## scheduling 2

## last time

xv6 scheduler design
global array of processes (process table)
seperate scheduler thread (per core) for convenience)
lock to control changes to process table implicit queues (search process table for state)

CPU and I/O bursts
scheduling policy $=$ what to remove from queues
scheduling metrics
throughput - useful work per unit time
turnaround time - time from when becomes runnable to finishes running fairness
..?

## metrics example/exercise (2)



## two trivial scheduling algorithms

first-come first served (FCFS)
round robin (RR)

## scheduling example assumptions

multiple programs become ready at almost the same time alternately: became ready while previous program was running
...but in some order that we'll use
e.g. our ready queue looks like a linked list

## two trivial scheduling algorithms

first-come first served (FCFS)
round robin (RR)

## first-come, first-served

 simplest(?) scheduling algorithmno preemption - run program until it can't
suitable in cases where no context switch
e.g. not enough memory for two active programs

## first-come, first-served (FCFS)

(AKA "first in, first out" (FIFO))

| thread | CPU time needed |
| :---: | :---: |
| A | 24 |
| B | 4 |
| C | 3 |

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(AKA "first in, first out" (FIFO)) thread CPU time needed


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| A | 24 |
| :--- | :--- | :--- |
| B | 4 |
| C | 3 |$|$| A $\sim \mathrm{CPU}$-bound |
| :--- |
| B, C $\sim \mathrm{I} / \mathrm{O}$ bound or interactive | arrival order: A, B, C



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(AKA "first in, first out" (FIFO)) thread CPU time needed

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| B | 4 |  |
| C | 3 | A $\sim$ CPU-bound |
| B, C $\sim$ I/O bound or interactive |  |  |

arrival order: A, B, C


24 (A), 28 (B), 31 (C)

## first-come, first-served (FCFS)

(AKA "first in, first out" (FIFO)) thread CPU time needed

| A | 24 |  |
| :--- | :--- | :--- |
| B | 4 | A $\sim$ CPU-bound <br> C |
| B, C $\sim$ I/O bound or interactive |  |  |

arrival order: A, B, C

turnaround times: $($ mean $=27.7)$
24 (A), 28 (B), 31 (C)
arrival order: B, C, A


## first-come, first-served (FCFS)

(AKA "first in, first out" (FIFO)) thread CPU time needed

| A | 24 |  |
| :--- | :--- | :--- |
| B | 4 | A $\sim$ CPU-bound <br> C |
| B, C $\sim$ I/O bound or interactive |  |  |

arrival order: A, B, C

| $A$ | B | C |
| :---: | :---: | :---: |
| 10 <br> turnaround times:${ }^{20}($ mean $=27.7)$ |  |  |

arrival order: B, C, A


31 (A), 4 (B), 7 (C)

## FCFS orders

arrival order: A, B, C


## "convoy effect"

arrival order: B, C, A
 31 (A), 3 (B), 7 (C)

## two trivial scheduling algorithms

first-come first served (FCFS)
round robin (RR)

## round-robin

simplest(?) preemptive scheduling algorithm
run program until either
it can't run anymore, or
it runs for too long (exceeds "time quantum")
requires good way of interrupting programs like xv6's timer interrupt
requires good way of stopping programs whenever like xv6's context switches

## round robin (RR) (varying order)

time quantum $=1$, order A, B, C

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time quantum $=1$, order A, B, C

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turnaround times: $($ mean $=16.3)$
31 (A), 10 (B), 8 (C)

## round robin ( $R R$ ) (varying time quantum)

time quantum $=1$, order A, B, C

time quantum $=2$,
order A, B, C


## round robin ( $R R$ ) (varying time quantum)

time quantum $=1$, order A, B, C

turnaround times: (mean=17)
31 (A), 11 (B), 9 (C)
time quantum $=2$,
order A, B, C

turnaround times: $($ mean $=17.3)$ 31 (A), 10 (B), 11 (C)

## round robin idea

choose fixed time quantum $Q$
unanswered question: what to choose
switch to next process in ready queue after time quantum expires
this policy is what $\mathrm{xv6}$ scheduler does
scheduler runs from timer interrupt (or if process not runnable) finds next runnable process in process table

## round robin and time quantums

many context switches
(lower throughput)
order doesn't matter (more fair)
few context switches
(higher throughput)
first program favored (less fair)
RR with short quantum
smaller quantum: more fair, worse throughput

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FCFS $=$ RR with infinite quantum more fair: at most $(N-1) Q$ time until scheduled if $N$ total processes

## aside: context switch overhead

typical context switch: $\sim 0.01 \mathrm{~ms}$ to 0.1 ms
but tricky: lot of indirect cost (cache misses) (above numbers try to include likely indirect costs)
choose time quantum to manage this overhead
current Linux default: between $\sim 0.75 \mathrm{~ms}$ and $\sim 6 \mathrm{~ms}$
varied based on number of active programs
Linux's scheduler is more complicated than RR
historically common: 1 ms to 100 ms
$1 \%$ to $0.1 \%$ ovherhead?

## round robin and time quantums

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RR with short quantum
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FCFS $=$ RR with infinite quantum
more fair: at most $(N-1) Q$ time until scheduled if $N$ total processes
but what about turnaround time?

## exercise: round robin quantum

if there were no context switch overhead, decreasing the time quantum (for round robin) would cause mean turnaround time to
A. always decrease or stay the same
B. always increase or stay the same
C. increase or decrease or stay the same
D. something else?

## increase mean turnaround time

A: 1 unit CPU burst
B: 1 unit
$\mathrm{Q}=1$

mean turnaround time $=$ $(1+2) \div 2=1.5$
$\mathrm{Q}=1 / 2$

mean turnaround time $=$ $(1.5+2) \div 2=1.75$

## decrease mean turnaround time

A: 10 unit CPU burst
B: 1 unit

mean turnaround time $=$ $(10+11) \div 2=10.5$
$Q=5$
 mean turnaround time $=$ $(6+11) \div 2=8.5$

## stay the same

A: 1 unit CPU burst<br>B: 1 unit



## FCFS and order

earlier we saw that with FCFS, arrival order mattered
big changes in turnaround/waiting time
let's use that insight to see how to optimize mean/total turnaround times

## FCFS orders

|  | A | B C C |
| :--- | :--- | :--- |
| 10 |  |  |

waiting times: $($ mean $=17.3)$ 0 (A), 24 (B), 28 (C) turnaround times: $($ mean $=27.7)$ 24 (A), 28 (B), 31 (C)
arrival order: C, B, A

| C | B |  | A |
| :---: | :---: | :---: | :---: | :---: |
| 0 |  | 10 | ${ }^{\prime}$ |

waiting times: $($ mean $=3.3)$
7 (A), 3 (B), 0 (C)
turnaround times: $($ mean $=13.7)$
31 (A), 7 (B), 3 (C)
arrival order: B, C, A

| B | C |  | A |  |
| :---: | :---: | :---: | :---: | :---: |
| 0 |  | 10 | 20 | 30 |

waiting times: $($ mean $=3.7)$
7 (A), 0 (B), 4 (C)
turnaround times: $($ mean $=14)$
31 (A), 4 (B), 7 (C)

## order and turnaround time

best total/mean turnaround time $=$ run shortest CPU burst first worst total/mean turnaround time $=$ run longest CPU burst first
intuition (1): "race to go to sleep"
intuition (2): minimize time with two threads waiting

## order and turnaround time

best total/mean turnaround time $=$ run shortest CPU burst first worst total/mean turnaround time $=$ run longest CPU burst first
intuition (1): "race to go to sleep"
intuition (2): minimize time with two threads waiting
later: we'll use this result to make a scheduler that minimizes mean turnaround time

## diversion: some users are more equal

 shells more important than big computation?i.e. programs with short CPU bursts
faculty more important than students?
scheduling algorithm: schedule shells/faculty programs first

## priority scheduling

priority $15 \rightarrow$ process A $\rightarrow$ process B

choose process from ready queue for highest priority within each priority, use some other scheduling (e.g. round-robin)
could have each process have unique priority

## priority scheduling and preemption

priority scheduling can be preemptive
i.e. higher priority program comes along - stop whatever else was running

## exercise: priority scheduling (1)

Suppose there are two processes:
thread A
highest priority
repeat forever: 1 unit of $I / O$, then 10 units of CPU, ...
thread Z
lowest priority
4000 units of CPU (and no I/O)

How long will it take thread $Z$ complete?

## exercise: priority scheduling (2)

Suppose there are three processes:
thread A
highest priority
repeat forever: 1 unit of $I / O$, then 10 units of CPU, ...
thread B
second-highest priority
repeat forever: 1 unit of $I / O$, then 10 units of CPU, ...
thread Z
lowest priority
4000 units of CPU (and no I/O)

How long will it take thread Z complete?

## starvation

programs can get "starved" of resources
never get those resources because of higher priority
big reason to have a 'fairness' metric
something almost all definitions of fairness agree on

## fair scheduling

what is the fairest scheduling we can do?
intuition: every thread has an equal chance to be chosen

## random scheduling algorithm

"fair" scheduling algorithm: choose uniformly at random
good for "fairness"
bad for response time
bad for predictability

## proportional share

maybe every thread isn't equal
if thread $A$ is twice as important as thread $B$, then...

## proportional share

maybe every thread isn't equal
if thread $A$ is twice as important as thread $B$, then...
one idea: thread $A$ should run twice as much as thread $B$
proportional share

## lottery scheduling

every thread has a certain number of lottery tickets:

scheduling $=$ lottery among ready threads:


## simulating priority with lottery

A (high priority) 1M tickets

| B (medium priority) |
| :---: |
| 1 K tickets |


| C (low priority) |
| :---: |
| 1 tickets |

very close to strict priority

## lottery scheduling assignment

assignment: add lottery scheduling to xv6
extra system call: settickets
also counting of how often processes scheduled (for testing)

## lottery scheduling assignment

assignment: add lottery scheduling to xv6
extra system call: settickets
also counting of how often processes scheduled (for testing)
simplification: okay if scheduling decisions are linear time there is a faster way
not implementing preemption before time slice ends might be better to run new lottery when process becomes ready?

## is lottery scheduling actually good?

seriously proposed by academics in 1994 (Waldspurger and Weihl, OSDI'94)
including ways of making it efficient
making preemption decisions (other than time slice ending)
if processes don't use full time slice
handling non-CPU-like resources
elegant mecahnism that can implement a variety of policies
but there are some problems...

## exercise

thread A: 1 ticket, always runnable
thread B: 9 tickets, always runnable
over 10 time quantum
what is the probability A runs for at least 3 quanta?
i.e. 3 times as much as "it's supposed to" chosen 3 times out of 10 instead of 1 out of 10

## exercise

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A runs w/in 10 times...

## minimizing turnaround time

recall: first-come, first-served best order:
had shortest CPU bursts first
$\rightarrow$ scheduling algorithm: ‘shortest job first' (SJF)
$=$ same as priority where CPU burst length determines priority
...but without preemption for now
priority $=$ job length doesn't quite work with preemption (preview: need priority $=$ remaining time)

## a practical problem

so we want to run the shortest CPU burst first
how do I tell which thread that is?
we'll deal with this problem later
...kinda

## alternating I/O and CPU: SJF


...


## alternating I/O and CPU: SJF



## alternating I/O and CPU: SJF



