# CS 4102: Algorithms Lecture 6: Closest Pair of Points

David Wu Fall 2019

#### Warm Up

Given 5 points on the unit equilateral triangle, show there's always a pair of distance  $\leq \frac{1}{2}$  apart



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Given 5 points on the unit equilateral triangle, show there's always a pair of distance  $\leq \frac{1}{2}$  apart



If points  $p_1, p_2$  in same quadrant, then  $d(p_1, p_2) \leq \frac{1}{2}$ 

Given five points, two must share the same quadrant

Pigeonhole Principle!

### Today's Keywords

Closest pair of points (HW2)

Matrix multiplication (if we have time)

**CLRS Readings:** Chapter 4

### Homework

HW1 due Thursday, September 12 Saturday, September 14, 11pm

- Start early!
- Written (use LaTeX!) Submit both zip and pdf!
- Asymptotic notation
- Recurrences
- Divide and conquer

HW2 released today, due Thursday, September 19, 11pm

- Programming assignment (Python or Java)
- Divide and conquer (Closest pair of points)

### **Recurrence Solving Techniques**









Substitution

### Master Theorem

$$T(n) = aT(n/b) + f(n)$$

$$\delta = \log_b a$$

	Requirement on <i>f</i>	Implication
Case 1	$f(n) \in O(n^{\delta-\varepsilon})$ for some constant $\varepsilon > 0$	$T(n) \in \Theta(n^{\delta})$
Case 2	$f(n) \in \Theta(n^{\delta})$	$T(n) \in \Theta(n^{\delta} \log n)$
Case 3	$f(n) \in \Omega(n^{\delta + \varepsilon}) \text{ for some constant } \varepsilon > 0$ <b>AND</b> $af\left(\frac{n}{b}\right) \leq cf(n) \text{ for constant } c < 1 \text{ and}$ sufficiently large n	$T(n) \in \Theta(f(n))$

#### Three Cases

$$T(n) = f(n) + af\left(\frac{n}{b}\right) + a^{2}f\left(\frac{n}{b^{2}}\right) + a^{3}f\left(\frac{n}{b^{3}}\right) + \dots + a^{k}f\left(\frac{n}{b^{k}}\right)$$

$$k = \log_{b} n$$
Case 1:
Most work happens
at the leaves
$$Case 2:$$
Work happens
consistently throughout
$$Case 3:$$
Most work happens
at top of tree

### **Recurrence Solving Techniques**





"Cookbook"





### **Substitution Method**

**Idea:** Take a "difficult" recurrence and re-express it such that one of our other methods applies

**Example:** 

$$T(n) = 2T(\sqrt{n}) + \log_2 n$$

Consider the following substitution: let  $n = 2^m$  (i.e.,  $m = \log_2 n$ )

$$T(2^{m}) = 2T\left(2^{\frac{m}{2}}\right) + m$$
$$S(m) = 2S\left(\frac{m}{2}\right) + m$$
$$\Rightarrow S(m) = \Theta(m\log m)$$
$$\Rightarrow T(n) = \Theta(\log n \log \log n)$$

Rewrite recurrence in terms of m

Consider substitution  $S(m) = T(2^m)$ 

Case 2 of Master Theorem

Substitute back for T and n

#### **Robbie's Yard**



#### There Has to be an Easier Way!



#### **Constraints: Trees and Plants**



#### How wide can the robot be?

**Objective:** find closest pair of trees



#### **Closest Pair of Points**

Given: A list of points

# **Return:** Pair of points with smallest distance apart



### **Closest Pair of Points: Naïve**

Given: A list of points

**Return:** Pair of points with smallest distance apart

Algorithm: Test every pair of points, return the closest

Running Time:  $O(n^2)$ Goal:  $O(n \log n)$ 



Divide: How?

At median *x* coordinate



#### Divide:

At median *x* coordinate

#### Conquer:

Recursively find closest pairs from LeftPoints and RightPoints



#### Divide:

At median *x* coordinate

#### Conquer:

Recursively find closest pairs from LeftPoints and RightPoints

#### Combine:

Return smaller of left and right pairs **Problem?** 



#### Combine:

- **Case 1:** Closest pair is completely in LeftPoints or RightPoints
- **Case 2:** Closest pair spans our "cut"
- Need to test points across the cut



**Case 2:** Closest pair spans our "cut"

Need to test points across the cut

Compare all pairs of points within  $d = \min\{d_L, d_R\}$  of the cut

How many are there?



**Case 2:** Closest pair spans our "cut"

Need to test points across the cut

Compare all pairs of points within  $d = \min\{d_L, d_R\}$  of the cut

How many are there?

In the worst case, **all** of the points!  $T(n) = 2T\left(\frac{n}{2}\right) + \Omega(n^2) \in \Omega(n^2)$ 

![](_page_20_Figure_6.jpeg)

![](_page_21_Figure_1.jpeg)

**Case 2:** Closest pair spans our "cut"

Need to test points across the cut

**Observation:** We don't need to test all pairs!

Only need to test points within distance d of each another

![](_page_22_Figure_5.jpeg)

# **Reducing Search Space**

- **Case 2:** Closest pair spans our "cut"
- Need to test points across the cut
- Divide the boundary into squares with dimension d/2
- How many points can be in a square? at most 1

![](_page_23_Figure_5.jpeg)

# **Reducing Search Space**

- **Case 2:** Closest pair spans our "cut"
- Need to test points across the cut
- Divide the boundary into squares with dimension d/2

25

How many squares can contain a point < d away and with smaller *y*-coordinate? at most 15

![](_page_24_Figure_5.jpeg)

### **Reducing Search Space**

**Case 2:** Closest pair spans our "cut"

Need to test points across the cut

Why only consider points with smaller *y*-coordinate?

How many squares can ontain a point < *d* away and with **smaller** y-coordinate? at most 15

26

![](_page_25_Figure_5.jpeg)

**Initialization:** Sort points by *x*-coordinate

**Divide:** Partition points into two lists of points based on *x*-coordinate

**Conquer:** Recursively compute the closest pair of points in each list

#### Combine:

- Construct list of points in the boundary
- Sort boundary points by *y*-coordinate
- Compare each point in boundary to 15 points above it and save the closest pair
- Output closest pair among left, right, and boundary points

![](_page_26_Figure_9.jpeg)

**Initialization:** Sort points by *x*-coordinate

Divide. Partition points into two lists of points

Looks like another  $O(n \log n)$ algorithm – combine step is still too expensive

#### **Combine:**

- Construct list of points in the boundary
- Sort boundary points by *y*-coordinate
- Compare each point in boundary to 15 points above it and save the closest pair
- Output closest pair among left, right, and boundary points

![](_page_27_Figure_9.jpeg)

**Initialization:** Sort points by *x*-coordinate

**Divide:** Partition points into two lists of points based on *x*-coordinate

**Conquer:** Recursively compute the closest pair of points in each list

#### **Combine:**

- Construct list of points in the boundary
- Sort boundary points by *y*-coordinate
- Compare each point in boundary to 15 points above it and save the closest pair
- Output closest pair among left, right, and boundary points

#### Solution: Maintain additional

#### information in the recursion

- Minimum distance among pairs of points in the list
- List of points sorted according to *y*-coordinate

Sorting boundary points by *y*-coordinate now becomes a **merge** 

### Listing Points in the Boundary

#### LeftPoints:

Closest Pair:  $(1, 5), d_{1,5}$ Sorted Points: [3,7,5,1]

#### RightPoints:

Closest Pair: (4,6),  $d_{4,6}$ Sorted Points: [8,6,4,2]

#### Merged Points: [8,3,7,6,4,5,1,2]

Boundary Points: [8,7,6,5,2]

Both of these lists can be computed by a *single* pass over the lists

![](_page_29_Figure_8.jpeg)

#### **Initialization:** Sort points by *x*-coordinate

**Divide:** Partition points into two lists of points based on *x*-coordinate

**Conquer:** Recursively compute the closest pair of points in each list

#### **Combine:**

- Construct list of points in the boundary
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**Initialization:** Sort points by *x*-coordinate

**Divide:** Partition points into two lists of points based on *x*-coordinate

**Conquer:** Recursively compute the closest pair of points in each list

#### **Combine:**

- Merge sorted list of points by y-coordinate and construct list of points in the boundary (sorted by y-coordinate)
- Compare each point in boundary to 15 points above it and save the closest pair
- Output closest pair among left, right, and boundary points

What is the running time?

 $\Theta(n \log n)$ 

T(n)

 $T(n) = 2T(n/2) + \Theta(n)$ 

**Case 2 of Master's Theorem:**  $T(n) = \Theta(n \log n)$ 

2T(n/2)

 $\Theta(n \log n)$ 

 $\Theta(n)$ 

 $\Theta(n)$  $\Theta(1$ 

**Initialization:** Sort points by *x*-coordinate

**Divide:** Partition points into two lists of points based on *x*-coordinate

**Conquer:** Recursively compute the closest pair of points in each list

#### **Combine:**

- Merge sorted list of points by y-coordinate and construct list of points in the boundary (sorted by *y*-coordinate)
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#### **Matrix Multiplication**

$$n \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \times \begin{bmatrix} 2 & 4 & 6 \\ 8 & 10 & 12 \\ 14 & 16 & 18 \end{bmatrix}$$
$$= \begin{bmatrix} 2+16+42 & 4+20+48 & 6+24+54 \\ \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \end{bmatrix}$$
$$= \begin{bmatrix} 60 & 72 & 84 \\ 132 & 162 & 192 \\ 204 & 252 & 300 \end{bmatrix}$$

Run time?  $O(n^3)$ 

#### Matrix Multiplication Divide and Conquer

Multiply 
$$n \times n$$
 matrices (A and B)

 Divide:

  $A = \begin{bmatrix} a_1 & a_2 & a_3 & a_4 \\ a_5 & a_6 & a_7 & a_8 \\ a_9 & a_{10} & a_{11} & a_{12} \\ a_{13} & a_{14} & a_{15} & a_{16} \end{bmatrix}$ 
 $B = \begin{bmatrix} b_1 & b_2 & b_3 & b_4 \\ b_5 & b_6 & b_7 & b_8 \\ b_9 & b_{10} & b_{11} & b_{12} \\ b_{13} & b_{14} & b_{15} & b_{16} \end{bmatrix}$ 

#### **Matrix Multiplication Divide and Conquer**

#### Multiply $n \times n$ matrices (A and B)

![](_page_34_Figure_2.jpeg)

Combine:

$$AB = \begin{bmatrix} A_{1,1}B_{1,1} + A_{1,2}B_{2,1} & A_{1,1}B_{1,2} + A_{1,2}B_{2,2} \\ A_{2,1}B_{1,1} + A_{2,2}B_{2,1} & A_{2,1}B_{1,2} + A_{2,2}B_{2,2} \end{bmatrix}$$
  
Run time? 
$$T(n) = 8T\left(\frac{n}{2}\right) + 4\left(\frac{n}{2}\right)^2 \quad \text{Cost of additions}$$

#### Matrix Multiplication Divide and Conquer

$$T(n) = 8T\left(\frac{n}{2}\right) + 4\left(\frac{n}{2}\right)^2$$
$$T(n) = 8T\left(\frac{n}{2}\right) + n^2$$

$$a = 8, b = 2, f(n) = n^2$$
  
Case 1!  
 $n^{\log_b a} = n^{\log_2 8} = n^3$   
 $T(n) = \Theta(n^3)$   
Can we do better?

#### Matrix Multiplication D&C

Multiply  $n \times n$  matrices (A and B)

![](_page_36_Figure_2.jpeg)

Idea: Use a Karatsuba-like technique on this

#### **Strassen's Algorithm**

![](_page_37_Figure_1.jpeg)

#### Calculate:

$$Q_{1} = (A_{1,1} + A_{2,2})(B_{1,1} + B_{2,2})$$

$$Q_{2} = (A_{2,1} + A_{2,2})B_{1,1}$$

$$Q_{3} = A_{1,1}(B_{1,2} - B_{2,2})$$

$$Q_{4} = A_{2,2}(B_{2,1} - B_{1,1})$$

$$Q_{5} = (A_{1,1} + A_{1,2})B_{2,2}$$

$$Q_{6} = (A_{2,1} - A_{1,1})(B_{1,1} + B_{1,2})$$

$$Q_{7} = (A_{1,2} - A_{2,2})(B_{2,1} + B_{2,2})$$

$$B = \begin{bmatrix} B_{1,1} & B_{1,2} \\ B_{2,1} & B_{2,2} \end{bmatrix}$$

Find *AB*:

$$AB = \begin{bmatrix} Q_1 + Q_4 - Q_5 + Q_7 & Q_3 + Q_5 \\ Q_2 + Q_4 & Q_1 - Q_2 + Q_3 + Q_6 \end{bmatrix}$$

7 Multiplications

**18 Additions** 

$$T(n) = 7T\left(\frac{n}{2}\right) + 18\frac{n^2}{4}$$

#### Strassen's Algorithm

$$T(n) = 7T\left(\frac{n}{2}\right) + \frac{9}{2}n^2$$

$$a = 7, b = 2, f(n) = \frac{9}{2}n^2$$

Case 1!

 $n^{\log_b a} = n^{\log_2 7} \approx n^{2.807}$ 

$$T(n) = \Theta(n^{\log_2 7}) \approx \Theta(n^{2.807})$$

![](_page_39_Figure_0.jpeg)

#### Is This the Fastest?

![](_page_40_Figure_1.jpeg)