scheduling 1

Changelog

16 Feb 2021 (after lecture): write "runnable to not runnable" when defining turnaround time

last time

file descriptors as pointers to file pointers copied on fork close: set pointer to NULL dup2(X, Y): make Y point to same file as X

read/write system calls

read/write up to amount requested read: wait for some data to be available write: wait for completion/failure returns amount written/read, or -1 on failure read = 0 means end-of-file

pipes and pipelines

pipe library: pair of connected file descriptors OS managed buffer to store written, unread data

on the quiz generally

swtch questions were a lot less clear than I'd like

wasn't careful about eliminating issues that would arise from other code using swtch() on first/second

should've used struct context pointer, not struct context

on the quiz and swtch

```
foo() {
    ...
    swtch(&x, y);
    ...
}
```

question: if bar() running, where are registers saved?

if registers saved by calling swtch: should be on foo()'s stack also where compiler would save local vars that didn't fit in registers

if registers saved by exception interrupted ... code in trapframe, allocated on kernel stack if foo() in kernel, the same stack foo() used

xv6: process table

struct {
 struct spinlock lock;
 struct proc proc[NPROC]
} ptable;

fixed size array of all processes

lock to keep more than one thing from accessing it at once rule: don't change a process's state (RUNNING, etc.) without 'acquiring' lock

xv6: allocating a struct proc

```
acquire(&ptable.lock);
```

```
for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)
if(p->state == UNUSED)
goto found;
```

```
release(&ptable.lock);
```

```
just search for PCB with "UNUSED" state
```

```
not found? fork fails
```

```
if found — allocate memory, etc.
```

```
// Set up first user process
void
userinit(void)
{
    struct proc *p;
    extern char _binary_initcode_start[], _binary_initcode_size[];
```

```
p = allocproc();
```

```
load into user memory
// Set up first user process.
                                                hard-coded "initial program"
void
                                                calls execv() of /init
userinit(void)
  struct proc *p;
  extern char _binary_initcode_start[], _binary_initcode_size[];
  p = allocproc();
  initproc = p;
  . . .
  inituvm(p->pgdir, _binary_initcode_start,
             (int) binary initcode size);
  p->tf->esp = PGSIZE;
  p->tf->eip = 0; // beginning of initcode.S
  p \rightarrow state = RUNNABLE;
```

```
modify user registers
// Set up first user process.
                                                       to start at address 0
void
userinit(void)
  struct proc *p;
  extern char _binary_initcode_start[], _binary_initcode_size[];
  p = allocproc();
  initproc = p;
  . . .
  inituvm(p->pgdir, _binary_initcode_start,
             (int) binary initcode size);
  . . .
  p->tf->esp = PGSIZE;
  p->tf->eip = 0; // beginning of initcode.S
  . .
  p \rightarrow state = RUNNABLE;
```

```
set initial stack pointer
// Set up first user process.
void
userinit(void)
  struct proc *p;
  extern char _binary_initcode_start[], _binary_initcode_size[];
  p = allocproc();
  initproc = p;
  . . .
  inituvm(p->pgdir, _binary_initcode_start,
              (int) binary initcode size);
  . . .
  p \rightarrow tf \rightarrow esp = PGSIZE;
  p->tf->eip = 0; // beginning of initcode.S
  p \rightarrow state = RUNNABLE;
```

```
set process as runnable
// Set up first user process.
void
userinit(void)
  struct proc *p;
  extern char _binary_initcode_start[], _binary_initcode_size[];
  p = allocproc();
  initproc = p;
  . . .
  inituvm(p->pgdir, _binary_initcode_start,
             (int) binary initcode size);
  . . .
  p->tf->esp = PGSIZE;
  p->tf->eip = 0; // beginning of initcode.S
  . .
  p \rightarrow state = RUNNABLE;
```

threads versus processes

for now — each process has one thread

Anderson-Dahlin talks about thread scheduling

thread = part that gets run on CPU saved register values (including own stack pointer) save program counter

rest of process address space (accessible memory) open files current working directory

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xv6 processes versus threads

xv6: one thread per process

so part of the process control block is really a *thread* control block

```
// Per-process state
struct proc {
 uint sz;
  pde_t* pgdir;
 char *kstack;
  enum procstate state; // Process state
 int pid;
  struct proc *parent; // Parent process
 void *chan;
  int killed;
  struct file *ofile[NOFILE]; // Open files
  struct inode *cwd; // Current directory
 char name[16];
};
```

// Size of process memory (bytes) // Page table // Bottom of kernel stack for this process // Process ID struct trapframe *tf; // Trap frame for current syscall struct context *context; // swtch() here to run process // If non-zero, sleeping on chan // If non-zero, have been killed // Process name (debugging)

xv6 processes versus threads

xv6: one thread per process

so part of the process control block is really a *thread* control block

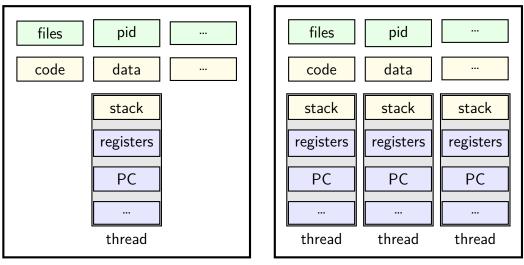
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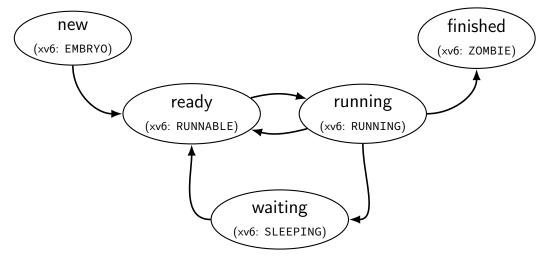
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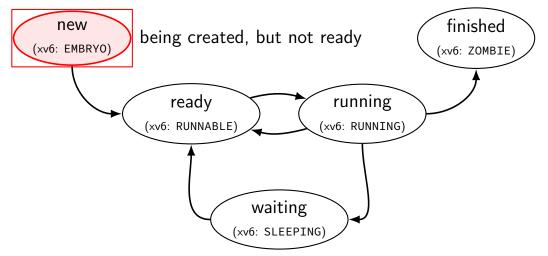
single and multithread processes

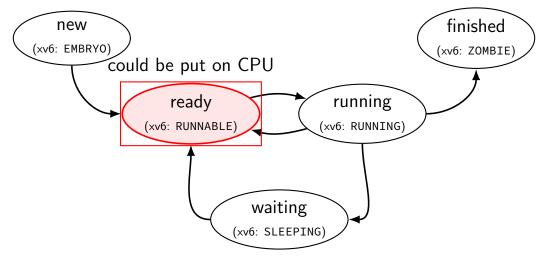
single-threaded process

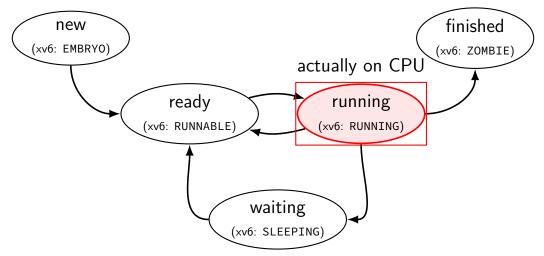
multi-threaded process

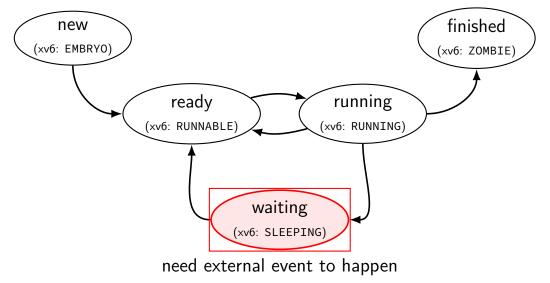


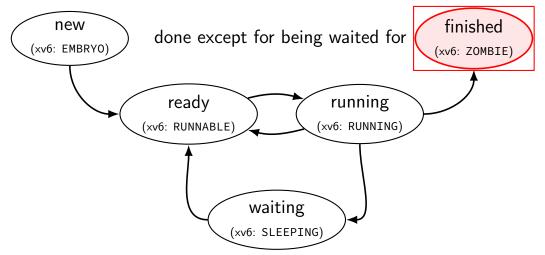




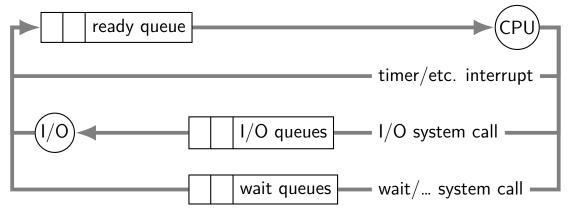




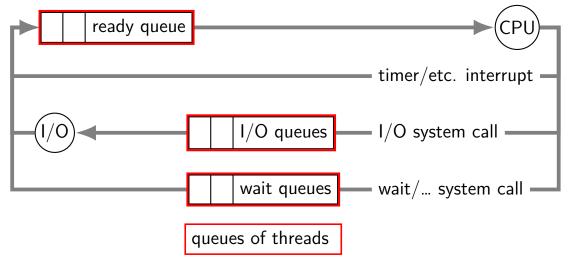




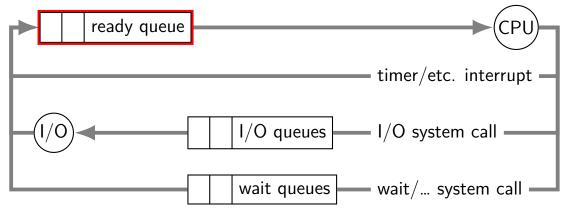
alternative view: queues



alternative view: queues



alternative view: queues



ready queue or run queue list of running processes question: what to take off queue first when CPU is free?

on queues in xv6

xv6 doesn't represent queues explicitly no queue class/struct

ready queue: process list ignoring non-RUNNABLE entries

I/O queues: process list where SLEEPING, chan = I/O device

real OSs: typically separate list of processes maybe sorted?

scheduling

scheduling = removing process/thread to remove from queue

mostly for the ready queue (pre-CPU)

remove a process and start running it

example other scheduling problems

batch job scheduling

e.g. what to run on my supercomputer?

jobs that run for a long time (tens of seconds to days)

can't easily 'context switch' (save job to disk??)

I/O scheduling

what order to read/write things to/from network, hard disk, etc.

this lecture

main target: CPU scheduling

...on a system where programs do a lot of I/O

...and other programs use the CPU when they do

...with only a single CPU

many ideas port to other scheduling problems especially simpler/less specialized policies

scheduling policy

scheduling policy = what to remove from queue

xv6 scheduler: outline

separate thread per core (with no associated process)

runs infinite loop:

choose thread to switch to switch to that thread (and get switched back to)

program threads:

do program stuff until the OS decides to stop then switch to current core's schedule thread (and get swithced back to, repeat)

-

```
void scheduler(void) {
  struct proc *p;
  struct cpu *c = mycpu();
  c \rightarrow proc = 0;
  for(;;){
    // Enable interrupts on this processor.
    sti();
    // Loop over process table looking for process to run.
    acquire(&ptable.lock);
    for(p = ptable.proc; p < &ptable.proc[NPROC]; p++){</pre>
      if(p->state != RUNNABLE)
        continue;
      ... /* setup for process switch */
      swtch(&(c->scheduler), p->context); /* ... */
      ... /* cleanup for process switch */
    }
    release(&ptable.lock);
```

```
void scheduler(void) {
   struct proc *p;
   struct cpu *c = mycpu();
   c->proc = 0;
```

infinite loop every iteration: switch to a thread thread will switch back to us

```
for(;;){
 // Enable interrupts on this processor.
  sti();
  // Loop over process table looking for process to run.
  acquire(&ptable.lock);
  for(p = ptable.proc; p < &ptable.proc[NPROC]; p++){</pre>
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      continue;
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    ... /* cleanup for process switch */
  }
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// Loop over process table looking for process to run.
acquire(&ptable.lock);
for(p = ptable.proc; p < &ptable.proc[NPROC]; p++){
    if(p->state != RUNNABLE)
        continue;
        ... /* setup for process switch */
    swtch(&(c->scheduler), p->context); /* ... */
        ... /* cleanup for process switch */
}
release(&ptable.lock);
```

```
void sched
 struct a make sure we're the only one accessing the list of processes
 struct disables interrupts
  c->proc
           e.g. don't want timer interrupt to switch while already switching
  for(;;){
    // Enable interrupts on this processor.
    sti();
    // Loop over process table looking for process to run.
    acquire(&ptable.lock);
    for(p = ptable.proc; p < &ptable.proc[NPROC]; p++){</pre>
      if(p->state != RUNNABLE)
        continue;
      ... /* setup for process switch */
      swtch(&(c->scheduler), p->context); /* ... */
      ... /* cleanup for process switch */
    }
    release(&ptable.lock);
```

```
void scheduler(void) {
   struct proc *p;
   struct cpu *c = mycpu();
   c->proc = 0;
```

iterate through all runnable processes in the order they're stored in a table

```
for(;;){
    // Enable interrupts on this processor.
    sti();
```

```
// Loop over process table looking for process to run.
acquire(&ptable.lock);
for(p = ptable.proc; p < &ptable.proc[NPROC]; p++){
    if(p->state != RUNNABLE)
        continue;
        ... /* setup for process switch */
        swtch(&(c->scheduler), p->context); /* ... */
        ... /* cleanup for process switch */
}
release(&ptable.lock);
```

the xv6 scheduler (1)

void scheduler(void) {
 struct proc *p;
 struct cpu *c = mycp
 c->proc = 0;
 struct cpu *c = mycp
 it switches back, then next loop iteration happens

```
for(;;){
    // Enable interrupts on this processor.
    sti();
```

```
// Loop over process table looking for process to run.
acquire(&ptable.lock);
for(p = ptable.proc; p < &ptable.proc[NPROC]; p++){
    if(p->state != RUNNABLE)
        continue;
    ... /* setup for process switch */
    swtch(&(c->scheduler), p->context); /* ... */
    ... /* cleanup for process switch */
}
release(&ptable.lock);
```

```
// Switch to chosen process. It is the process's job
// to release ptable.lock and then reacquire it
// before jumping back to us.
c->proc = p;
switchuvm(p);
p->state = RUNNING;
```

```
swtch(&(c->scheduler), p->context);
switchkvm();
```

```
// Process is done running for now.
// It should have changed its p->state before coming back.
c->proc = 0;
```

```
// Switch to chosen pr
// to release ptable.1
// before jumping back
so we can look it up in interrupt handler
c->proc = p;
switchuvm(p);
p->state = RUNNING;
```

```
swtch(&(c->scheduler), p->context);
switchkvm();
```

```
// Switch
// to rele
// to rele
// before jumping back to us.
c->proc = p;
switchuvm(p);
p->state = RUNNING;
```

```
swtch(&(c->scheduler), p->context);
switchkvm();
```

// Switch to kernel thread of process
// to relea;
switch to kernel thread of process
// before j;
that thread responsible for going back to user mode
c->proc = p;
switchuvm(p);
p->state = RUNNING;

```
swtch(&(c->scheduler), p->context);
switchkvm();
```

// Swi // to // to // bef c->pro switch ->state = RUNNING;

```
swtch(&(c->scheduler), p->context);
switchkvm();
```

switching to/from scheduler

(1) acquire process table lock

prevent someone else from switching to scheduler at same time ...causing confusion about what's running/runnable (someone else = timer interrupt, another core, ...)

- (2) mark current process as not running
- (3) actually switch to scheduler thread scheduler thread runs, possibly switches to other threads, etc.
- (4) scheduler thread switches back
 invariant: process table lock held
 invariant: current thread marked running
- (5) release process table lock

switching to/from scheduler

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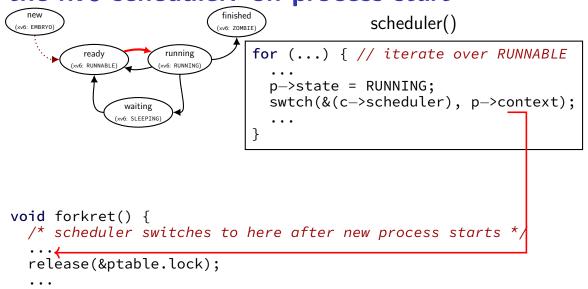
(5) release process table lock

the xv6 scheduler: on process start



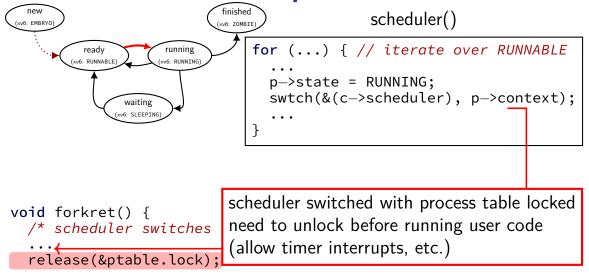
```
void forkret() {
    /* scheduler switches to here after new process starts */
    ...
    release(&ptable.lock);
    ...
}
```

the xv6 scheduler: on process start



the xv6 scheduler: on process start

. . .



switching to/from scheduler

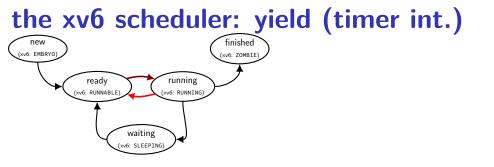
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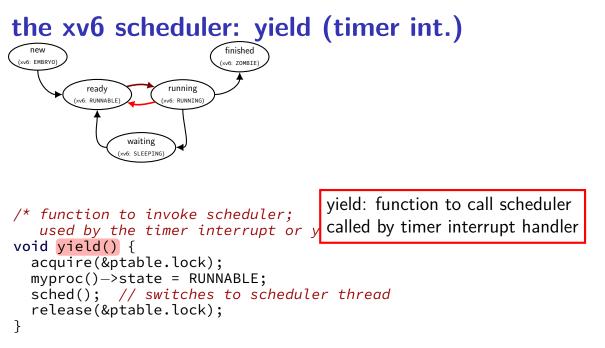
(2) mark current process as not running

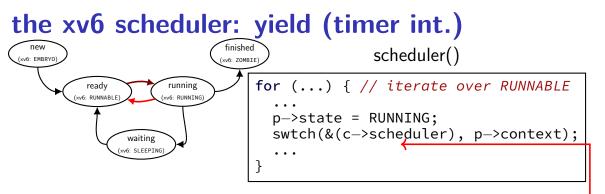
(3) actually switch to scheduler thread scheduler thread runs, possibly switches to other threads, etc.

- (4) scheduler thread switches back invariant: process table lock held invariant: current thread marked running
- (5) release process table lock

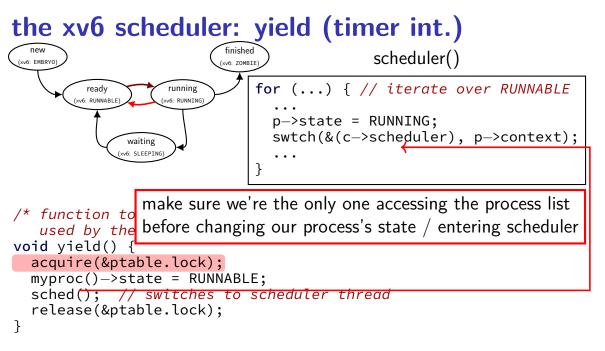


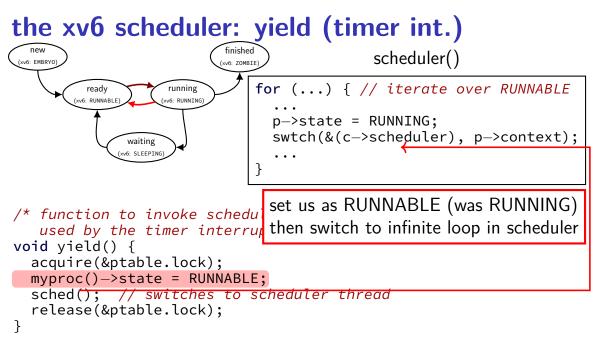
```
/* function to invoke scheduler;
    used by the timer interrupt or yield() syscall */
void yield() {
    acquire(&ptable.lock);
    myproc()->state = RUNNABLE;
    sched(); // switches to scheduler thread
    release(&ptable.lock);
}
```





```
/* function to invoke scheduler;
    used by the timer interrupt or yield() syscall */
void yield() {
    acquire(&ptable.lock);
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}
```





switching to/from scheduler

(1) acquire process table lock

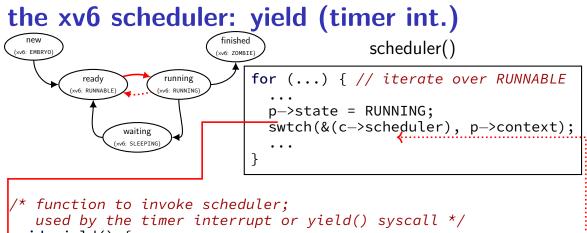
prevent someone else from switching to scheduler at same time ...causing confusion about what's running/runnable (someone else = timer interrupt, another core, ...)

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- (3) actually switch to scheduler thread scheduler thread runs, possibly switches to other threads, etc.

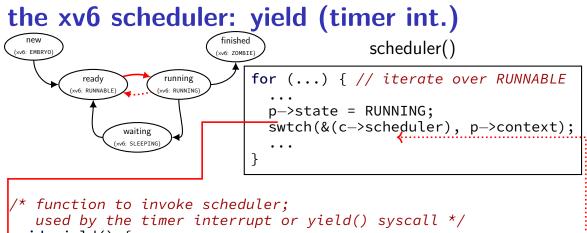
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invariant: process table lock held invariant: current thread marked running

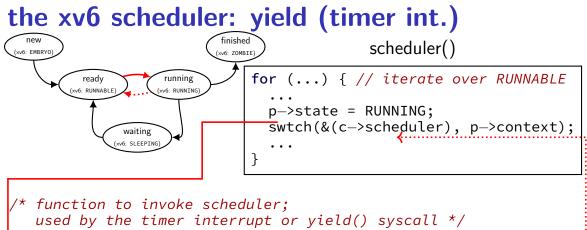
(5) release process table lock



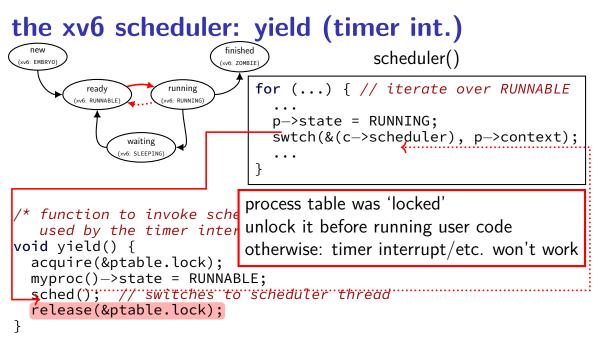
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    acquire(&ptable.lock);
    myproc()->state = RUNNABLE;
    sched(); // switches to scheduler thread
    release(&ptable.lock);
}
```



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/* function to invoke scheduler;
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    acquire(&ptable.lock);
    myproc()->state = RUNNABLE;
    sched(); // switches to scheduler thread
    release(&ptable.lock);
}
```





```
void sleep(void *chan, ...) { ...
acquire(&ptable.lock);
...
p->chan = chan;
p->state = SLEEPING;
sched();
...
release(&ptable.lock);
```



```
void sleep(void *chan, ...) { ...
    acquire(&ptable.lock);
```

```
...
p->chan = chan;
p->state = SLEEPING;
```

sched();

```
...
release(&ptable.lock);
```

get exclusive access to process table before changing our state to sleeping and before running scheduler loop



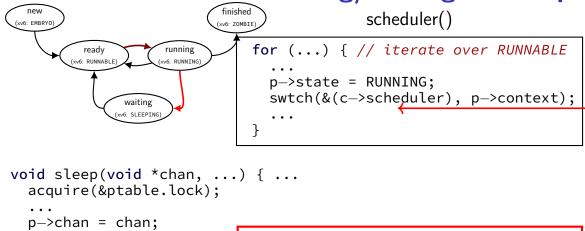
```
void sleep(void *chan, ...) { ...
acquire(&ptable.lock);
```

```
p->chan = chan;
p->state = SLEEPING;
```

sched();

```
...
release(&ptable.lock);
```

set us as SLEEPING (was RUNNING) use "chan" to remember why (so others process can wake us up)

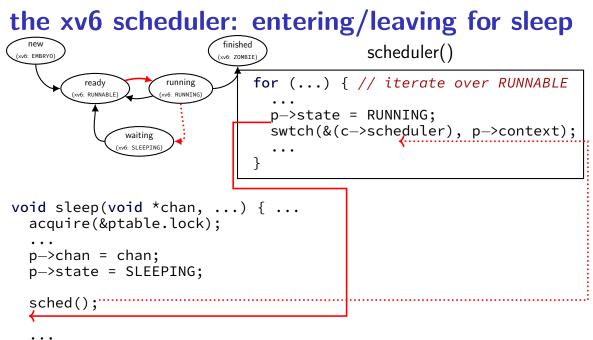


...and switch to the scheduler infinite loop

sched();

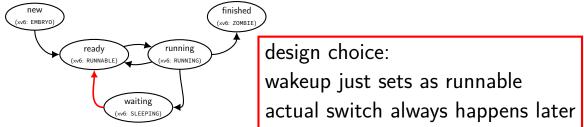
```
...
release(&ptable.lock);
```

p->state = SLEEPING;



```
release(&ptable.lock);
```

the xv6 scheduler: SLEEPING to RUNNABLE



```
static void
wakeup1(void *chan)
{
   struct proc *p;
```

```
for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)
if(p->state == SLEEPING && p->chan == chan)
p->state = RUNNABLE;
```

xv6 scheduler odd choices

separate scheduler thread

pro: keep scheduler state (last process p) on the stack con: slower — more thread switches

scan process list to find sleeping/waiting threads
 alternative: separate list of waiting threads
 (...definitely faster if lots of non-runnable threads)

process state tracking code tightly integrated with *policy* alternative: utility function to manage process states, current process value, etc.

the scheduling policy problem

what RUNNABLE program should we run?

xv6 answer: whatever's next in list

best answer?

well, what should we care about?

some simplifying assumptions

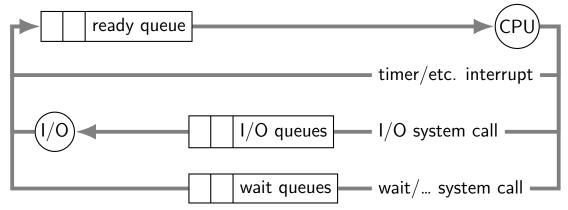
welcome to 1970:

one program per user

one thread per program

programs are independent

recall: scheduling queues



CPU and I/O bursts

...

compute **start read** (from file/keyboard/...)

wait for I/O

compute on read data **start read**

wait for I/O

compute on read data **start write**

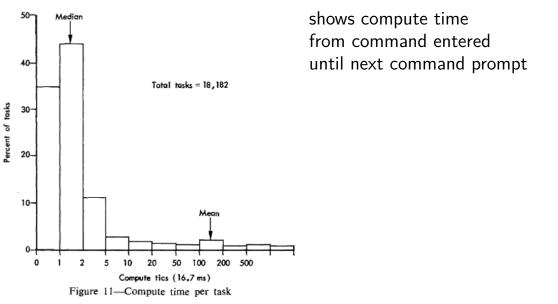
...

wait for I/O

program alternates between computing and waiting for $\ensuremath{I/O}$

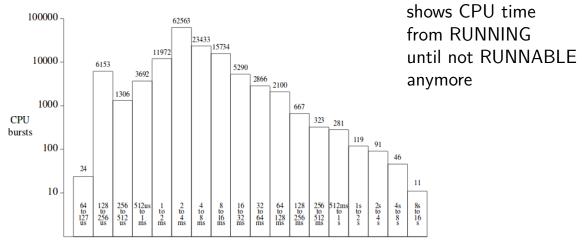
examples: shell: wait for keypresses drawing program: wait for mouse presses/etc. web browser: wait for remote web server

CPU bursts and interactivity (one c. 1966 shared system)



from G. E. Bryan, "JOSS: 20,000 hours at a console—a statistical approach" in Proc. AFIPS 1967 FJCC 34

CPU bursts and interactivity (one c. 1990 desktop)



Length of CPU burst

CPU bursts

observation: applications alternate between I/O and CPU especially interactive applications but also, e.g., reading and writing from disk

typically short "CPU bursts" (milliseconds) followed by short "IO bursts" (milliseconds)

scheduling CPU bursts

our typical view: ready queue, bunch of CPU bursts to run

to start: just look at running what's currently in ready queue best same problem as 'run bunch of programs to completion'?

later: account for I/O after CPU burst

an historical note

historically applications were less likely to keep all data in memory historically computers shared between more users

meant more applications alternating I/O and CPU

context many scheduling policies were developed in

scheduling metrics

turnaround time (Arpaci-Dusseau) AKA response time (Anderson-Dahlin)(want *low*)

(what Arpaci-Dusseau calls response time is related, but slightly different)

what user sees: from *keypress* to *character on screen* (submission until job finished — runnable to not runnable)

throughput (want high)

total work per second problem: overhead (e.g. from context switching)

fairness

many definitions all conflict with best average throughput/turnaround time

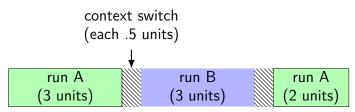
turnaround time and I/O

scheduling CPU bursts? (what we'll mostly deal with) turnaround time \approx time to start next I/O turnaround time = time from runnable to not runnable again important for fully utilizing I/O devices closed loop: faster turnaround time \rightarrow program requests CPU sooner

scheduling batch program on cluster?

turnaround time \approx how long does user wait once program done with CPU, it's probably done

throughput



throughput: useful work done per unit time

non-context switch CPU utilization = $\frac{3+3+2}{3+.5+3+.5+2} = 88\%$

also other considerations:

time lost due to cold caches time lost not starting I/O early as possible

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fairness

	run A									
_										
	run A	run B								

assumption: one program per user

two timelines above; which is fairer?

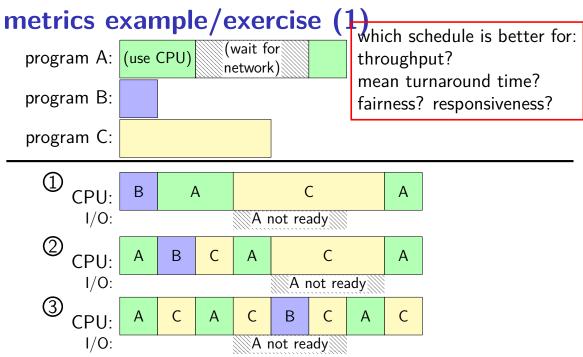
fairness

run A									
run A	run B								

assumption: one program per user

two timelines above; which is fairer?

easy to answer — but formal definition?



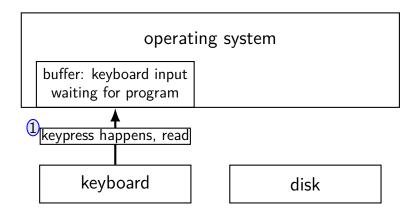
backup slides

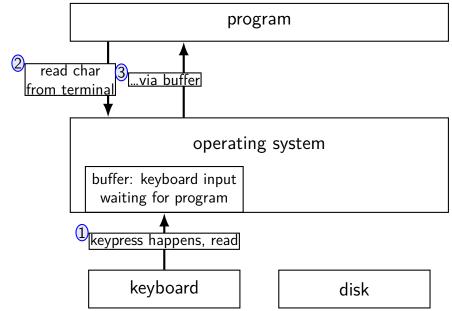
program

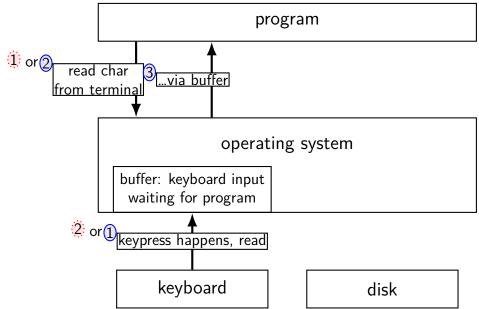
operating system

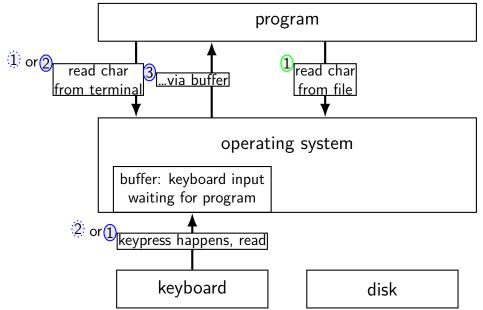
keyboard

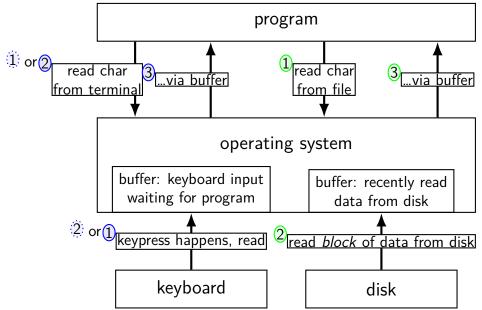
program





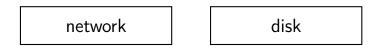


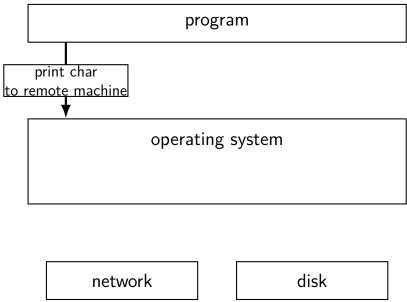


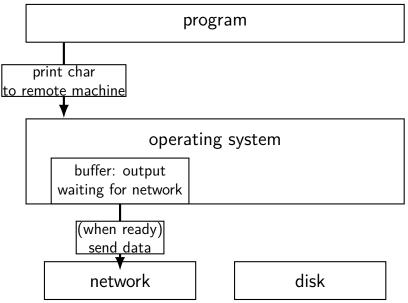


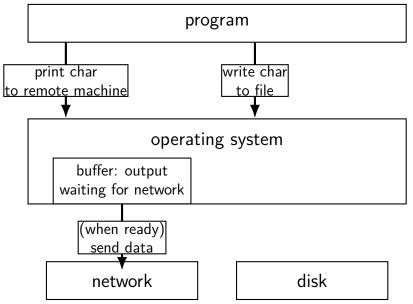
program

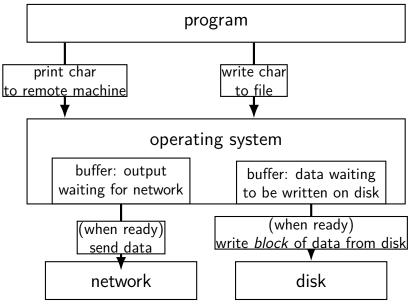
operating system











read/write operations

read()/write(): move data into/out of buffer
possibly wait if buffer is empty (read)/full (write)

actual I/O operations — wait for device to be ready trigger process to stop waiting if needed

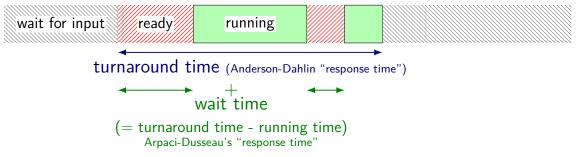
mixing stdio/iostream and raw read/write

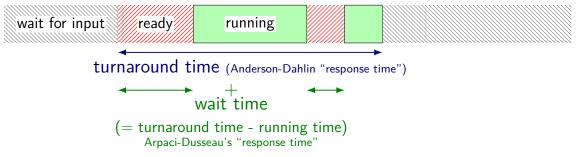
don't do it (unless you're very careful)

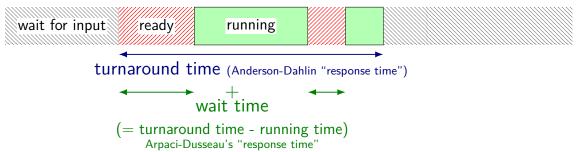
cin/scanf read some extra characters into a buffer?
 you call read — they disappear!

cout/printf has output waiting in a buffer?
you call write — out-of-order output!

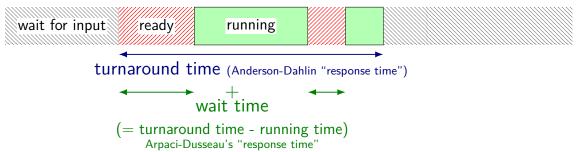
(if you need to: some stdio calls specify that they clear out buffers)







common measure: mean turnaround time or total turnaround time



common measure: mean turnaround time or total turnaround time

same as optimizing mean/total waiting time