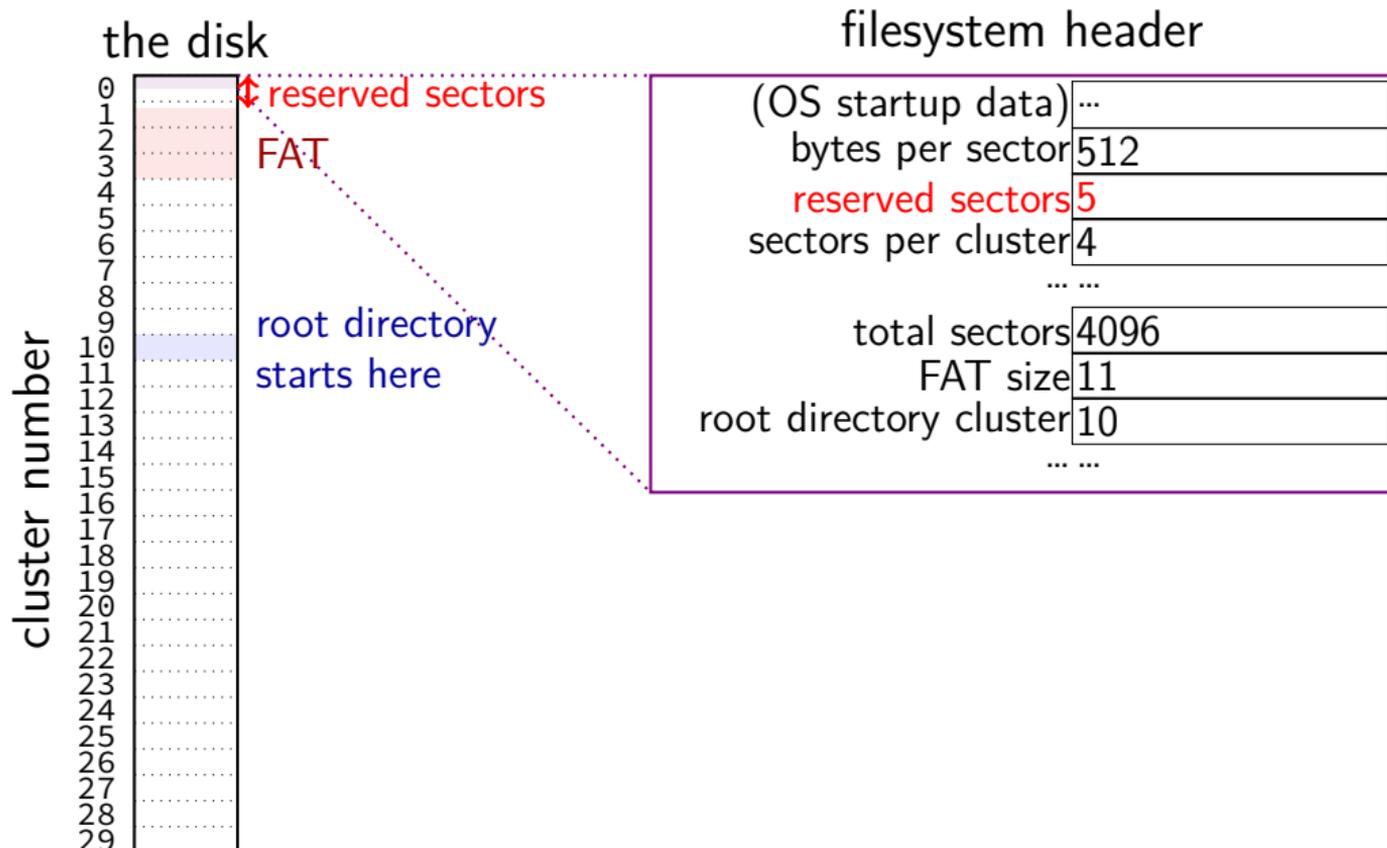
# FAT disk header



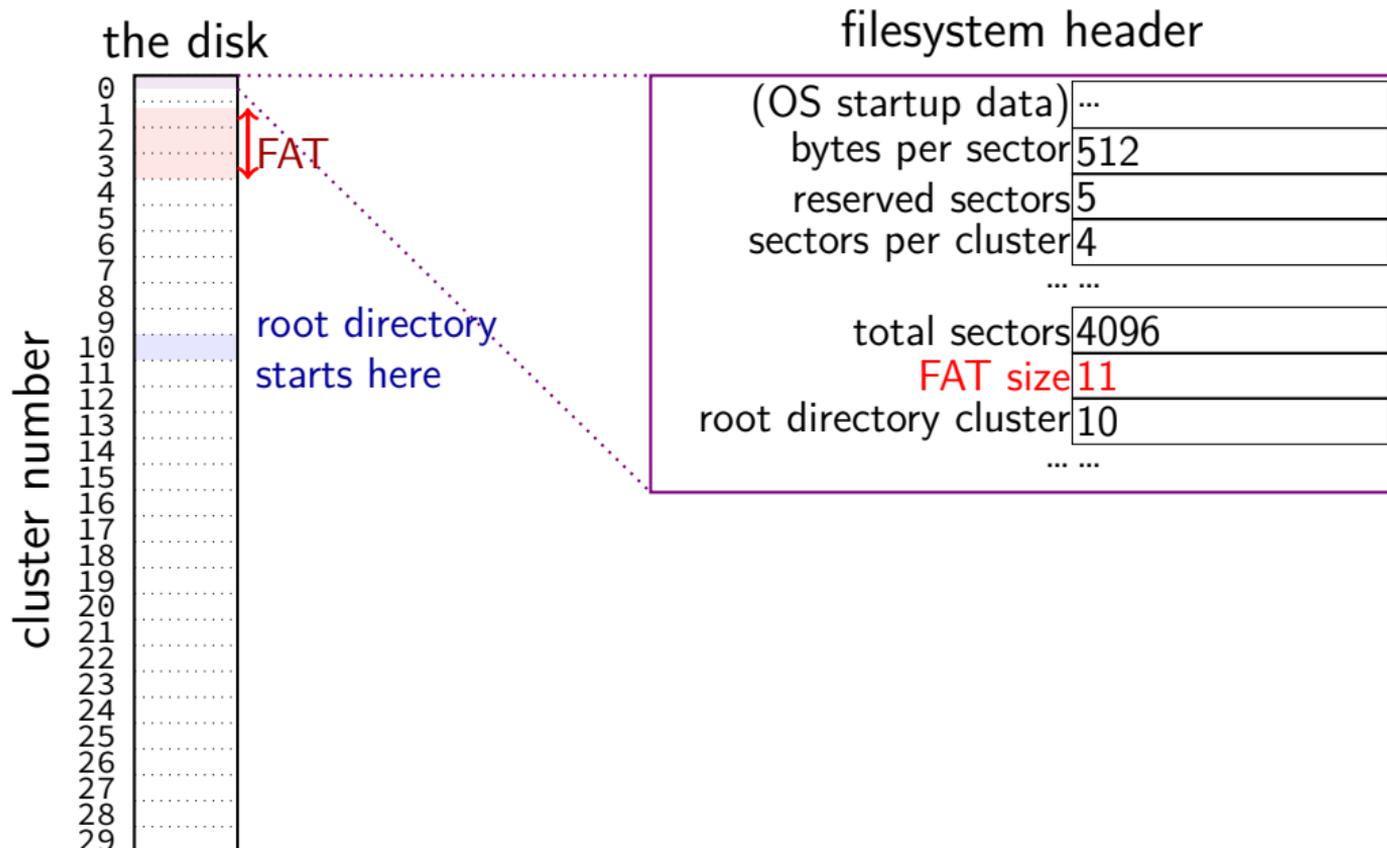
# FAT disk header



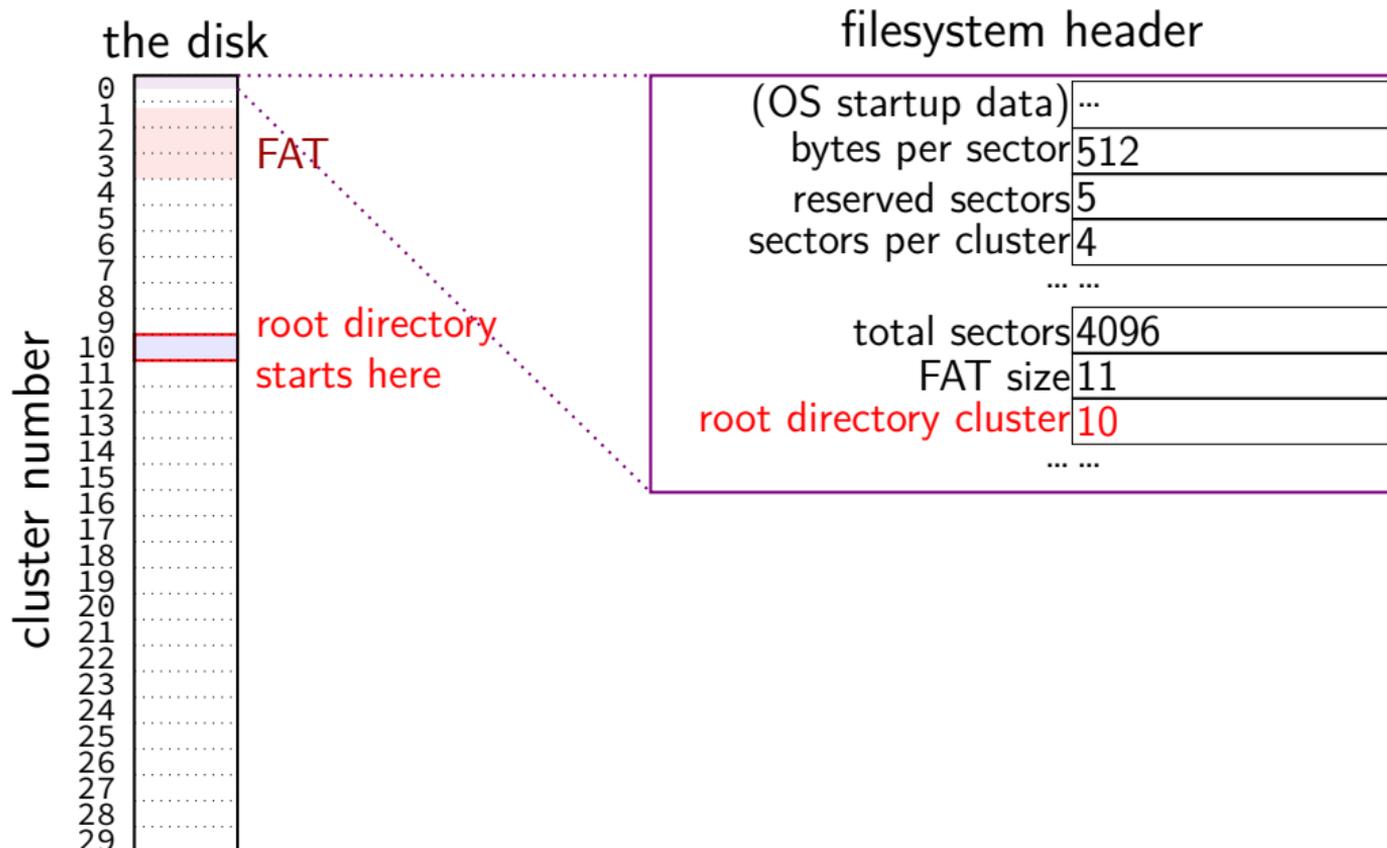
# FAT disk header



# FAT disk header



# FAT disk header



# filesystem header

fixed location near beginning of disk

determines size of clusters, etc.

tells where to find FAT, root directory, etc.

# FAT header (C)

```
struct __attribute__((packed)) Fat32BPB {  
    uint8_t BS_jmpBoot[3];           // jmp instr to boot code  
    uint8_t BS_oemName[8];          // indicates what system format  
    uint16_t BPB_BytsPerSec;        // Count of bytes per sector  
    uint8_t BPB_SecPerClus;         // no.of sectors per allocation  
    uint16_t BPB_RsvdSecCnt;        // no.of reserved sectors in  
    uint8_t BPB_NumFATs;            // The count of FAT data structures  
    uint16_t BPB_rootEntCnt;        // Count of 32-byte entries in  
    uint16_t BPB_totSec16;          // total sectors on the volume  
    uint8_t BPB_media;              // value of fixed media  
    ....
```

# FAT: creating a file

add a directory entry

choose clusters to store file data (how???)

update FAT to link clusters together

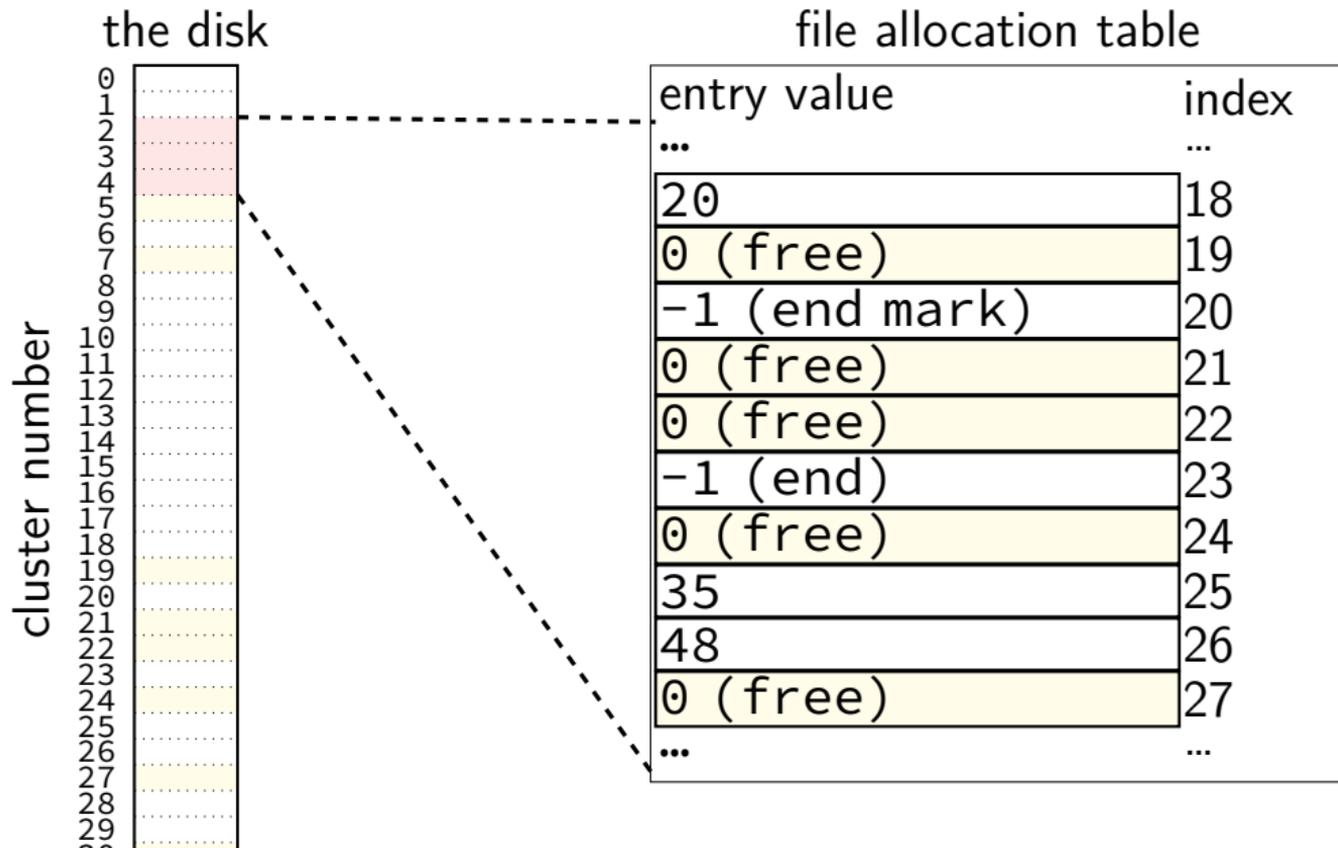
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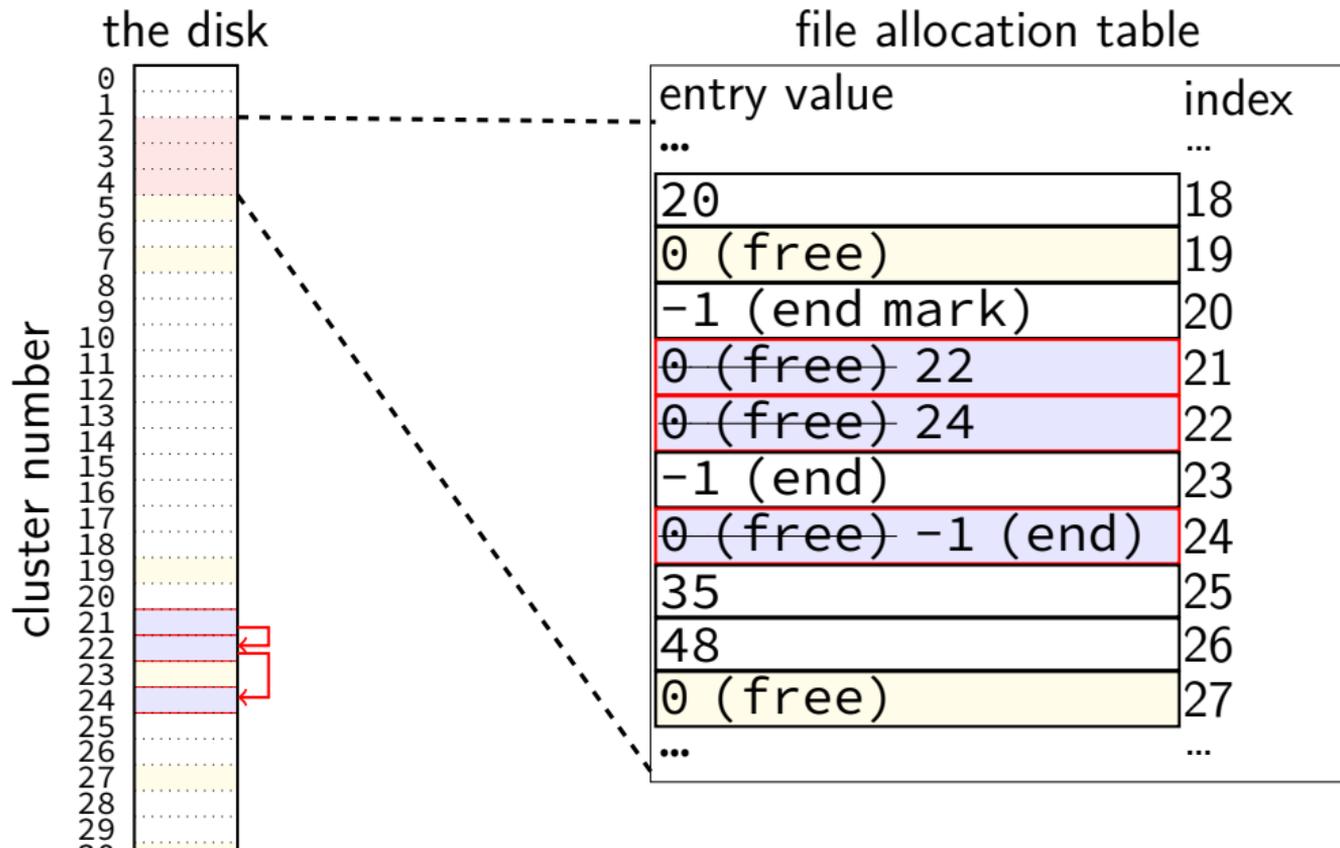
choose clusters to store file data (how???)

update FAT to link clusters together

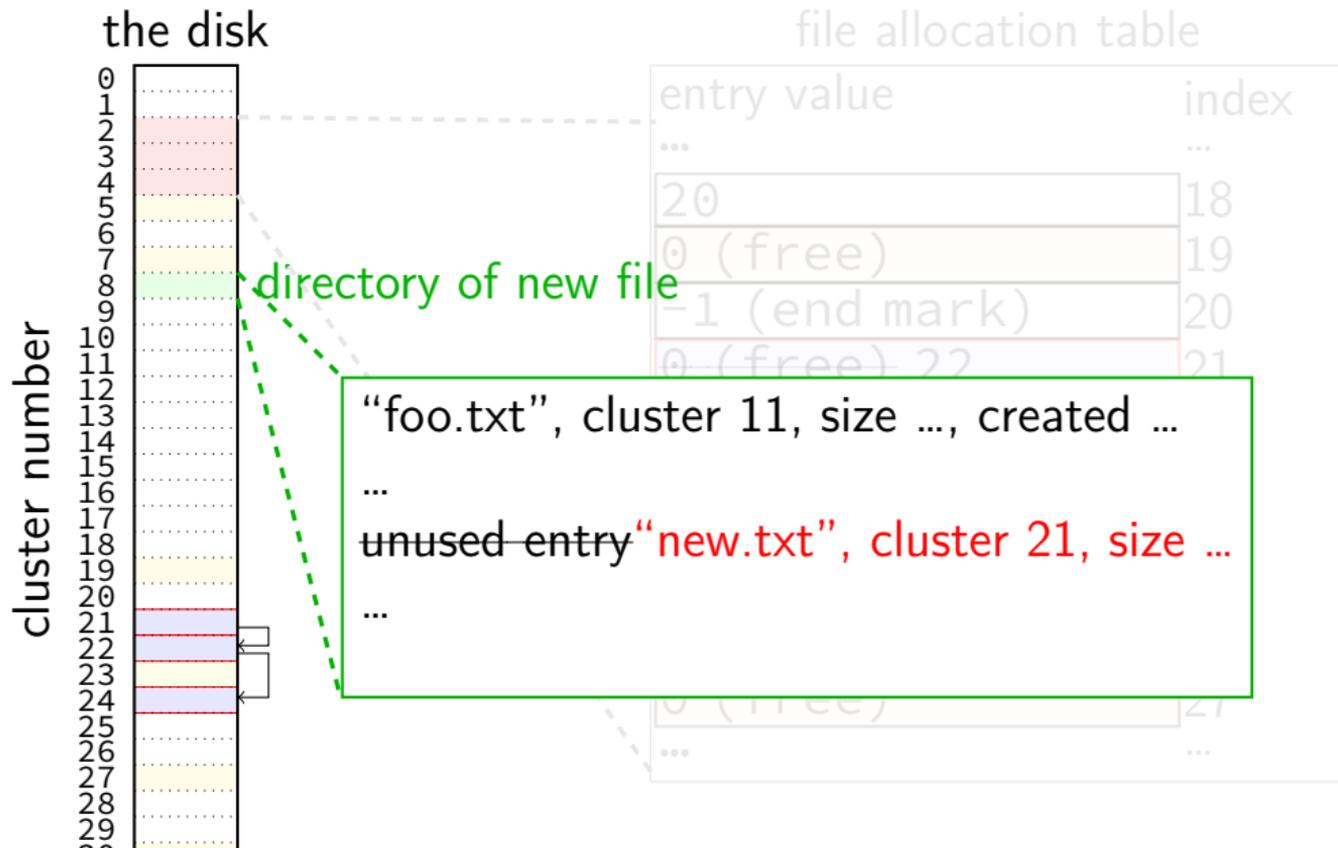
# FAT: free clusters



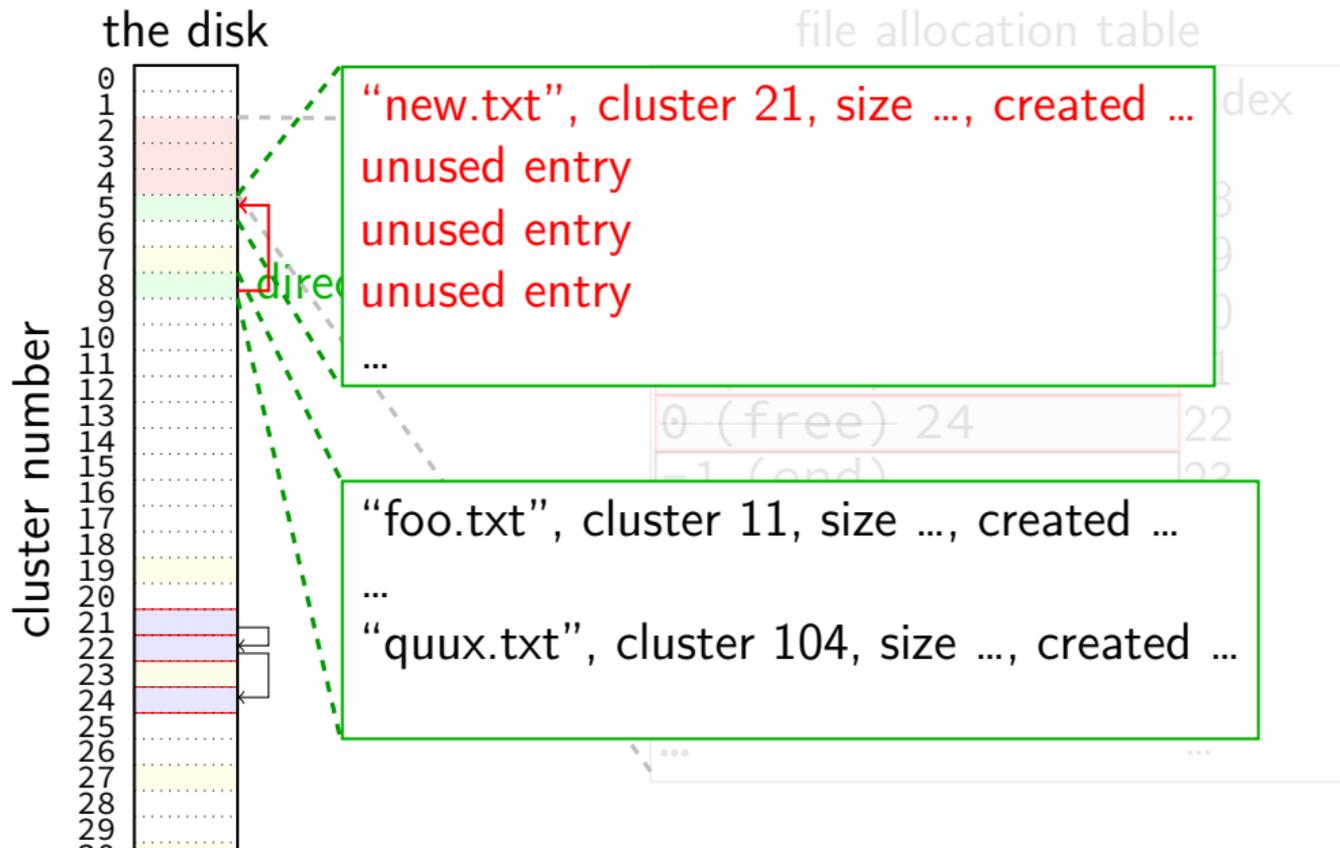
# FAT: writing file data



# FAT: replacing unused directory entry



# FAT: extending directory



# FAT: deleting files

reset FAT entries for file clusters to free (0)

write “unused” character in filename for directory entry  
maybe rewrite directory if that'll save space?

# FAT pros and cons?

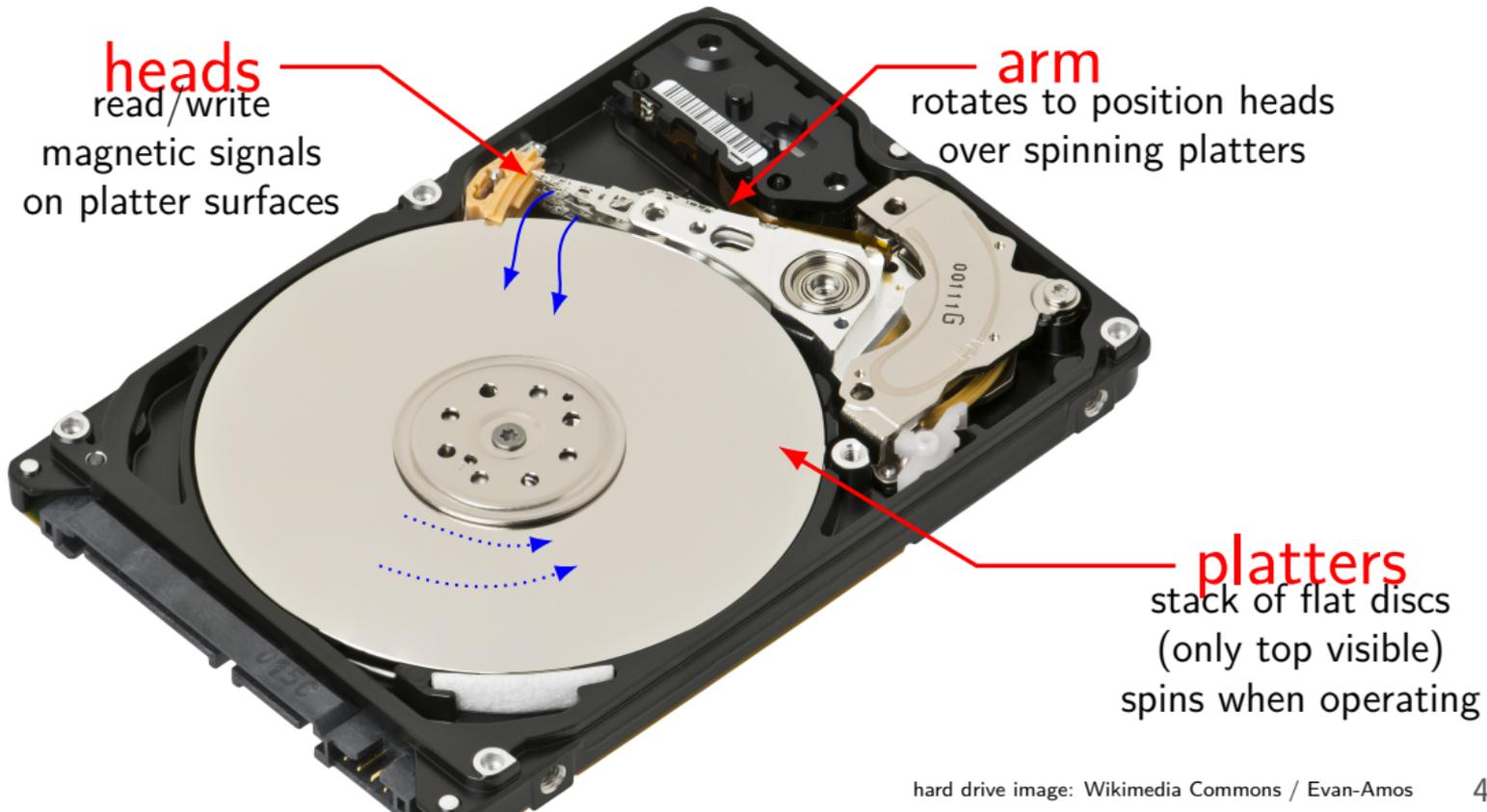
# why hard drives?

what filesystems were designed for

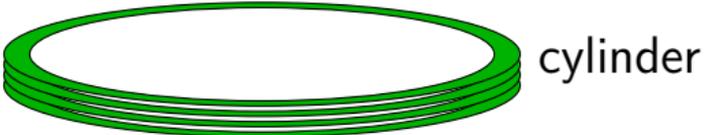
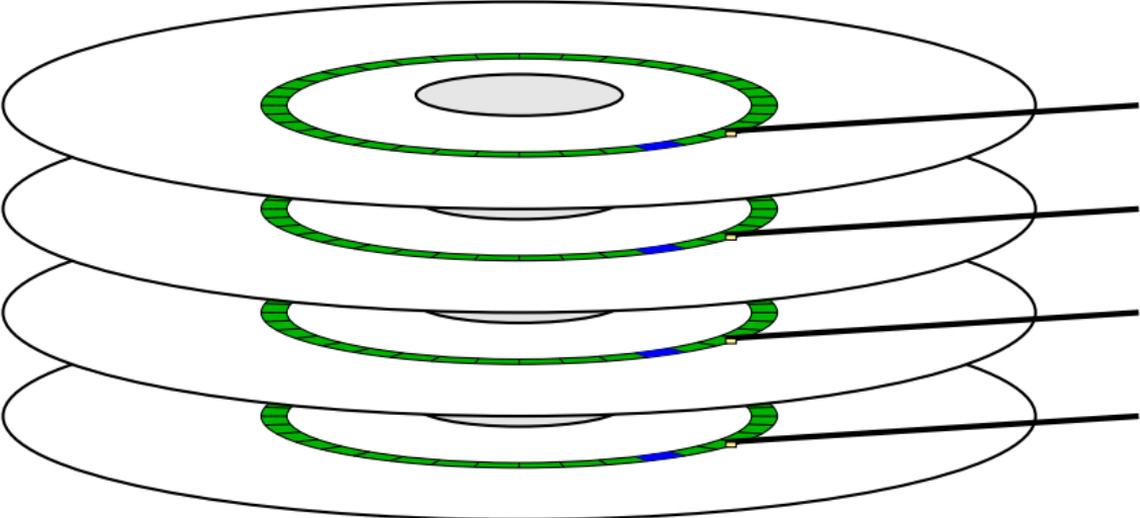
currently most cost-effective way to have a lot of online storage

solid state drives (SSDs) imitate hard drive interfaces

# hard drives



# sectors/cylinders/etc.



— sector?



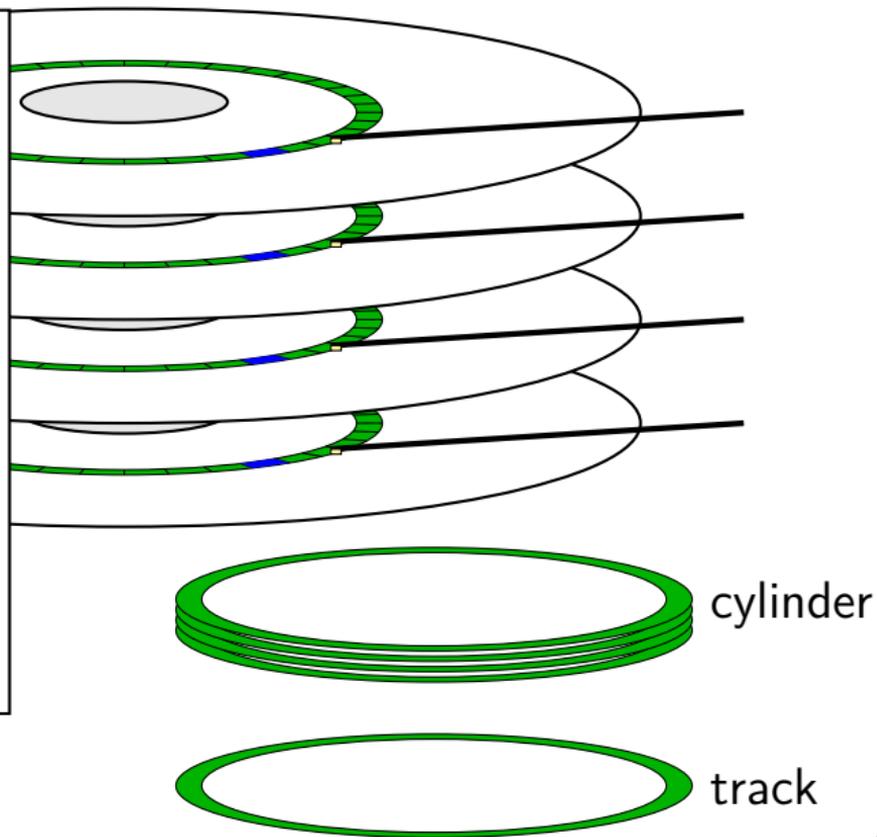
# sectors/cylinders/etc.

**seek time** — 5–10ms  
move heads to cylinder  
faster for adjacent accesses

**rotational latency** — 2–8ms  
rotate platter to sector  
depends on rotation speed  
faster for adjacent reads

**transfer time** — 50–100+MB/s  
actually read/write data

— sector?



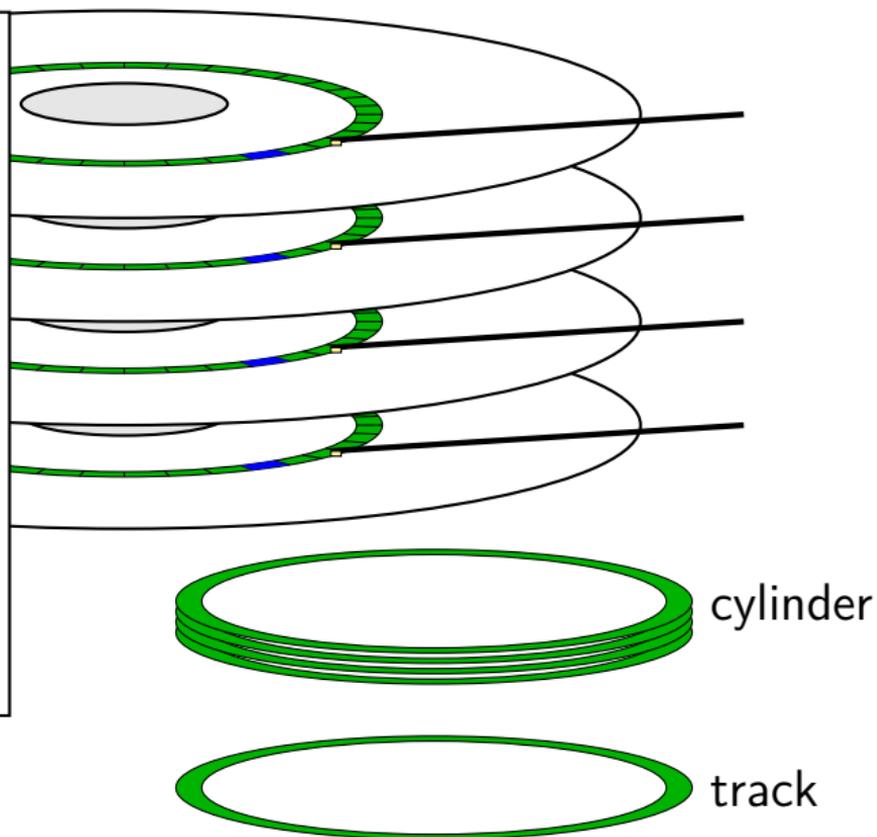
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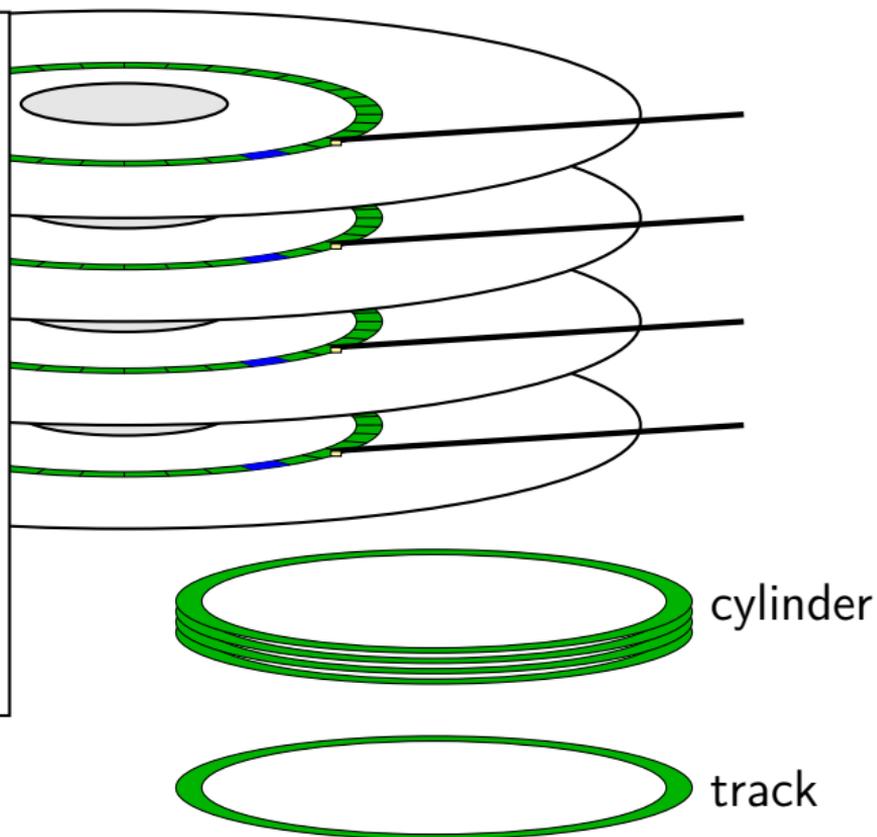
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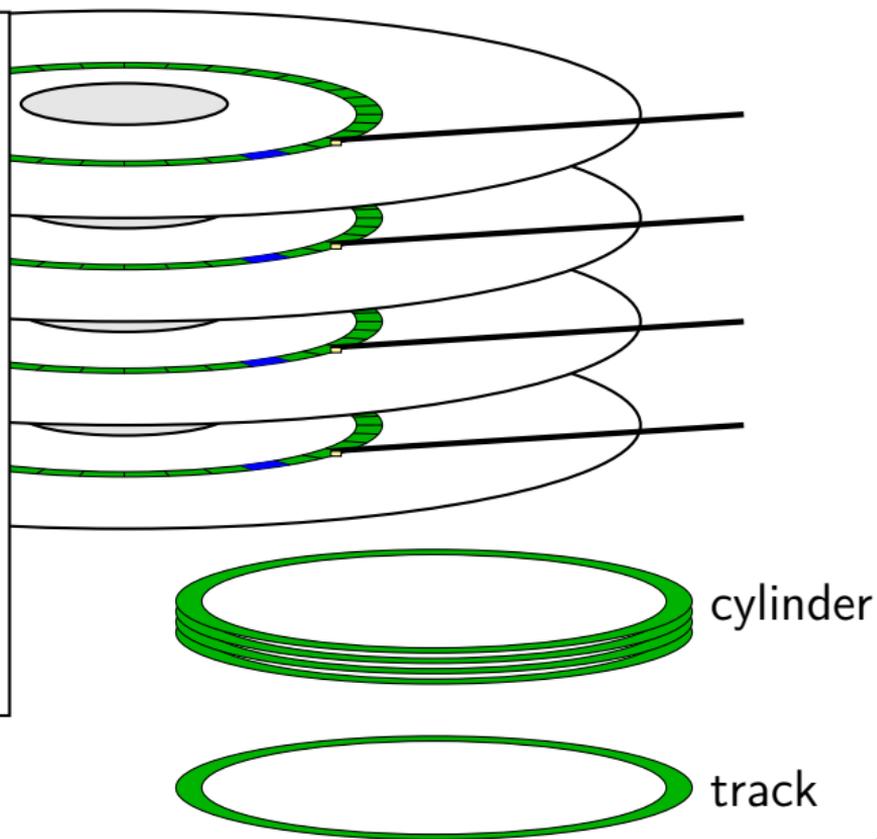
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rotational latency — 2–8ms  
rotate platter to sector  
depends on rotation speed  
faster for **adjacent reads**

transfer time — 50–100+MB/s  
actually read/write data

— sector?



# POSIX: everything is a file

the file: one interface for

- devices (terminals, printers, ...)

- regular files on disk

- networking (sockets)

- local interprocess communication (pipes, sockets)

basic operations: `open()`, `read()`, `write()`, `close()`

# the file interface

open before use

setup, access control happens here

byte-oriented

real device isn't? operating system needs to hide that

explicit close

# the file interface

open before use

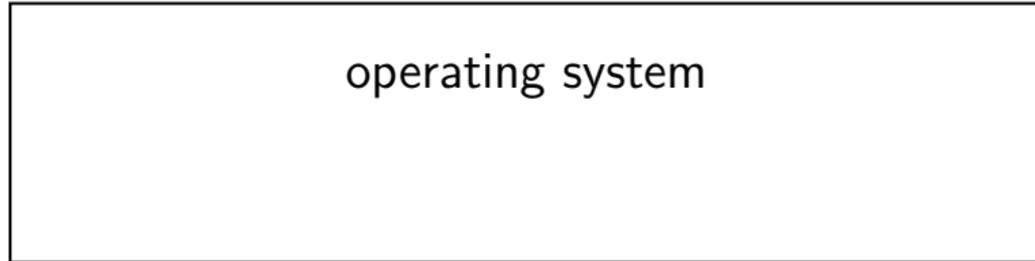
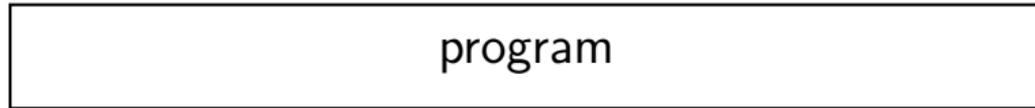
setup, access control happens here

byte-oriented

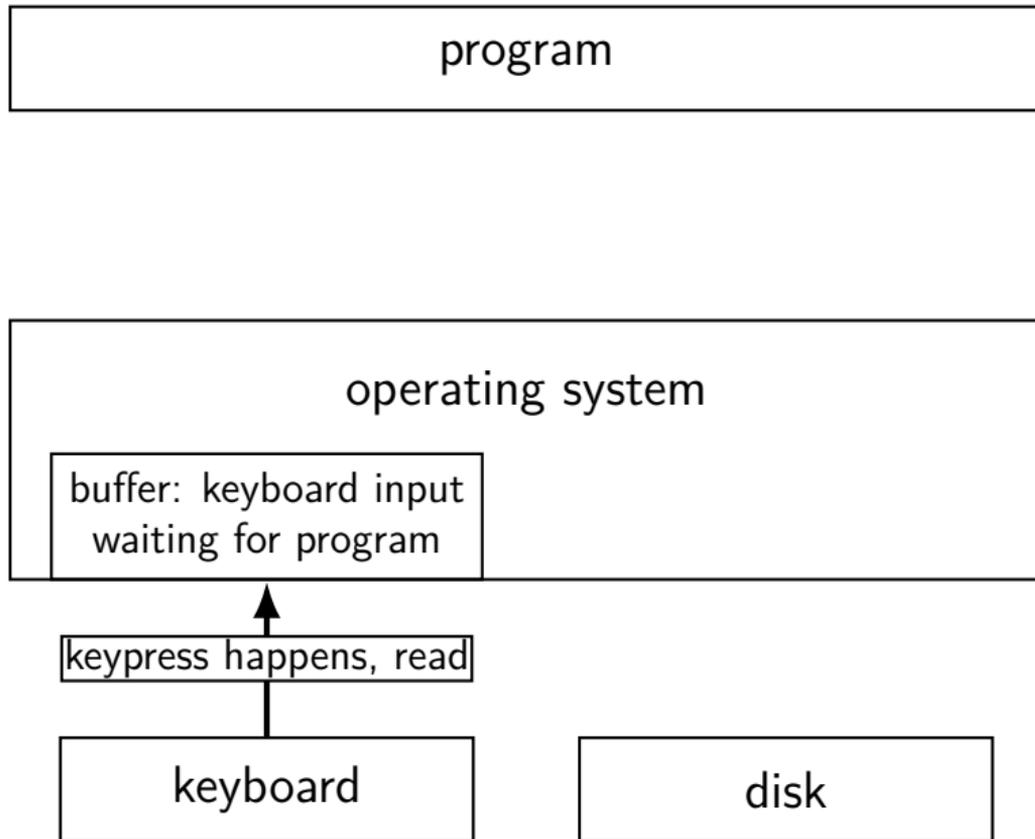
real device isn't? operating system needs to **hide** that

explicit close

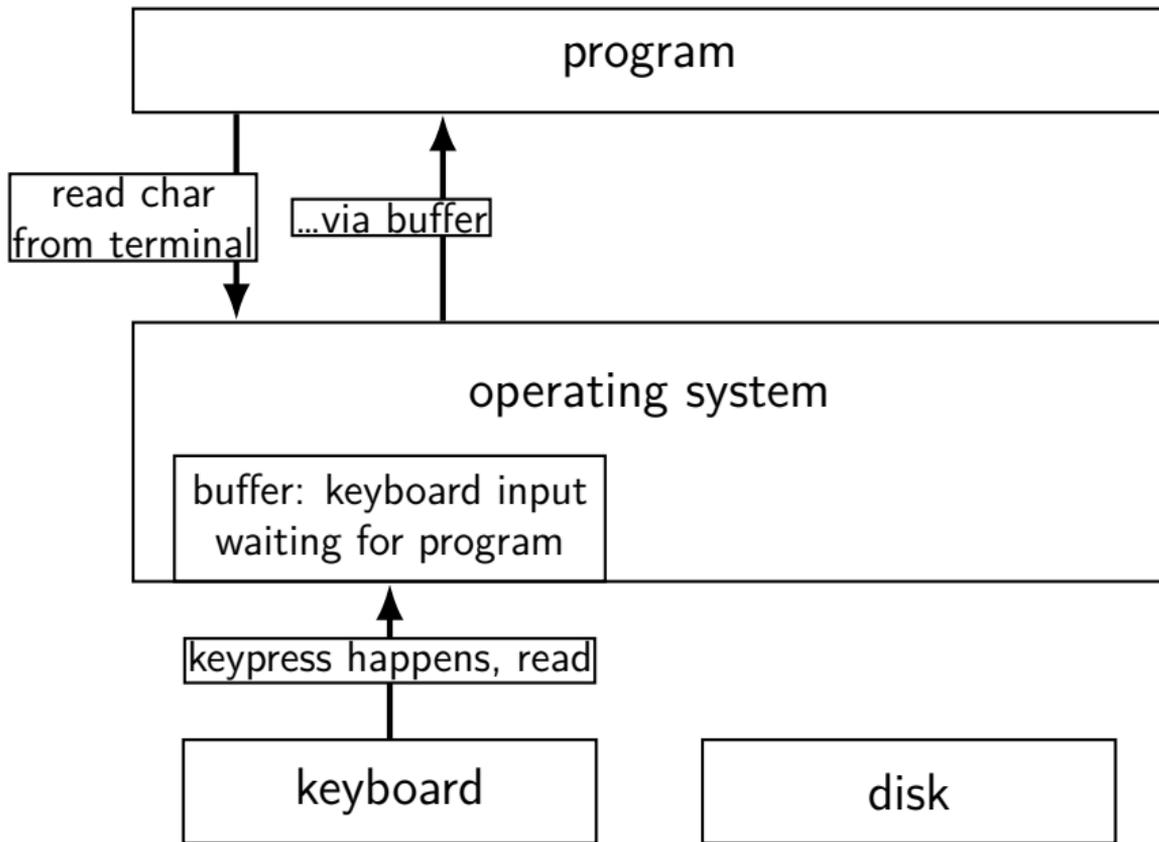
# kernel buffering (reads)



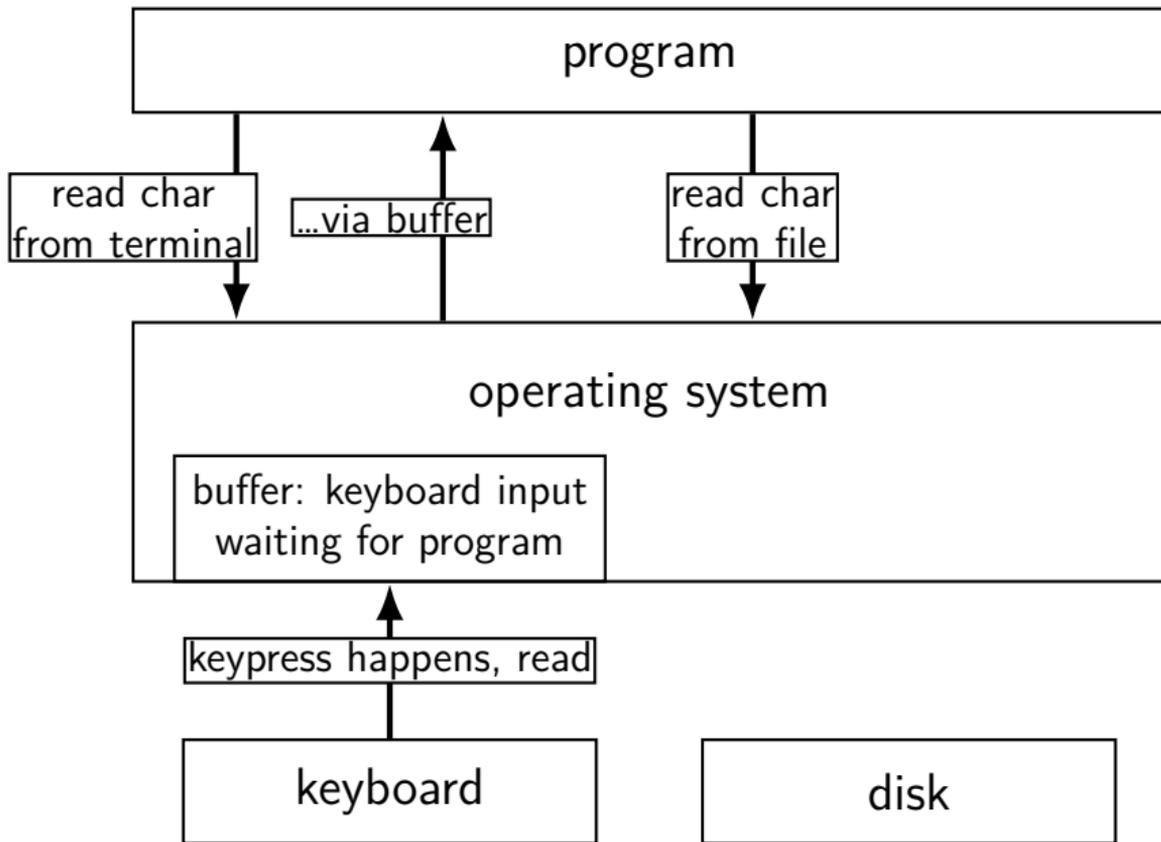
# kernel buffering (reads)



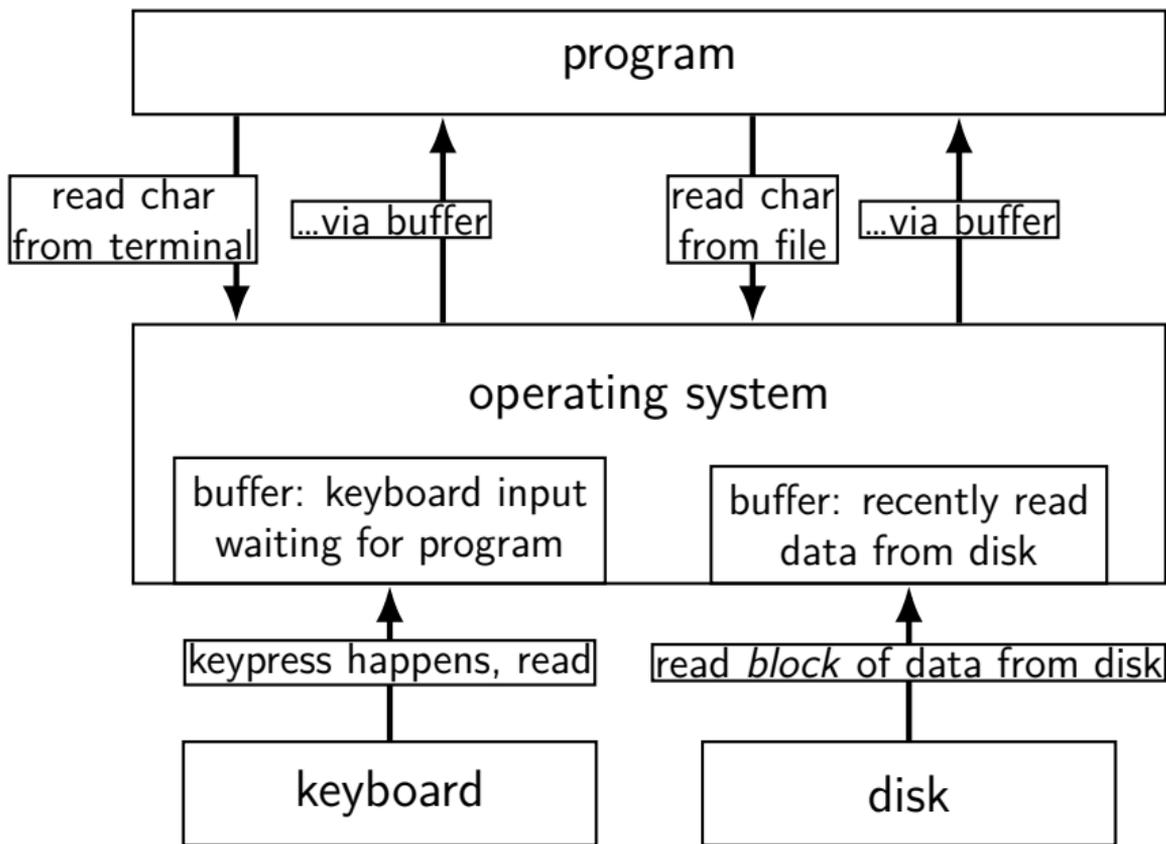
# kernel buffering (reads)



# kernel buffering (reads)



# kernel buffering (reads)



# kernel buffering (writes)

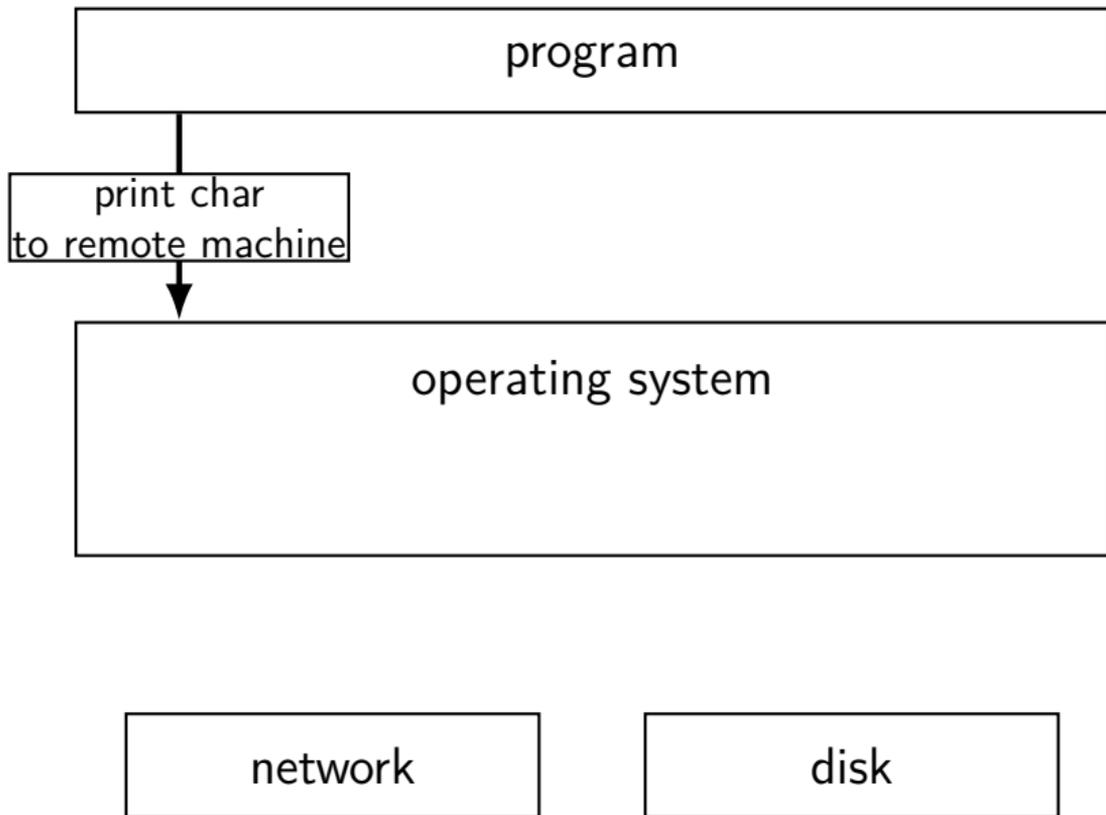
program

operating system

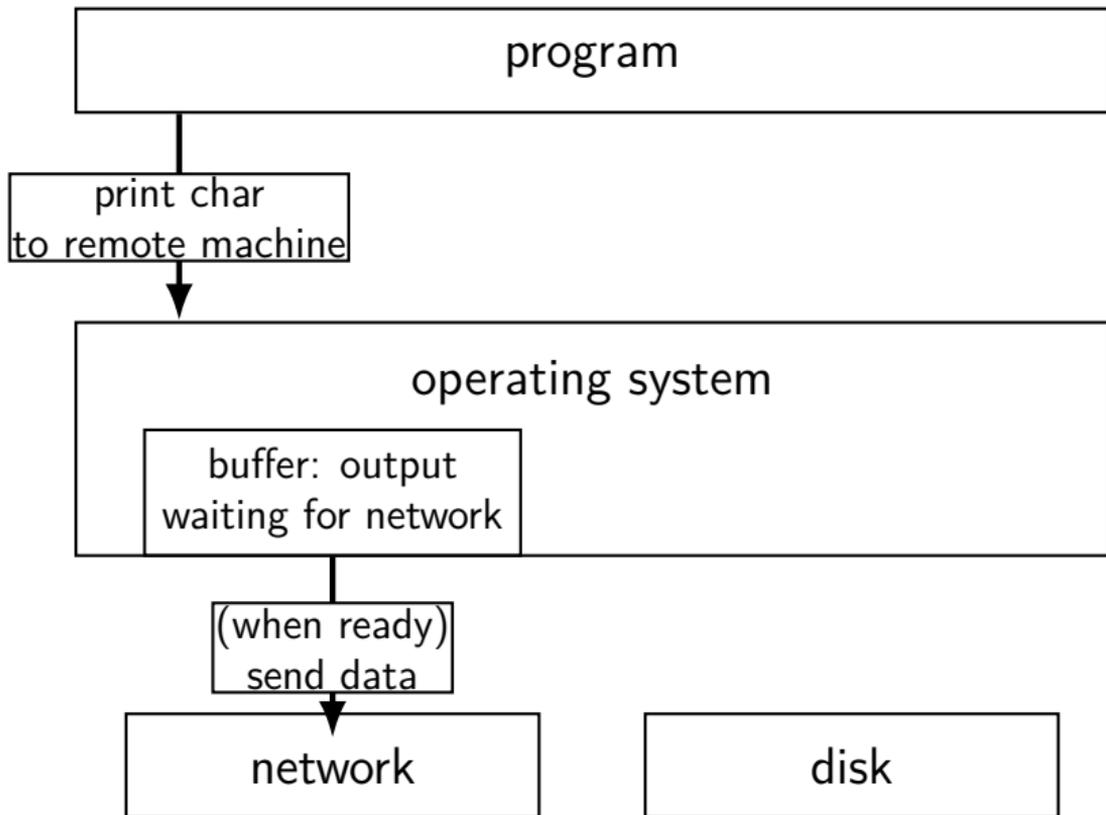
network

disk

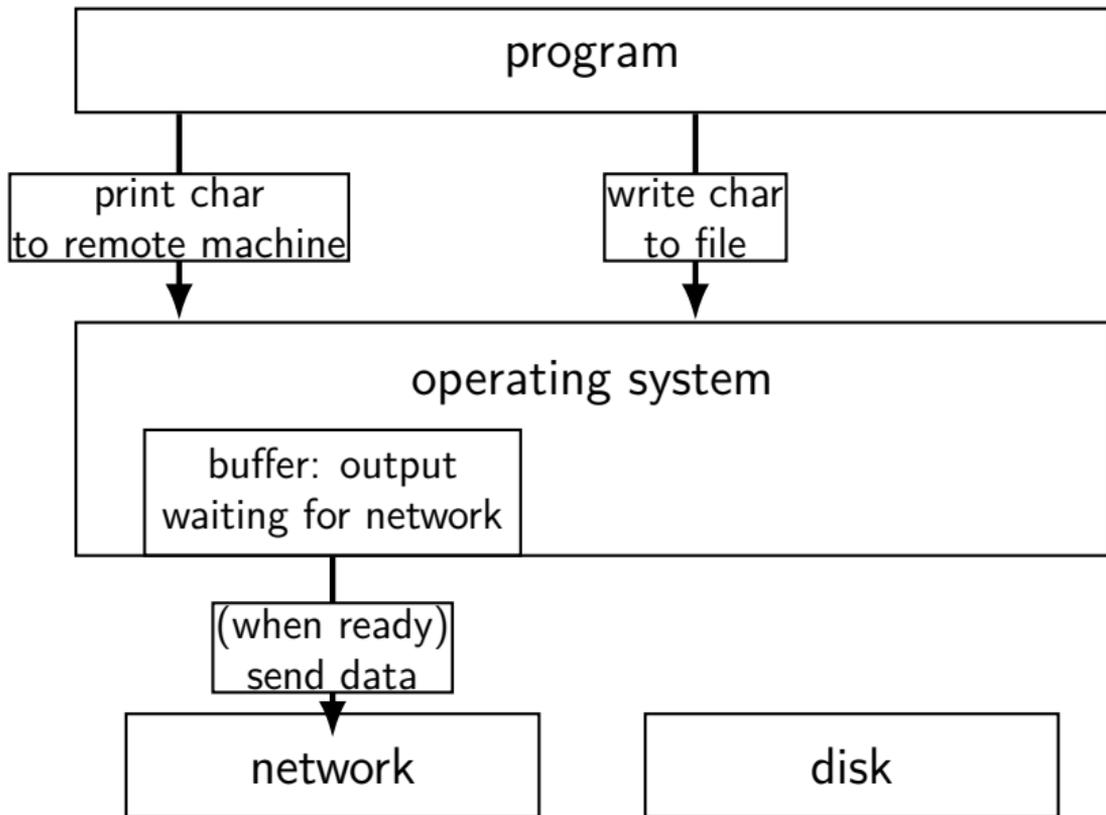
# kernel buffering (writes)



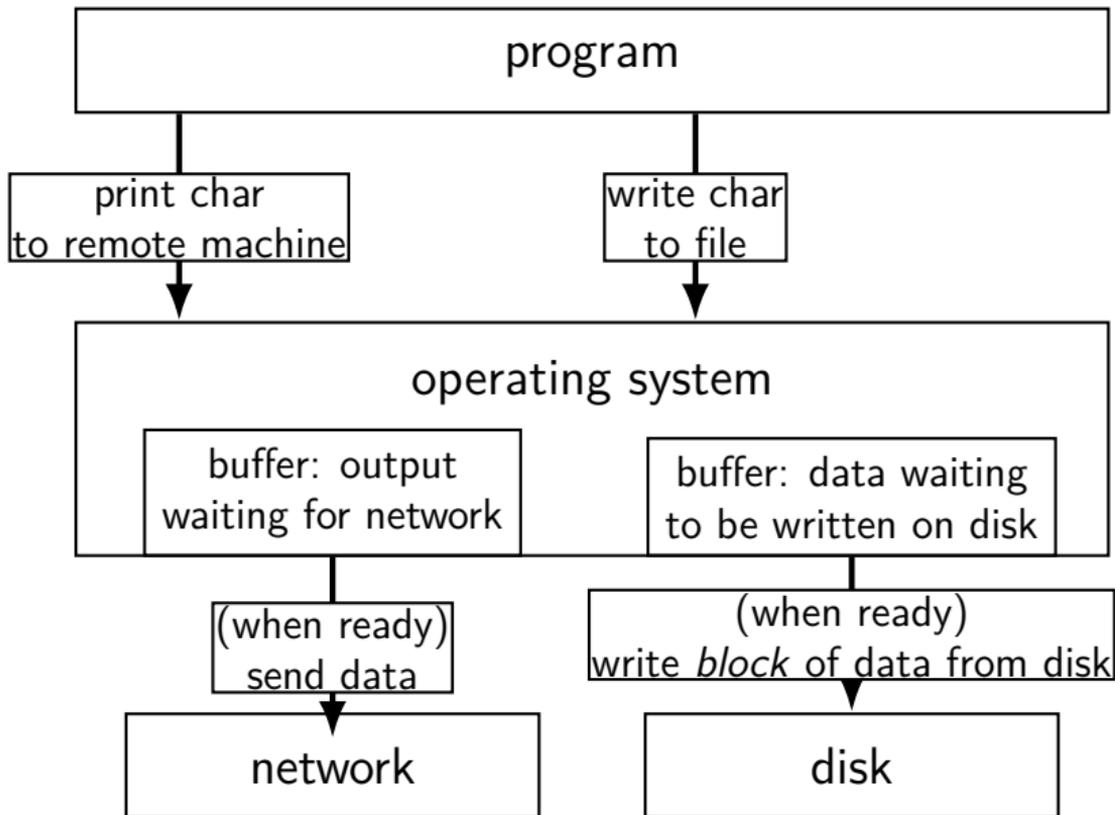
# kernel buffering (writes)



# kernel buffering (writes)



# kernel buffering (writes)



# read/write operations

read/write: move data into/out of buffer

block (make process wait) if buffer is empty (read)/full (write)  
(default behavior, possibly changeable)

actual I/O operations — wait for device to be ready  
trigger process to stop waiting if needed

# layering

