

mutexes / barriers / monitors

# last time

## cache coherency

- multiple cores, each with own cache

- at most one cache with modified value

- watch other processor's accesses to monitor value

- use invalidation to prevent others from getting modified value

## atomic read/modify/write operations

- read and modify value without letting other processor's interrupt

- example: atomic exchange

- example: atomic compare-and-swap (if  $X=A$ , set  $X$  to  $B$  + return 1; else return 0)

## spinlocks: lock via loop with atomic operation

- e.g. acquire = set lock to TAKEN + read was NOT-TAKEN

- loop to keep retrying ("spin") until successful

## mutexes: reasonable waiting locks

# cache coherency exercise

modified/shared/invalid; all initially invalid; 32B blocks, 8B read/writes

CPU 1: read 0x1000

CPU 2: read 0x1000

CPU 1: write 0x1000

CPU 1: read 0x2000

CPU 2: read 0x1000

CPU 2: write 0x2008

CPU 3: read 0x1008

Q1: final state of 0x1000 in caches?

Modified/Shared/Invalid for CPU 1/2/3

CPU 1:

CPU 2:

CPU 3:

Q2: final state of 0x2000 in caches?

Modified/Shared/Invalid for CPU 1/2/3

CPU 1:

CPU 2:

CPU 3:

# exercise: fetch-and-add with compare-and-swap

exercise: implement fetch-and-add with compare-and-swap

```
compare_and_swap(address, old_value, new_value) {  
    if (memory[address] == old_value) {  
        memory[address] = new_value;  
        return true;    // x86: set ZF flag  
    } else {  
        return false;  // x86: clear ZF flag  
    }  
}
```

# solution

```
long my_fetch_and_add(long *p, long amount) {  
    long old_value;  
    do {  
        old_value = *p;  
        while (!compare_and_swap(p, old_value, old_value + amount));  
        return old_value;  
    }  
}
```

# mutexes: intelligent waiting

mutexes — locks that wait better

instead of running infinite loop, give away CPU

lock = go to sleep, add self to list

sleep = scheduler runs something else

unlock = wake up sleeping thread

# mutexes: intelligent waiting

mutexes — locks that wait better

instead of running infinite loop, give away CPU

lock = go to sleep, add self to list  
sleep = scheduler runs something else

unlock = wake up sleeping thread

# mutex implementation idea

*shared* list of waiters

spinlock protects list of waiters from concurrent modification

lock = use spinlock to add self to list, then wait without spinlock

unlock = use spinlock to remove item from list



# mutex implementation idea

*shared* list of waiters

spinlock protects list of waiters from concurrent modification

lock = use spinlock to add self to list, then wait without spinlock

unlock = use spinlock to remove item from list

# mutex: one possible implementation

```
struct Mutex {  
    SpinLock guard_spinlock;  
    bool lock_taken = false;  
    WaitQueue wait_queue;  
};
```

# mutex: one possible implementation

```
struct Mutex {  
    SpinLock guard_spinlock;  
    bool lock_taken = false;  
    WaitQueue wait_queue;  
};
```

spinlock protecting `lock_taken` and `wait_queue`  
only held for very short amount of time (compared to mutex itself)

# mutex: one possible implementation

```
struct Mutex {  
    SpinLock guard_spinlock;  
    bool lock_taken = false;  
    WaitQueue wait_queue;  
};
```

tracks whether any thread has locked and not unlocked

# mutex: one possible implementation

```
struct Mutex {  
    SpinLock guard_spinlock;  
    bool lock_taken = false;  
    WaitQueue wait_queue;  
};
```

list of threads that discovered lock is taken  
and are waiting for it be free  
these threads are **not runnable**

# mutex: one possible implementation

```
struct Mutex {  
    SpinLock guard_spinlock;  
    bool lock_taken = false;  
    WaitQueue wait_queue;  
};
```

```
LockMutex(Mutex *m) {  
    LockSpinlock(&m->guard_spinlock);  
    if (m->lock_taken) {  
        put current thread on m->wait_queue  
        make current thread not runnable  
        /* xv6: myproc()->state = SLEEPING; */  
        UnlockSpinlock(&m->guard_spinlock);  
        run scheduler  
    } else {  
        m->lock_taken = true;  
        UnlockSpinlock(&m->guard_spinlock);  
    }  
}
```

```
UnlockMutex(Mutex *m) {  
    LockSpinlock(&m->guard_spinlock);  
    if (m->wait_queue not empty) {  
        remove a thread from m->wait_queue  
        make that thread runnable  
        /* xv6: myproc()->state = RUNNABLE; */  
    } else {  
        m->lock_taken = false;  
    }  
    UnlockSpinlock(&m->guard_spinlock);  
}
```

# mutex: one possible implementation

```
struct Mutex {
    SpinLock guard_spinlock;
    bool lock_taken = false;
    WaitQueue wait_queue;
};
```

instead of setting lock\_taken to false  
choose thread to hand-off lock to

```
LockMutex(Mutex *m) {
    LockSpinlock(&m->guard_spinlock);
    if (m->lock_taken) {
        put current thread on m->wait_queue
        make current thread not runnable
        /* xv6: myproc()->state = SLEEPING; */
        UnlockSpinlock(&m->guard_spinlock);
        run scheduler
    } else {
        m->lock_taken = true;
        UnlockSpinlock(&m->guard_spinlock);
    }
}
```

```
UnlockMutex(Mutex *m) {
    LockSpinlock(&m->guard_spinlock);
    if (m->wait_queue not empty) {
        remove a thread from m->wait_queue
        make that thread runnable
        /* xv6: myproc()->state = RUNNABLE; */
    } else {
        m->lock_taken = false;
    }
    UnlockSpinlock(&m->guard_spinlock);
}
```

# mutex: one possible implementation

```
struct Mutex {  
    SpinLock guard_spinlock;  
    bool lock_taken = false;  
    WaitQueue wait_queue;  
};
```

subtle: what if UnlockMutex() runs in between these lines?

reason why we make thread not runnable before releasing guard spinlock

```
LockMutex(Mutex *m) {  
    LockSpinlock(&m->guard_spinlock);  
    if (m->lock_taken) {  
        put current thread on m->wait_queue  
        make current thread not runnable  
        /* xv6: myproc()->state = SLEEPING;  
        UnlockSpinlock(&m->guard_spinlock);  
        run scheduler  
    } else {  
        m->lock_taken = true;  
        UnlockSpinlock(&m->guard_spinlock);  
    }  
}
```

```
UnlockMutex(Mutex *m) {  
    LockSpinlock(&m->guard_spinlock);  
    if (m->wait_queue not empty) {
```

if woken up here, need to make sure scheduler doesn't run us on another core until we switch to the scheduler (and save our regs)  
xv6 solution: acquire ptable lock  
Linux solution: separate 'on cpu' flags



# mutex: one possible implementation

```
struct Mutex {
    SpinLock guard_spinlock;
    bool lock_taken = false;
    WaitQueue wait_queue;
};
```

```
LockMutex(Mutex *m) {
    LockSpinlock(&m->guard_spinlock);
    if (m->lock_taken) {
        put current thread on m->wait_queue
        make current thread not runnable
        /* xv6: myproc()->state = SLEEPING; */
        UnlockSpinlock(&m->guard_spinlock);
        run scheduler
    } else {
        m->lock_taken = true;
        UnlockSpinlock(&m->guard_spinlock);
    }
}
```

```
UnlockMutex(Mutex *m) {
    LockSpinlock(&m->guard_spinlock);
    if (m->wait_queue not empty) {
        remove a thread from m->wait_queue
        make that thread runnable
        /* xv6: myproc()->state = RUNNABLE; */
    } else {
        m->lock_taken = false;
    }
    UnlockSpinlock(&m->guard_spinlock);
}
```

# mutex efficiency

'normal' mutex **uncontended** case:

lock: acquire + release spinlock, see lock is free

unlock: acquire + release spinlock, see queue is empty

not much slower than spinlock

## recall: pthread mutex

```
#include <pthread.h>
```

```
pthread_mutex_t some_lock;
```

```
pthread_mutex_init(&some_lock, NULL);
```

```
// or: pthread_mutex_t some_lock = PTHREAD_MUTEX_INITIALIZER;
```

```
...
```

```
pthread_mutex_lock(&some_lock);
```

```
...
```

```
pthread_mutex_unlock(&some_lock);
```

```
pthread_mutex_destroy(&some_lock);
```

# pthread mutexes: addt'l features

mutex attributes (`pthread_mutexattr_t`) allow:  
(reference: `man pthread.h`)

error-checking mutexes

- locking mutex twice in same thread?

- unlocking already unlocked mutex?

...

mutexes shared between processes

- otherwise: must be only threads of same process

- (unanswered question: where to store mutex?)

...

# POSIX mutex restrictions

pthread\_mutex rule: **unlock from same thread you lock in**

implementation I gave before — not a problem

...but there other ways to implement mutexes

e.g. might involve comparing with “holding” thread ID

# are locks enough?

do we need more than locks?

## example 1: pipes?

suppose we want to implement a pipe with threads

read sometimes needs to wait for a write

don't want busy-wait

(and trick of having writer unlock() so reader can finish a lock() is illegal)

# more synchronization primitives

need other ways to wait for threads to finish

we'll introduce three extensions of locks for this:

- barriers

- counting semaphores

- condition variables

all (typically) implemented with read/modify/write instructions  
+ queues of waiting threads



## example 2: parallel processing

compute minimum of 100M element array with 2 processors

algorithm:

compute minimum of 50M of the elements on each CPU

one thread for each CPU

wait for all computations to finish

take minimum of all the minimums

## example 2: parallel processing

compute minimum of 100M element array with 2 processors

algorithm:

compute minimum of 50M of the elements on each CPU  
one thread for each CPU

wait for all computations to finish

take minimum of all the minimums

## barriers API

`barrier.Initialize(NumberOfThreads)`

`barrier.Wait()` — return after all threads have waited

idea: multiple threads perform computations in parallel

threads wait for **all other threads** to call `Wait()`

# barrier: waiting for finish

```
barrier.Initialize(2);
```

Thread 0

```
partial_mins[0] =  
    /* min of first  
       50M elems */;
```

```
barrier.Wait();
```

```
total_min = min(  
    partial_mins[0],  
    partial_mins[1]  
);
```

Thread 1

```
partial_mins[1] =  
    /* min of last  
       50M elems */;  
barrier.Wait();
```

## barriers: reuse

barriers are reusable:

Thread 0

```
results[0][0] = getInitial(0);  
barrier.Wait();
```

```
results[1][0] =  
    computeFrom(  
        results[0][0],  
        results[0][1]  
    );  
barrier.Wait();
```

```
results[2][0] =  
    computeFrom(  
        results[1][0],  
        results[1][1]  
    );
```

Thread 1

```
results[0][1] = getInitial(1);  
barrier.Wait();
```

```
results[1][1] =  
    computeFrom(  
        results[0][0],  
        results[0][1]  
    );  
barrier.Wait();
```

```
results[2][1] =  
    computeFrom(  
        results[1][0],  
        results[1][1]  
    );
```

## barriers: reuse

barriers are reusable:

Thread 0

```
results[0][0] = getInitial(0);  
barrier.Wait();
```

```
results[1][0] =  
    computeFrom(  
        results[0][0],  
        results[0][1]  
    );  
barrier.Wait();
```

```
results[2][0] =  
    computeFrom(  
        results[1][0],  
        results[1][1]  
    );
```

Thread 1

```
results[0][1] = getInitial(1);  
barrier.Wait();
```

```
results[1][1] =  
    computeFrom(  
        results[0][0],  
        results[0][1]  
    );  
barrier.Wait();
```

```
results[2][1] =  
    computeFrom(  
        results[1][0],  
        results[1][1]  
    );
```

## barriers: reuse

barriers are reusable:

Thread 0

```
results[0][0] = getInitial(0);  
barrier.Wait();
```

```
results[1][0] =  
    computeFrom(  
        results[0][0],  
        results[0][1]  
    );  
barrier.Wait();
```

```
results[2][0] =  
    computeFrom(  
        results[1][0],  
        results[1][1]  
    );
```

Thread 1

```
results[0][1] = getInitial(1);  
barrier.Wait();
```

```
results[1][1] =  
    computeFrom(  
        results[0][0],  
        results[0][1]  
    );  
barrier.Wait();
```

```
results[2][1] =  
    computeFrom(  
        results[1][0],  
        results[1][1]  
    );
```

# pthread barriers

```
pthread_barrier_t barrier;  
pthread_barrier_init(  
    &barrier,  
    NULL /* attributes */,  
    numberOfThreads  
);  
...  
...  
pthread_barrier_wait(&barrier);
```



# generalizing locks

barriers are very useful

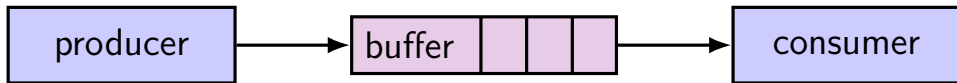
do things locks can't do

but can't do things locks can do

semaphores and condition variables are more general

can implement locks *and* barriers *and* ...

## example: producer/consumer

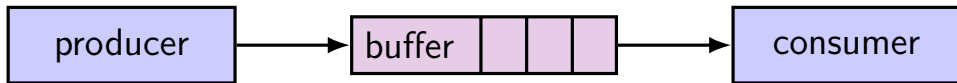


shared buffer (queue) of fixed size

one or more producers inserts into queue

one or more consumers removes from queue

## example: producer/consumer



shared buffer (queue) of fixed size

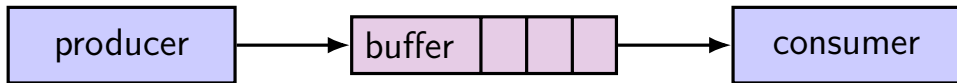
- one or more producers inserts into queue

- one or more consumers removes from queue

producer(s) and consumer(s) don't work in lockstep

- (might need to wait for each other to catch up)

## example: producer/consumer



shared buffer (queue) of fixed size

one or more producers inserts into queue

one or more consumers removes from queue

producer(s) and consumer(s) don't work in lockstep  
(might need to wait for each other to catch up)

example: C compiler

preprocessor → compiler → assembler → linker

# monitors/condition variables

**locks** for mutual exclusion

**condition variables** for waiting for event

operations: wait (for event); signal/broadcast (that event happened)

related data structures

**monitor** = lock + 0 or more condition variables + shared data

Java: every object is a monitor (has instance variables, built-in lock, cond. var)

pthread: build your own: provides you locks + condition variables

# monitor idea

a monitor

lock
shared data
condvar 1
condvar 2
...
operation1(...)
operation2(...)

# monitor idea

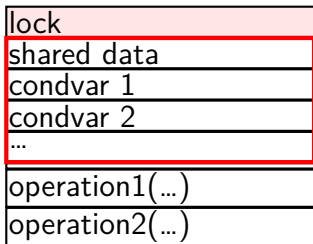
a monitor

lock
shared data
condvar 1
condvar 2
...
operation1(...)
operation2(...)

lock must be acquired  
before accessing  
any part of monitor's stuff

# monitor idea

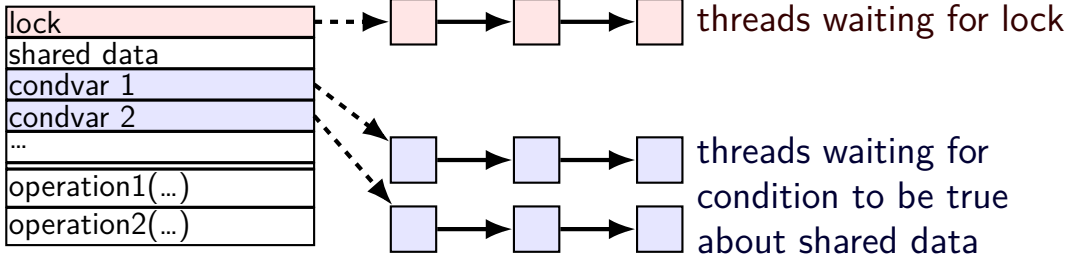
a monitor





# monitor idea

a monitor



# condvar operations

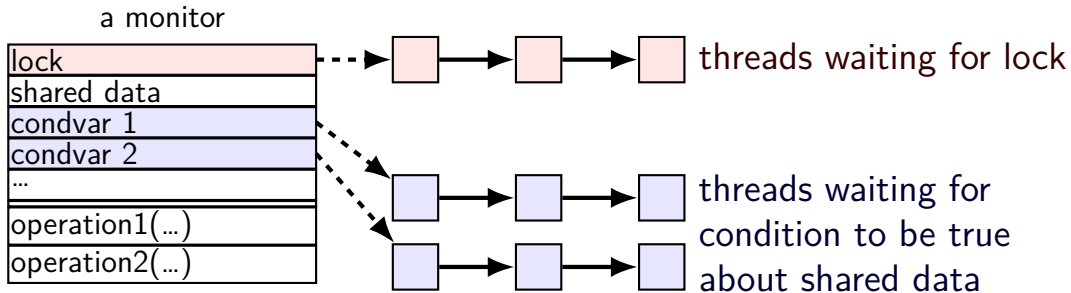
condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue

...and reacquire lock before returning

Broadcast(cv) — remove all from condvar queue

Signal(cv) — remove one from condvar queue



# condvar operations

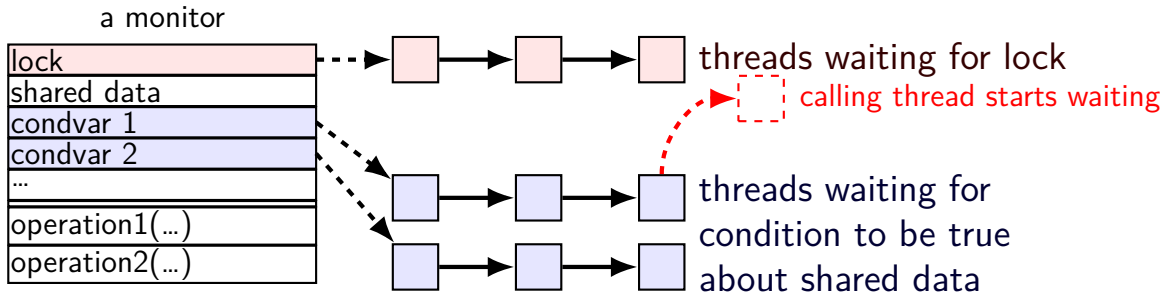
condvar operations:

**Wait(cv, lock)** — unlock lock, add current thread to cv queue

...and reacquire lock before returning

Broadcast(cv) — remove all from condvar queue

Signal(cv) — remove one from condvar queue



# condvar operations

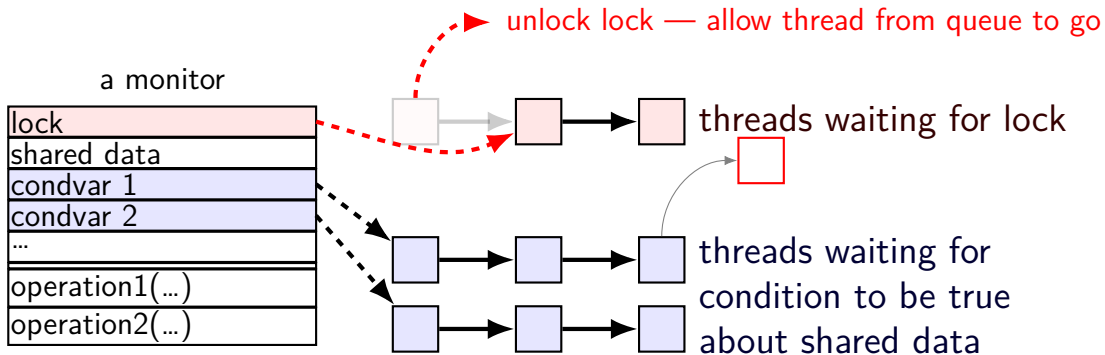
condvar operations:

**Wait(cv, lock)** — **unlock** lock, add current thread to cv queue

...and **reacquire** lock before returning

**Broadcast(cv)** — remove all from condvar queue

**Signal(cv)** — remove one from condvar queue



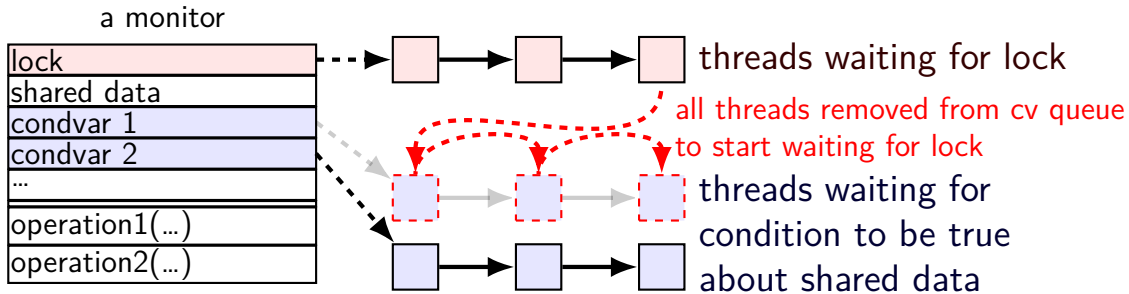
# condvar operations

condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue  
...and reacquire lock before returning

**Broadcast(cv)** — remove all from condvar queue

Signal(cv) — remove one from condvar queue



# condvar operations

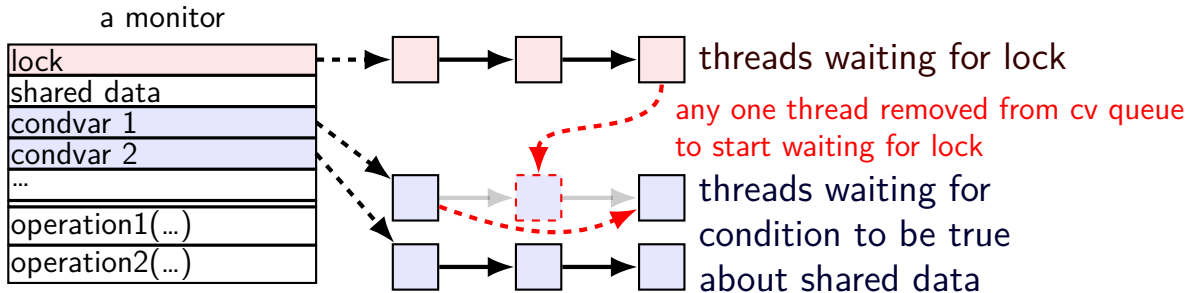
condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue

...and reacquire lock before returning

Broadcast(cv) — remove all from condvar queue

**Signal(cv)** — remove one from condvar queue



# pthread cv usage

```
// MISSING: init calls, etc.
```

```
pthread_mutex_t lock;  
bool finished; // data, only accessed with after acquiring lock  
pthread_cond_t finished_cv; // to wait for 'finished' to be true
```

```
void WaitForFinished() {  
    pthread_mutex_lock(&lock);  
    while (!finished) {  
        pthread_cond_wait(&finished_cv, &lock);  
    }  
    pthread_mutex_unlock(&lock);  
}
```

```
void Finish() {  
    pthread_mutex_lock(&lock);  
    finished = true;  
    pthread_cond_broadcast(&finished_cv);  
    pthread_mutex_unlock(&lock);  
}
```

# pthread cv usage

*// MISSING: init calls, etc.*

```
pthread_mutex_t lock;  
bool finished; // data, only accessed with after acquiring lock  
pthread_cond_t finished_cv; // to wait for 'finished' to be true
```

```
void WaitForFinished() {  
    pthread_mutex_lock(&lock);  
    while (!finished) {  
        pthread_cond_wait(&finished_cv, &lock);  
    }  
    pthread_mutex_unlock(&lock);  
}
```

acquire lock before  
reading or writing finished

```
void Finish() {  
    pthread_mutex_lock(&lock);  
    finished = true;  
    pthread_cond_broadcast(&finished_cv);  
    pthread_mutex_unlock(&lock);  
}
```



# pthread cv usage

*// MISSING: init calls, etc.*

```
pthread_mutex_t lock;  
bool finished; // data, only accessed with after acquiring lock  
pthread_cond_t finished_cv; // to wait for 'finished' to be true
```

```
void WaitForFinished() {  
    pthread_mutex_lock(&lock);  
    while (!finished) {  
        pthread_cond_wait(&finished_cv, &lock);  
    }  
    pthread_mutex_unlock(&lock);  
}
```

check whether we need to wait at all  
(why a loop?) we'll explain later

```
void Finish() {  
    pthread_mutex_lock(&lock);  
    finished = true;  
    pthread_cond_broadcast(&finished_cv);  
    pthread_mutex_unlock(&lock);  
}
```

# pthread cv usage

```
// MISSING: init calls, etc.
```

```
pthread_mutex_t lock;  
bool finished; // data, only accessed with after acquiring lock  
pthread_cond_t finished_cv; // to wait for 'finished' to be true
```

```
void WaitForFinished() {  
    pthread_mutex_lock(&lock);  
    while (!finished) {  
        pthread_cond_wait(&finished_cv, &lock);  
    }  
    pthread_mutex_unlock(&lock);  
}
```

```
void Finish() {  
    pthread_mutex_lock(&lock);  
    finished = true;  
    pthread_cond_broadcast(&finished_cv);  
    pthread_mutex_unlock(&lock);  
}
```

know we need to wait  
(finished can't change while we have lock)  
so wait, releasing lock...

# pthread cv usage

*// MISSING: init calls, etc.*

```
pthread_mutex_t lock;  
bool finished; // data, only accessed with after acquiring lock  
pthread_cond_t finished_cv; // to wait for 'finished' to be true
```

```
void WaitForFinished() {  
    pthread_mutex_lock(&lock);  
    while (!finished) {  
        pthread_cond_wait(&finished_cv, &lock);  
    }  
    pthread_mutex_unlock(&lock);  
}
```

```
void Finish() {  
    pthread_mutex_lock(&lock);  
    finished = true;  
    pthread_cond_broadcast(&finished_cv);  
    pthread_mutex_unlock(&lock);  
}
```

allow all waiters to proceed  
(once we unlock the lock)

# WaitForFinish timeline 1

WaitForFinish thread	Finish thread
mutex_lock(&lock) (thread has lock)	
	mutex_lock(&lock) (start waiting for lock)
<b>while</b> (!finished) ... cond_wait(&finished_cv, &lock); (start waiting for cv)	(done waiting for lock)
	finished = <b>true</b> cond_broadcast(&finished_cv)
(done waiting for cv) (start waiting for lock)	
	mutex_unlock(&lock)
(done waiting for lock) <b>while</b> (!finished) ... (finished now true, so return) mutex_unlock(&lock)	

## WaitForFinish timeline 2

WaitForFinish thread	Finish thread
	<code>mutex_lock(&amp;lock)</code> <code>finished = true</code> <code>cond_broadcast(&amp;finished_cv)</code> <code>mutex_unlock(&amp;lock)</code>
<code>mutex_lock(&amp;lock)</code> <code>while (!finished) ...</code> (finished now true, so return) <code>mutex_unlock(&amp;lock)</code>	

## why the loop

```
while (!finished) {  
    pthread_cond_wait(&finished_cv, &lock);  
}
```

we only broadcast if finished is true

so why check finished afterwards?

## why the loop

```
while (!finished) {  
    pthread_cond_wait(&finished_cv, &lock);  
}
```

we only broadcast if finished is true

so why check finished afterwards?

pthread\_cond\_wait manual page:

“Spurious wakeups ... may occur.”

spurious wakeup = wait returns even though nothing happened

# unbounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```



# unbounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

rule: never touch buffer  
without acquiring lock

otherwise: what if two threads  
simultaneously en/dequeue?  
(both use same array/linked list entry?)  
(both reallocate array?)

# unbounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

check if empty  
if so, dequeue

okay because have lock

← other threads **cannot** dequeue here

# unbounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

wake one Consume thread  
*if any are waiting*



```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

# unbounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

Thread 1

Produce()
...lock
...enqueue
...signal
...unlock

Thread 2

Consume()
...lock
...empty? no
...dequeue
...unlock
return

0 iterations: Produce() called before Consume()  
1 iteration: Produce() signalled, probably  
2+ iterations: spurious wakeup or ...?

# unbounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

Thread 1

Produce()

...lock  
...enqueue  
...signal  
...unlock

Thread 2

Consume()
...lock
...empty? yes
...unlock/start wait
waiting for data_ready
stop wait
lock
...empty? no
...dequeue
...unlock
return

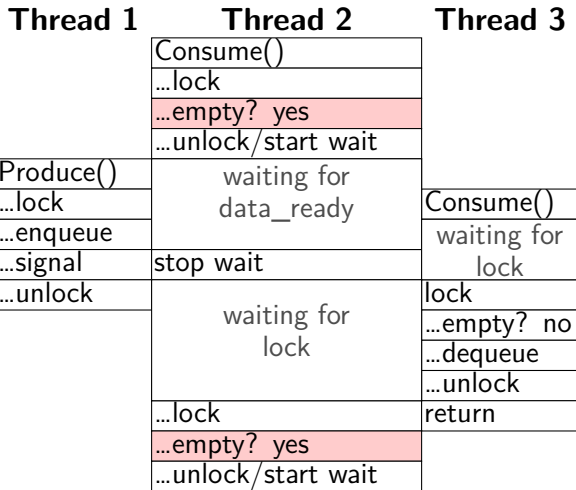
0 iterations: Produce() called before Consume()  
1 iteration: Produce() signalled, probably  
2+ iterations: spurious wakeup or ...?

# unbounded buffer producer/consumer

```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedQueue buffer;
```

```
Produce(item) {
    pthread_mutex_lock(&lock);
    buffer.enqueue(item);
    pthread_cond_signal(&data_ready);
    pthread_mutex_unlock(&lock);
}
```

```
Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
        pthread_cond_wait(&data_ready, &lock);
    }
    item = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return item;
}
```



0 iterations: Produce() called before Consume()  
 1 iteration: Produce() signalled, probably  
 2+ iterations: spurious wakeup or ...?

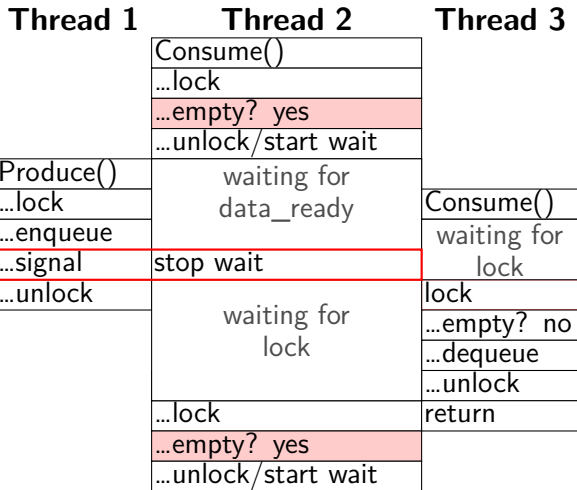
# unbounded buffer producer/consumer

```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedQueue buffer;
```

in pthreads: signalled thread not guaranteed to hold lock next

alternate design: signalled thread gets lock next called "Hoare scheduling" not done by pthreads, Java, ...

```
while (buffer.empty()) {
    pthread_cond_wait(&data_ready, &lock);
}
item = buffer.dequeue();
pthread_mutex_unlock(&lock);
return item;
}
```



0 iterations: Produce() called before Consume()  
 1 iteration: Produce() signalled, probably  
 2+ iterations: spurious wakeup or ...?

# Hoare versus Mesa monitors

## Hoare-style monitors

signal 'hands off' lock to awoken thread

## Mesa-style monitors

any eligible thread gets lock next  
(maybe some other idea of priority?)

every current threading library I know of does Mesa-style



# bounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready; pthread_cond_t space_ready;  
BoundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_cond_signal(&space_ready);  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

# bounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready; pthread_cond_t space_ready;  
BoundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_cond_signal(&space_ready);  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

# bounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready; pthread_cond_t space_ready;  
BoundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

correct (but slow?) to replace with:

```
pthread_cond_broadcast(&space_ready);  
(just more "spurious wakeups")  
pthread_cond_wait(&data_ready, &lock);  
}  
item = buffer.dequeue();  
pthread_cond_signal(&space_ready);  
pthread_mutex_unlock(&lock);  
return item;
```

# bounded buffer producer/consumer

```
pthread_mutex_t lock;  
pthread_cond_t data_ready; pthread_cond_t space_ready;  
BoundedQueue buffer;
```

```
Produce(item) {  
    pthread_mutex_lock(&lock);  
    while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_cond_signal(&space_ready);  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```

correct but slow to replace  
data\_ready and space\_ready  
with 'combined' condvar ready  
and use broadcast  
(just more "spurious wakeups")

# monitor pattern

```
pthread_mutex_lock(&lock);
while (!condition A) {
    pthread_cond_wait(&condvar_for_A, &lock);
}
... /* manipulate shared data, changing other conditions */
if (set condition B) {
    pthread_cond_broadcast(&condvar_for_B);
    /* or signal, if only one thread cares */
}
if (set condition C) {
    pthread_cond_broadcast(&condvar_for_C);
    /* or signal, if only one thread cares */
}
...
pthread_mutex_unlock(&lock)
```

# monitors rules of thumb

never touch shared data without holding the lock

keep lock held for **entire operation**:

verifying condition (e.g. buffer not full) *up to and including*  
manipulating data (e.g. adding to buffer)

create condvar for every kind of scenario waited for

always write **loop** calling `cond_wait` to wait for condition X

broadcast/signal condition variable **every time you change X**

# monitors rules of thumb

never touch shared data without holding the lock

keep lock held for **entire operation**:

verifying condition (e.g. buffer not full) *up to and including*  
manipulating data (e.g. adding to buffer)

create condvar for every kind of scenario waited for

always write **loop** calling `cond_wait` to wait for condition X

broadcast/signal condition variable **every time you change X**

correct but slow to...

broadcast when just signal would work

broadcast or signal when nothing changed

use one condvar for multiple conditions

# monitor exercise (1)

suppose we want producer/consumer, but...

but change to ConsumeTwo() which returns a **pair of values**  
and don't want two calls to ConsumeTwo() to wait...  
with each getting one item

what should we change below?

```
pthread_mutex_t lock;  
pthread_cond_t data_ready;  
UnboundedQueue buffer;  
  
Produce(item) {  
    pthread_mutex_lock(&lock);  
    buffer.enqueue(item);  
    pthread_cond_signal(&data_ready);  
    pthread_mutex_unlock(&lock);  
}
```

```
Consume() {  
    pthread_mutex_lock(&lock);  
    while (buffer.empty()) {  
        pthread_cond_wait(&data_ready, &lock);  
    }  
    item = buffer.dequeue();  
    pthread_mutex_unlock(&lock);  
    return item;  
}
```



# monitor exercise: solution (1)

(one of many possible solutions)

Assuming ConsumeTwo **replaces** Consume:

```
Produce() {
    pthread_mutex_lock(&lock);
    buffer.enqueue(item);
    if (buffer.size() > 1) { pthread_cond_signal(&data_ready); }
    pthread_mutex_unlock(&lock);
}
ConsumeTwo() {
    pthread_mutex_lock(&lock);
    while (buffer.size() < 2) { pthread_cond_wait(&data_ready, &lock); }
    item1 = buffer.dequeue(); item2 = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return Combine(item1, item2);
}
```

# monitor exercise: solution 2

(one of many possible solutions)

Assuming ConsumeTwo is **in addition to** Consume (using two CVs):

```
Produce() {
    pthread_mutex_lock(&lock);
    buffer.enqueue(item);
    pthread_cond_signal(&one_ready);
    if (buffer.size() > 1) { pthread_cond_signal(&two_ready); }
    pthread_mutex_unlock(&lock);
}
Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.size() < 1) { pthread_cond_wait(&one_ready, &lock); }
    item = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return item;
}
ConsumeTwo() {
    pthread_mutex_lock(&lock);
    while (buffer.size() < 2) { pthread_cond_wait(&two_ready, &lock); }
    item1 = buffer.dequeue(); item2 = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return Combine(item1, item2);
}
```

# monitor exercise: slow solution

(one of many possible solutions)

Assuming ConsumeTwo is **in addition to** Consume (using one CV):

```
Produce() {
    pthread_mutex_lock(&lock);
    buffer.enqueue(item);
    // broadcast and not signal, b/c we might wakeup only ConsumeTwo() otherwise
    pthread_cond_broadcast(&data_ready);
    pthread_mutex_unlock(&lock);
}
Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.size() < 1) { pthread_cond_wait(&data_ready, &lock); }
    item = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return item;
}
ConsumeTwo() {
    pthread_mutex_lock(&lock);
    while (buffer.size() < 2) { pthread_cond_wait(&data_ready, &lock); }
    item1 = buffer.dequeue(); item2 = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return Combine(item1, item2);
}
```