CS 4102: Algorithms

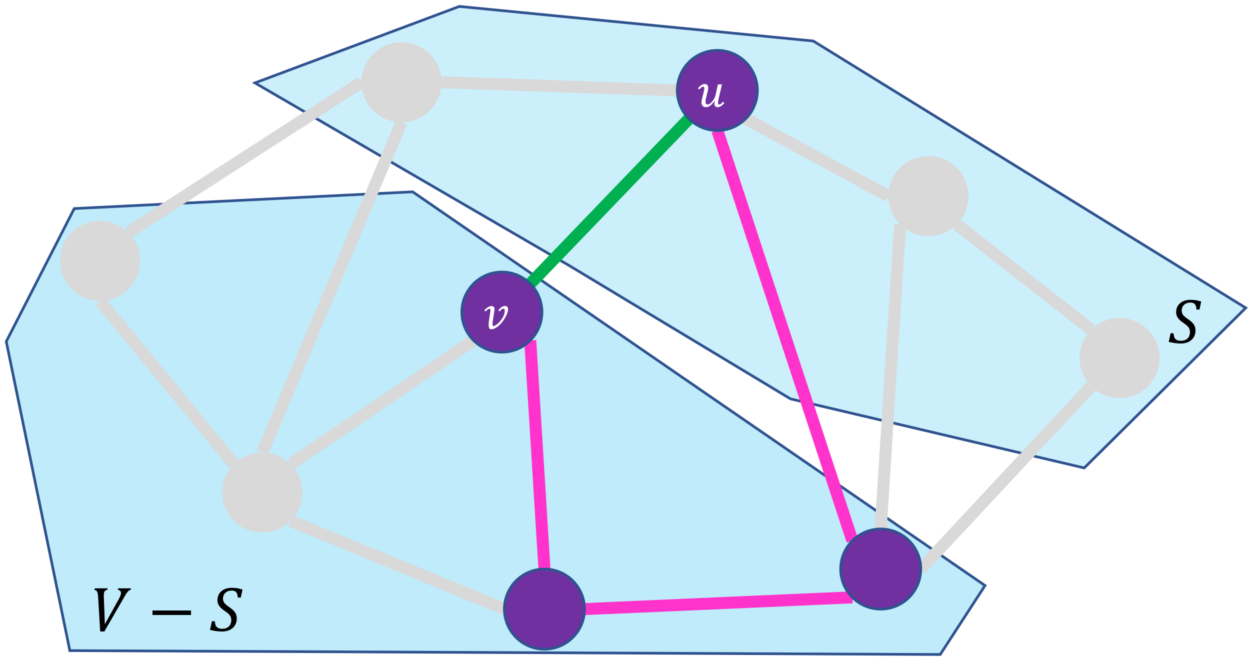
Lecture 20: Shortest Path Algorithms

David Wu

Fall 2019

Warm-Up

Show that no cycle crosses a cut exactly once



- Consider an edge $e = (u, v)$ that crosses the cut
- After removing the edge e from the graph, there is still a path from $u \in S$ to $v \notin S$
- At least one edge along the path from cross the cut

Today's Keywords

Graphs

Shortest paths algorithms

Dijkstra's algorithm

Breadth-first search (BFS)

CLRS Readings: Chapter 22, 23

Homework

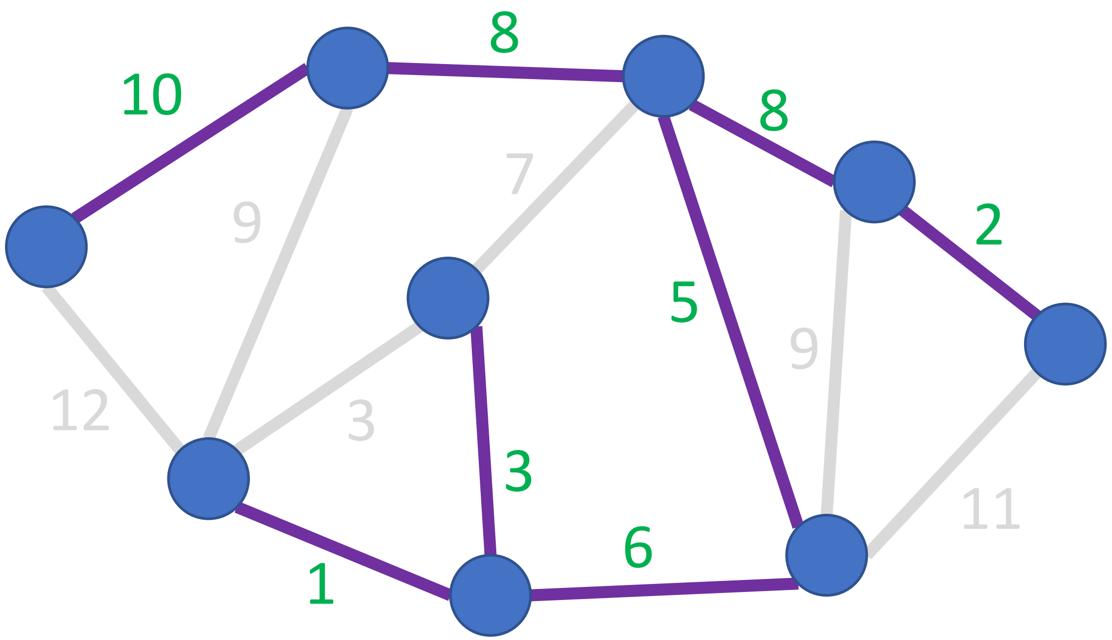
HW7 due **Thursday, November 14, 11pm**

- Graph algorithms
- Written (use LaTeX!) – Submit both **zip** and **pdf** (two separate attachments)!

HW10B also out today, due **Thursday, November 14, 11pm**

- No late submissions allowed (no exceptions)

Minimum Spanning Tree

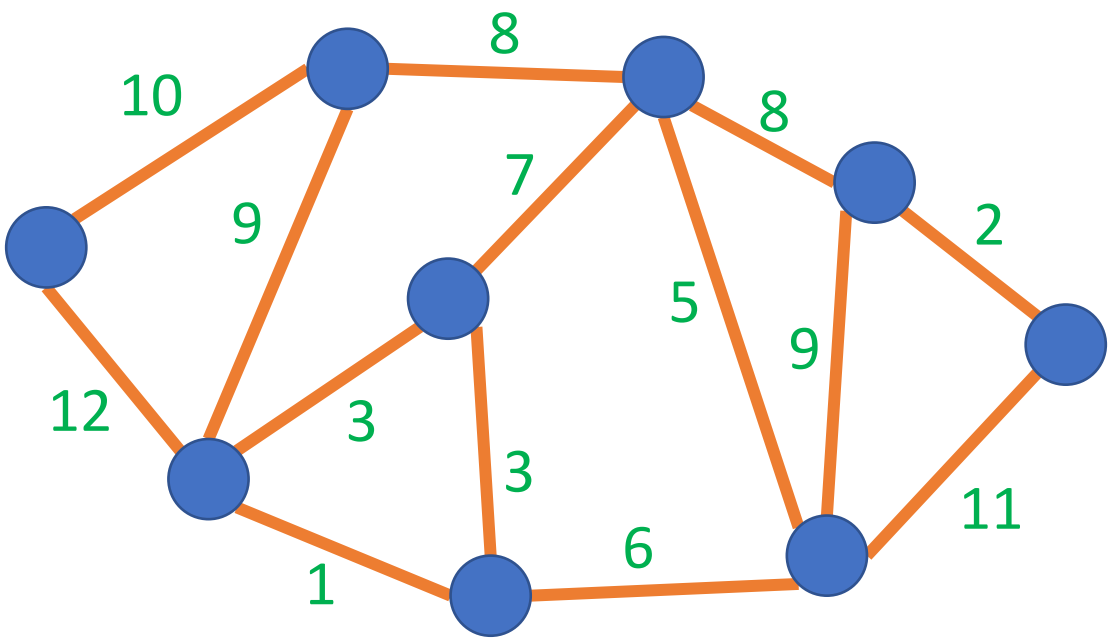


$$\text{Cost}(T) = \sum_{e \in E_T} w(e)$$

A tree $T = (V_T, E_T)$ is a **minimum spanning tree** for an undirected graph $G = (V, E)$ if T is a spanning tree of minimal cost

Minimum Spanning Tree

Two greedy algorithms:



Kruskal: add <u>minimum-weight edge</u> that does not introduce a cycle

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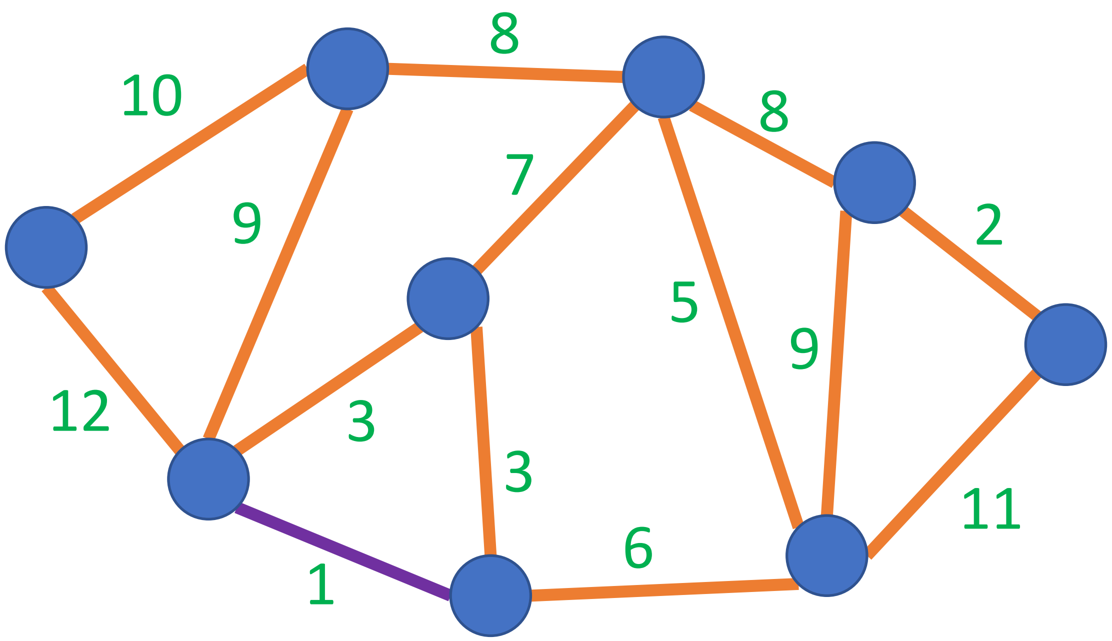

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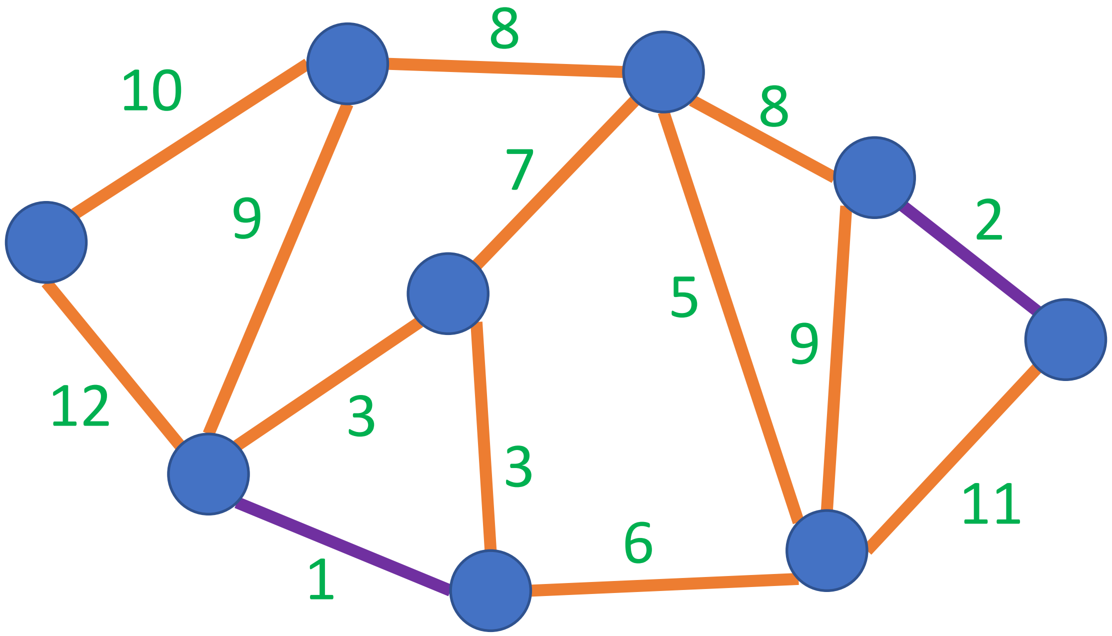

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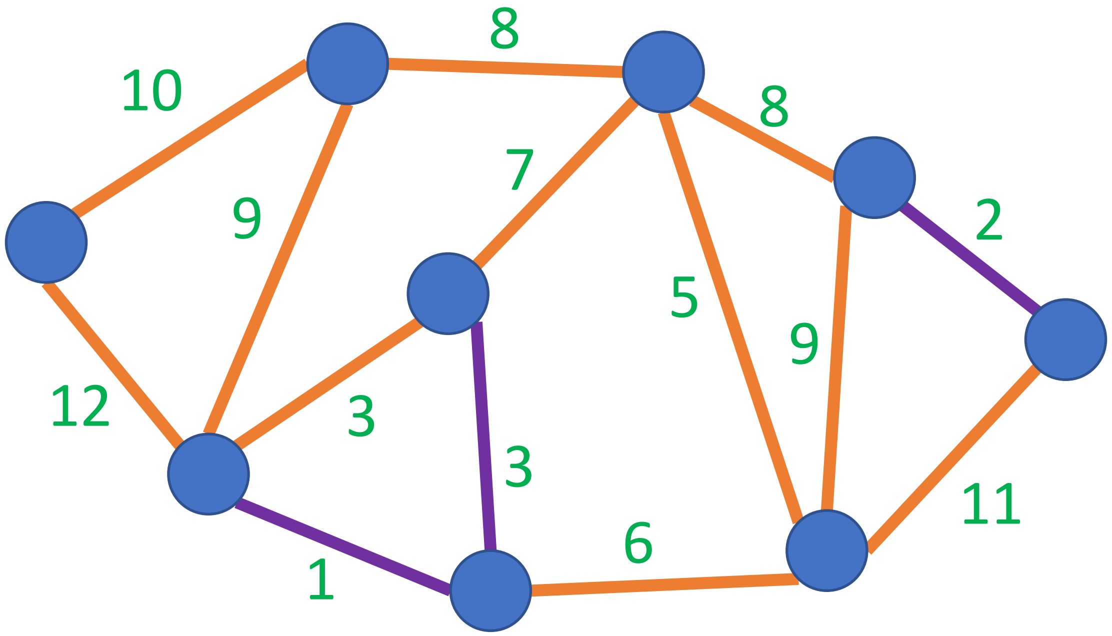

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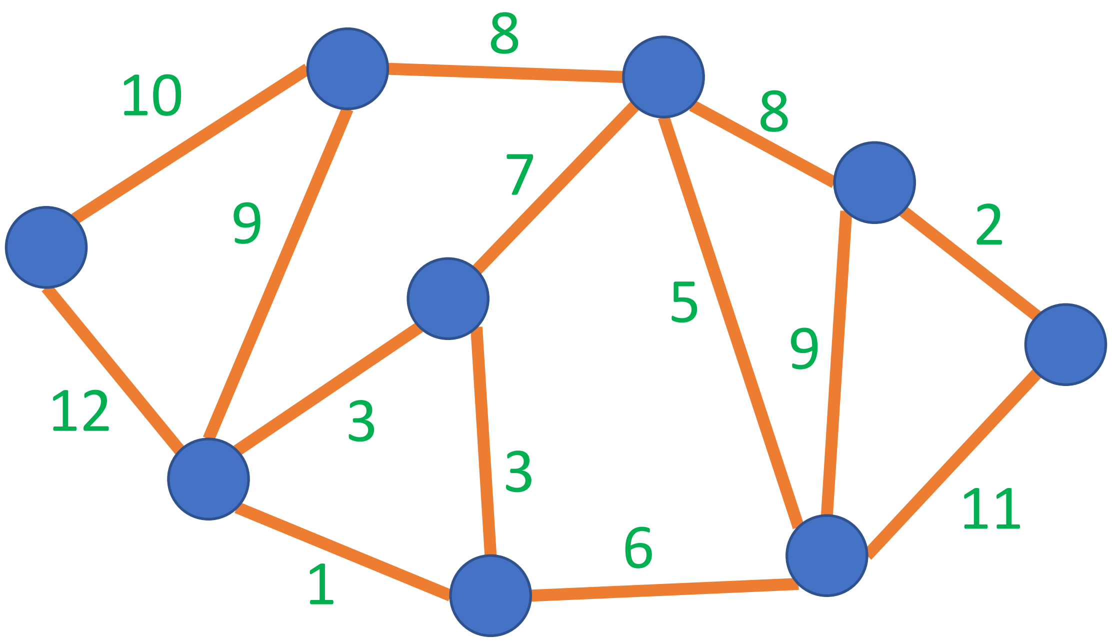

Prim: "grow" a tree by adding <u>minimum-weight edge</u> from the tree to an external node

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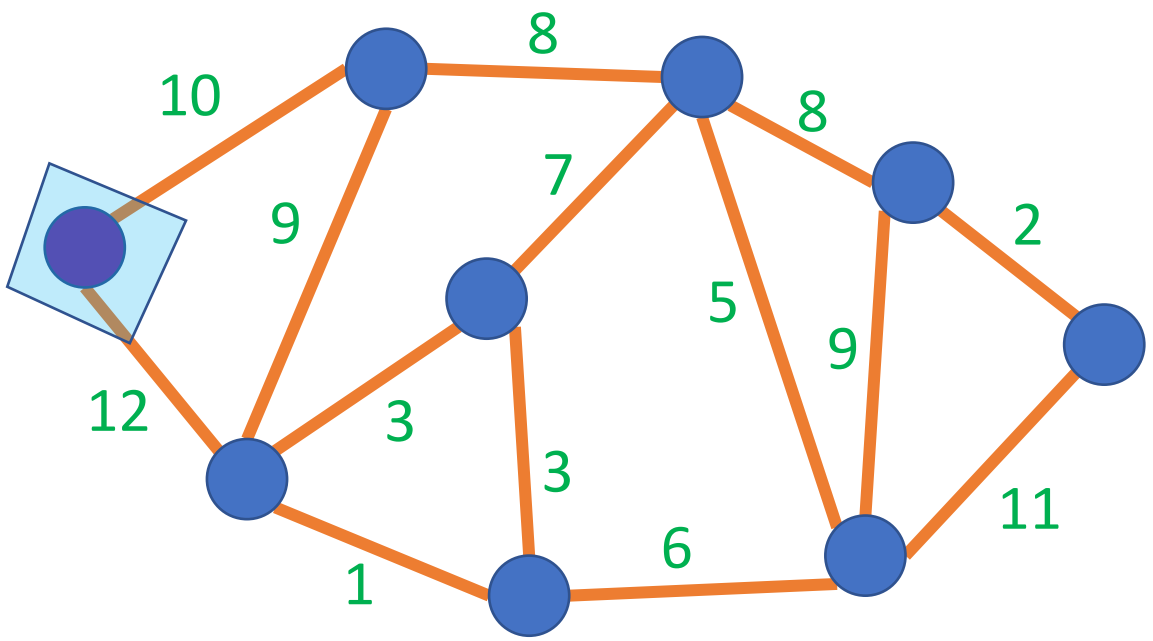

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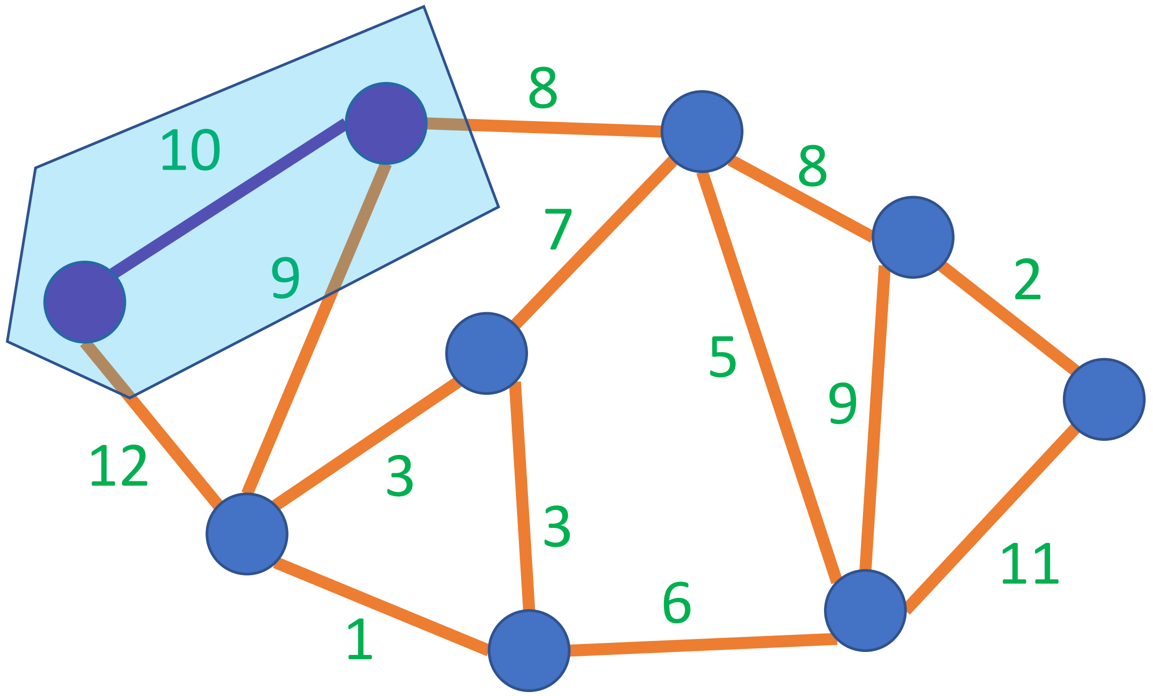

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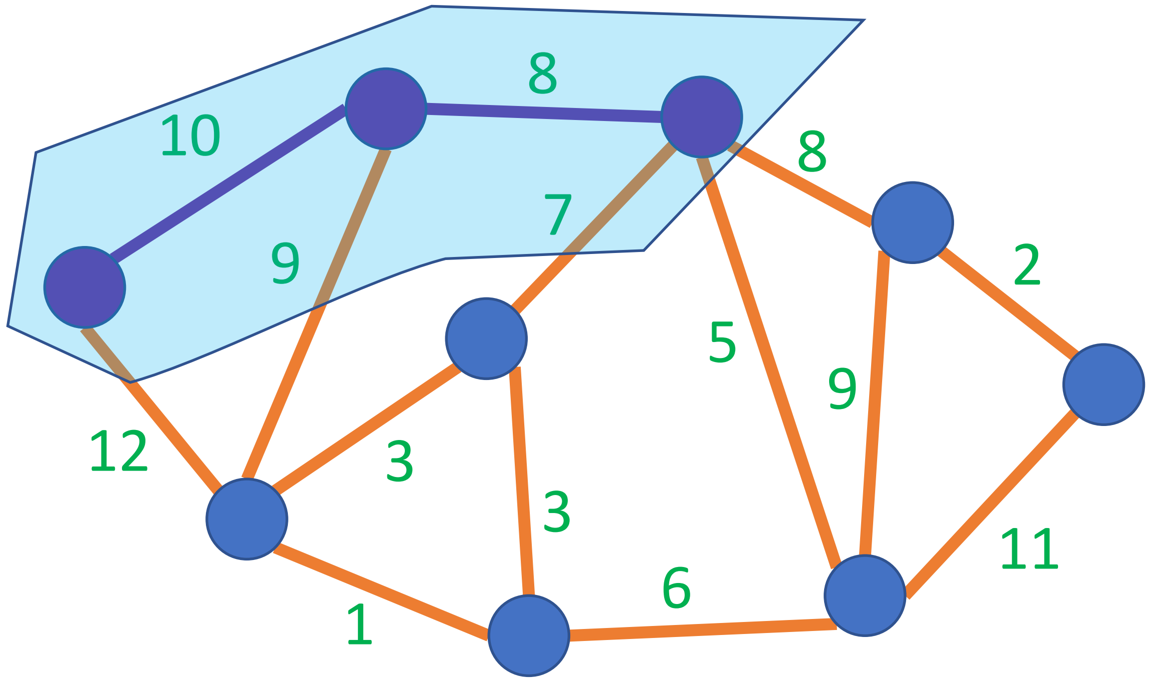

Prim: "grow" a tree by adding <u>minimum-weight edge</u> from the tree to an external node

Prim's Algorithm Implementation

1. Start with an empty tree T and pick a start node and add it to T
2. Repeat $|V| - 1$ times:
   - Add the min-weight edge which connects a node in T with a node not in T

Implementation (with nodes in the priority queue):

initialize $d_v = \infty$ for each node v
add all nodes $v \in V$ to the priority queue PQ, using d_v as the key
pick a starting node s and set $d_s = 0$
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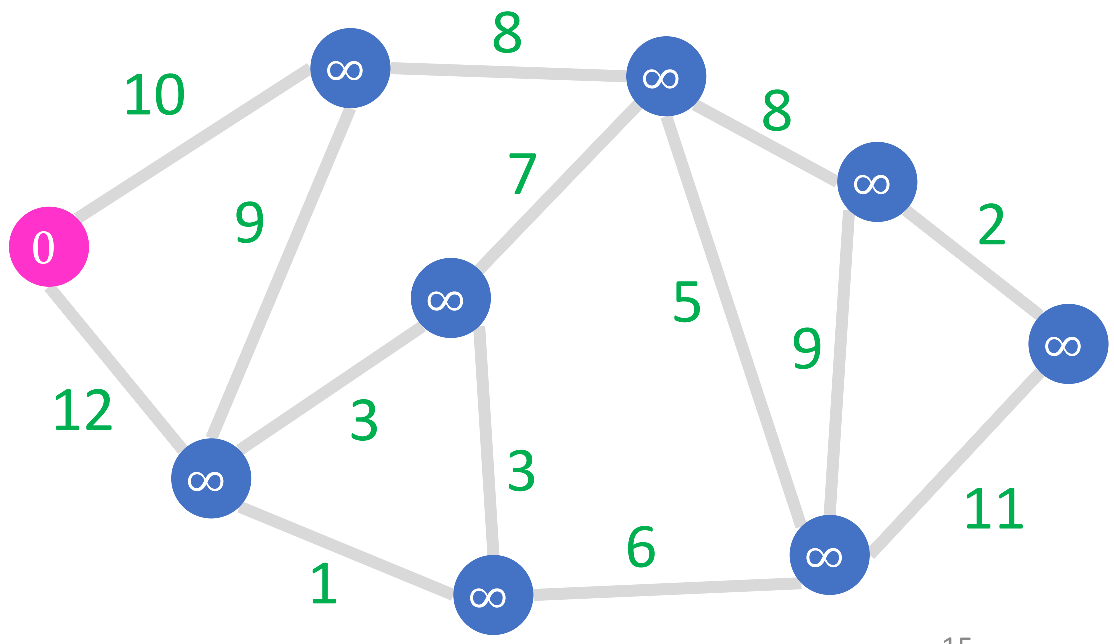

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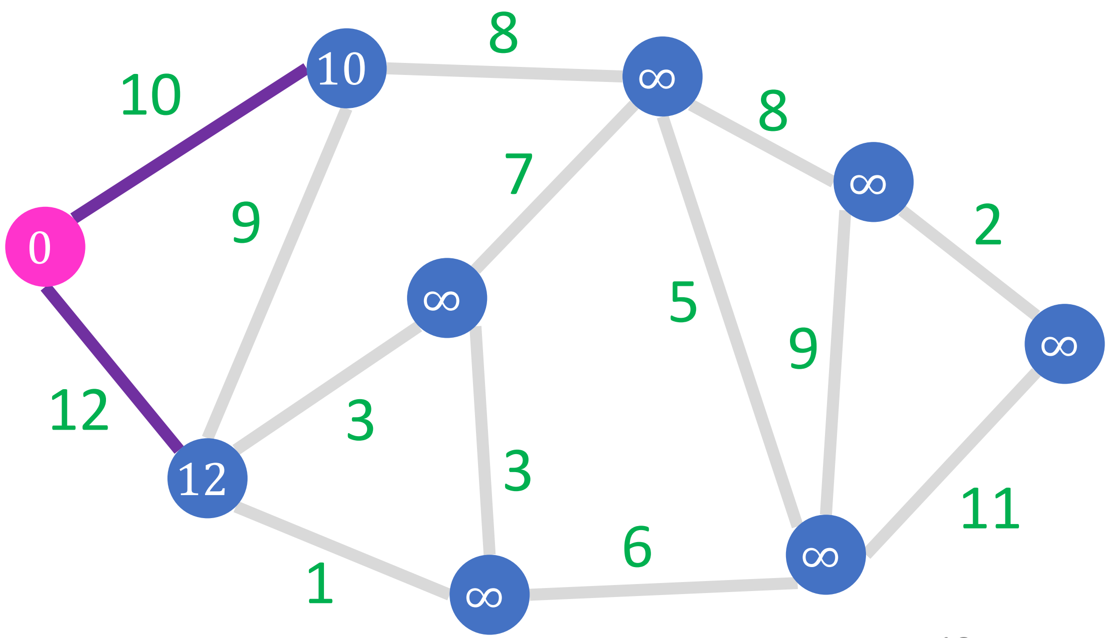

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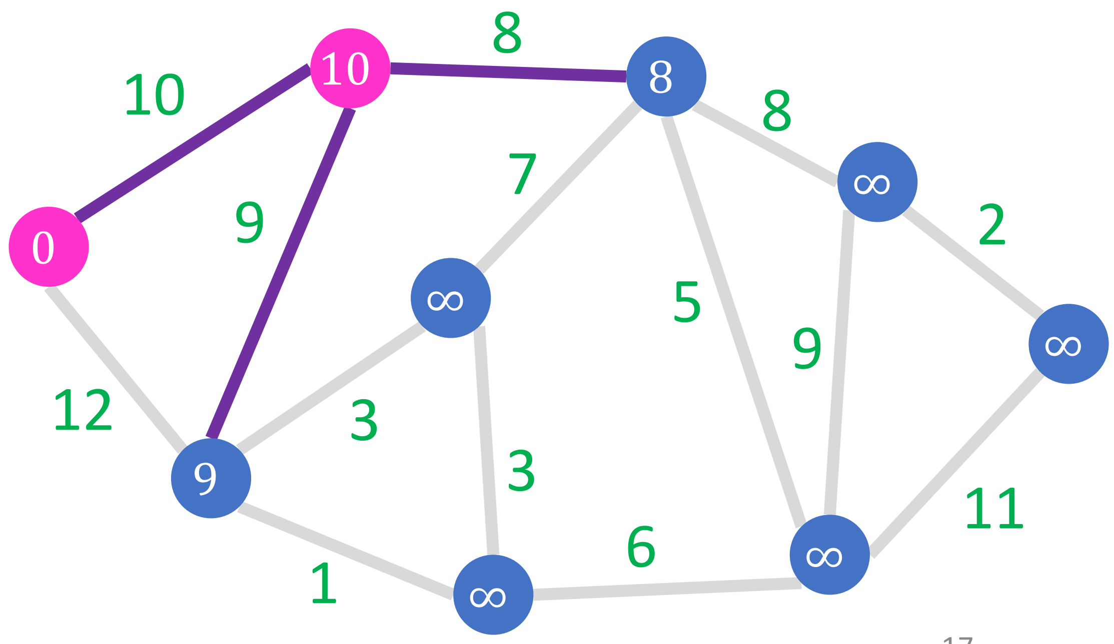

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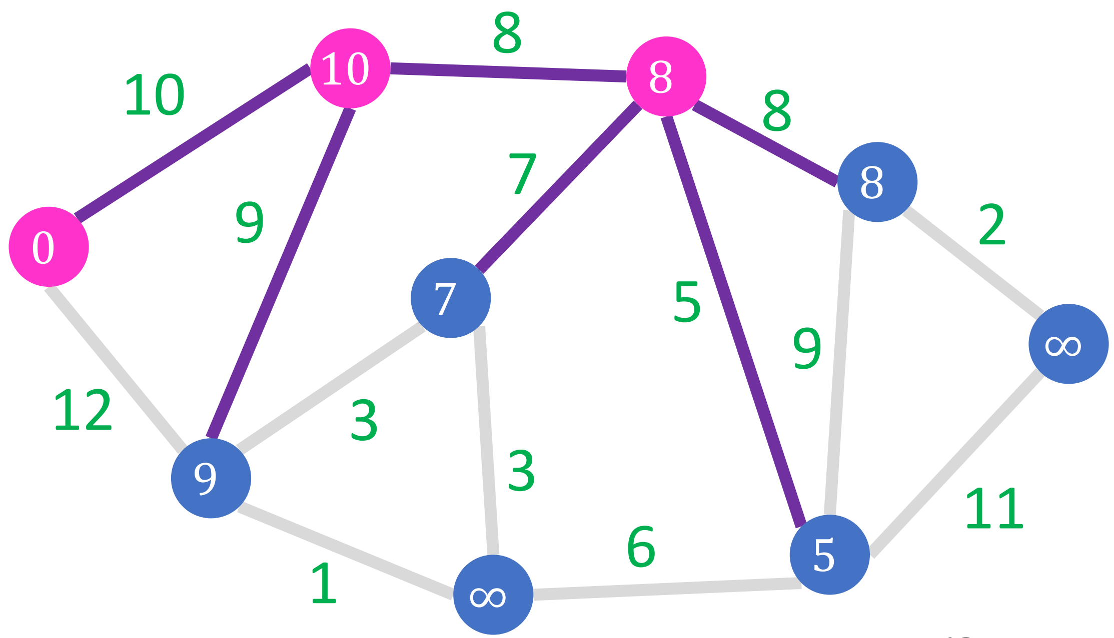

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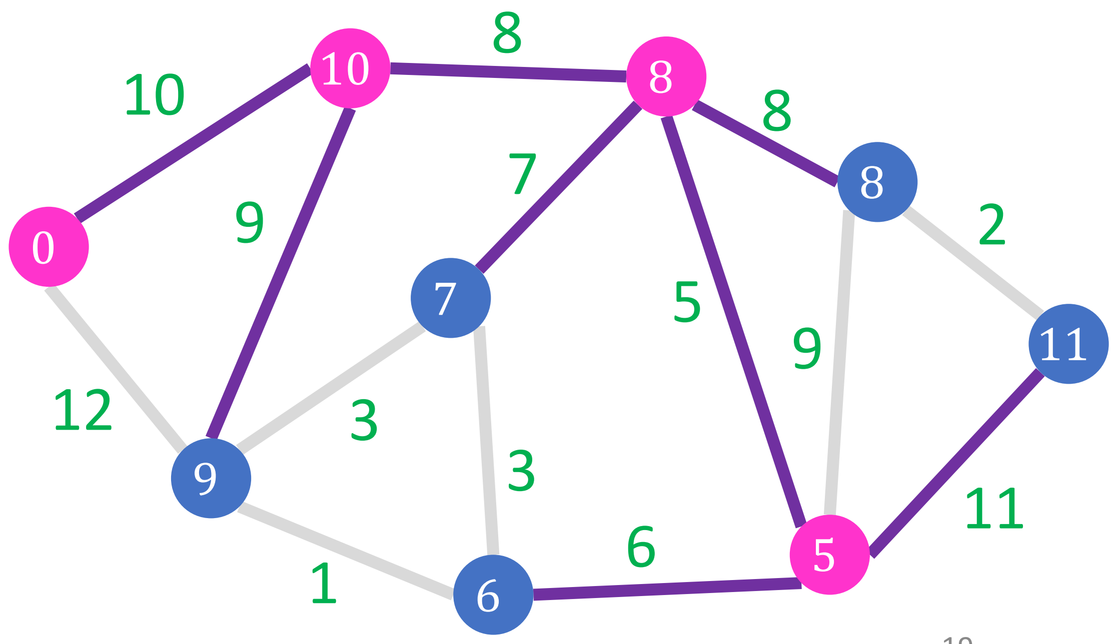

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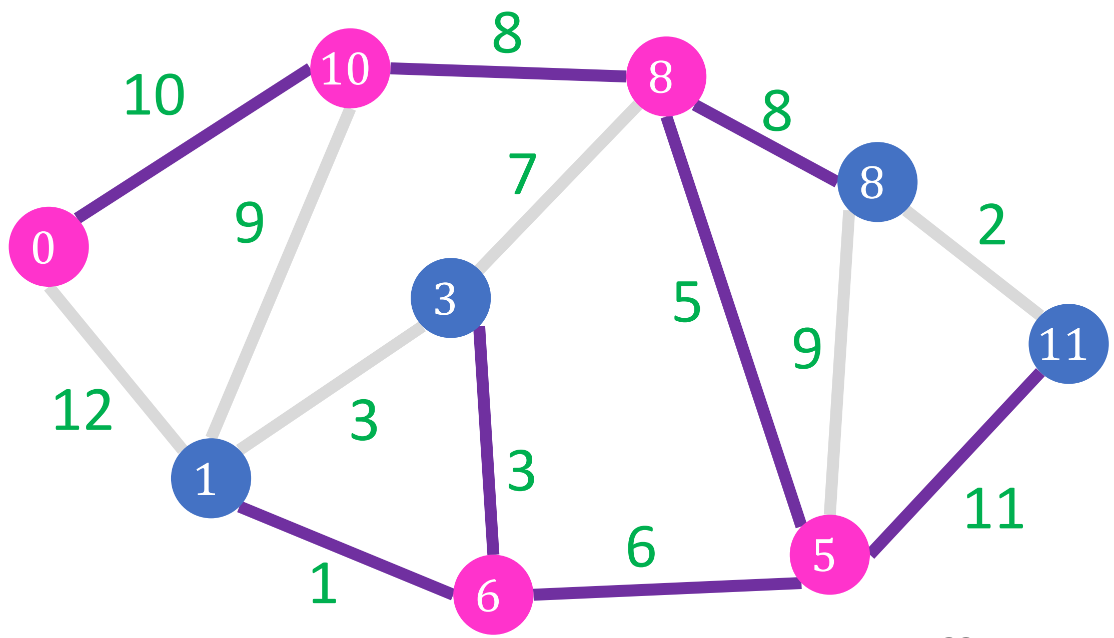

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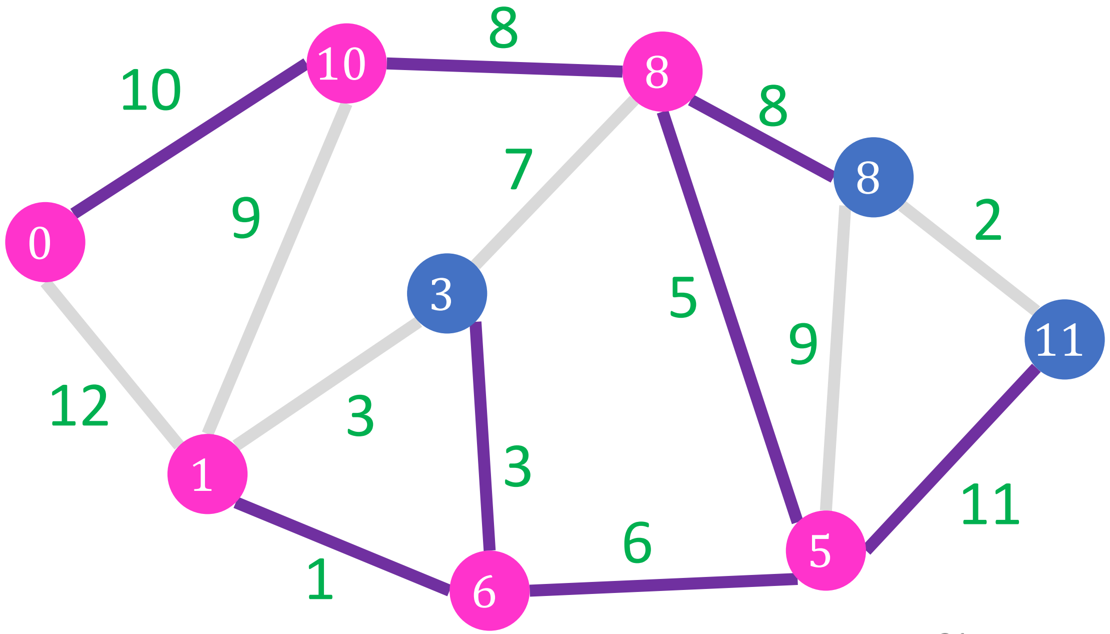

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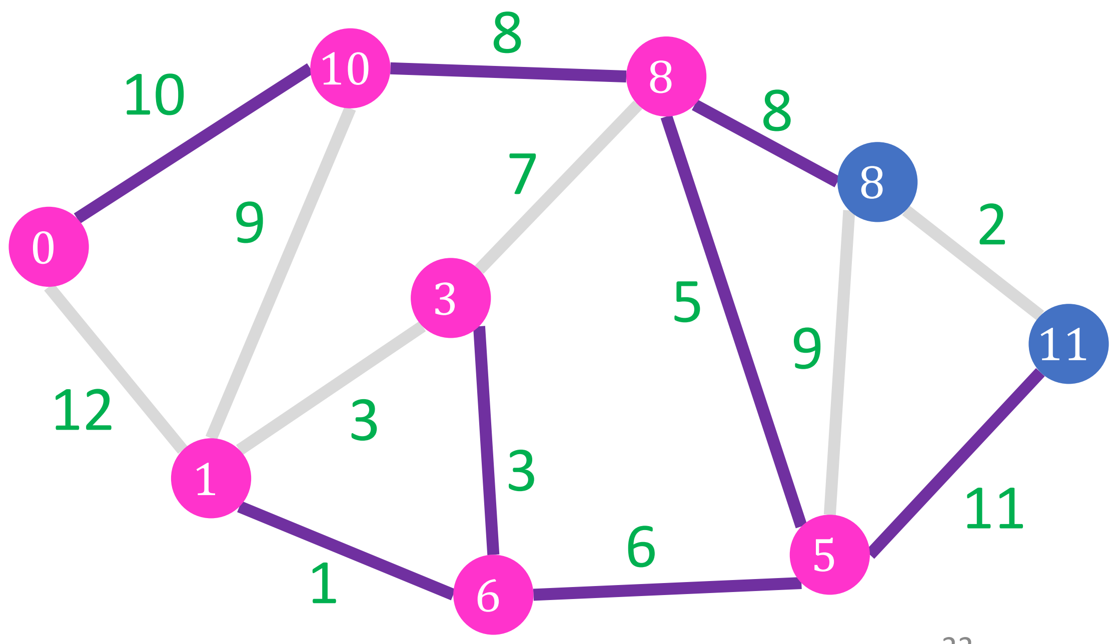

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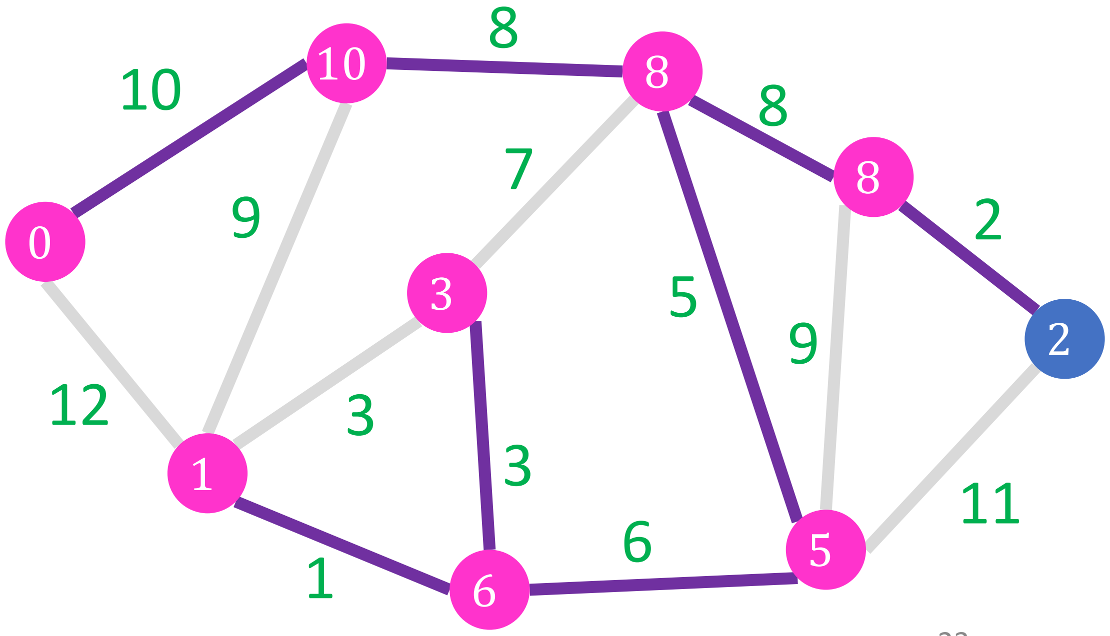

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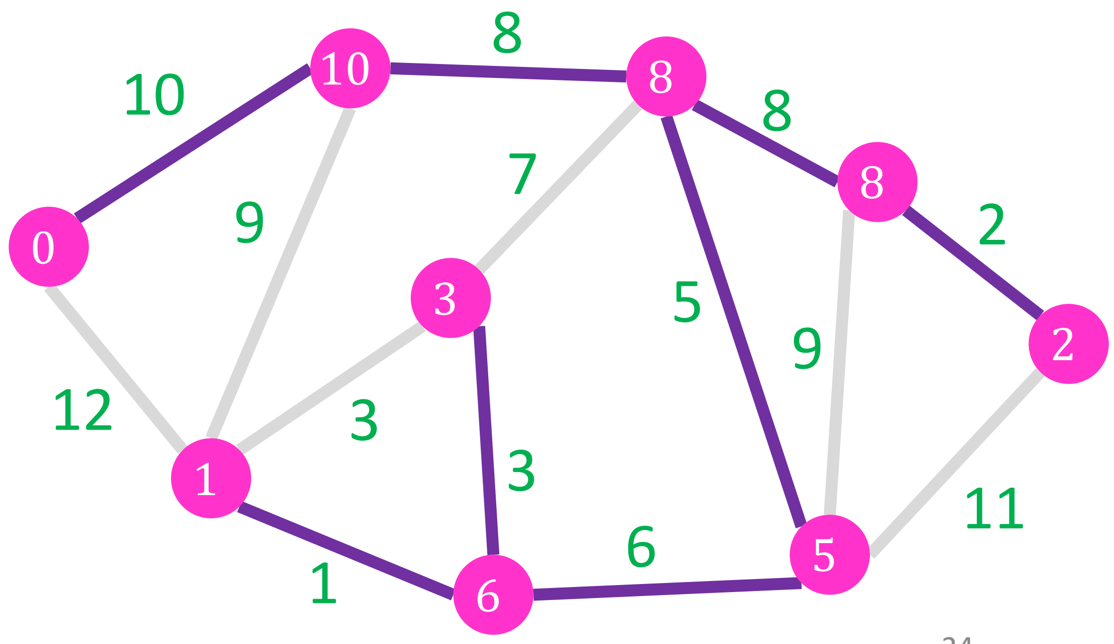

Prim's Algorithm Running Time

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Initialization: $O(|V|)$

$|V|$ iterations

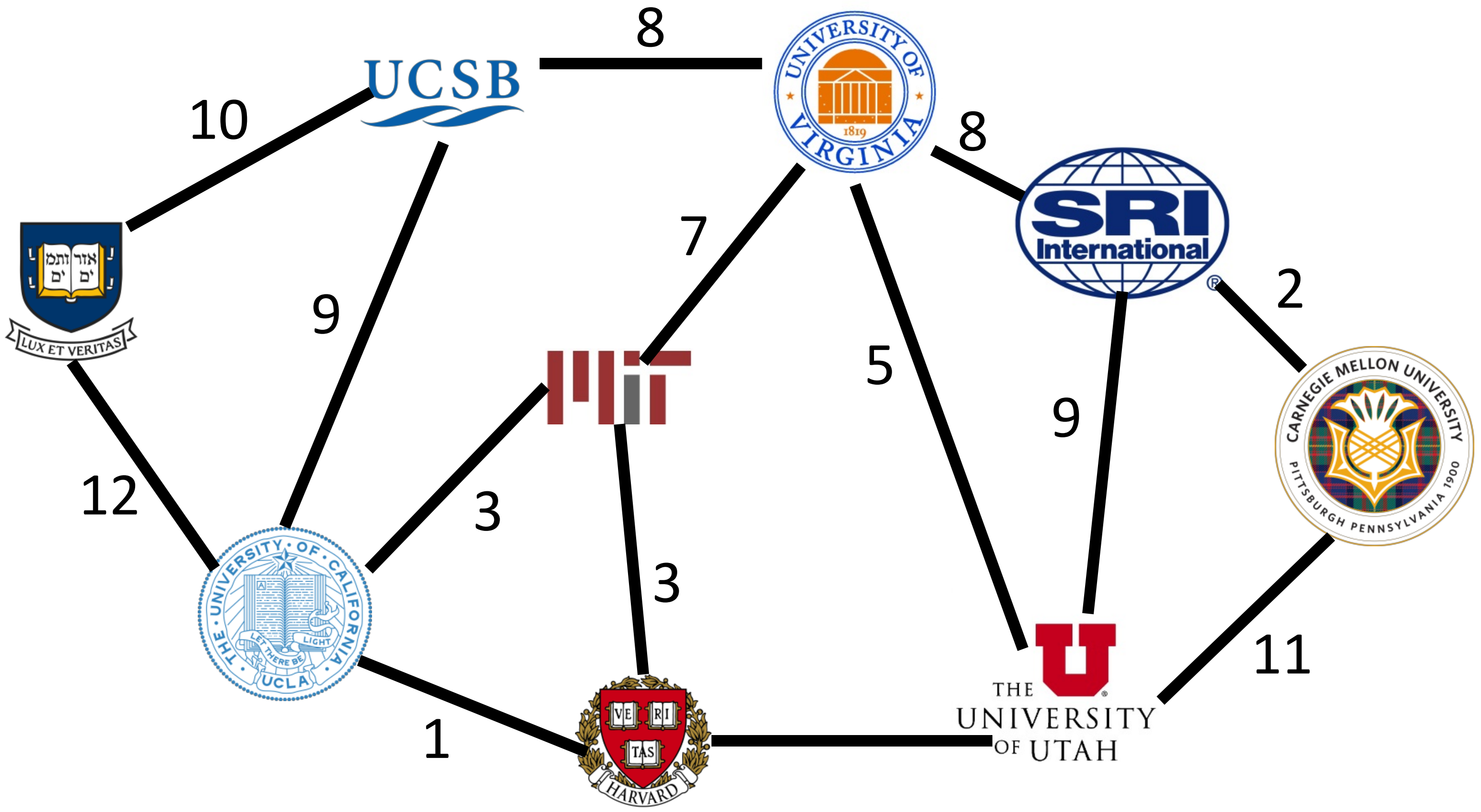
$O(\log|V|)$

$|E|$ iterations total

$O(\log|V|)$

Overall running time: $O(|V|\log|V| + |E|\log|V|) = O(|E|\log|V|)$

Single-Source Shortest Path



Find the <u>shortest path</u> from UVA to each of these other places

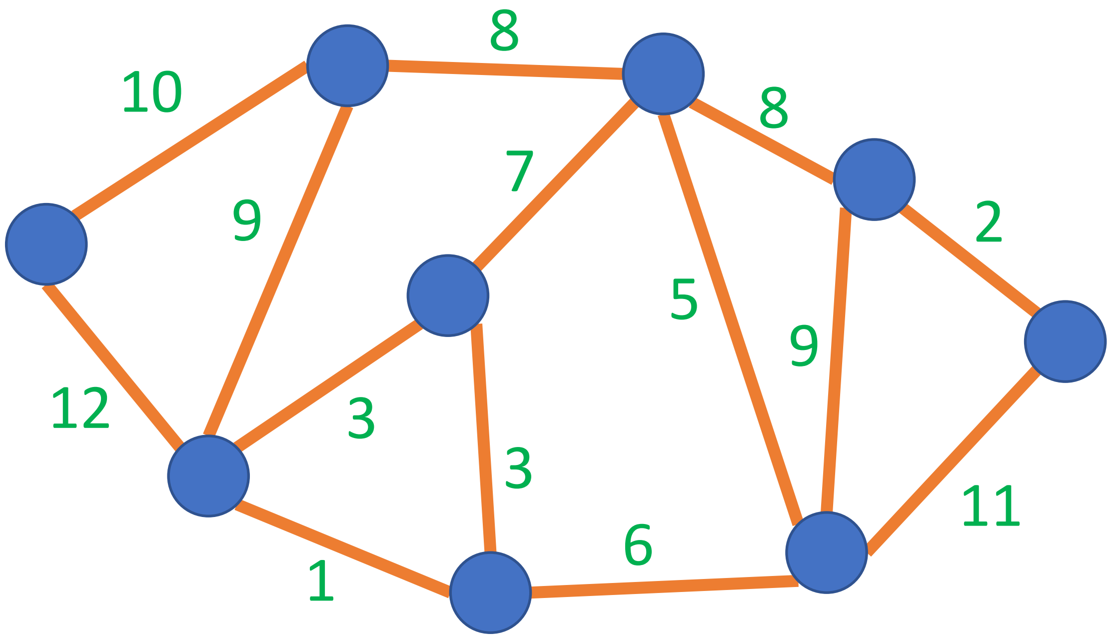
Given a graph $G = (V, E)$ and a start node (i.e., source) $s \in V$,

for each $v \in V$ find the minimum-weight path from $s \to v$ (call this weight $\delta(s, v)$)

Assumption (for now): all edge weights are positive

Dijkstra's Algorithm

1. Start with an empty tree T and add the source to T
2. Repeat $|V| - 1$ times:
   - Add the "nearest" node not yet in T to T



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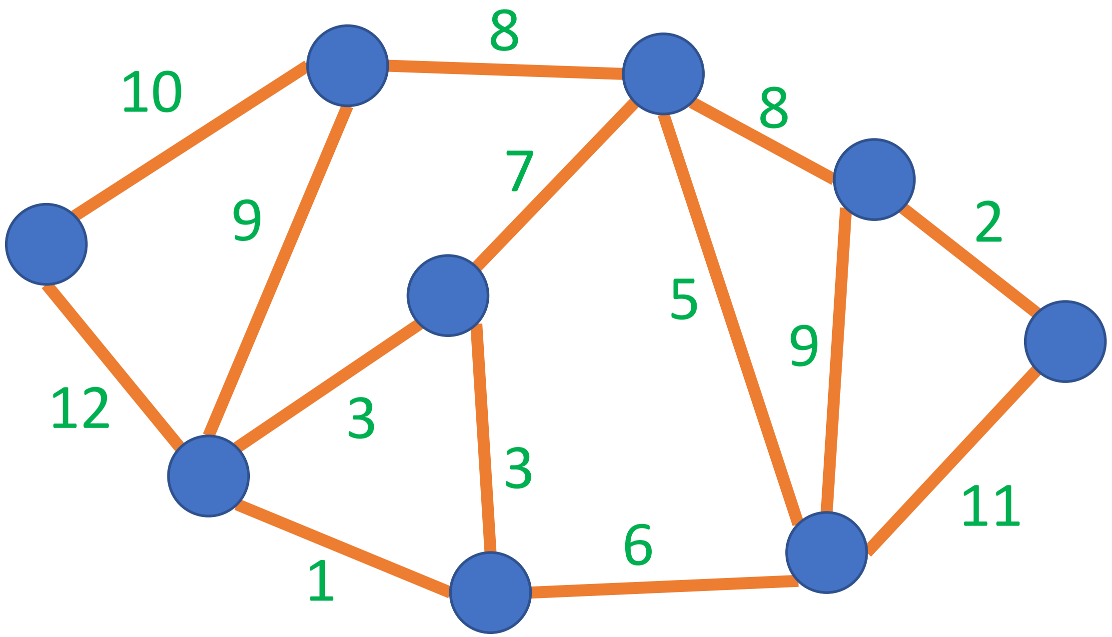

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key: length of shortest path $s \to u$ using nodes in PQ

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key: minimum cost to connect u to nodes in PQ

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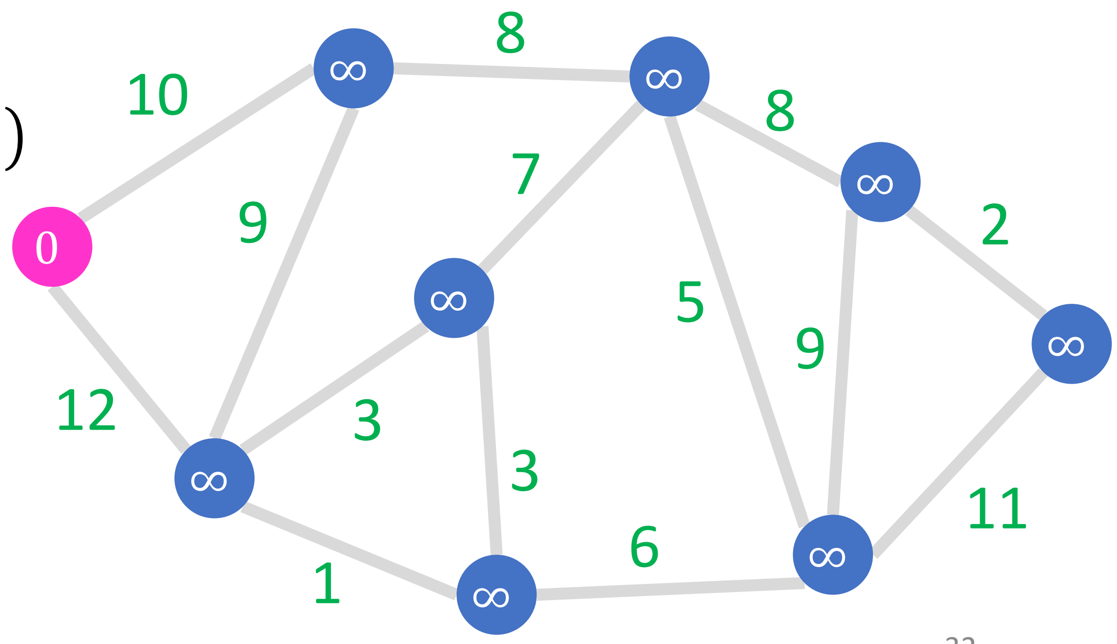

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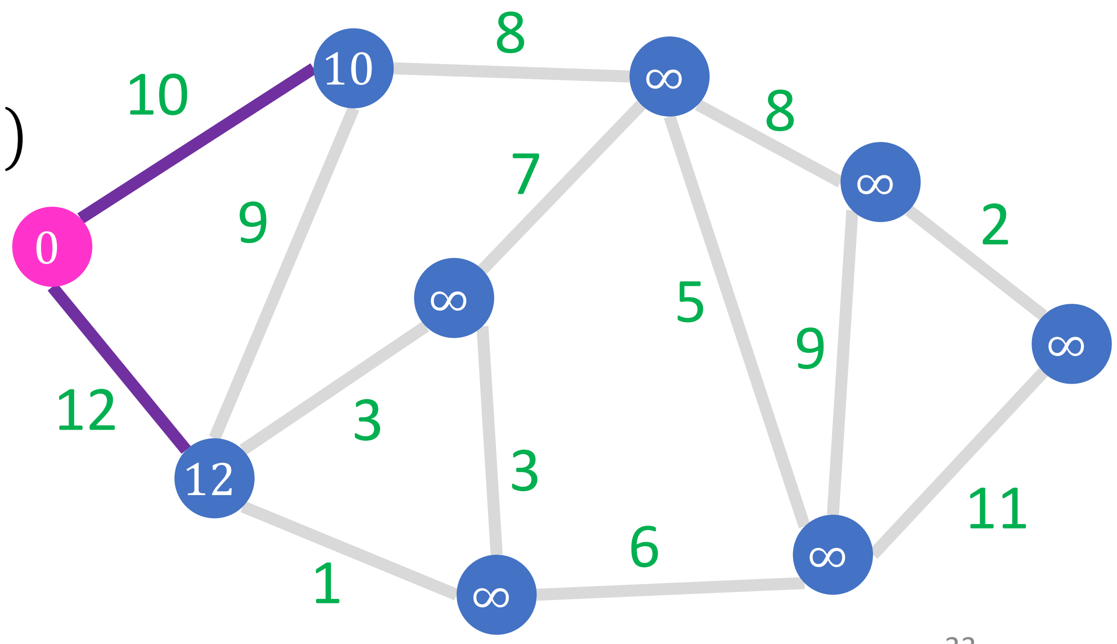

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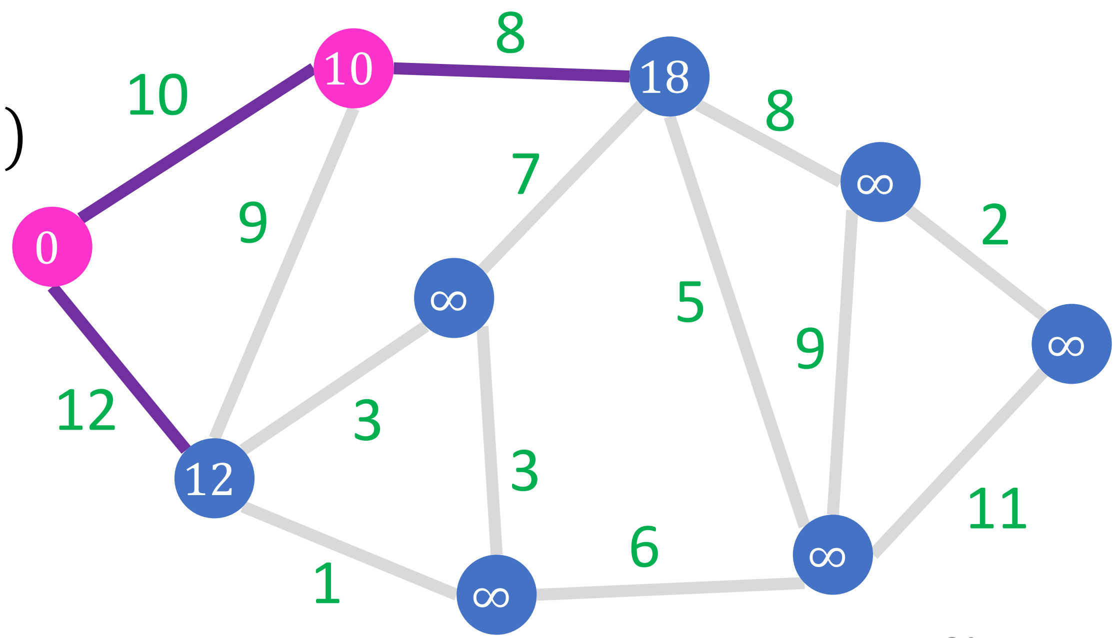

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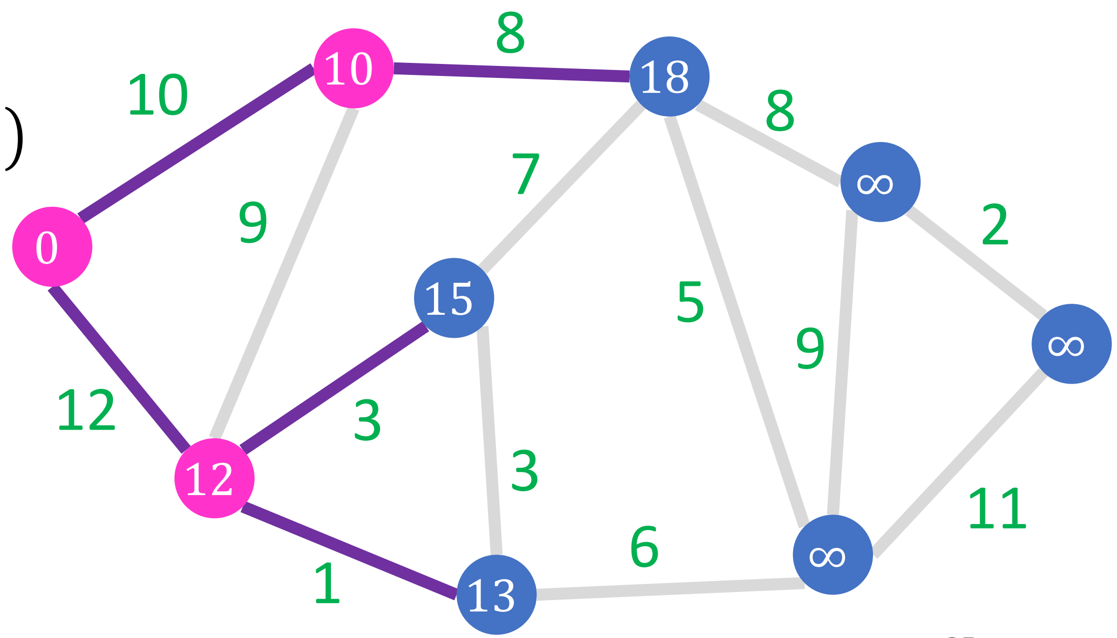

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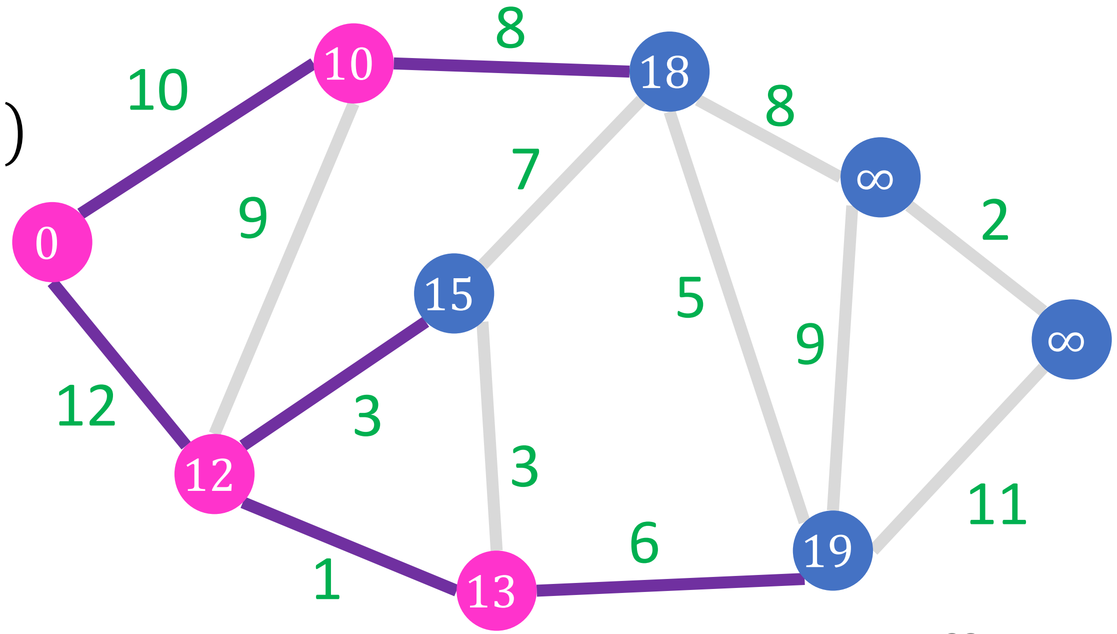

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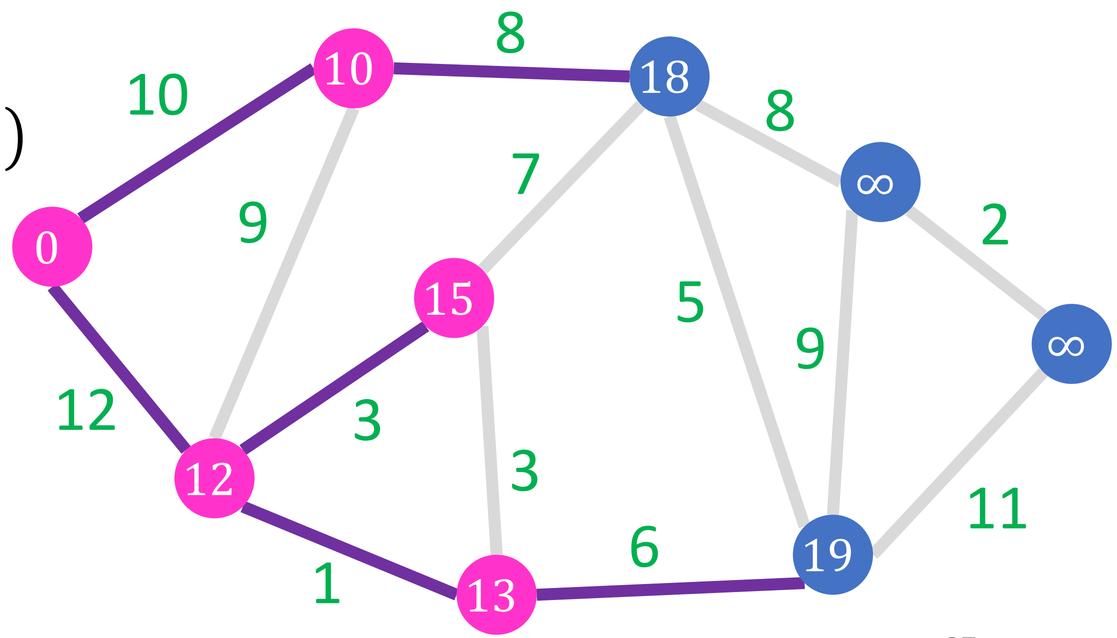

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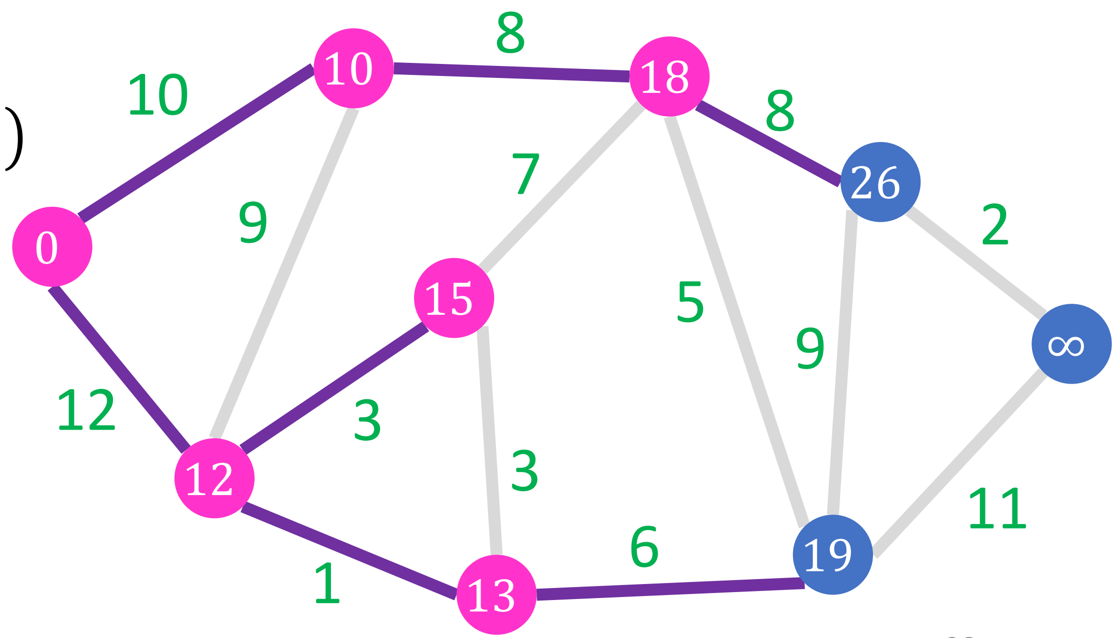
$u.\mathrm{parent} = v$



Dijkstra's Algorithm Implementation

Implementation:

initialize $d_v = \infty$ for each node v
add all nodes $v \in V$ to the priority queue PQ, using d_v as the key
set $d_s = 0$
while PQ is not empty:
$\quad v = \mathrm{PQ}.\mathrm{extractMin}()$
$\quad$ for each $u \in V$ such that $(v, u) \in E$:
$\quad\quad$ if $u \in \mathrm{PQ}$ and $d_v + w(v,u) < d_u$:
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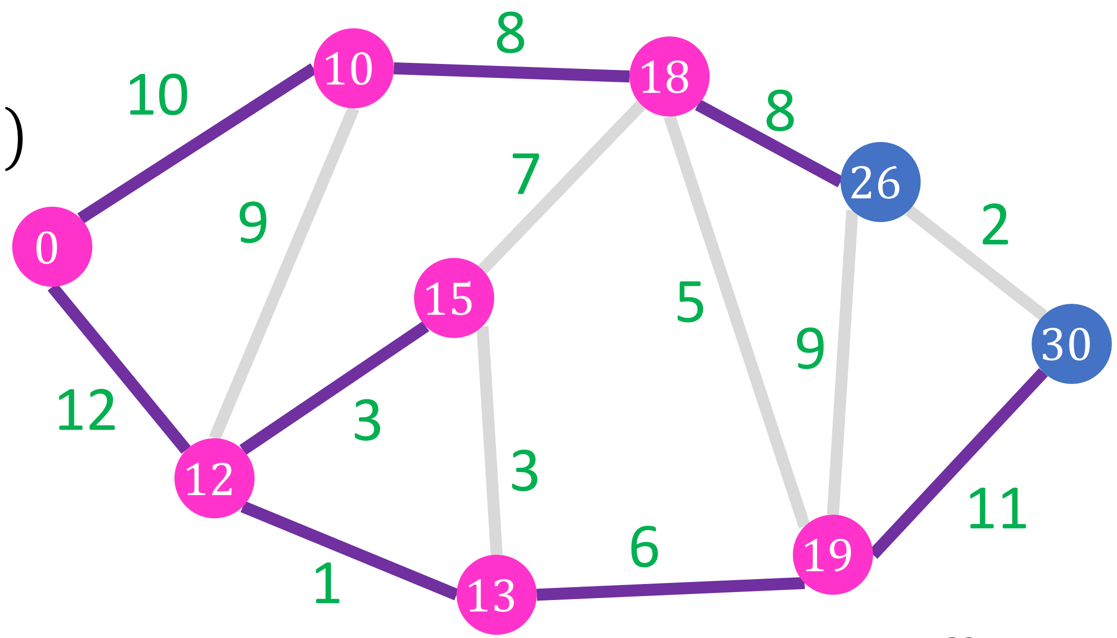

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 for each $u \in V$ such that $(v, u) \in E$:
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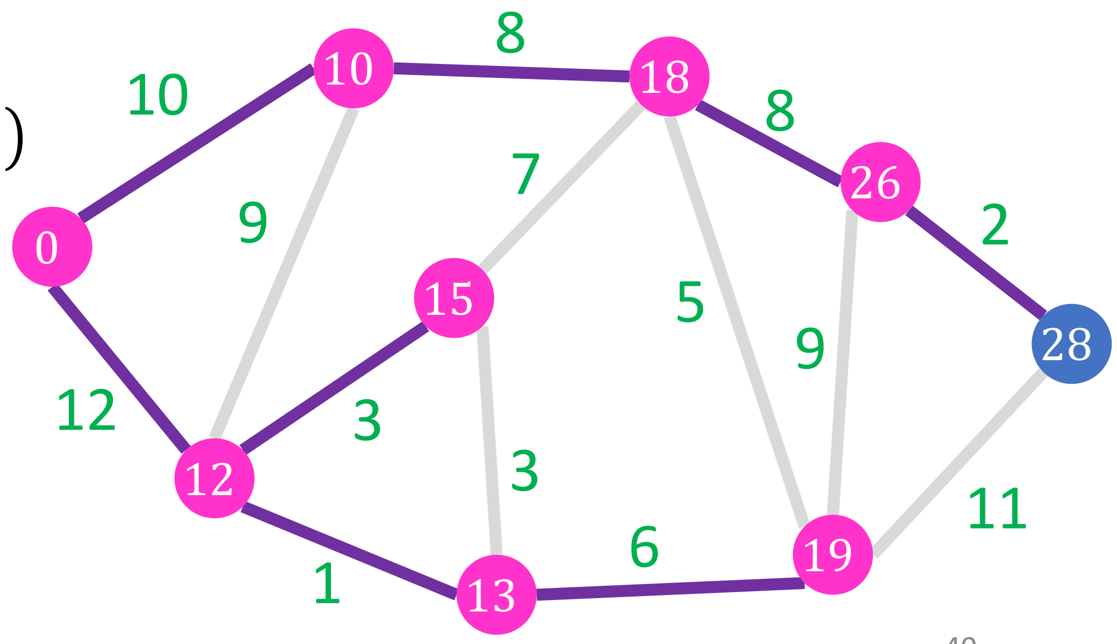

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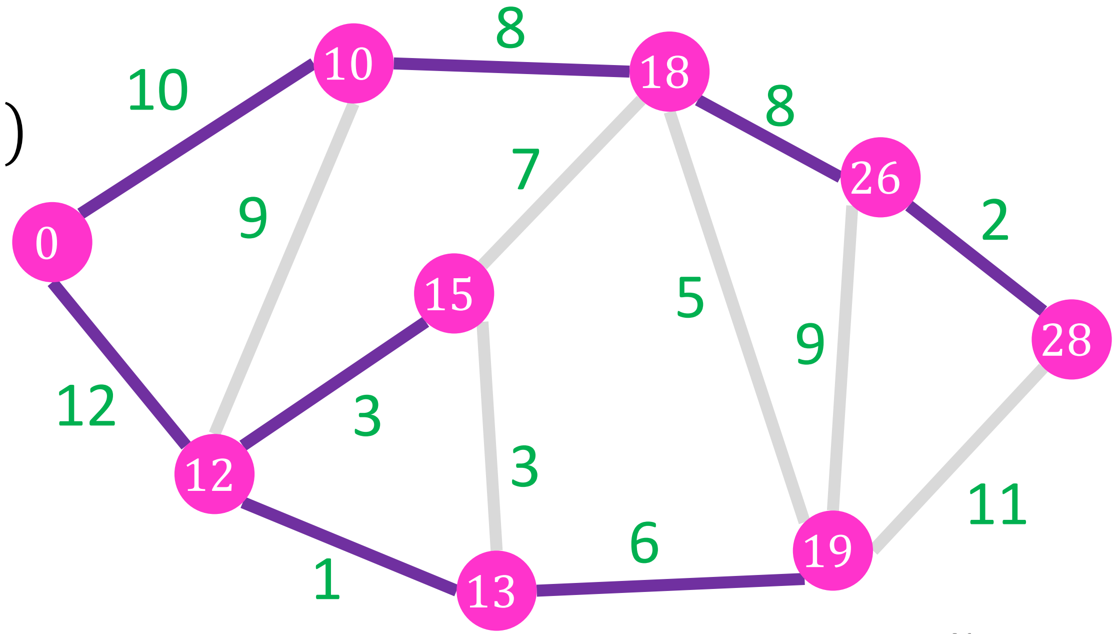
        if $u \in \mathrm{PQ}$ and $d_v + w(v, u) < d_u$:
            $\mathrm{PQ}.\mathrm{decreaseKey}(u, d_v + w(v, u))$
            $u.\mathrm{parent} = v$

Every subpath of a shortest path is itself a shortest path (optimal substructure)

Observe: shortest paths from a source forms a <u>tree</u>, but **not** a minimum spanning tree



Dijkstra's Algorithm Running Time

Implementation:

initialize $d_v = \infty$ for each node v add all nodes $v \in V$ to the priority queue PQ, using d_v as the key set $d_s = 0$	Initialization: $O(\|V\|)$
while PQ is not empty:	$\|V\|$ iterations
$v = \text{PQ.extractMin}()$	$O(\log\|V\|)$
for each $u \in V$ such that $(v, u) \in E$:	$\|E\|$ iterations total
if $u \in \text{PQ}$ and $d_v + w(v, u) < d_u$:	
$\text{PQ.decreaseKey}\big(u, d_v + w(v, u)\big)$	$O(\log\|V\|)$
$u.\text{parent} = v$	

Overall running time: $O(|V| \log|V| + |E| \log|V|) = O(|E| \log|V|)$

Dijkstra's Algorithm Proof Strategy

Proof by induction

Proof Idea: we will show that when node u is removed from the priority queue, $d_u = \delta(s, u)$

- **Claim 1:** There is a path of length d_u (as long as $d_u < \infty$) from s to u in G
- **Claim 2:** For every path $(s, \ldots, u)$, $w(s, \ldots, u) \geq d_u$

Correctness of Dijkstra's Algorithm

Inductive hypothesis: Suppose that nodes $v_1 = s, \dots, v_i$ have been removed from PQ, and for each of them $d_{v_i} = \delta(s, v_i)$, and there is a path from s to v_i with distance d_{v_i} (whenever $d_{v_i} < \infty$)

Base case:

- $i = 0$: $v_1 = s$
- Claim holds trivially

Correctness of Dijkstra's Algorithm: Claim 1

Let u be the $(i+1)^{\text{st}}$ node extracted

Claim 1: There is a path of length d_u (as long as $d_u < \infty$) from s to u in G

Proof:

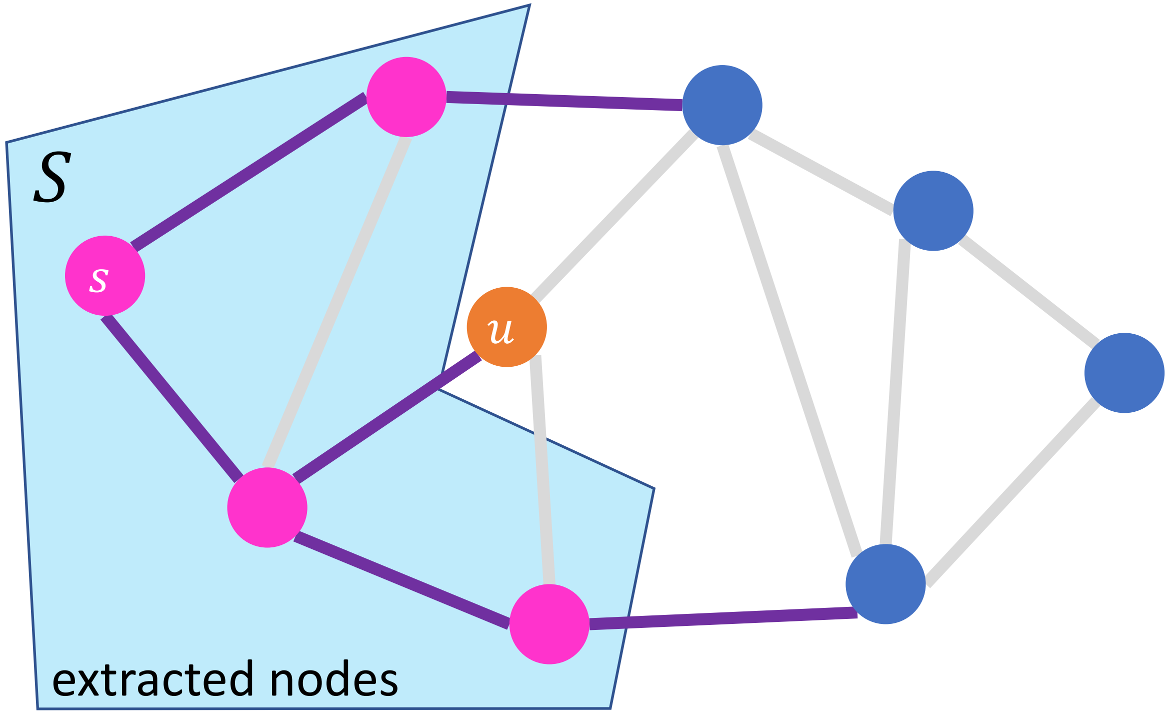
- Suppose $d_u < \infty$
- This means that $\mathrm{PQ.decreaseKey}$ was invoked on node u on an earlier iteration
- Consider the last time $\mathrm{PQ.decreaseKey}$ is invoked on node u
- $\mathrm{PQ.decreaseKey}$ is only invoked when there exists an edge $(v, u) \in E$ and node v was extracted from PQ in a previous iteration
- In this case, $d_u = d_v + w(v, u)$
- By the inductive hypothesis, there is a path $s \to v$ of length d_v in G and since there is an edge $(v, u) \in E$, there is a path $s \to u$ of length d_u in G

Correctness of Dijkstra's Algorithm: Claim 2

Let u be the $(i+1)^{\text{st}}$ node extracted

Claim 2: For every path $(s, \dots, u)$, $w(s, \dots, u) \geq d_u$

Extracted nodes define a cut $(S, V - S)$ of G



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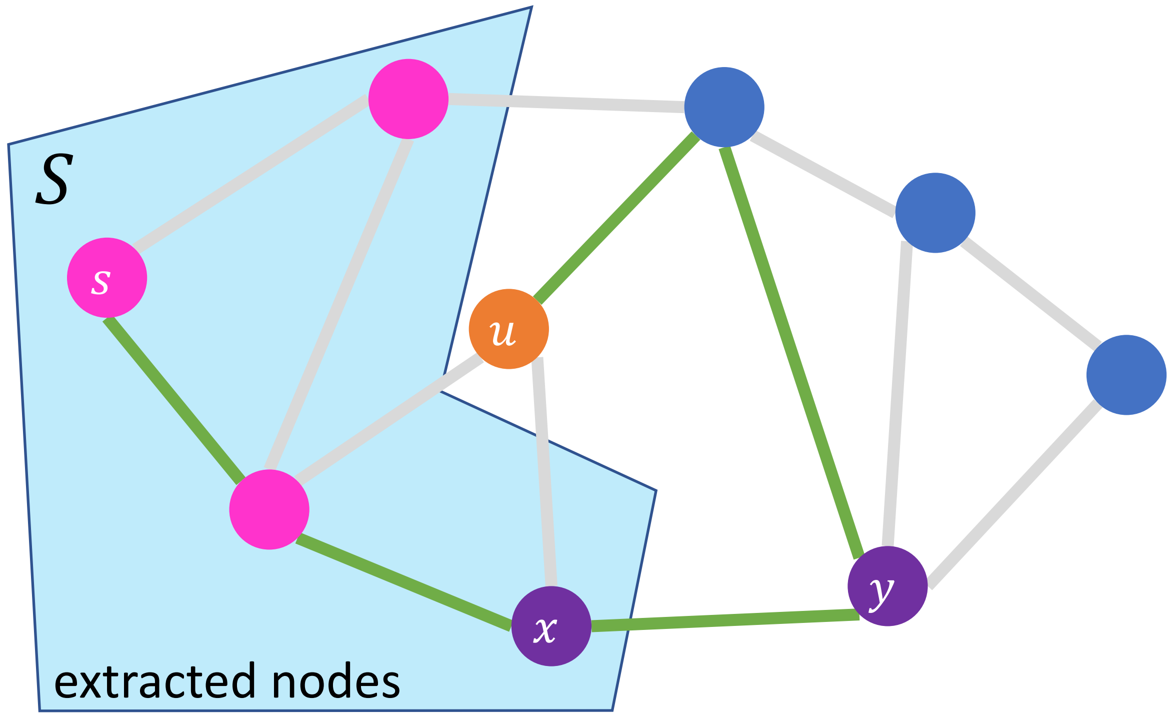


Extracted nodes define a cut $(S, V - S)$ of G

Take any path $(s, \dots, u)$

Since $u \notin S$, $(s, \dots, u)$ crosses the cut somewhere

- Let (x, y) be last edge in the path that crosses the cut

$$w(s, \dots, u) \geq \delta(s, x) + w(x, y) + w(y, \dots, u)$$

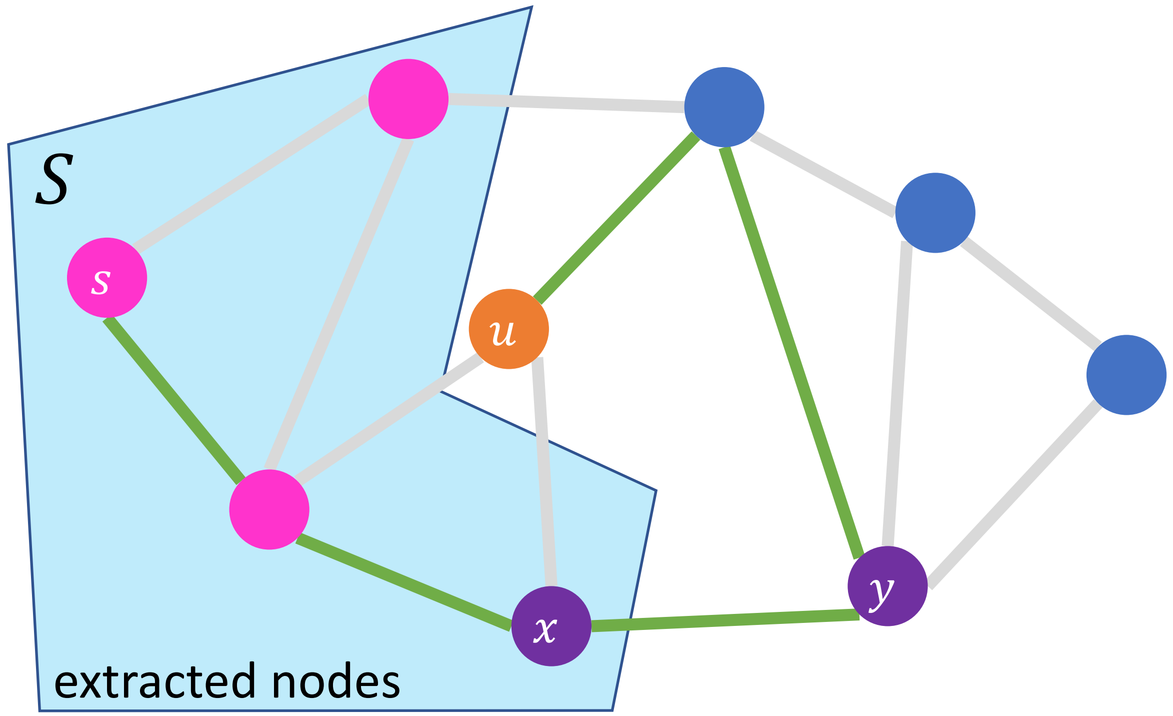
$$w(s, \dots, u) = w(s, \dots, x) + w(x, y) + w(y, \dots, u)$$

$w(s, \dots, x) \geq \delta(s, x)$ since $\delta(s, x)$ is weight of shortest path from s to x

Correctness of Dijkstra's Algorithm: Claim 2

Let u be the $(i+1)^{\text{st}}$ node extracted

Claim 2: For every path $(s,\ldots,u)$, $w(s,\ldots,u) \geq d_u$



Extracted nodes define a cut $(S, V-S)$ of G

Take any path $(s,\ldots,u)$

Since $u \notin S$, $(s,\ldots,u)$ crosses the cut somewhere

- Let (x,y) be last edge in the path that crosses the cut

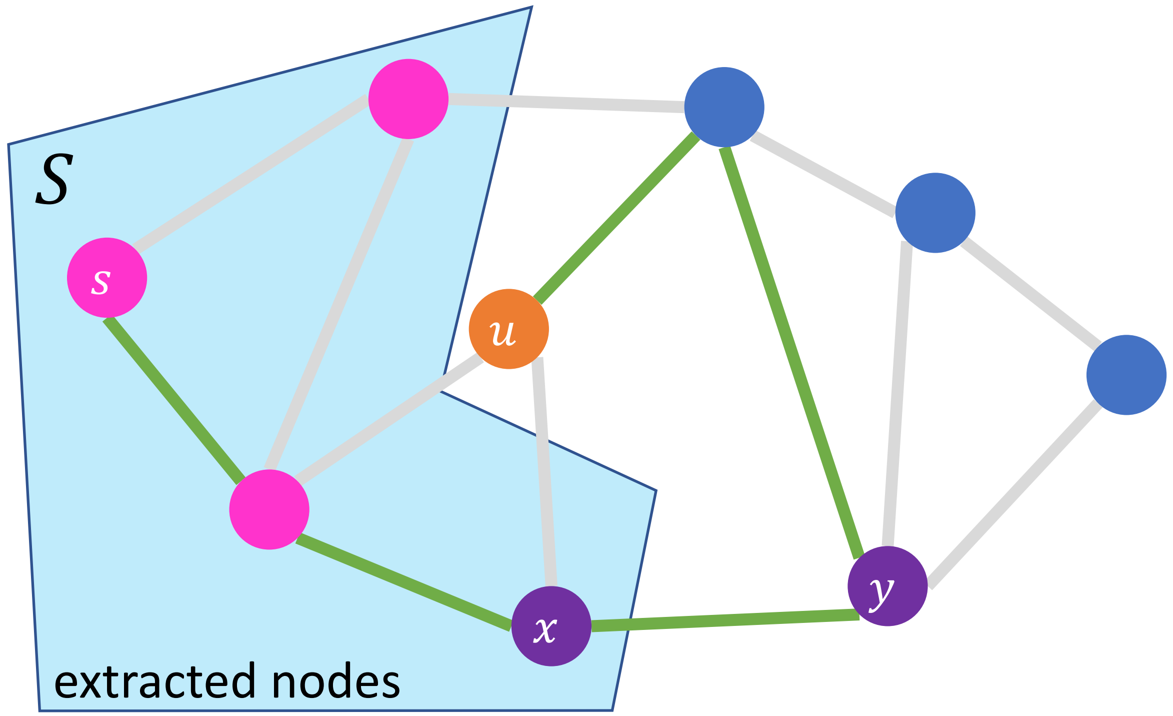
$$\begin{aligned} w(s,\ldots,u) &\geq \delta(s,x) + w(x,y) + w(y,\ldots,u) \\ &= d_x + w(x,y) + w(y,\ldots,u) \end{aligned}$$

Inductive hypothesis: since x was extracted before, $d_x = \delta(s,x)$

Correctness of Dijkstra's Algorithm: Claim 2

Let u be the $(i+1)^{\text{st}}$ node extracted

Claim 2: For every path $(s, \dots, u)$, $w(s, \dots, u) \geq d_u$



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\begin{aligned}
w(s, \dots, u) &\geq \delta(s, x) + w(x, y) + w(y, \dots, u) \\
&= d_x + w(x, y) + w(y, \dots, u) \\
&\geq d_y + w(y, \dots, u)
\end{aligned}
$$

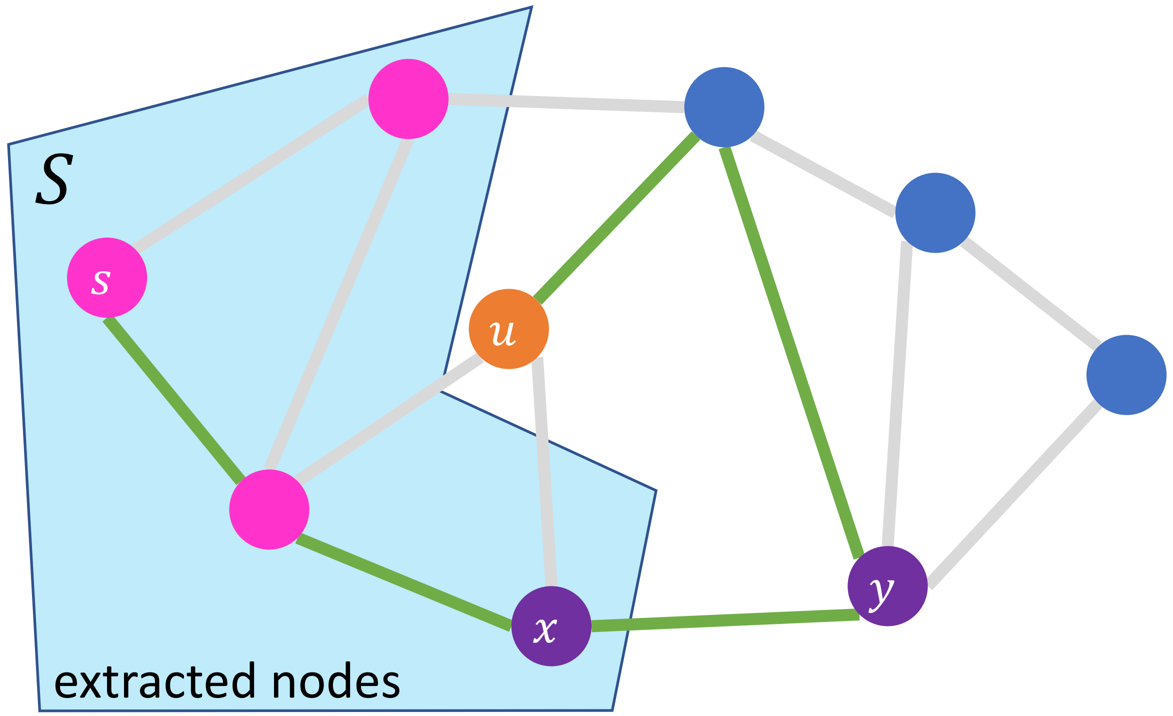
By construction of Dijkstra's algorithm, when x is extracted, d_y is updated to satisfy

$$d_y \leq d_x + w(x, y)$$

Correctness of Dijkstra’s Algorithm: Claim 2

Let u be the $(i+1)^{\text{st}}$ node extracted

Claim 2: For every path $(s, \dots, u)$, $w(s, \dots, u) \geq d_u$



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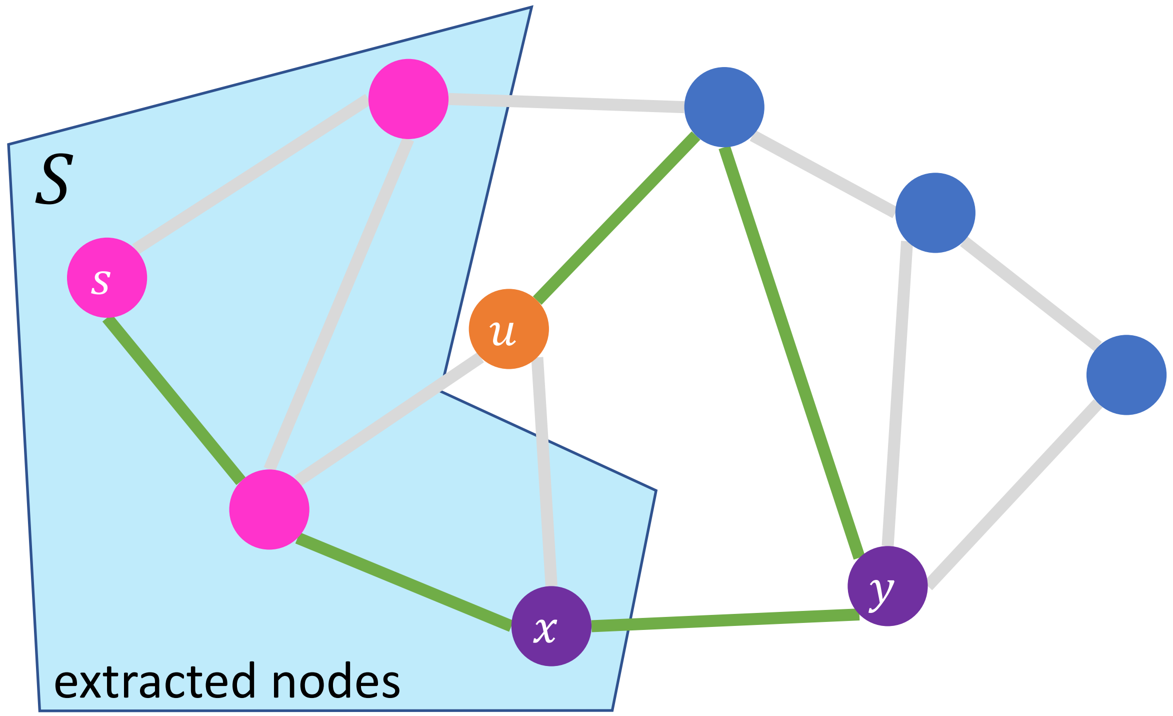
&= d_x + w(x, y) + w(y, \dots, u) \\
&\geq d_y + w(y, \dots, u) \\
&\geq d_u + w(y, \dots, u)
\end{aligned}
$$

Greedy choice property: we always extract the node of minimal distance so $d_u \leq d_y$

Correctness of Dijkstra’s Algorithm: Claim 2

Let u be the $(i+1)^{\text{st}}$ node extracted

Claim 2: For every path $(s, \dots, u)$, $w(s, \dots, u) \geq d_u$



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w(s, \dots, u) &\geq \delta(s, x) + w(x, y) + w(y, \dots, u) \\
&= d_x + w(x, y) + w(y, \dots, u) \\
&\geq d_y + w(y, \dots, u) \\
&\geq d_u + w(y, \dots, u) \\
&\geq d_u
\end{aligned}
$$

All edge weights assumed to be positive

Correctness of Dijkstra’s Algorithm

Proof by induction

Proof Idea: we will show that when node u is removed from the priority queue, $d_u = \delta(s, u)$

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Breadth-First Search

Input: a node s

Behavior: Start with node s, visit all neighbors of s, then all neighbors of neighbors of s, until all nodes have been visited

Output: lots of choices!

- Is the graph connected?
- Is there a path from s to u?
- Smallest number of "hops" from s to u

Sounds like a "shortest path" property!

Dijkstra's Algorithm

initialize $d_v = \infty$ for each node v
add all nodes $v \in V$ to the priority queue PQ, using d_v as the key
set $d_s = 0$
while PQ is not empty:
    $v = \mathrm{PQ}.\mathrm{extractMin}()$
    for each $u \in V$ such that $(v, u) \in E$:
        if $u \in \mathrm{PQ}$ and $d_v + w(v, u) < d_u$:
            $\mathrm{PQ}.\mathrm{decreaseKey}\big(u, d_v + w(v, u)\big)$
            $u.\mathrm{parent} = v$

Breadth-First Search

initialize a flag $d_v = 0$ for each node v
pick a start node s
$\mathrm{Q.push}(s)$
while Q is not empty:
    $v = \mathrm{Q.pop}()$ and set $d_v = 1$
    for each $u \in V$ such that $(v, u) \in E$:
        if $d_u = 0$:
            $\mathrm{Q.push}(u)$

flag to denote whether a node has been visited or not

Key observation: replace the priority queue with a queue

BFS to Count Number of Hops

initialize a counter $d_v = \infty$ for each node v
pick a start node s and set $d_s = 0$
$\mathrm{Q.push}(s)$
while Q is not empty:
    $v = \mathrm{Q.pop}()$
    for each $u \in V$ such that $(v, u) \in E$:
        if $d_u = \infty$:
            $\mathrm{Q.push}(u)$
            $d_u = d_v + 1$

counter to denote number of hops from the source