

# POSIX API 2

# last time

POSIX – standard for Unix

fork: process creation via cloning

- new process called “child”; original called “parent”

- return child pid in parent; 0 in child

exec\*: run different program in current process

waitpid: wait for child

# POSIX process management

essential operations

process information: `getpid`

process creation: `fork`

running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`

# POSIX process management

essential operations

process information: `getpid`

process creation: `fork`

running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`

## wait/waitpid

```
pid_t waitpid(pid_t pid, int *status,  
              int options)
```

wait for a child process (with `pid=pid`) to finish

sets `*status` to its “status information”

`pid=-1` → wait for any child process instead

options? see manual page (command `man waitpid`)

0 — no options

## exit statuses

```
int main() {  
    return 0;  /* or exit(0); */  
}
```

# waitpid example

```
#include <sys/wait.h>
...
child_pid = fork();
if (child_pid > 0) {
    /* Parent process */
    int status;
    waitpid(child_pid, &status, 0);
} else if (child_pid == 0) {
    /* Child process */
    ...
}
```

# the status

```
#include <sys/wait.h>

...
waitpid(child_pid, &status, 0);
if (WIFEXITED(status)) {
    printf("main returned or exit called with %d\n",
           WEXITSTATUS(status));
} else if (WIFSIGNALED(status)) {
    printf("killed by signal %d\n", WTERMSIG(status));
} else {
    ...
}
```

“status code” encodes both return value and if exit was abnormal  
W\* macros to decode it



# the status

```
#include <sys/wait.h>

...
waitpid(child_pid, &status, 0);
if (WIFEXITED(status)) {
    printf("main returned or exit called with %d\n",
           WEXITSTATUS(status));
} else if (WIFSIGNALED(status)) {
    printf("killed by signal %d\n", WTERMSIG(status));
} else {
    ...
}
```

“status code” encodes both return value and if exit was abnormal  
W\* macros to decode it

## aside: signals

signals are a way of communicating between processes

they are also how abnormal termination happens

kernel communicating “something bad happened” → kills program by default

wait's status will tell you when and what signal killed a program

constants in signal.h

SIGINT — control-C

SIGTERM — kill command (by default)

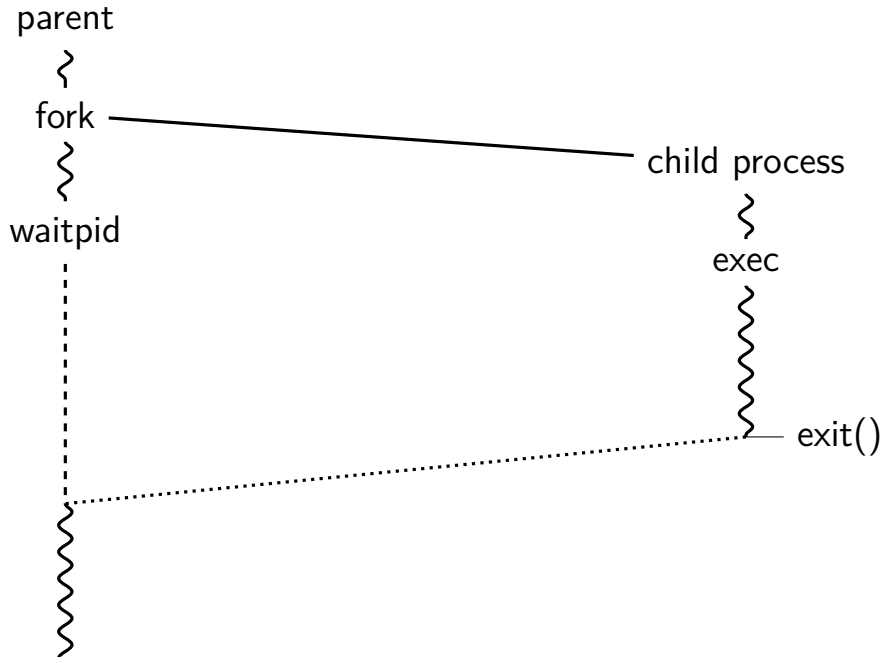
SIGSEGV — segmentation fault

SIGBUS — bus error

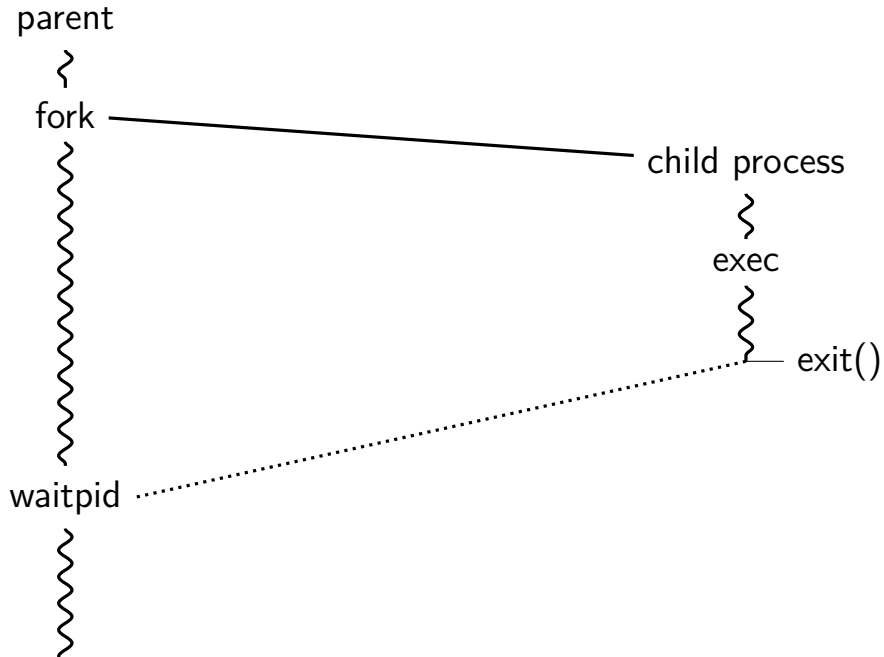
SIGABRT — abort() library function

...

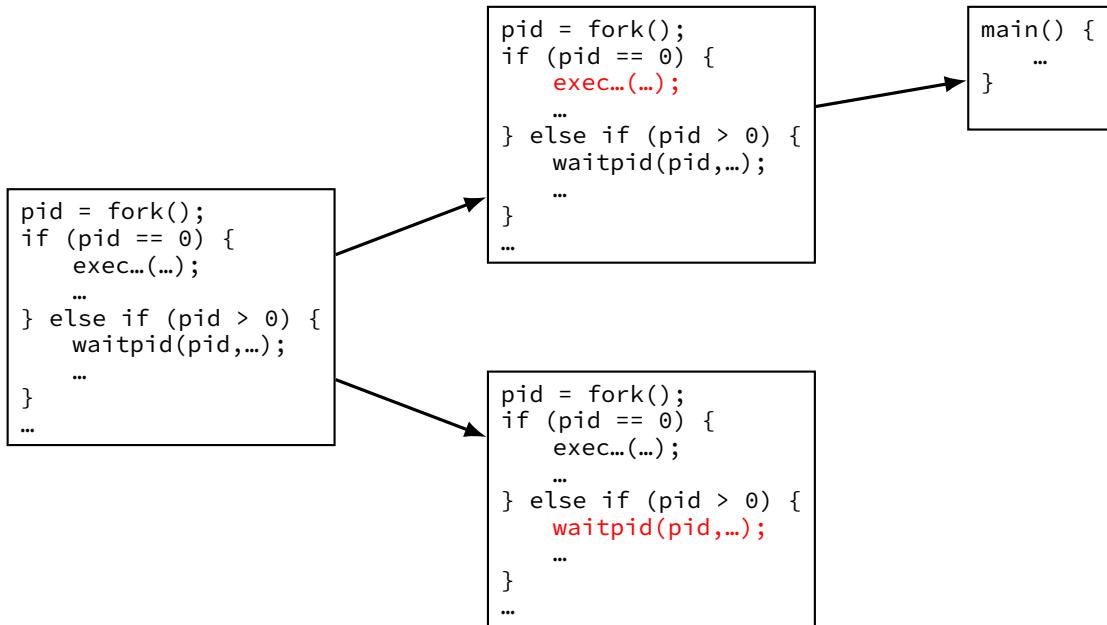
# typical pattern



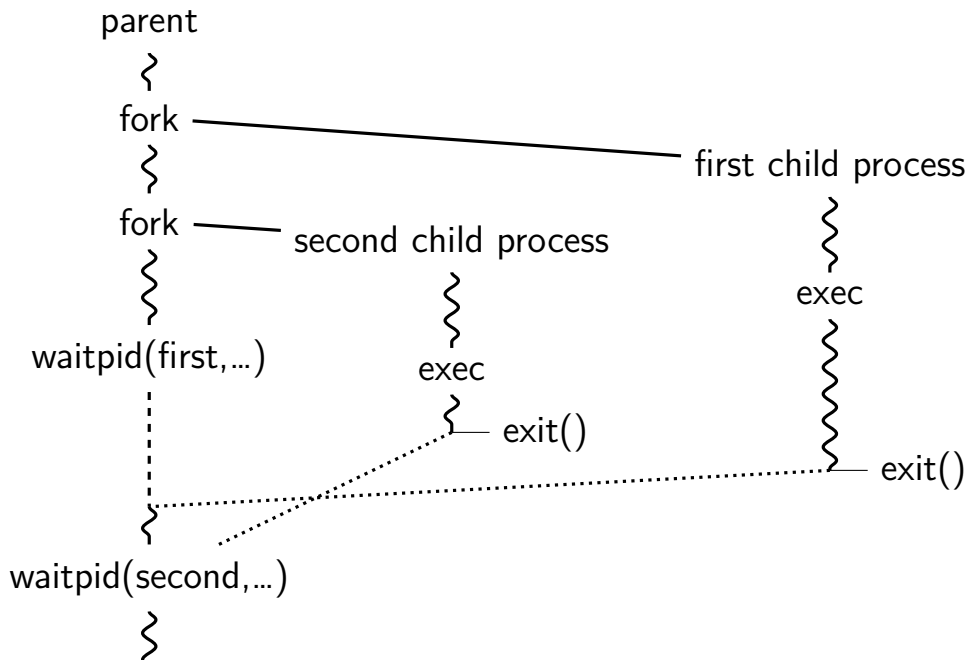
## typical pattern (alt)



# typical pattern (detail)



# pattern with multiple?



# POSIX process management

essential operations

process information: `getpid`

process creation: `fork`

running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`

## exercise (1)

```
int main() {
    pid_t pids[2]; const char *args[] = {"echo", "ARG", NULL};
    const char *extra[] = {"L1", "L2"};
    for (int i = 0; i < 2; ++i) {
        pids[i] = fork();
        if (pids[i] == 0) {
            args[1] = extra[i];
            execv("/bin/echo", args);
        }
    }
    for (int i = 0; i < 2; ++i) {
        waitpid(pids[i], NULL, 0);
    }
}
```

Assuming fork and execv do not fail, which are possible outputs?

- |  |                            |
|--|----------------------------|
| <b>A.</b> L1 (newline) L2              | <b>D.</b> A and B          |
| <b>B.</b> L1 (newline) L2 (newline) L2 | <b>E.</b> A and C          |
| <b>C.</b> L2 (newline) L1              | <b>F.</b> all of the above |
|  | <b>G.</b> something else   |



## exercise (2)

```
int main() {
    pid_t pids[2];
    const char *args[] = {"echo", "0", NULL};
    for (int i = 0; i < 2; ++i) {
        pids[i] = fork();
        if (pids[i] == 0) {
            execv("/bin/echo", args);
        }
    }
    printf("1\n"); fflush(stdout);
    for (int i = 0; i < 2; ++i) {
        waitpid(pids[i], NULL, 0);
    }
    printf("2\n"); fflush(stdout);
}
```

Assuming fork and execv do not fail, which are possible outputs?

- A.** 0 (newline) 0 (newline) 1 (newline) 2    **E.** A, B, and C  
**B.** 0 (newline) 1 (newline) 0 (newline) 2    **F.** C and D  
**C.** 1 (newline) 0 (newline) 0 (newline) 2    **G.** all of the above  
**D.** 1 (newline) 0 (newline) 2 (newline) 0    **H.** something else

## exercise (2)

```
int main() {
    pid_t pids[2];
    const char *args[] = {"echo", "0", NULL};
    for (int i = 0; i < 2; ++i) {
        pids[i] = fork();
        if (pids[i] == 0) {
            execv("/bin/echo", args);
        }
    }
    printf("1\n"); fflush(stdout);
    for (int i = 0; i < 2; ++i) {
        waitpid(pids[i], NULL, 0);
    }
    printf("2\n"); fflush(stdout);
}
```

Assuming fork and execv do not fail, which are possible outputs?

- A.** 0 (newline) 0 (newline) 1 (newline) 2    **E.** A, B, and C  
**B.** 0 (newline) 1 (newline) 0 (newline) 2    **F.** C and D  
**C.** 1 (newline) 0 (newline) 0 (newline) 2    **G.** all of the above  
**D.** 1 (newline) 0 (newline) 2 (newline) 0    **H.** something else

# shell

allow user (= person at keyboard) to run applications

user's wrapper around process-management functions

upcoming homework — make a simple shell

## aside: shell forms

POSIX: command line you have used before

also: graphical shells

e.g. OS X Finder, Windows explorer

other types of command lines?

completely different interfaces?

# some POSIX command-line features

searching for programs (not in assignment)

```
ls -l ≈ /bin/ls -l
```

```
make ≈ /usr/bin/make
```

running in background (not in assignment)

```
./someprogram &
```

redirection:

```
./someprogram >output.txt
```

```
./someprogram <input.txt
```

pipelines:

```
./someprogram | ./somefilter
```

# some POSIX command-line features

searching for programs (not in assignment)

```
ls -l ≈ /bin/ls -l
```

```
make ≈ /usr/bin/make
```

running in background (not in assignment)

```
./someprogram &
```

redirection:

```
./someprogram >output.txt
```

```
./someprogram <input.txt
```

pipelines:

```
./someprogram | ./somefilter
```

# searching for programs

POSIX convention: `PATH` *environment variable*

example: `/home/cr4bd/bin:/usr/bin:/bin`

list of directories to check in order

environment variables = key/value pairs stored with process  
by default, left unchanged on `execve`, `fork`, etc.

one way to implement: [pseudocode]

```
for (directory in path) {  
    execv(directory + "/" + program_name, argv);  
}
```

# some POSIX command-line features

searching for programs (not in assignment)

```
ls -l ≈ /bin/ls -l
```

```
make ≈ /usr/bin/make
```

running in background (not in assignment)

```
./someprogram &
```

redirection:

```
./someprogram >output.txt
```

```
./someprogram <input.txt
```

pipelines:

```
./someprogram | ./somefilter
```



# some POSIX command-line features

searching for programs (not in assignment)

```
ls -l ≈ /bin/ls -l
```

```
make ≈ /usr/bin/make
```

running in background (not in assignment)

```
./someprogram &
```

redirection:

```
./someprogram >output.txt
```

```
./someprogram <input.txt
```

pipelines:

```
./someprogram | ./somefilter
```

# shell assignment

implement a simple shell that supports redirection and pipeline  
for Linux or another POSIX system (not xv6)

but our supplied tests assume specifically Linux (**not OS X**)

...and prints the exit code of program in the pipeline

simplified parsing: space-separated:

okay: `/bin/ls -l > tmp.txt`

not okay: `/bin/ls -l >tmp.txt`

okay: `/bin/ls -l | /bin/grep foo > tmp.txt`

not okay: `/bin/ls -l | /bin/grep foo >tmp.txt`

# POSIX: everything is a file

the file: one interface for

- devices (terminals, printers, ...)

- regular files on disk

- networking (sockets)

- local interprocess communication (pipes, sockets)

basic operations: `open()`, `read()`, `write()`, `close()`

# the file interface

open before use

    setup, access control happens here

byte-oriented

    real device isn't? operating system needs to hide that

explicit close

# the file interface

open before use

setup, access control happens here

byte-oriented

real device isn't? operating system needs to **hide** that

explicit close

# mixing `stdio`/`iostream` and raw `read`/`write`

don't do it (unless you're very careful)

`cin`/`scanf` read some extra characters into a buffer?

you call `read` — they disappear!

`cout`/`printf` has output waiting in a buffer?

you call `write` — out-of-order output!

(if you need to: some `stdio` calls specify that they clear out buffers)

# filesystem abstraction

regular files — named collection of bytes

also: size, modification time, owner, access control info, ...

directories — folders containing files and directories

hierarchical naming: `/net/zf14/cr4bd/fall2018/cs4414`

*mostly* contains regular files or directories

# open

```
int open(const char *path, int flags);  
int open(const char *path, int flags, int mode);  
...
```

```
int read_fd = open("dir/file1", O_RDONLY);  
int write_fd = open("/other/file2",  
                    O_WRONLY | O_CREAT | O_TRUNC, 0666);  
int rdwr_fd = open("file3", O_RDWR);
```



# open

```
int open(const char *path, int flags);  
int open(const char *path, int flags, int mode);
```

path = filename

e.g. `"/foo/bar/file.txt"`

file.txt in

directory bar in

directory foo in

"the root directory"

e.g. `"quux/other.txt"`

other.txt in

directory quux in

"the current working directory" (set with `chdir()`)

## open: file descriptors

```
int open(const char *path, int flags);  
int open(const char *path, int flags, int mode);
```

return value = **file descriptor** (or -1 on error)

index into table of *open file descriptions* for each process

used by system calls that deal with open files

## open: flags

```
int open(const char *path, int flags);  
int open(const char *path, int flags, int mode);
```

flags: bitwise or of:

`O_RDWR`, `O_RDONLY`, or `O_WRONLY`

read/write, read-only, write-only

`O_APPEND`

append to end of file

`O_TRUNC`

truncate (set length to 0) file if it already exists

`O_CREAT`

create a new file if one doesn't exist

(default: file must already exist)

...and more

man 2 open

## open: mode

```
int open(const char *path, int flags);  
int open(const char *path, int flags, int mode);
```

mode: permissions of newly created file

- like numbers provided to chmod command
- filtered by a “umask”

simple advice: always use 0666

- = readable/writable by everyone, except where umask prohibits
- (typical umask: prohibit other/group writing)

# implementing file descriptors in xv6 (1)

```
struct proc {
```

```
    ...
```

```
    struct file *ofile[NOFILE]; // Open files  
};
```

`ofile[0]` = file descriptor 0

pointer — *can be shared between proceses*

not part of deep copy fork does

null pointers — no file open with that number

## implementing file descriptors in xv6 (2)

```
struct file {  
    enum { FD_NONE, FD_PIPE, FD_INODE } type;  
    int ref; // reference count  
    char readable;  
    char writable;  
    struct pipe *pipe;  
    struct inode *ip;  
    uint off;  
};
```

## implementing file descriptors in xv6 (2)

```
struct file {  
    enum { FD_NONE, FD_PIPE, FD_INODE } type;  
    int ref; // reference count  
    char readable;  
    char writable;  
    struct pipe *pipe;  
    struct inode *ip;  
    uint off;  
};
```

FD\_PIPE = to talk to other process  
FD\_INODE = other kind of file

alternate designs:

class + subclass per type

pointer to list of functions (Linux soln.)

## implementing file descriptors in xv6 (2)

```
struct file {  
    enum { FD_NONE, FD_PIPE, FD_INODE } type;  
    int ref; // reference count  
    char readable;  
    char writable;  
    struct pipe *pipe;  
    struct inode *ip;  
    uint off;  
};
```

number of pointers to this struct file  
used to safely delete this struct

e.g. after fork same pointer  
shared in parent, child



## implementing file descriptors in xv6 (2)

```
struct file {  
    enum { FD_NONE, FD_PIPE, FD_INODE } type;  
    int ref; // reference count  
    char readable;  
    char writable;  
    struct pipe *pipe;  
    struct inode *ip;  
    uint off;  
};
```

should read/write be allowed?  
based on flags to open

## implementing file descriptors in xv6 (2)

```
struct file {  
    enum { FD_NONE, FD_PIPE, FD_INODE } type;  
    int ref; // reference count  
    char readable;  
    char writable;  
    struct pipe *pipe;  
    struct inode *ip;  
    uint off;  
};
```

off = location in file  
(not meaningful for all files)

# special file descriptors

file descriptor 0 = standard input

file descriptor 1 = standard output

file descriptor 2 = standard error

constants in `unistd.h`

`STDIN_FILENO`, `STDOUT_FILENO`, `STDERR_FILENO`

# special file descriptors

file descriptor 0 = standard input

file descriptor 1 = standard output

file descriptor 2 = standard error

constants in `unistd.h`

`STDIN_FILENO`, `STDOUT_FILENO`, `STDERR_FILENO`

but you can't choose which number `open` assigns...?

more on this later

# close

```
int close(int fd);
```

close the file descriptor, deallocating that array index

does not affect other file descriptors

that refer to same “open file description”

(e.g. in `fork()`ed child or created via (later) `dup2`)

if last file descriptor for open file description, resources deallocated

returns 0 on success

returns -1 on error

e.g. ran out of disk space while finishing saving file

# shell redirection

`./my_program ... < input.txt:`

run `./my_program ...` but use `input.txt` as input  
like we copied and pasted the file into the terminal

`echo foo > output.txt:`

runs `echo foo`, sends output to `output.txt`  
like we copied and pasted the output into that file  
(as it was written)

# exec preserves open files

the process control block

user regs	<code>eax=42</code> <i>init. val.</i> , <code>ecx=133</code> <i>init. val.</i> , ...
kernel stack	
user memory	
open files	<code>fd 0: (terminal ...)</code> <code>fd 1: ...</code>
...	...

not changed!  
redirection/etc.:

setup stdin/stdout before exec

memory

old memory  
discarded

copy arguments

} new stack, heap, ...

loaded from  
executable file



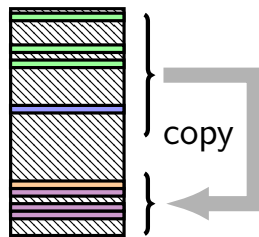


# fork copies open file list

parent process control block

user regs	eax=42child (new) pid, ecx=133, ...
kernel stack	
user memory	
open files	fd 0: ... fd 1: ... ...
...	...

memory



copy

child process control block

user regs	eax=420, ecx=133, ...
kernel stack	
user memory	
open files	fd 0: ... fd 1: ... ...
...	...

open file description (stdin)

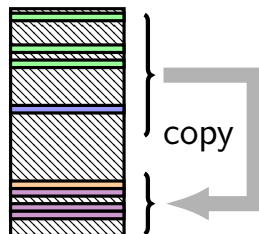
open file description (stdout)

# fork copies open file list

parent process control block

user regs	eax=42child (new) pid, ecx=133, ...
kernel stack	
user memory	
open files	fd 0: ... fd 1: ... ...
...	...

memory



copy

child process control block

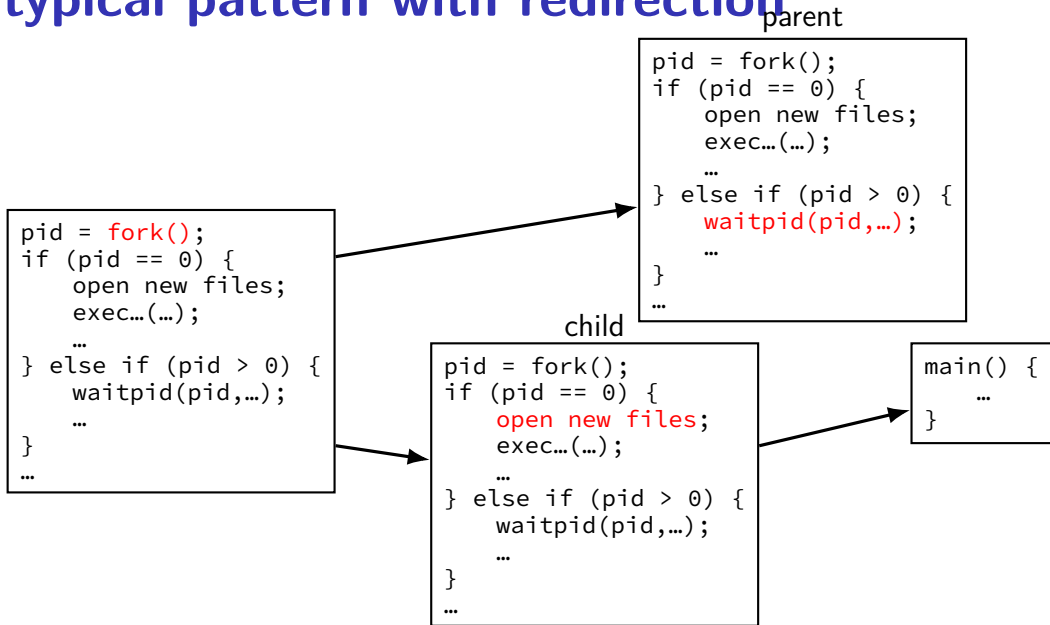
user regs	eax=420, ecx=133, ...
kernel stack	
user memory	
open files	fd 0: ... fd 1: ... ...
...	...

open file description (stdin)

open file description (stdout)

redirected-to stdout?  
(set after fork, before exec)

# typical pattern with redirection



# redirecting with exec

standard output/error/input are files

(C stdout/stderr/stdin; C++ cout/cerr/cin)

(probably after forking) open files to redirect

...and make them be standard output/error/input  
using `dup2()` library call

then `exec`, preserving new standard output/etc.

# reassigning file descriptors

redirection: `./program >output.txt`

step 1: open output.txt for writing, get new file descriptor

step 2: make that new file descriptor stdout (number 1)

## reassigning and file table

```
struct proc {
```

```
    ...
```

```
    struct file *ofile[NOFIL]; // Open files
};
```

redirect stdout: want: `ofile[1] = ofile[opened-fd];`  
(plus increment reference count, so nothing is deleted early)

but can't access `ofile` from userspace

so syscall: `dup2(opened-fd, 1);`

# reassigning file descriptors

redirection: `./program >output.txt`

step 1: open `output.txt` for writing, get new file descriptor

step 2: **make that new file descriptor stdout (number 1)**

tool: `int dup2(int oldfd, int newfd)`

make `newfd` refer to same open file as `oldfd`

*same open file description*

shares the current location in the file

(even after more reads/writes)

what if `newfd` already allocated — closed, then reused

## dup2 example

redirects stdout to output to output.txt:

```
fflush(stdout); /* clear printf's buffer */
int fd = open("output.txt",
              O_WRONLY | O_CREAT | O_TRUNC);
if (fd < 0)
    do_something_about_error();

dup2(fd, STDOUT_FILENO);
/* now both write(fd, ...) and write(STDOUT_FILENO, ...)
   write to output.txt
   */

close(fd); /* only close original, copy still works! */

printf("This will be sent to output.txt.\n");
```



## open/dup/close/etc. and fd array

```
struct proc {  
    ...  
    struct file *ofile[NOFILE];    // Open files  
};  
  
open: ofile[new_fd] = ...;  
  
dup2(from, to): ofile[to] = ofile[from];  
  
close: ofile[fd] = NULL;  
  
fork:  
    for (int i = ...)   
        child->ofile[i] = parent->ofile[i];
```

(plus extra work to avoid leaking memory)

## read/write

```
ssize_t read(int fd, void *buffer, size_t count);  
ssize_t write(int fd, void *buffer, size_t count);
```

read/write up to *count* bytes to/from *buffer*

returns number of bytes read/written or -1 on error

- ssize\_t is a signed integer type

- error code in errno

read returning 0 means end-of-file (*not an error*)

- can read/write less than requested (end of file, broken I/O device, ...)

# read'ing one byte at a time

```
string s;  
ssize_t amount_read;  
char c;  
/* cast to void * not needed in C */  
while ((amount_read = read(STDIN_FILENO, (void*) &c, 1)) > 0)  
    /* amount_read must be exactly 1 */  
    s += c;  
}  
if (amount_read == -1) {  
    /* some error happened */  
    perror("read"); /* print out a message about it */  
} else if (amount_read == 0) {  
    /* reached end of file */  
}
```

## write example

```
/* cast to void * optional in C */  
write(STDOUT_FILENO, (void *) "Hello, World!\n", 14);
```

## exercise

```
int fd = open("output.txt", O_WRONLY|O_CREAT|O_TRUNC, 0666);
write(fd, "A", 1);
dup2(STDOUT_FILENO, 100);
dup2(fd, STDOUT_FILENO);
write(STDOUT_FILENO, "B", 1);
write(fd, "C", 1);
close(fd);
write(STDOUT_FILENO, "D", 1);
write(100, "E", 1);
```

Assume `open()` and `dup2()` *do not fail*, `write()` does not fail as long as the fd it writes to is open, fd 100 was closed and is not what `open` returns, and `STDOUT_FILENO` is initially open. What is written to `output.txt`?

- A.** ABCDE    **C.** ABC    **E.** something else  
**B.** ABCD    **D.** ACD

# pipes

special kind of file: pipes

bytes go in one end, come out the other — once

created with `pipe()` library call

intended use: communicate between processes  
like implementing shell pipelines

# pipe()

```
int pipe_fd[2];  
if (pipe(pipe_fd) < 0)  
    handle_error();  
/* normal case: */  
int read_fd = pipe_fd[0];  
int write_fd = pipe_fd[1];
```

then from one process...

```
write(write_fd, ...);
```

and from another

```
read(read_fd, ...);
```

# pipe() and blocking

**BROKEN** example:

```
int pipe_fd[2];  
if (pipe(pipe_fd) < 0)  
    handle_error();  
int read_fd = pipe_fd[0];  
int write_fd = pipe_fd[1];  
write(write_fd, some_buffer, some_big_size);  
read(read_fd, some_buffer, some_big_size);
```

This is likely to **not terminate**. What's the problem?



# pipe example (1)

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file descriptors */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

# pipe example (1)

'standard' pattern with fork()

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file descriptors */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

# pipe example (1)

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file d
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

read() will not indicate  
end-of-file if write fd is open  
(any copy of it)

# pipe example (1)

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file descriptors */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

have habit of closing  
to avoid 'leaking' file descriptors  
you can run out

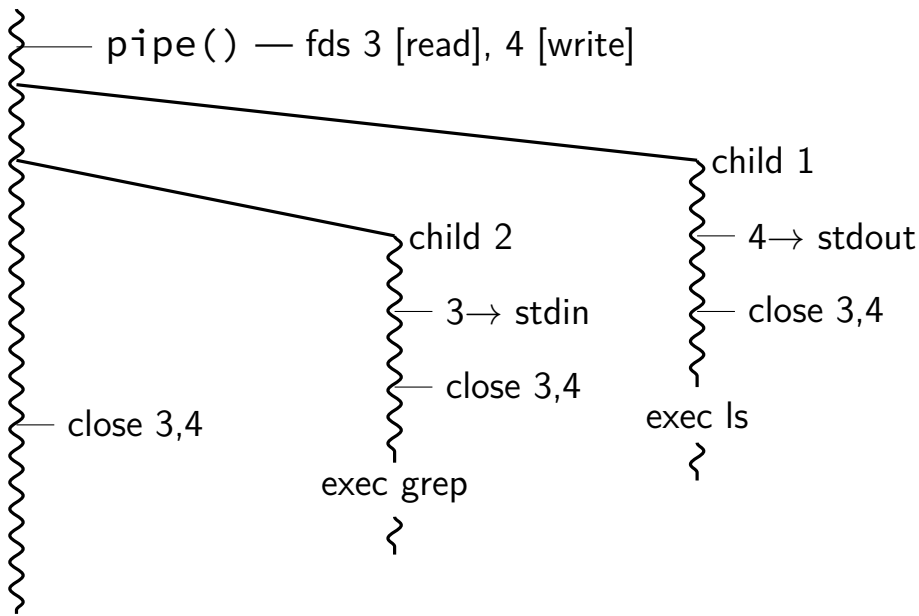
# pipe and pipelines

```
ls -l | grep foo
```

```
pipe(pipe_fd);
ls_pid = fork();
if (ls_pid == 0) {
    dup2(pipe_fd[1], STDOUT_FILENO);
    close(pipe_fd[0]); close(pipe_fd[1]);
    char *argv[] = {"ls", "-l", NULL};
    execv("/bin/ls", argv);
}
grep_pid = fork();
if (grep_pid == 0) {
    dup2(pipe_fd[0], STDIN_FILENO);
    close(pipe_fd[0]); close(pipe_fd[1]);
    char *argv[] = {"grep", "foo", NULL};
    execv("/bin/grep", argv);
}
close(pipe_fd[0]); close(pipe_fd[1]);
/* wait for processes, etc. */
```

# example execution

parent



## exercise

```
pid_t p = fork();
int pipe_fds[2];
pipe(pipe_fds);
if (p == 0) { /* child */
    close(pipe_fds[0]);
    char c = 'A';
    write(pipe_fds[1], &c, 1);
    exit(0);
} else { /* parent */
    close(pipe_fds[1]);
    char c;
    int count = read(pipe_fds[0], &c, 1);
    printf("read %d bytes\n", count);
}
```

The child is trying to send the character A to the parent, but it has a (subtle) bug.

But the above code outputs read 0 bytes instead of read 1 bytes.

What happened?

## exercise solution

`pipe()` is after `fork` — two pipes, one in child, one in parent



## exercise

```
int pipe_fds[2]; pipe(pipe_fds);
pid_t p = fork();
if (p == 0) {
    close(pipe_fds[0]);
    for (int i = 0; i < 10; ++i) {
        char c = '0' + i;
        write(pipe_fds[1], &c, 1);
    }
    exit(0);
}
close(pipe_fds[1]);
char buffer[10];
ssize_t count = read(pipe_fds[0], buffer, 10);
for (int i = 0; i < count; ++i) {
    printf("%c", buffer[i]);
}
```

Which of these are possible outputs (if pipe, read, write, fork don't fail)?

- A. 0123456789    B. 0    C. (nothing)  
D. A and B    E. A and C    F. A, B, and C

## exercise

```
int pipe_fds[2]; pipe(pipe_fds);
pid_t p = fork();
if (p == 0) {
    close(pipe_fds[0]);
    for (int i = 0; i < 10; ++i) {
        char c = '0' + i;
        write(pipe_fds[1], &c, 1);
    }
    exit(0);
}
close(pipe_fds[1]);
char buffer[10];
ssize_t count = read(pipe_fds[0], buffer, 10);
for (int i = 0; i < count; ++i) {
    printf("%c", buffer[i]);
}
```

Which of these are possible outputs (if pipe, read, write, fork don't fail)?

- A. 0123456789    B. 0    C. (nothing)  
D. A and B    E. A and C    F. A, B, and C

# empirical evidence

8	0
374	01
210	012
30	0123
12	01234
3	012345
1	0123456
2	01234567
1	012345678
359	0123456789

## partial reads

read returning 0 always means end-of-file

by default, read always waits *if no input available yet*  
but can set read to return *error* instead of waiting

read can return less than requested if not available  
e.g. child hasn't gotten far enough

# read/write

```
ssize_t read(int fd, void *buffer, size_t count);  
ssize_t write(int fd, void *buffer, size_t count);
```

read/write **up to *count*** bytes to/from *buffer*

returns number of bytes read/written or -1 on error

- ssize\_t is a signed integer type

- error code in errno

read returning 0 means end-of-file (*not an error*)

- can read/write less than requested (end of file, broken I/O device, ...)

## read'ing a fixed amount

```
ssize_t offset = 0;
const ssize_t amount_to_read = 1024;
char result[amount_to_read];
do {
    /* cast to void * optional in C */
    ssize_t amount_read =
        read(STDIN_FILENO,
            (void *) (result + offset),
            amount_to_read - offset);
    if (amount_read < 0) {
        perror("read"); /* print error message */
        ... /* abort??? */
    } else {
        offset += amount_read;
    }
} while (offset != amount_to_read && amount_read != 0);
```

## partial reads

on regular file: read reads what you request

but otherwise: usually gives you what's known to be available  
after waiting for something to be available

## partial reads

on regular file: read reads what you request

but otherwise: usually gives you what's known to be available  
after waiting for something to be available

reading from network — what's been received

reading from keyboard — what's been typed



## write example (with error checking)

```
const char *ptr = "Hello, World!\n";
ssize_t remaining = 14;
while (remaining > 0) {
    /* cast to void * optional in C */
    ssize_t amount_written = write(STDOUT_FILENO,
                                   ptr,
                                   remaining);

    if (amount_written < 0) {
        perror("write"); /* print error message */
        ... /* abort??? */
    } else {
        remaining -= amount_written;
        ptr += amount_written;
    }
}
```

# partial writes

usually only happen on error or interruption

but can request “non-blocking”

(interruption: via *signal*)

*usually*: write **waits until it completes**

= until remaining part fits in buffer in kernel

does not mean data was sent on network, shown to user yet, etc.

# Unix API summary

spawn and wait for program: `fork` (copy), then

- in child: setup, then `execv`, etc. (replace copy)

- in parent: `waitpid`

files: `open`, `read` and/or `write`, `close`

- one interface for regular files, pipes, network, devices, ...

file descriptors are indices into per-process array

- index 0, 1, 2 = `stdin`, `stdout`, `stderr`

- `dup2` — assign one index to another

- `close` — deallocate index

redirection/pipelines

- `open()` or `pipe()` to create new file descriptors

- `dup2` in child to assign file descriptor to index 0, 1

**backup slides**

# aside: environment variables (1)

key=value pairs associated with every process:

```
$ printenv
```

```
MODULE_VERSION_STACK=3.2.10
```

```
MANPATH=/opt/puppetlabs/puppet/share/man
```

```
XDG_SESSION_ID=754
```

```
HOSTNAME=labsrv01
```

```
SELINUX_ROLE_REQUESTED=
```

```
TERM=screen
```

```
SHELL=/bin/bash
```

```
HISTSIZE=1000
```

```
SSH_CLIENT=128.143.67.91 58432 22
```

```
SELINUX_USE_CURRENT_RANGE=
```

```
QTDIR=/usr/lib64/qt-3.3
```

```
OLDPWD=/zf14/cr4bd
```

```
QTINC=/usr/lib64/qt-3.3/include
```

```
SSH_TTY=/dev/pts/0
```

```
QT_GRAPHICSSYSTEM_CHECKED=1
```

```
USER=cr4bd
```

```
LS_COLORS=rs=0:di=01;34:ln=01;36:mh=00:pi=40;33:so=01;35:do=01;35:bd=40;33;01:cd=40;33;01:or=
```

```
MODULE_VERSION=3.2.10
```

```
MAIL=/var/spool/mail/cr4bd
```

```
PATH=/zf14/cr4bd/.cargo/bin:/zf14/cr4bd/bin:/usr/lib64/qt-3.3/bin:/usr/local/bin:/usr/bin:/us
```

```
PWD=/zf14/cr4bd
```

```
LANG=en_US.UTF-8
```

```
MODULEPATH=/sw/centos/Modules/modulefiles:/sw/linux-any/Modules/modulefiles
```

```
LOADEDMODULES=
```

```
KDEDIRS=/usr
```

## aside: environment variables (2)

environment variable library functions:

`getenv("KEY")`  $\rightarrow$  *value*

`putenv("KEY=value")` (sets KEY to *value*)

`setenv("KEY", "value")` (sets KEY to *value*)

```
int execve(char *path, char **argv, char **envp)
```

```
char *envp[] = { "KEY1=value1", "KEY2=value2", NULL };
```

```
char *argv[] = { "somecommand", "some arg", NULL };
```

```
execve("/path/to/somecommand", argv, envp);
```

normal exec versions — keep same environment variables

## aside: environment variables (3)

interpretation up to programs, but common ones...

`PATH=/bin:/usr/bin`

to run a program 'foo', look for an executable in `/bin/foo`, then `/usr/bin/foo`

`HOME=/zf14/cr4bd`

current user's home directory is `'/zf14/cr4bd'`

`TERM=screen-256color`

your output goes to a 'screen-256color'-style terminal

...

# multiple processes?

```
while (...) {  
    pid = fork();  
    if (pid == 0) {  
        exec ...  
    } else if (pid > 0) {  
        pids.push_back(pid);  
    }  
}  
  
/* retrieve exit statuses in order */  
for (pid_t pid : pids) {  
    waitpid(pid, ...);  
    ...  
}
```



# waiting for all children

```
#include <sys/wait.h>

...
while (true) {
    pid_t child_pid = waitpid(-1, &status, 0);
    if (child_pid == (pid_t) -1) {
        if (errno == ECHILD) {
            /* no child process to wait for */
            break;
        } else {
            /* some other error */
        }
    }
    /* handle child_pid exiting */
}
```

# multiple processes?

```
while (...) {  
    pid = fork();  
    if (pid == 0) {  
        exec ...  
    } else if (pid > 0) {  
        pids.push_back(pid);  
    }  
}
```

```
/* retrieve exit statuses as processes finish */  
while ((pid = waitpid(-1, ...)) != -1) {  
    handleProcessFinishing(pid);  
}
```

# 'waiting' without waiting

```
#include <sys/wait.h>
```

```
...
```

```
pid_t return_value = waitpid(child_pid, &status, WNOHANG);  
if (return_value == (pid_t) 0) {  
    /* child process not done yet */  
} else if (child_pid == (pid_t) -1) {  
    /* error */  
} else {  
    /* handle child_pid exiting */  
}
```

## running in background

```
$ ./long_computation >tmp.txt &  
[1] 4049  
$ ...  
[1]+  Done                  ./long_computation > tmp.txt  
$ cat tmp.txt  
the result is ...
```

& — run a program in “background”

initially output PID (above: 4049)

print out after terminated

one way: use `waitpid` with option saying “don’t wait”

## execv and const

```
int execv(const char *path, char *const *argv);
```

argv is a pointer to constant pointer to char

probably should be a pointer to constant pointer to *constant* char

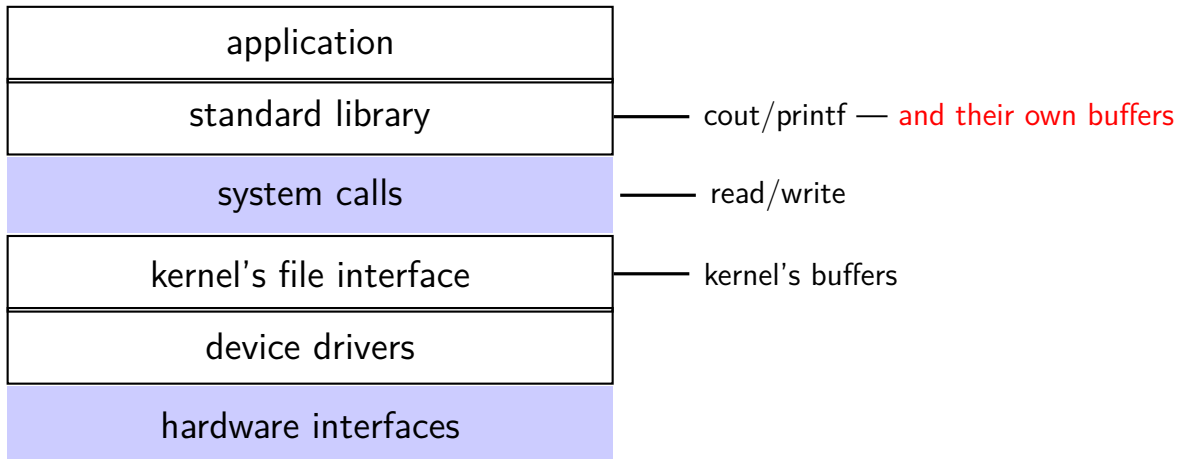
...this causes some awkwardness:

```
const char *array[] = { /* ... */ };  
execv(path, array); // ERROR
```

solution: cast

```
const char *array[] = { /* ... */ };  
execv(path, (char **) array); // or (char * const *)
```

# layering



# why the extra layer

better (but more complex to implement) interface:

- read line

- formatted input (scanf, cin into integer, etc.)

- formatted output

less system calls (bigger reads/writes) sometimes faster

- buffering can combine multiple in/out library calls into one system call

more portable interface

- cin, printf, etc. defined by C and C++ standards

# parent and child processes

every process (but process id 1) has a *parent process* (`getppid()`)

this is the process that can wait for it

creates tree of processes (Linux `ps tree` command):

```
init(1) -- ModemManager(919) -- {ModemManager}(972)
|   |-- {ModemManager}(1064)
|   |-- NetworkManager(1160) -- dhclient(1755)
|   |   |-- dnsmasq(1985)
|   |   |   |-- {NetworkManager}(1180)
|   |   |   |-- {NetworkManager}(1194)
|   |   |   |-- {NetworkManager}(1195)
|   |   |-- accounts-daemon(1649) -- {accounts-daemon}(1757)
|   |   |   |-- {accounts-daemon}(1758)
|   |-- acpid(1338)
|   |-- apache2(3165) -- apache2(4125) -- {apache2}(4126)
|   |   |-- {apache2}(4127)
|   |   |-- apache2(28920) -- {apache2}(28926)
|   |   |   |-- {apache2}(28960)
|   |   |-- apache2(28921) -- {apache2}(28927)
|   |   |   |-- {apache2}(28963)
|   |   |-- apache2(28922) -- {apache2}(28928)
|   |   |   |-- {apache2}(28961)
|   |   |-- apache2(28923) -- {apache2}(28930)
|   |   |   |-- {apache2}(28962)
|   |   |-- apache2(28925) -- {apache2}(28958)
|   |   |   |-- {apache2}(28965)
|   |   |-- apache2(32165) -- {apache2}(32166)
|   |   |   |-- {apache2}(32167)
|   |-- at-spi-bus-laun(2252) -- dbus-daemon(2269)
|   |   |-- {at-spi-bus-laun}(2266)
|   |   |-- {at-spi-bus-laun}(2268)
|   |   |-- {at-spi-bus-laun}(2270)
|   |-- at-spi2-registr(2275) -- {at-spi2-registr}(2282)
|   |-- atd(1633)
|   |-- automount(13454) -- {automount}(13455)
|   |   |-- {automount}(13456)
|   |   |-- {automount}(13461)
|   |   |-- {automount}(13464)
|   |   |-- {automount}(13465)
|   |-- avahi-daemon(934) -- avahi-daemon(944)
|   |-- bluetoothd(924)
|   |-- colord(1193) -- {colord}(1329)
|   |   |-- {colord}(1330)
|   |-- mosh-server(19098) -- bash(19091) -- tmux(5442)
|   |-- mosh-server(21996) -- bash(21997)
|   |-- mosh-server(22533) -- bash(22534) -- tmux(22588)
|   |-- nn-applet(2580) -- {nn-applet}(2739)
|   |   |-- {nn-applet}(2743)
|   |-- nmbd(2224)
|   |-- ntpd(3091)
|   |-- polkitd(1197) -- {polkitd}(1239)
|   |   |-- {polkitd}(1240)
|   |-- pulseaudio(2563) -- {pulseaudio}(2617)
|   |   |-- {pulseaudio}(2623)
|   |-- puppet(2373) -- {puppet}(32455)
|   |-- rpc.ltdn(875)
|   |-- rpc.statd(954)
|   |-- rpcbind(884)
|   |-- rserver(1501) -- {rserver}(1786)
|   |   |-- {rserver}(1787)
|   |-- rsyslogd(1090) -- {rsyslogd}(1092)
|   |   |-- {rsyslogd}(1093)
|   |   |-- {rsyslogd}(1094)
|   |-- rtkit-daemon(2565) -- {rtkit-daemon}(2566)
|   |   |-- {rtkit-daemon}(2567)
|   |-- sd_cicero(2852) -- sd_cicero(2853)
|   |   |-- {sd_cicero}(2854)
|   |   |-- {sd_cicero}(2855)
|   |-- sd_dunny(2849) -- {sd_dunny}(2850)
|   |   |-- {sd_dunny}(2851)
|   |-- sd_espeak(2749) -- {sd_espeak}(2845)
|   |   |-- {sd_espeak}(2846)
|   |   |-- {sd_espeak}(2847)
|   |   |-- {sd_espeak}(2848)
|   |-- sd_generic(2463) -- {sd_generic}(2464)
|   |   |-- {sd_generic}(2685)
```



## parent and child questions...

what if parent process exits before child?

child's parent process becomes process id 1 (typically called *init*)

what if parent process never `waitpid()`s (or equivalent) for child?

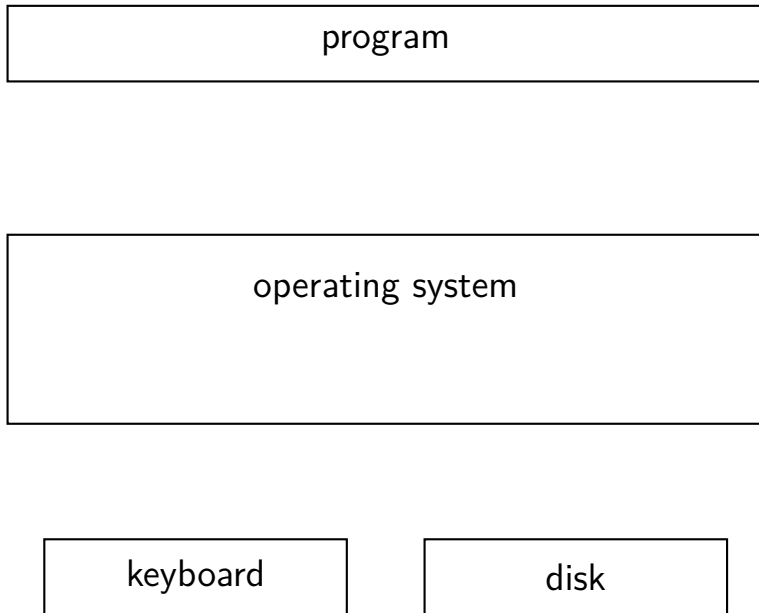
child process stays around as a “zombie”

can't reuse pid in case parent wants to use `waitpid()`

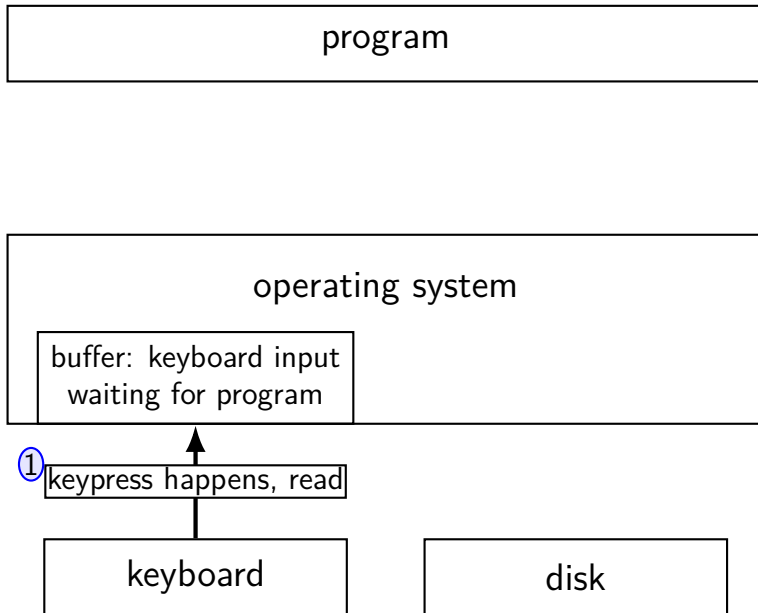
what if non-parent tries to `waitpid()` for child?

`waitpid` fails

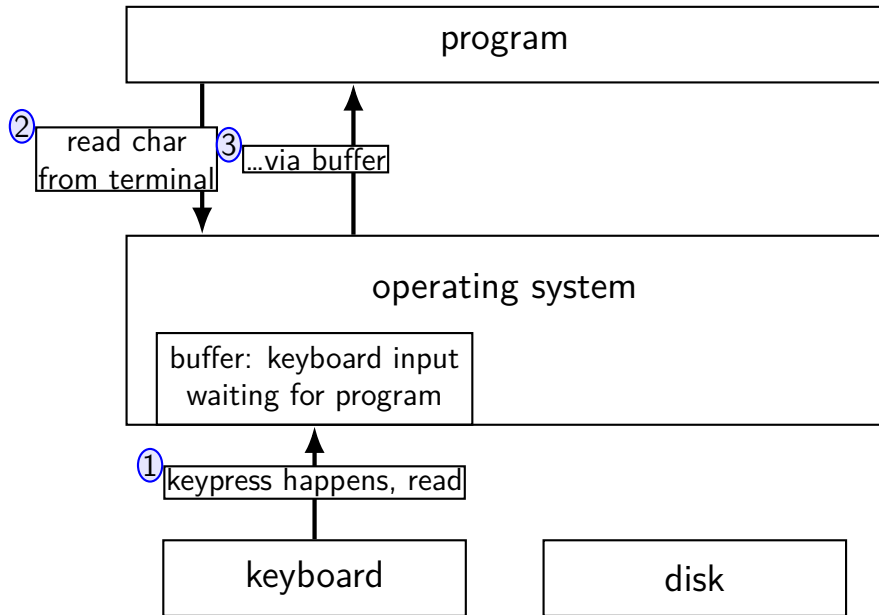
# kernel buffering (reads)



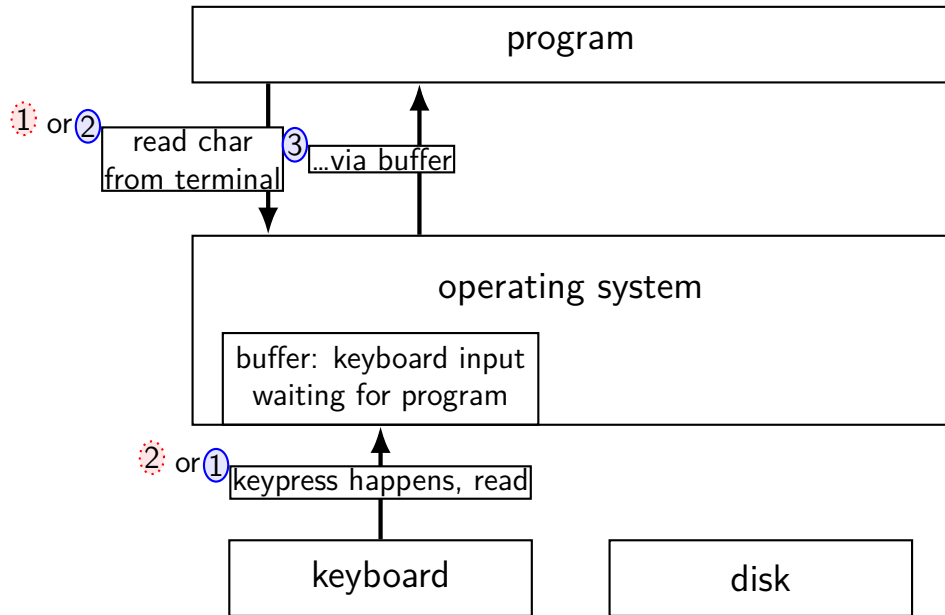
# kernel buffering (reads)



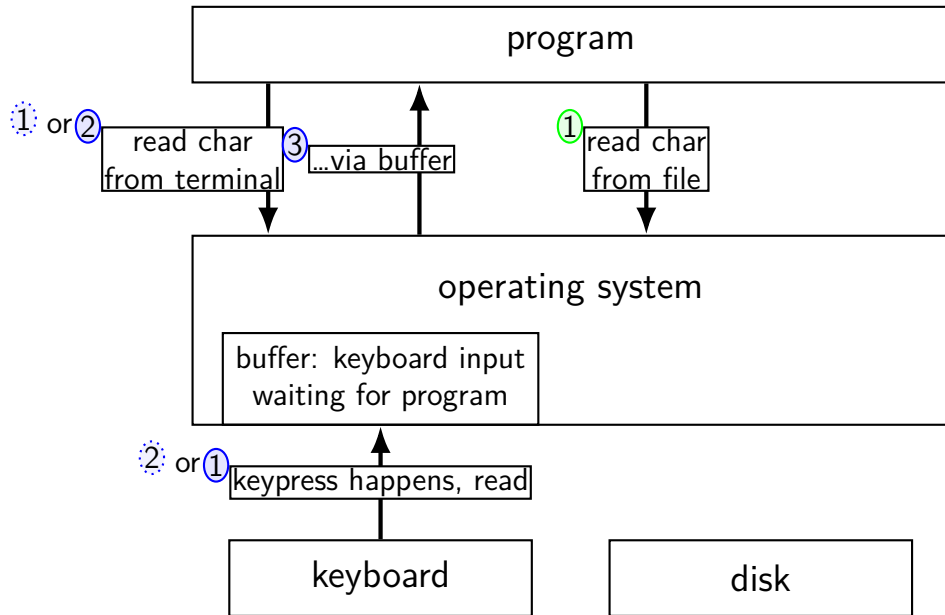
# kernel buffering (reads)



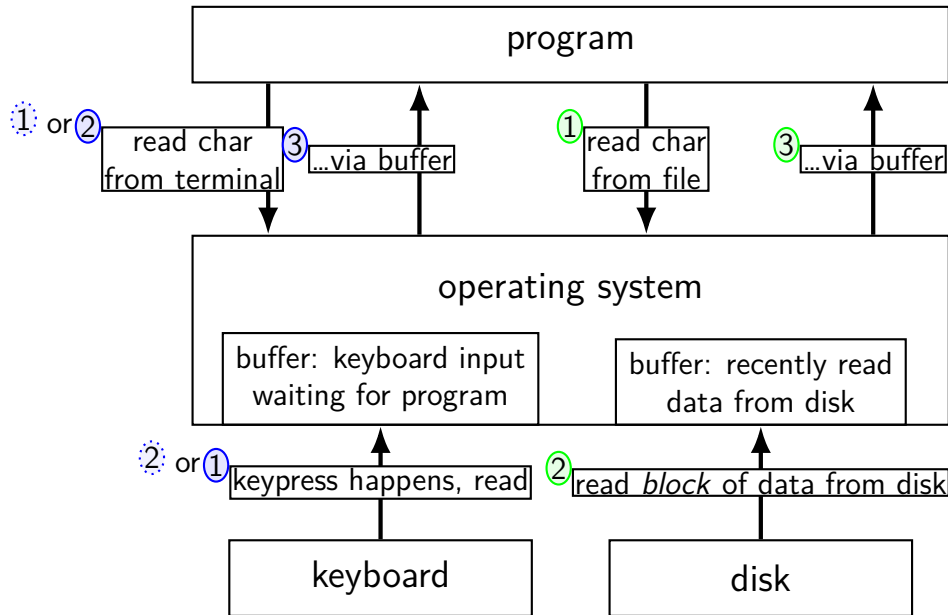
# kernel buffering (reads)



# kernel buffering (reads)



# kernel buffering (reads)



# kernel buffering (writes)

```
graph TD; Program[program] --> OS[operating system]; OS --> Network[network]; OS --> Disk[disk];
```

program

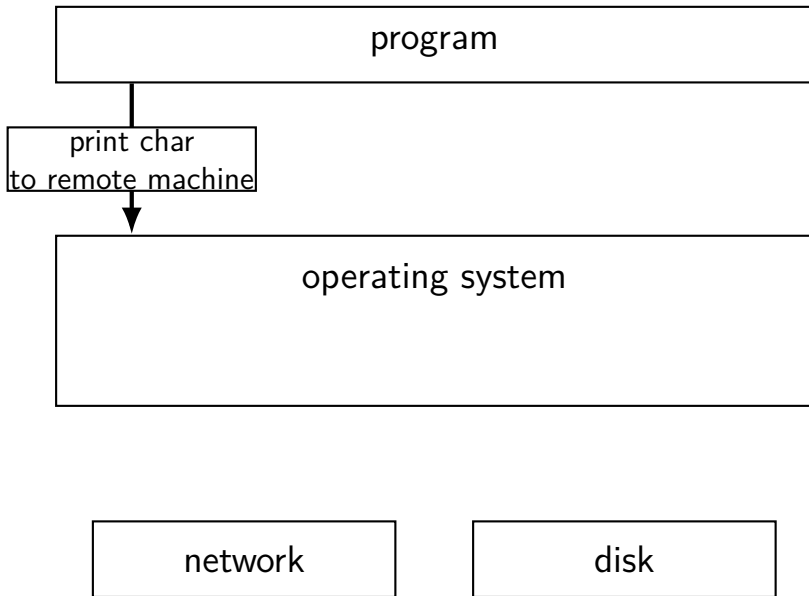
operating system

network

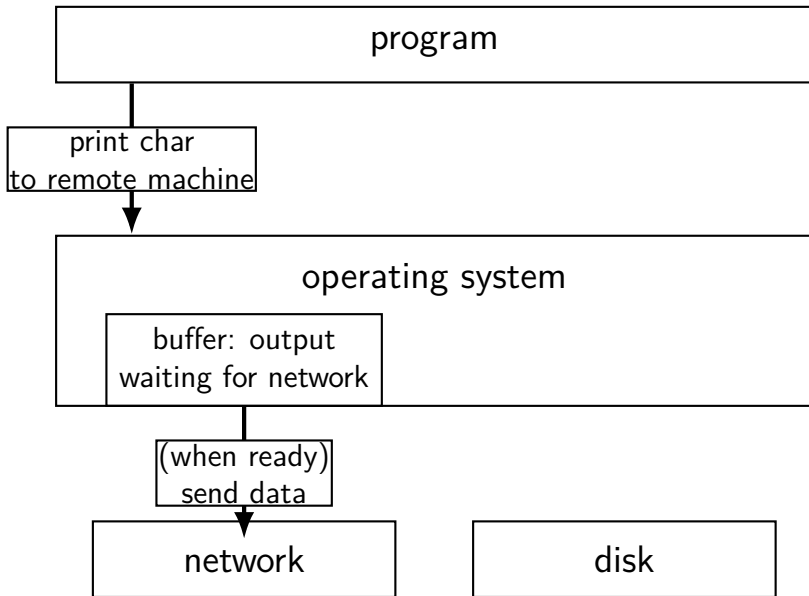
disk



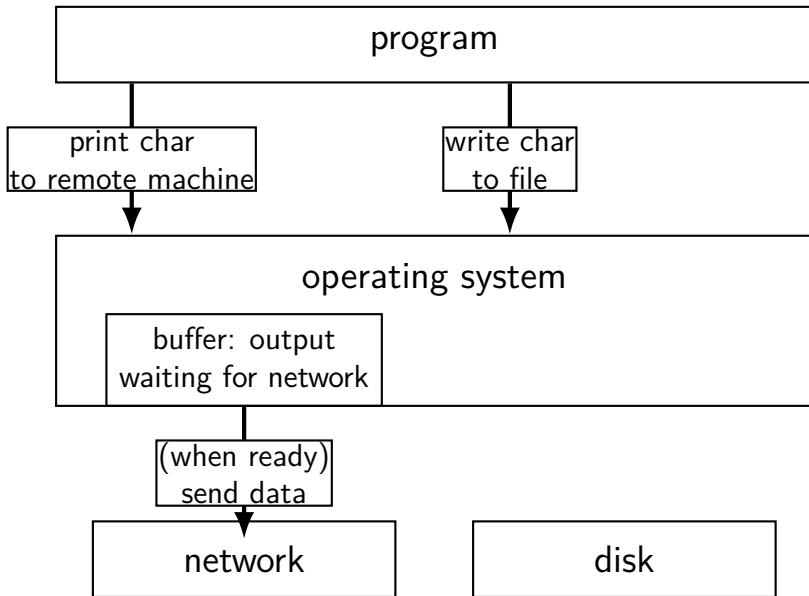
# kernel buffering (writes)



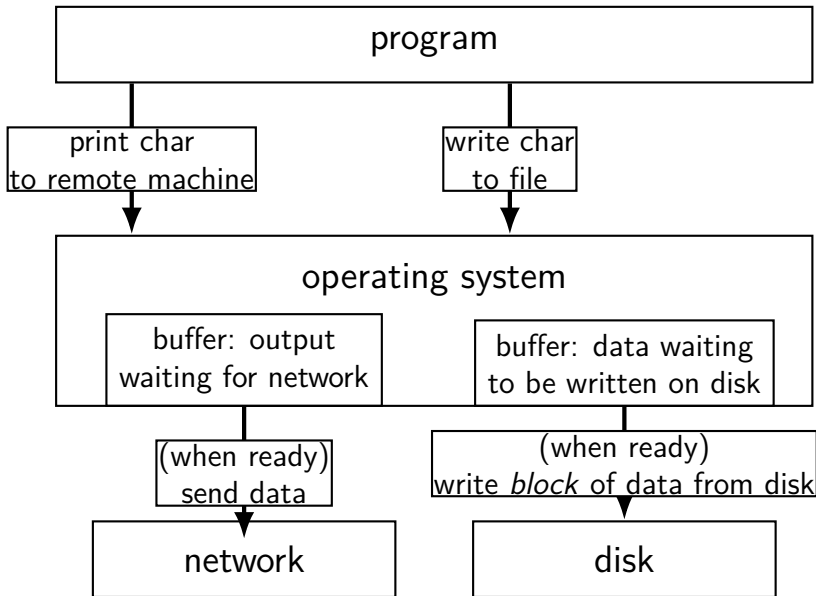
# kernel buffering (writes)



# kernel buffering (writes)



# kernel buffering (writes)



# read/write operations

read()/write(): move data into/out of buffer

possibly wait if buffer is empty (read)/full (write)

actual I/O operations — wait for device to be ready  
trigger process to stop waiting if needed

**backup slides**