

# CS4102 Algorithms

Fall 2019

## Warm up:

Show that the sum of degrees of all nodes in any undirected graph is even

Show that for any graph  $G = (V, E)$ ,  
 $\sum_{v \in V} \deg(v)$  is even

$\sum_{v \in V} \deg(v)$  is always even

- $\deg(v)$  counts the number of edges incident  $v$
- Consider any edge  $e \in E$
- This edge is incident 2 vertices (on each end)
- This means  $2 \cdot |E| = \sum_{v \in V} \deg(v)$
- Therefore  $\sum_{v \in V} \deg(v)$  is even

# Today's Keywords

- Greedy Algorithms
- Choice Function
- Graphs
- Minimum Spanning Tree
- Kruskal's Algorithm
- Prim's Algorithm
- Cut Theorem

# CLRS Readings

- Chapter 22
- Chapter 23

# Homeworks

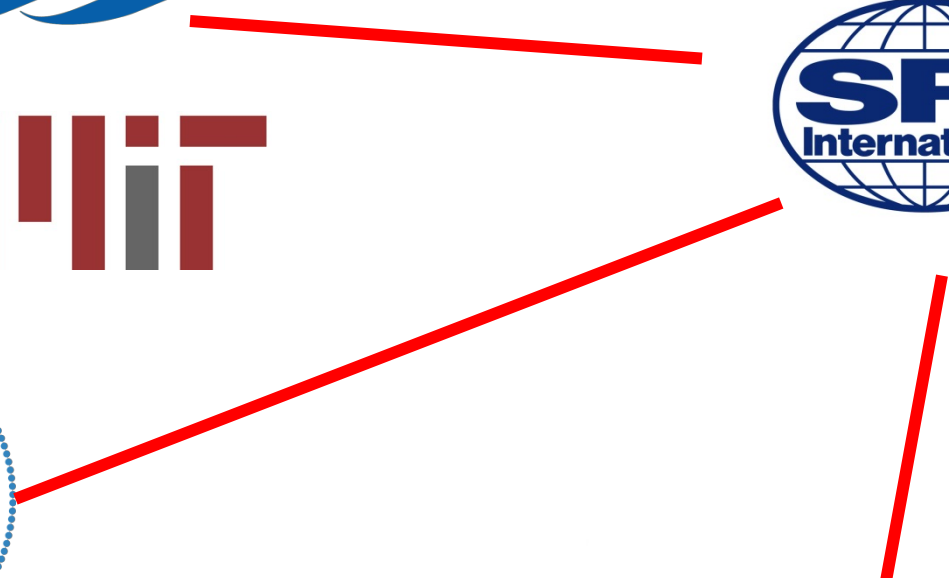
Tomorrow (Wednesday)

- HW6 due ~~tonight~~ @ 11pm
  - Written (use latex)
  - DP and Greedy
- HW10A also due tonight @ 11pm
  - No late submissions allowed
- HW7 due Thursday, November 14 @ 11pm
  - Written (use latex)
  - Graphs!
- HW10B also due Thursday, November 14 @ 11pm
  - No late submissions allowed

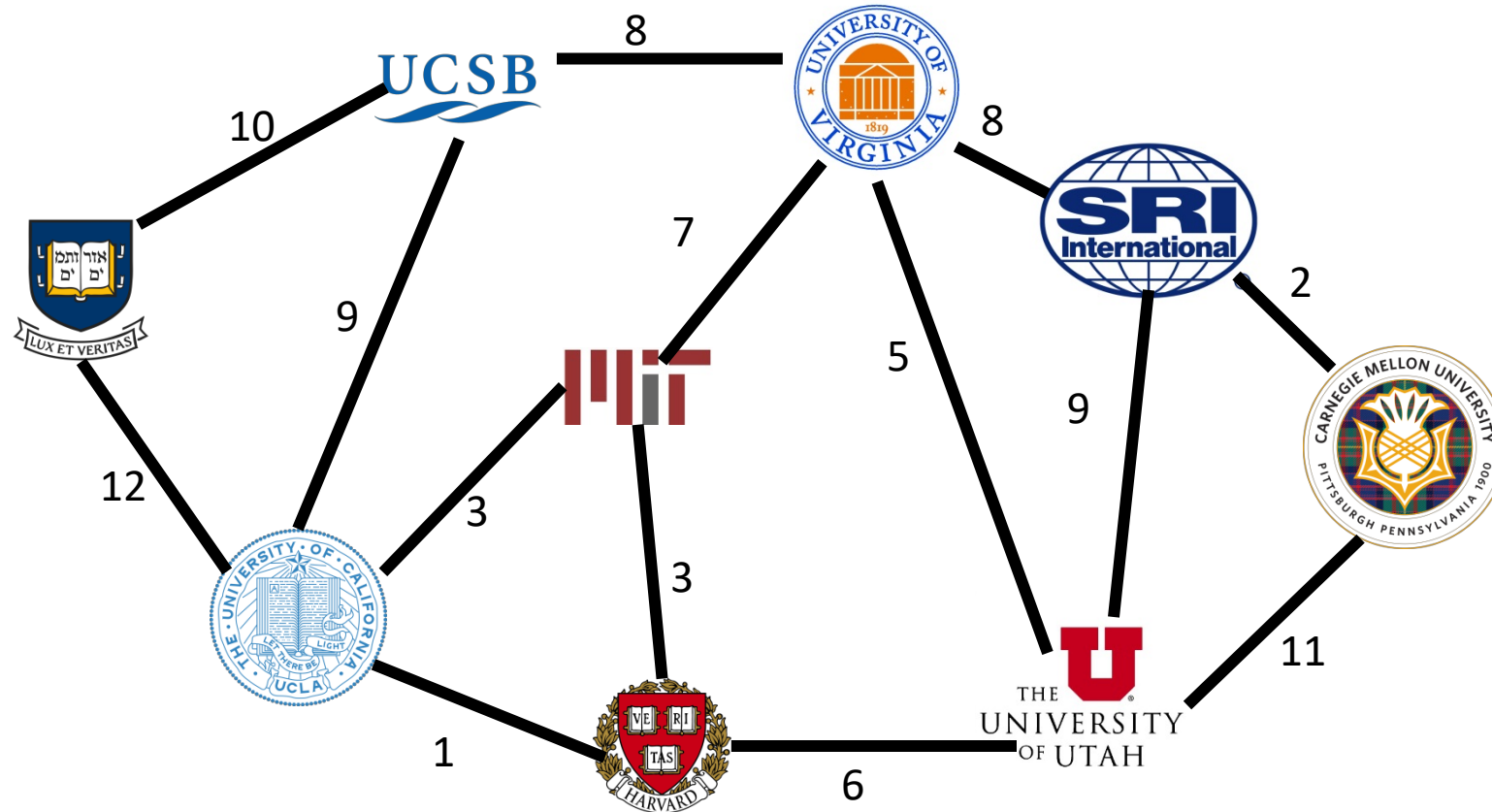
# Administrativa

- No office hours on Monday (traveling)
  - Extra hours Tuesday 11am-1pm
- Normal office hours shift starting 11/18
  - Mondays 10-11am, 2-3pm
  - Tuesdays 12:30-1:30pm

# ARPANET



# Problem



Find a  
Minimum  
Spanning Tree

We need to connect together all these places into a network  
We have feasible wires to run, plus the cost of each wire  
Find the cheapest set of wires to run to connect all places



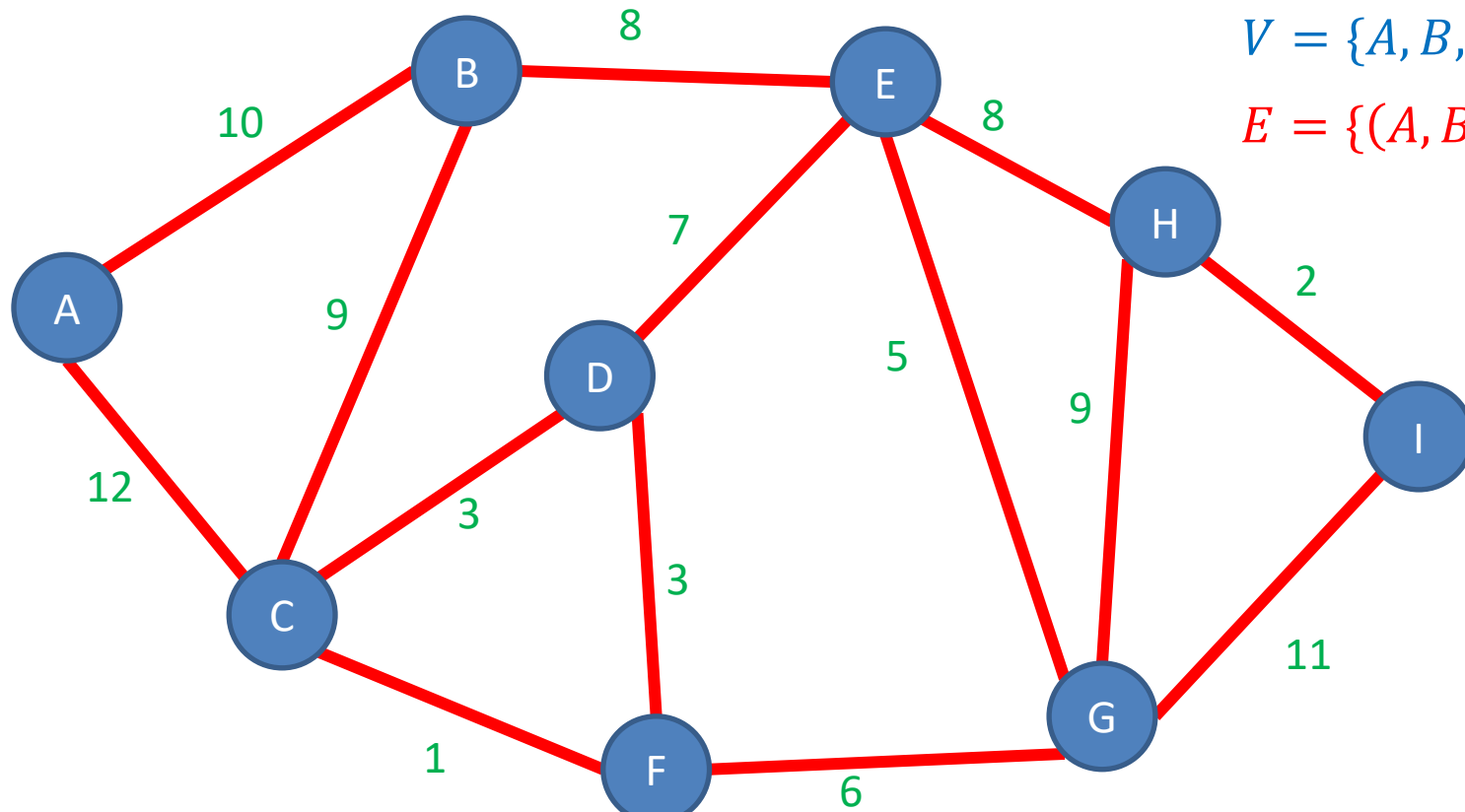
# Graphs

Vertices/Nodes

Definition:  $G = (V, E)$

Edges

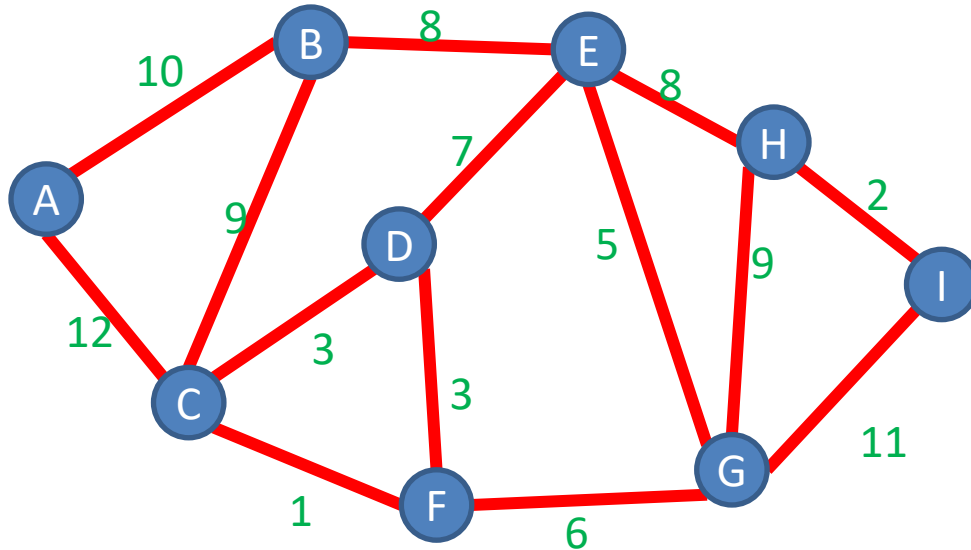
$w(e)$  = weight of edge  $e$



$V = \{A, B, C, D, E, F, G, H, I\}$

$E = \{(A, B), (A, C), (B, C), \dots\}$

# Adjacency List Representation



## Tradeoffs

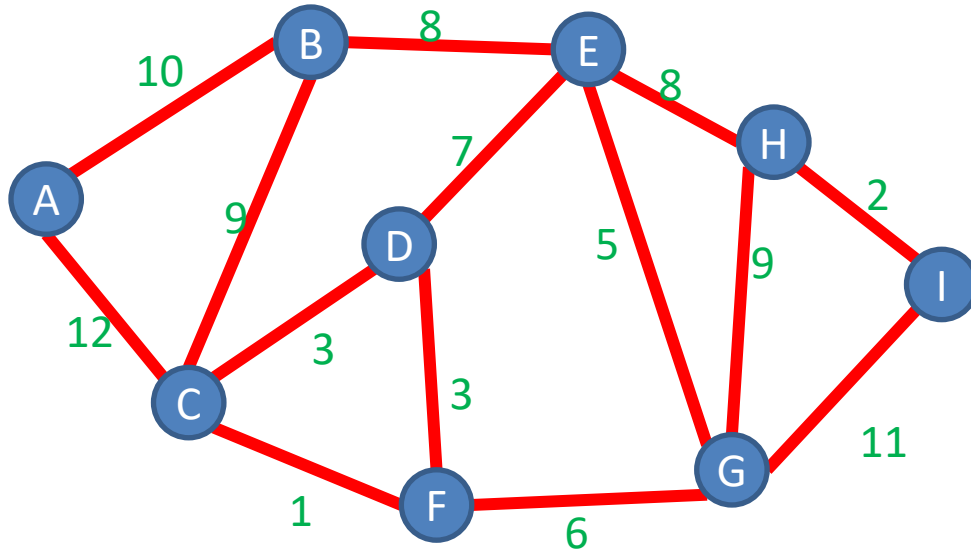
Space:  $V + E$

Time to list neighbors:  $Degree(A)$

Time to check edge  $(A, B): Degree(A)$

A	B	C		
B	A	C	E	
C	A	B	D	F
D	C	E	F	
E	B	D	G	H
F	C	D	G	
G	E	F	H	I
H	E	G	I	
I	G	H		

# Adjacency Matrix Representation



	A	B	C	D	E	F	G	H	I
A		1	1						
B	1		1		1				
C	1	1		1		1			
D			1		1	1			
E		1		1			1	1	
F			1	1			1		
G					1	1		1	1
H					1		1		1
I							1	1	

## Tradeoffs

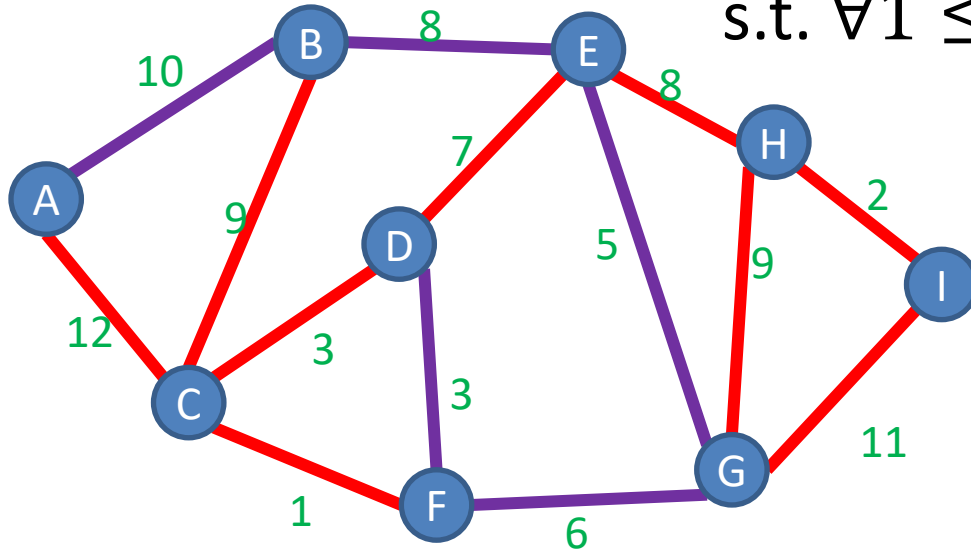
Space:  $V^2$

Time to list neighbors:  $V$

Time to check edge  $(A, B)$ :  $O(1)$

# Definition: Path

A sequence of nodes  $(v_1, v_2, \dots, v_k)$   
s.t.  $\forall 1 \leq i \leq k - 1, (v_i, v_{i+1}) \in E$



## Simple Path:

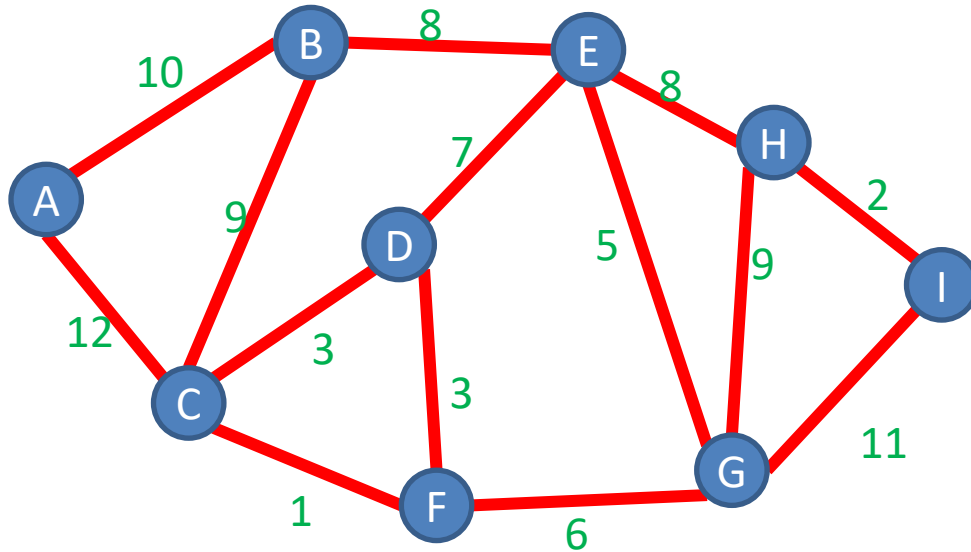
A path in which each node appears at most once

## Cycle:

A path of  $> 2$  nodes in which  $v_1 = v_k$

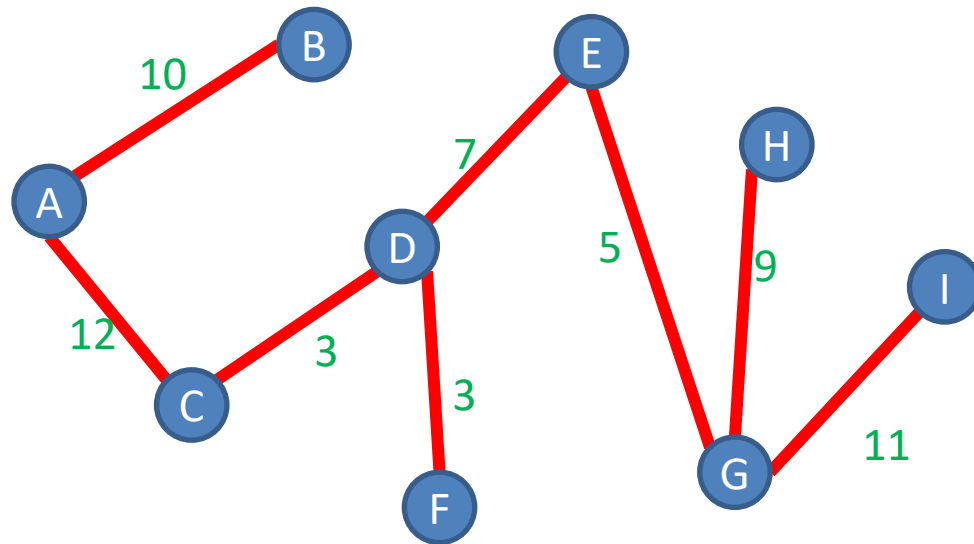
# Definition: Connected Graph

A Graph  $G = (V, E)$  s.t. for any pair of nodes  $v_1, v_2 \in V$  there is a path from  $v_1$  to  $v_2$



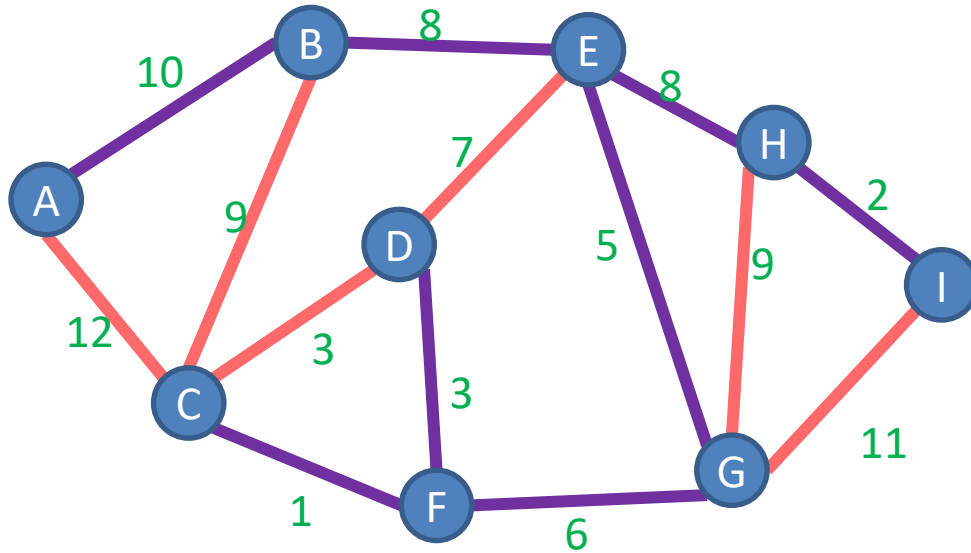
# Definition: Tree

A connected graph with no cycles



# Definition: Spanning Tree

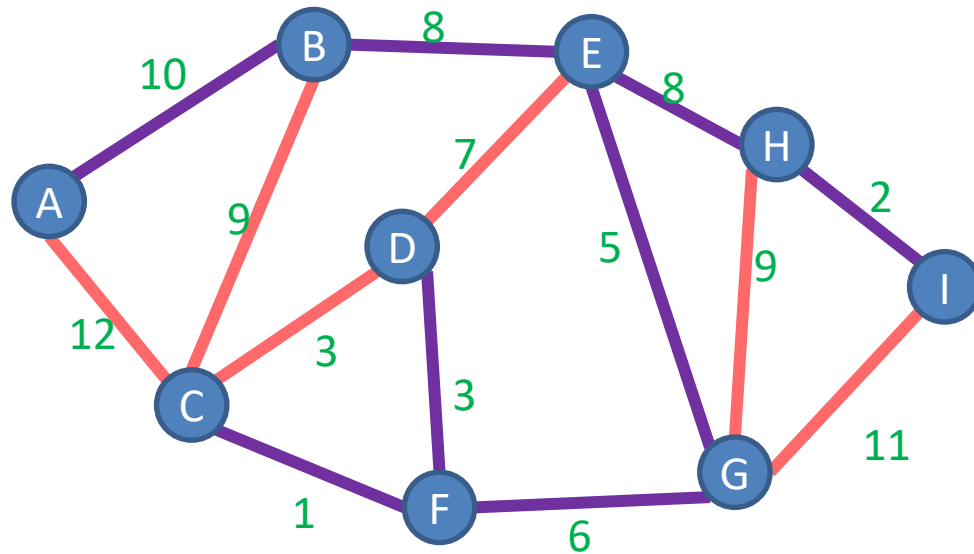
A Tree  $T = (V_T, E_T)$  which connects (“spans”) all the nodes in a graph  $G = (V, E)$



How many edges does  $T$  have?  
 $V - 1$

# Definition: Minimum Spanning Tree

A Tree  $T = (V_T, E_T)$  which connects (“spans”) all the nodes in a graph  $G = (V, E)$ , that has minimal **cost**



$$Cost(T) = \sum_{e \in E_T} w(e)$$

How many edges does  $T$  have?  
 $V - 1$



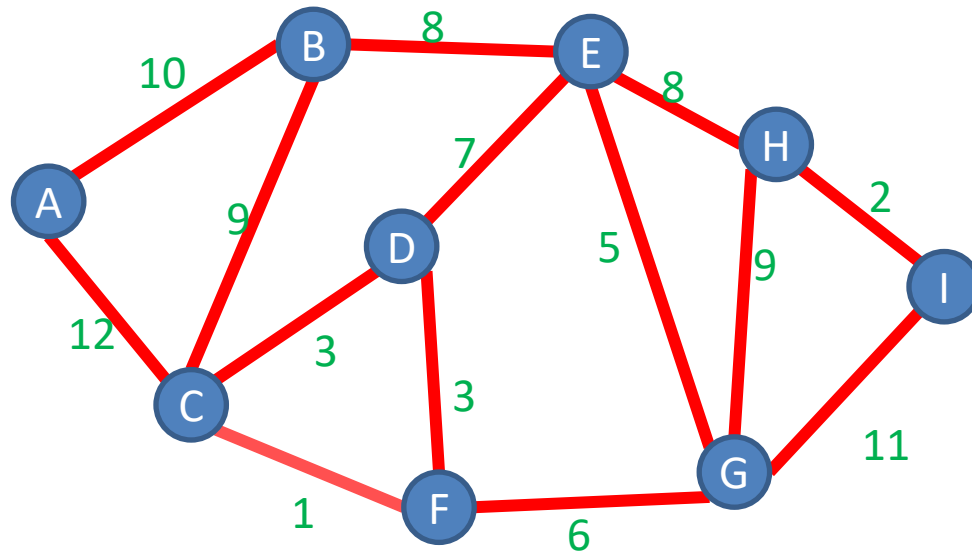
# Greedy Algorithms

- Require **Optimal Substructure**
  - Solution to larger problem contains the solution to a smaller one
  - Only one subproblem to consider!
- Idea:
  1. Identify a greedy **choice property**
    - How to make a choice guaranteed to be included in some optimal solution
  2. Repeatedly apply the choice property until no subproblems remain

# Kruskal's Algorithm

Start with an empty tree  $A$

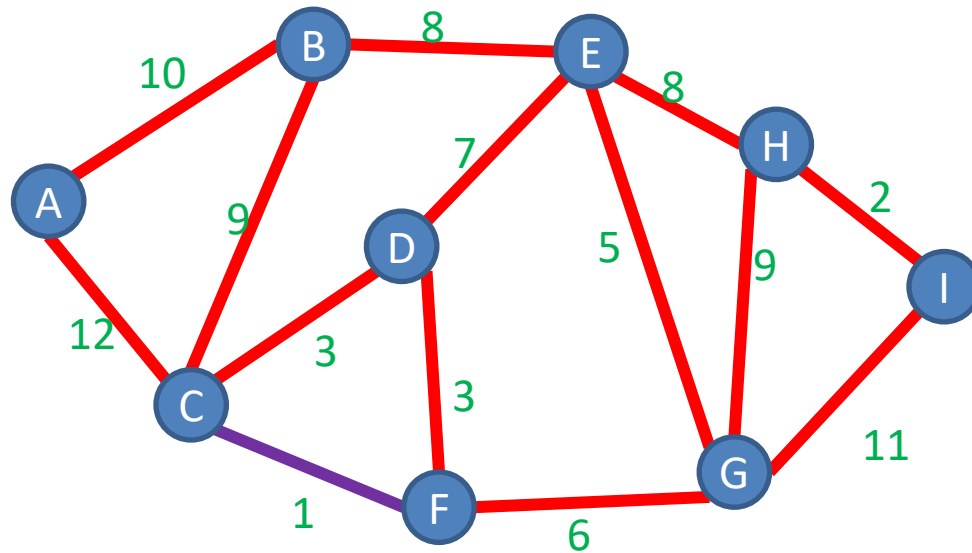
Add to  $A$  the lowest-weight edge that does not create a cycle



# Kruskal's Algorithm

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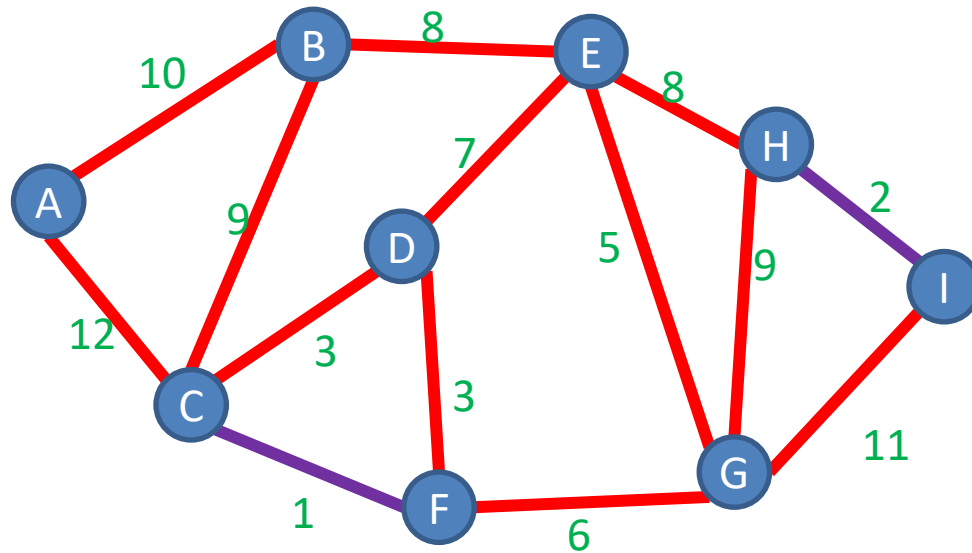
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# Kruskal's Algorithm

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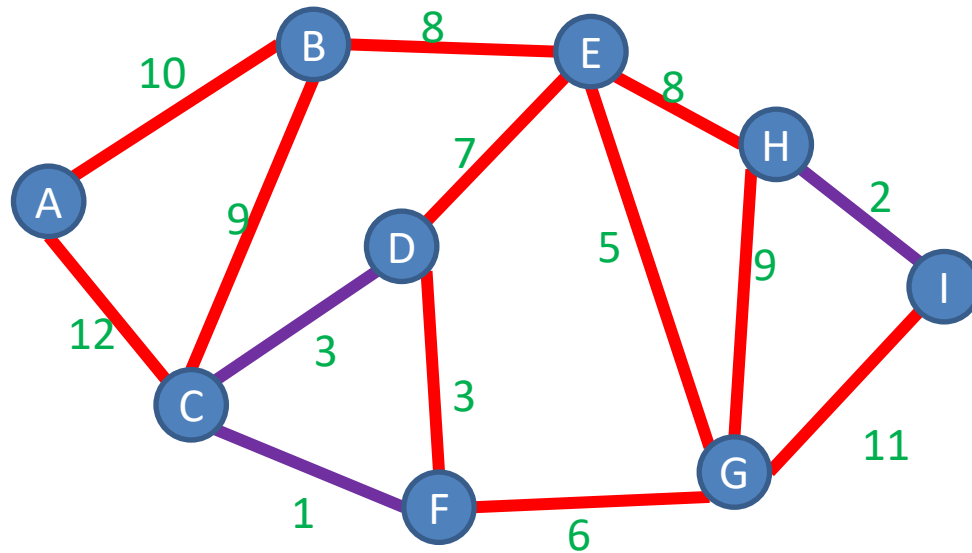
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# Kruskal's Algorithm

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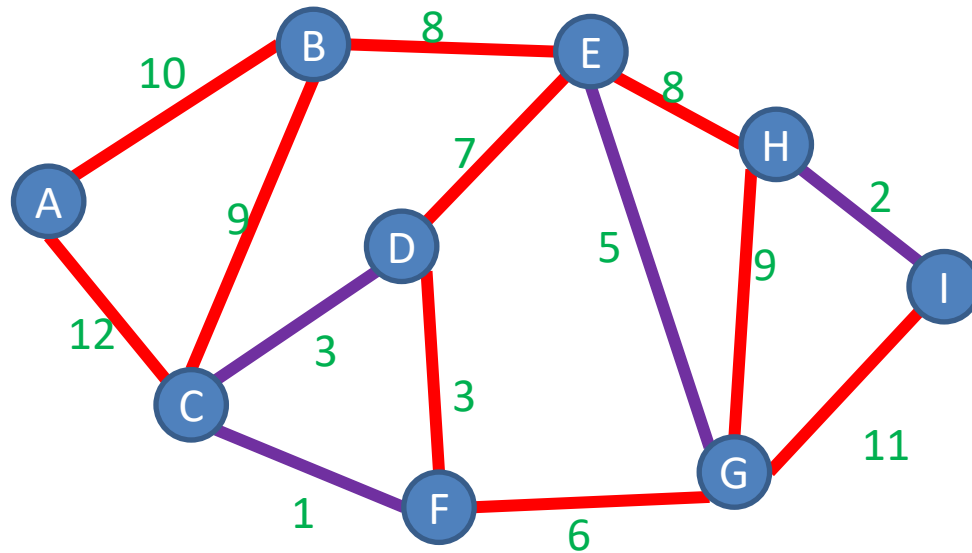
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# Kruskal's Algorithm

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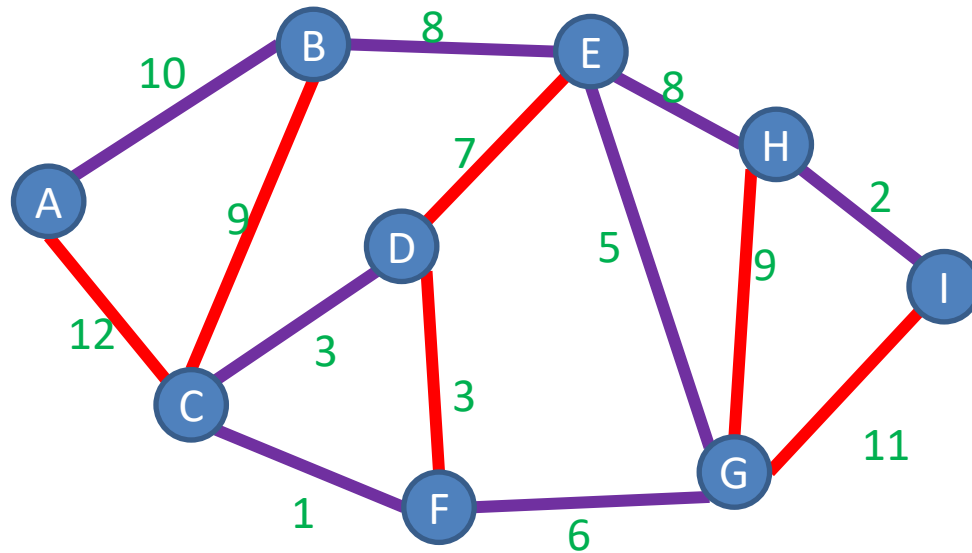
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# Kruskal's Algorithm

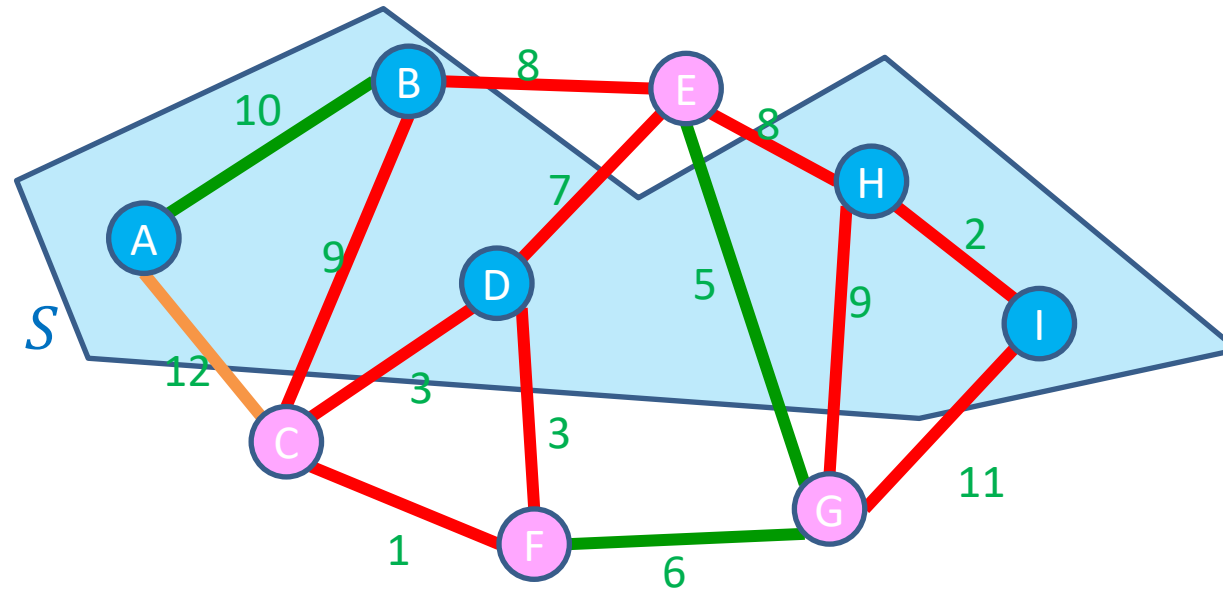
Start with an empty tree  $A$

Add to  $A$  the lowest-weight edge that does not create a cycle



# Definition: Cut

A Cut of graph  $G = (V, E)$  is a partition of the nodes into two sets,  $S$  and  $V - S$



Edge  $(v_1, v_2) \in E$  crosses a cut if  $v_1 \in S$  and  $v_2 \in V - S$  (or opposite), e.g.  $(A, C)$

A set of edges  $R$  Respects a cut if no edges cross the cut  
e.g.  $R = \{(A, B), (E, G), (F, G)\}$



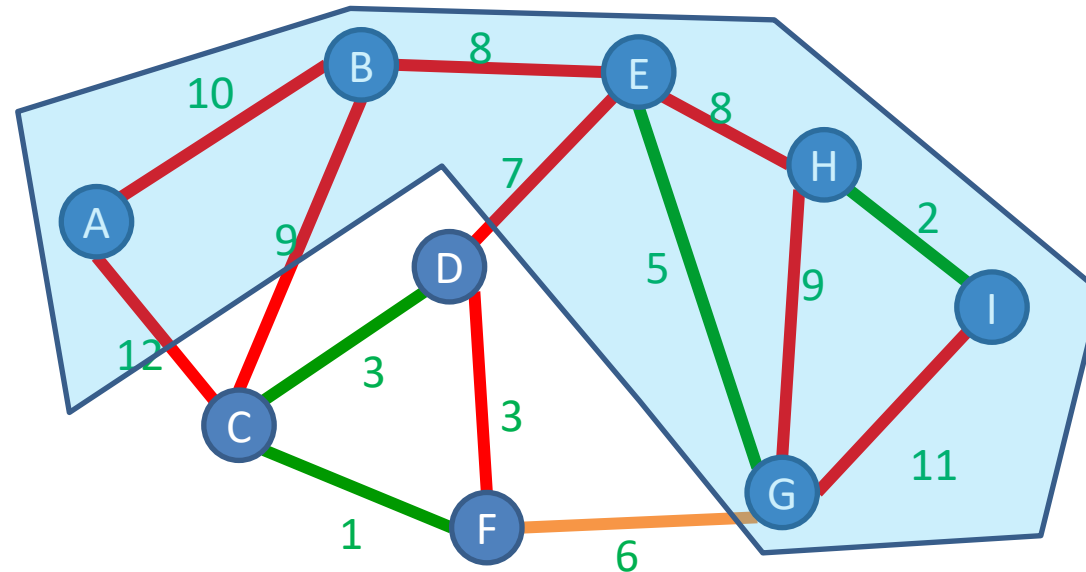
# Exchange argument

- Shows correctness of a greedy algorithm
- Idea:
  - Show exchanging an item from an arbitrary optimal solution with your greedy choice makes the new solution no worse
  - How to show my sandwich is at least as good as yours:
    - Show: “I can remove any item from your sandwich, and it would be no worse by replacing it with the same item from my sandwich”



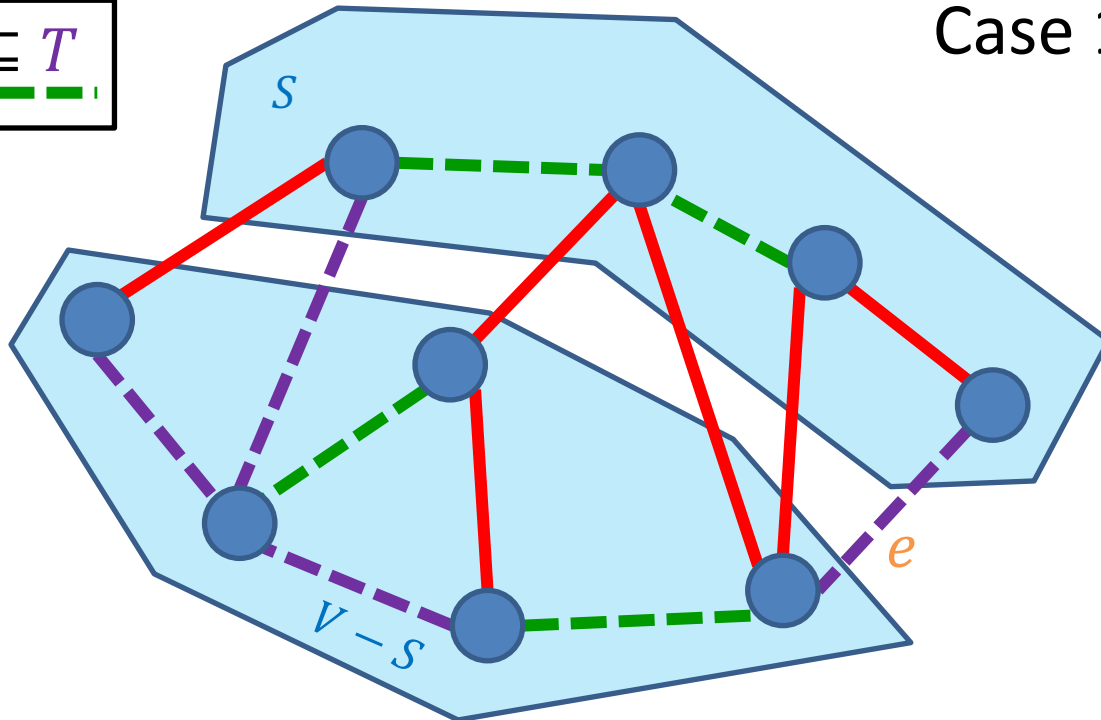
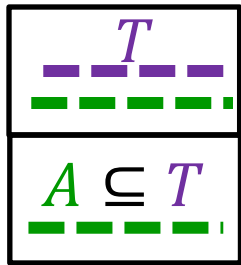
# Cut Theorem

If a set of edges  $A$  is a subset of a minimum spanning tree  $T$ , let  $(S, V - S)$  be any cut which  $A$  respects. Let  $e$  be the least-weight edge which crosses  $(S, V - S)$ .  $A \cup \{e\}$  is also a subset of a minimum spanning tree.



# Proof of Cut Theorem

Claim: If  $A$  is a subset of a MST  $T$ , and  $e$  is the least-weight edge which crosses cut  $(S, V - S)$  (which  $A$  respects) then  $A \cup \{e\}$  is also a subset of a MST.

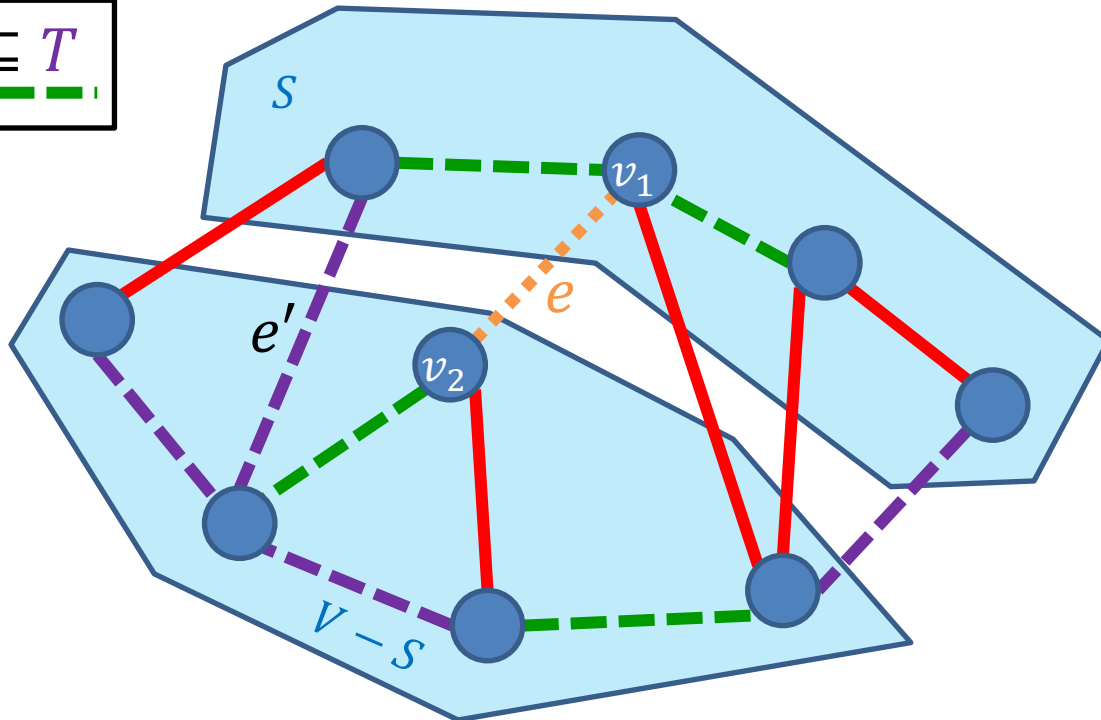
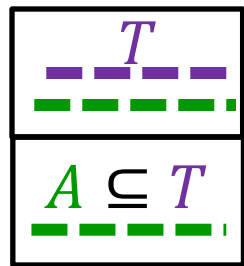


Consider some MST  $T$ ,  
Case 1: (the easy case)

If  $e \in T$  Then claim holds

# Proof of Cut Theorem

Claim: If  $A$  is a subset of a MST  $T$ , and  $e$  is the least-weight edge which crosses cut  $(S, V - S)$  (which  $A$  respects) then  $A \cup \{e\}$  is also a subset of a MST.



Consider some MST  $T$ ,  
Case 2:

Consider if  $e = (v_1, v_2) \notin T$

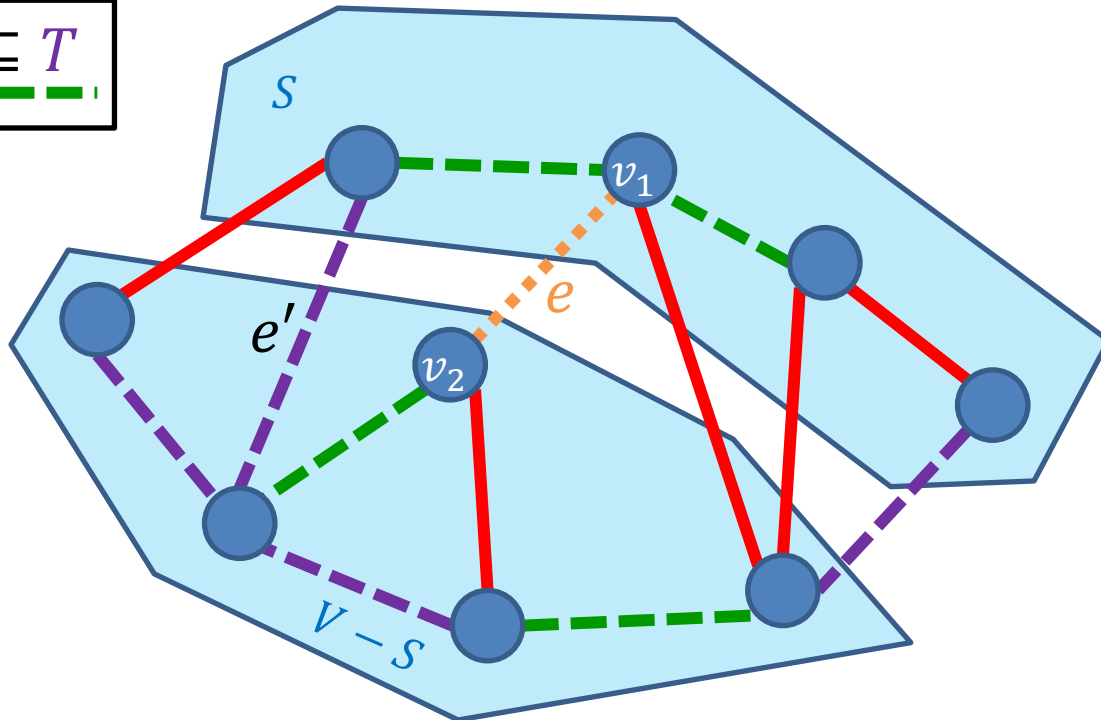
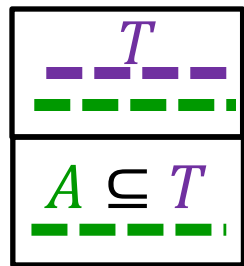
Since  $T$  is a MST, there is some path from  $v_1$  to  $v_2$ .

Let  $e'$  be the first edge on this path which crosses the cut

Build tree  $T'$  by exchanging  $e'$  for  $e$

# Proof of Cut Theorem

Claim: If  $A$  is a subset of a MST  $T$ , and  $e$  is the least-weight edge which crosses cut  $(S, V - S)$  (which  $A$  respects) then  $A \cup \{e\}$  is also a subset of a MST.



Consider some MST  $T$ ,  
Case 2:

Consider if  $e = (v_1, v_2) \notin T$

$T' = T$  with edge  $e$  instead of  $e'$

We assumed  $w(e) \leq w(e')$

$$w(T') = w(T) - w(e') + w(e)$$

$$w(T') \leq w(T)$$

So  $T'$  is also a MST!

Thus the claim holds

# Kruskal's Algorithm

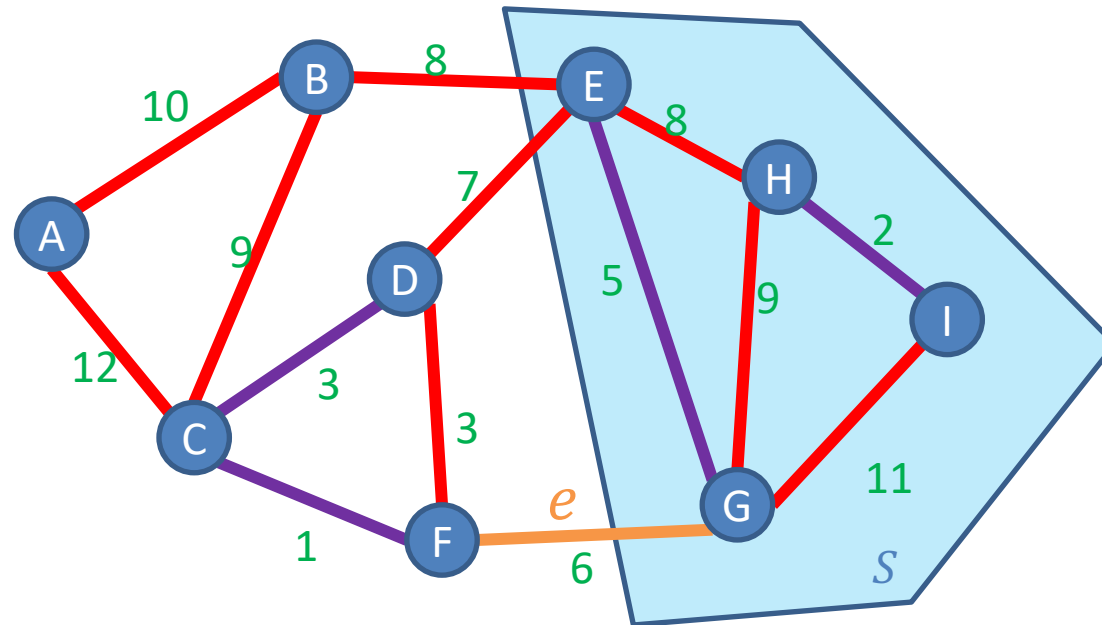
Start with an empty tree  $A$

Repeat  $V - 1$  times:

Add the min-weight edge that doesn't cause a cycle

Keep edges in a Disjoint-set data structure (very fancy)

$$O(E \log V)$$



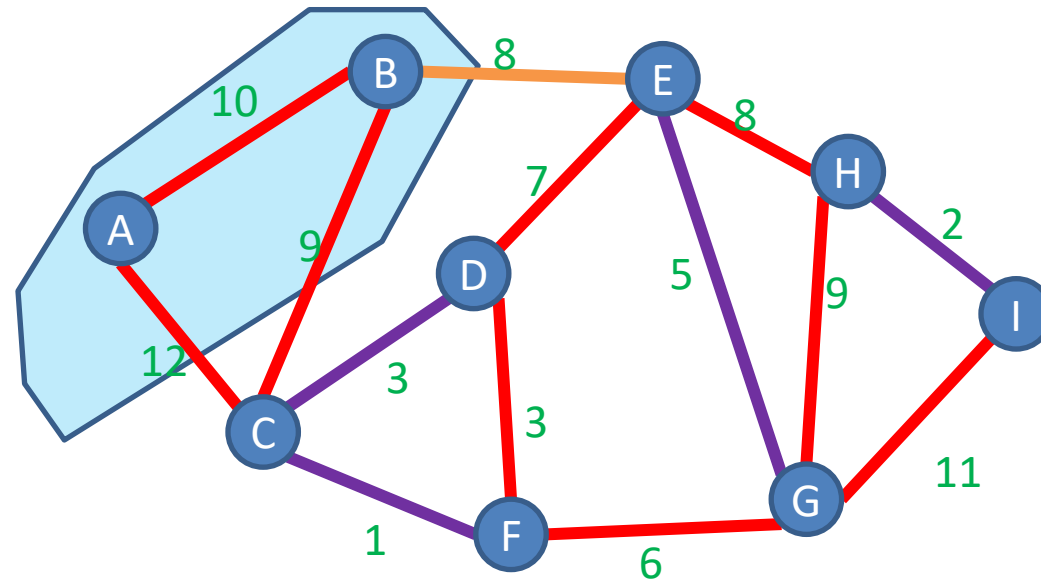
# General MST Algorithm

Start with an empty tree  $A$

Repeat  $V - 1$  times:

Pick a cut  $(S, V - S)$  which  $A$  respects

Add the **min-weight edge which crosses  $(S, V - S)$**



# Prim's Algorithm

Start with an empty tree  $A$

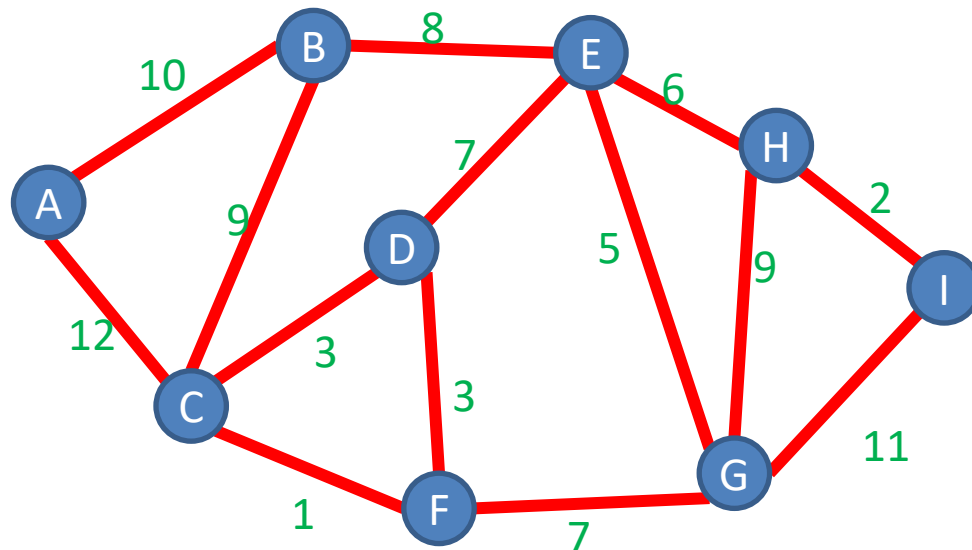
Repeat  $V - 1$  times:

Pick a cut  $(S, V - S)$  which  $A$  respects

Add the min-weight edge which crosses  $(S, V - S)$

$S$  is all endpoint of edges in  $A$

$e$  is the min-weight edge that grows the tree





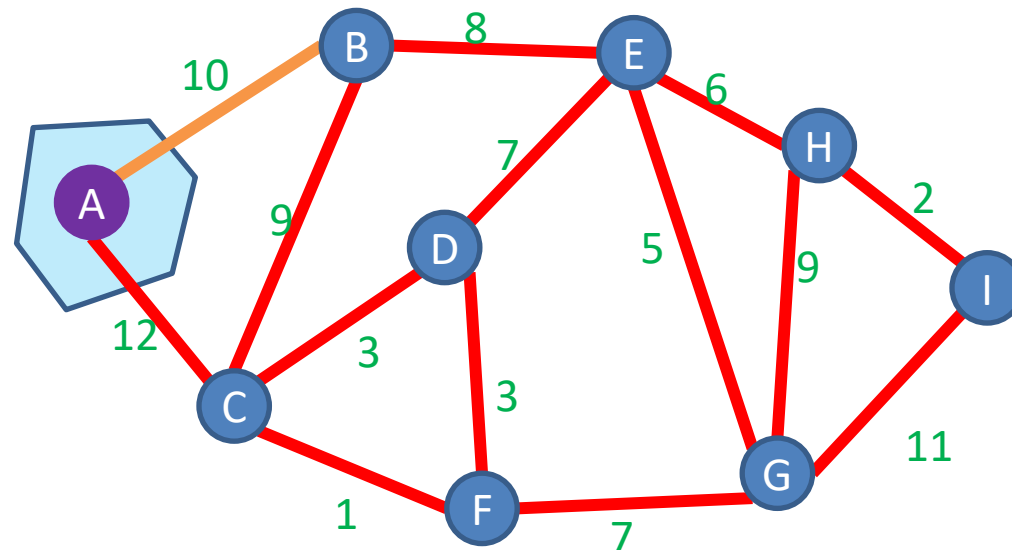
# Prim's Algorithm

Start with an empty tree  $A$

Pick a **start node**

Repeat  $V - 1$  times:

    Add the min-weight edge which connects to node  
    in  $A$  with a node not in  $A$



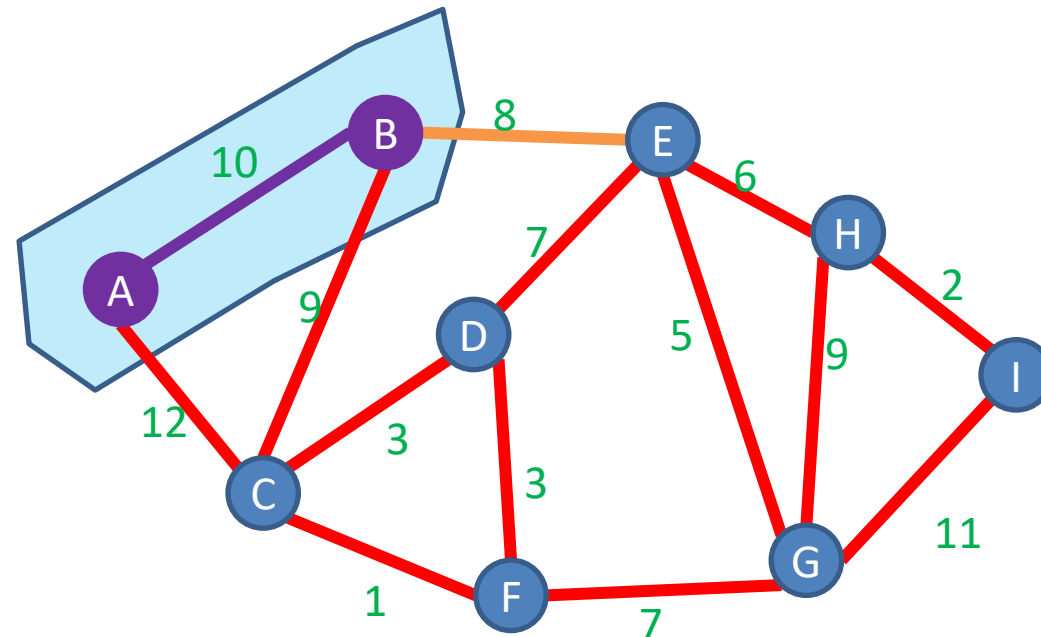
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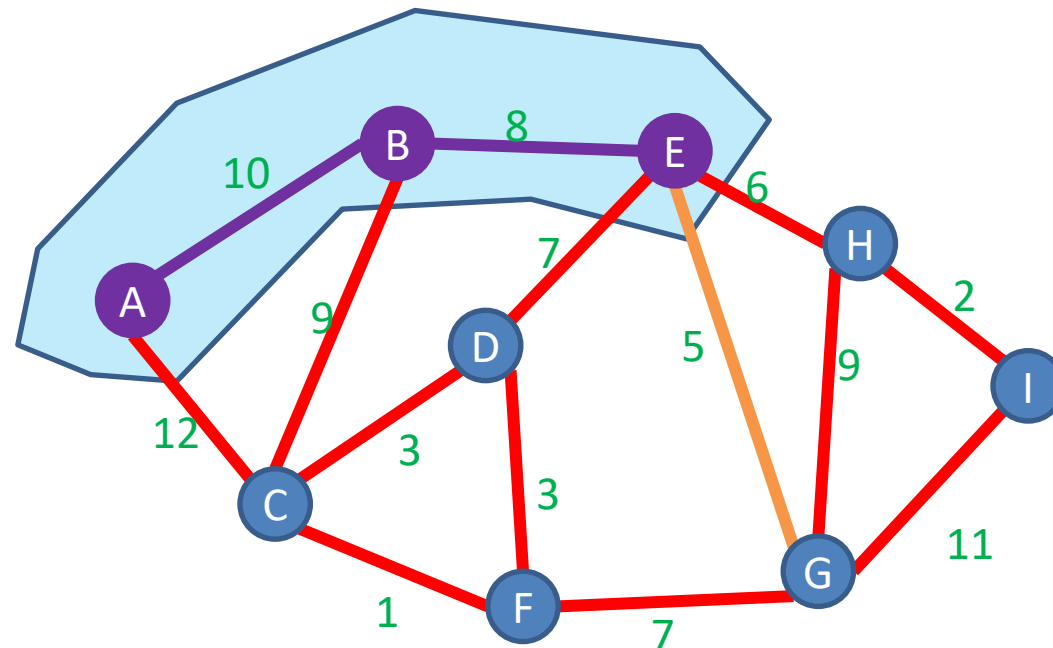
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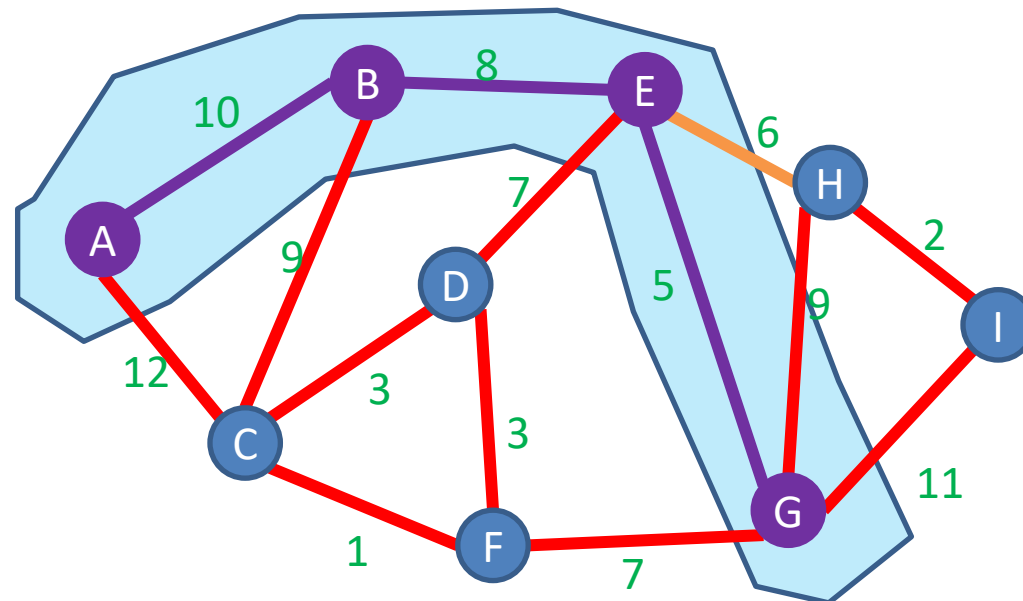
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# Prim's Algorithm

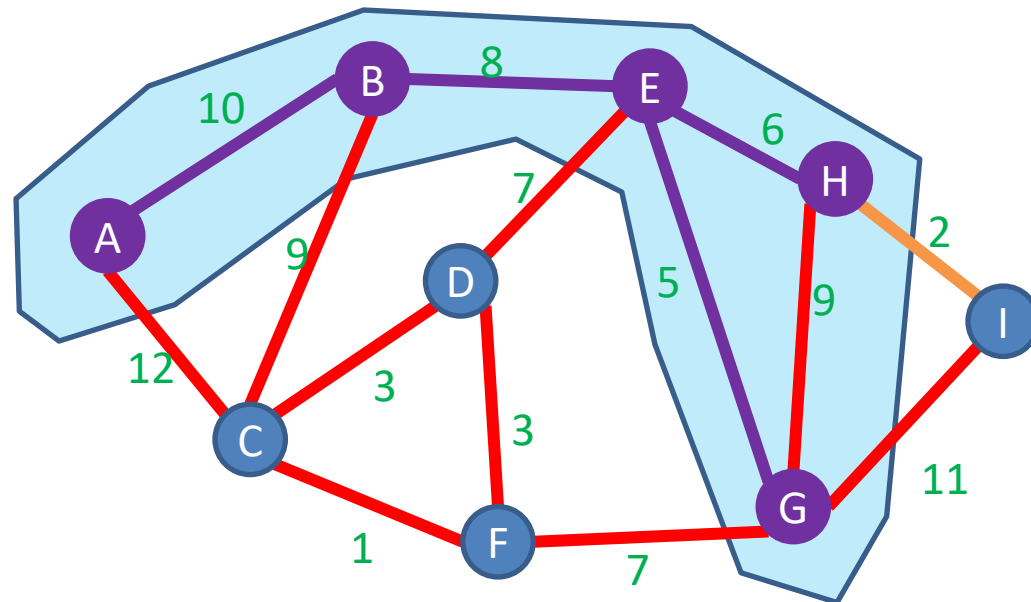
Start with an empty tree  $A$

Pick a **start node**

Repeat  $V - 1$  times:

Add the min-weight edge which connects to node  
in  $A$  with a node not in  $A$

Keep edges in a Heap  
 $O(E \log V)$



# Summary of MST results

- Fredman-Tarjan '84:  $\Theta(E + V \log V)$
- Gabow et al '86:  $\Theta(E \log \log^* V)$
- Chazelle '00:  $\Theta(E \alpha(V))$
- Pettie-Ramachandran '02:  $\Theta(?)$  (optimal)
- Karger-Klein-Tarjan '95:  $\Theta(E)$  (randomized)
- [read and summarize any/all for EC]