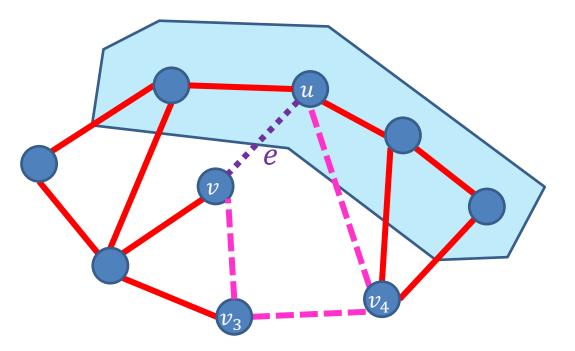
#### CS4102 Algorithms Fall 2019

# Warm up:

# Show that no cycle crosses a cut exactly once

#### no cycle crosses a cut exactly once

- Assume the cycle crosses the cut once
- Consider some edge (u, v) in the cycle which crosses the cut
- If we remove (u, v) then there is still a path from u to v which must somewhere cross the cut



# Today's Keywords

- Graphs
- Minimum Spanning Tree
- Prim's Algorithm
- Shortest path
- Dijkstra's Algorithm
- Breadth-first search

# CLRS Readings

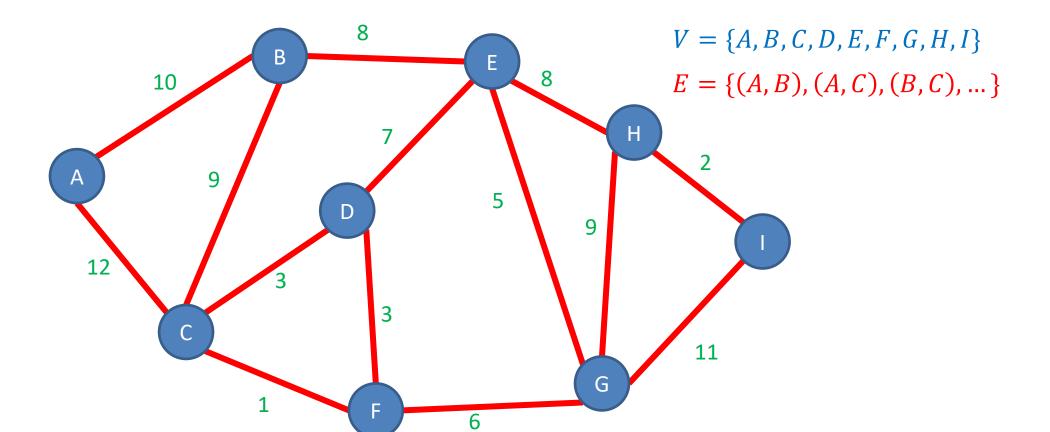
- Chapter 22
- Chapter 23

#### Homeworks

- HW7 due Thursday, November 14 @ 11pm
  - Written (use latex)
  - Graphs!
- HW10B also due Thursday, November 14 @ 11pm
  - No late submissions allowed
- Reminder: I will not have office hours Monday
  - Tuesday 11-1 instead

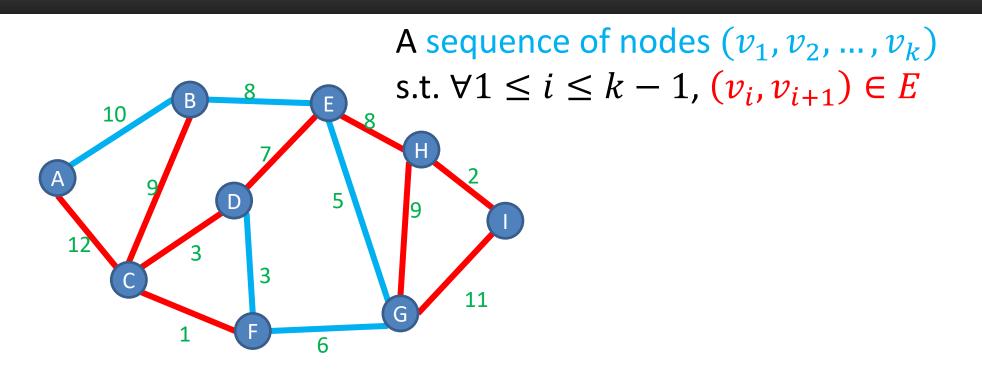
#### Graphs

Vertices/Nodes  
Definition: 
$$G = (V, E)$$
  
 $w(e) = weight of edge e$ 



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#### Definition: Path



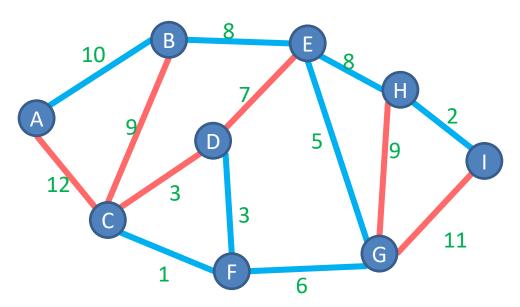
#### Simple Path:

A path in which each node appears at most once

<u>Cycle:</u> A path of > 2 nodes in which  $v_1 = v_k$ 

#### Definition: Minimum Spanning Tree

A Tree  $T = (V_T, E_T)$  which connects ("spans") all the nodes in a graph G = (V, E), that has minimal cost

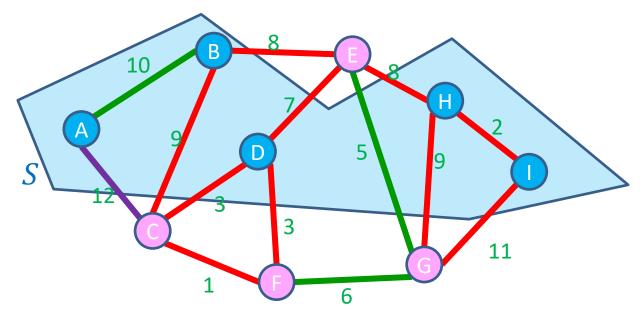


$$Cost(T) = \sum_{e \in E_T} w(e)$$

How many edges does T have? V-1

#### Definition: Cut

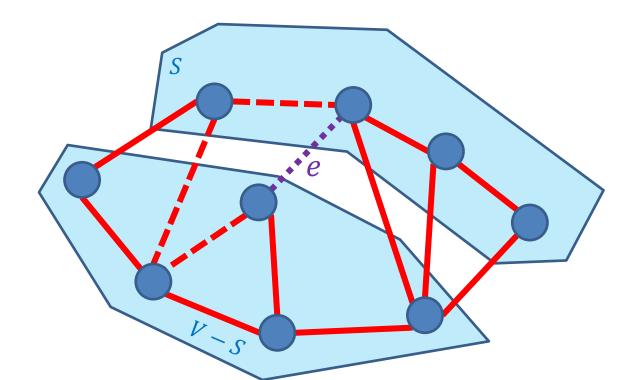
A Cut of graph G = (V, E) is a partition of the nodes into two sets, *S* and V - S



Edge  $(v_1, v_2) \in E$  crosses a cut if  $v_1 \in S$  and  $v_2 \in V - S$ (or opposite), e.g. (A, C) A set of edges R Respects a cut if no edges cross the cut e.g.  $R = \{(A, B), (E, G), (F, G)\}$ 

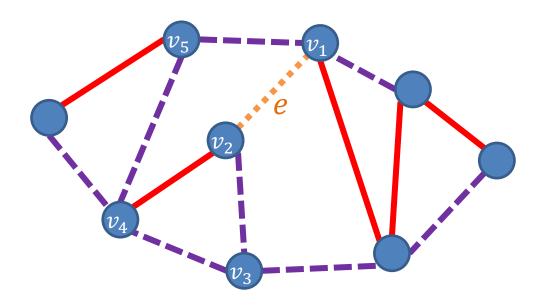
# Cut Property

Consider any cut (S, V - S) in a graph G = (V, E), the minimum weight edge crossing that cut is in *some* MST of G



# Warm up 2gether: Cycle Theorem

Consider any cycle in a graph G = (V, E), the maximum weight edge on that cycle is *not* in *some* MST of G



#### What is our strategy?

Assume we have a MST Already:

2 cases:

- 1. Tree **does not** have max weight edge
- 2. Tree **has** max weight edge

#### Cycle Theorem: Case 1

Consider any cycle  $c = (v_1, v_2, ..., v_k, v_1)$  in a graph G = (V, E), the maximum weight edge e on that cycle is *not* in *some* MST of G

Consider some MST T, Case 1: (the easy case) If  $e \notin T$  Then claim holds

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#### Cycle Theorem: Case 2

Consider any cycle  $c = (v_1, v_2, ..., v_k, v_1)$  in a graph G = (V, E), the maximum weight edge e on that cycle is *not* in *some* MST of G

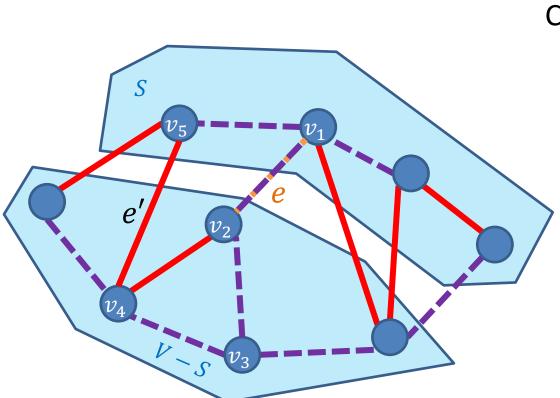
Consider some MST *T*,

Case 2:

Consider if  $e = (v_1, v_2) \in T$ Let (S, V - S) be a cut which e crosses

> There is some other edge e' not in T which crosses (S, V - S)

> Build tree T' by exchanging e' for e



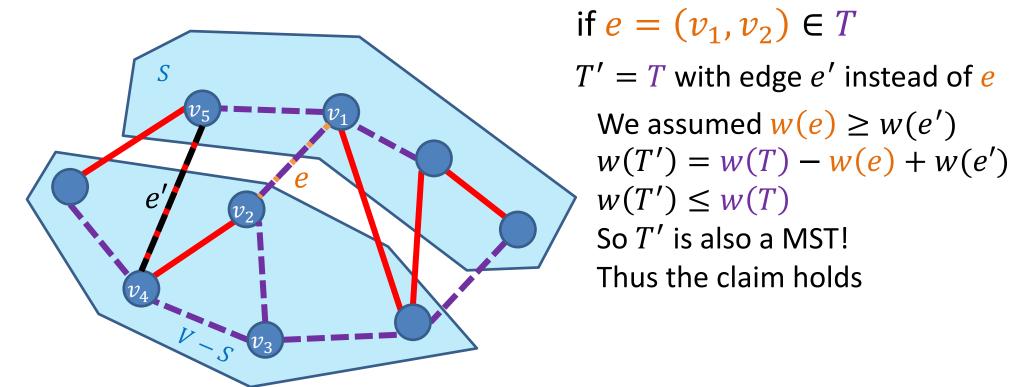
#### Cycle Theorem: Case 2

Consider any cycle  $c = (v_1, v_2, ..., v_k, v_1)$  in a graph G = (V, E), the maximum weight edge e on that cycle is *not* in *some* MST of G

Consider some MST T,

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Case 2:

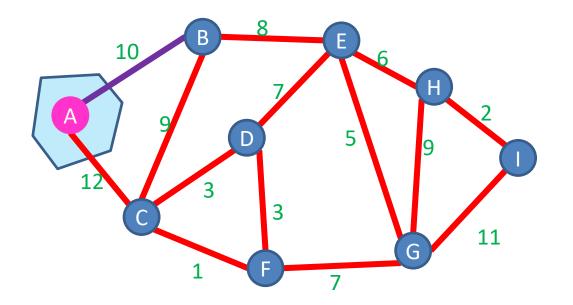


Start with an empty tree *A* 

Pick a start node

Repeat V - 1 times:

Add the min-weight edge which connects to node in *A* with a node not in *A* 



Start with an empty tree A Pick a start node Repeat V - 1 times: Add the min-weight edge which connects to node in A with a node not in A Ε 10 5 g 3 11

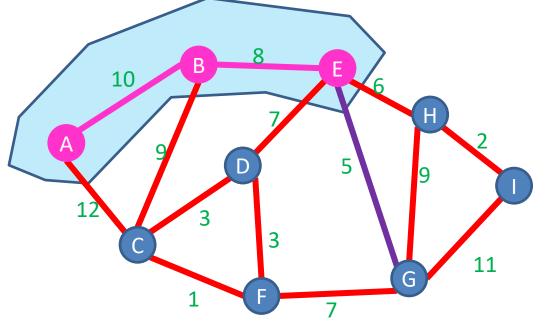
Start with an empty tree *A* 

Pick a start node

Repeat V - 1 times:

Add the min-weight edge which connects to node

in *A* with a node not in *A* 



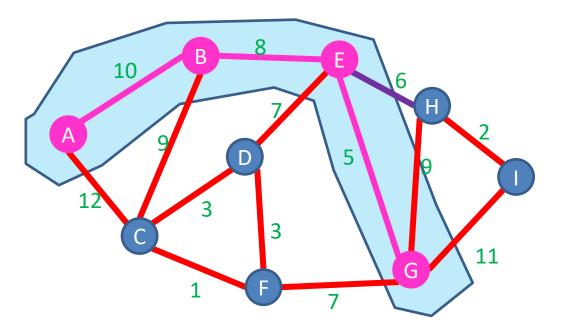
Start with an empty tree *A* 

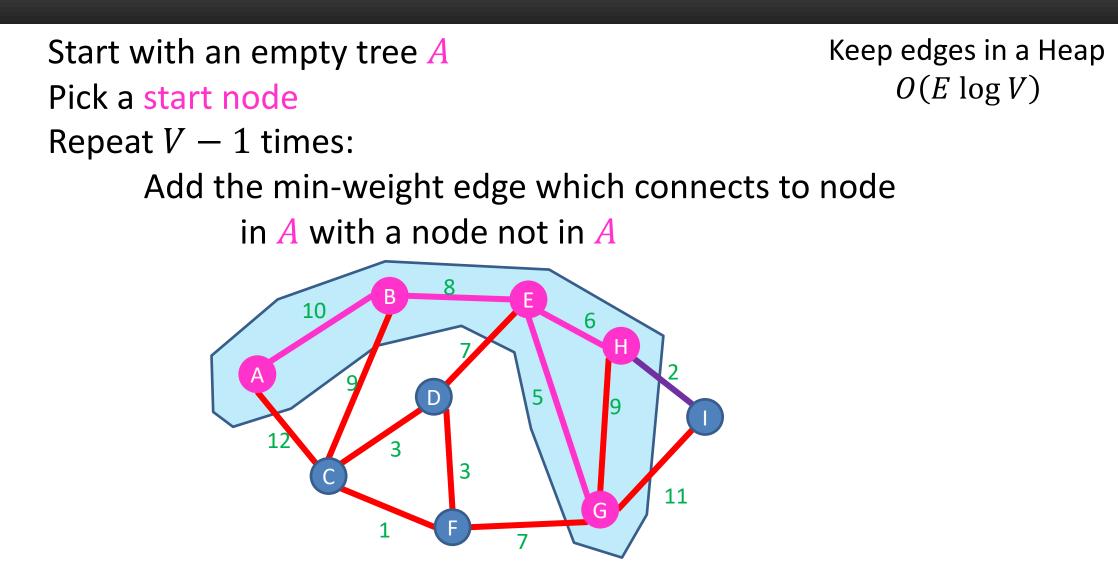
Pick a start node

Repeat V - 1 times:

Add the min-weight edge which connects to node

in *A* with a node not in *A* 





Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_v$  as key Pick a start node s, set  $d_s = 0$ While *PQ* is not empty: v = PQ.extractmin()for each  $u \in V$  s.t.  $(v, u) \in E$ : PQ. decreaseKey( $u, \min(d_u, w(v, u))$ ) 10 0  $\mathbf{O}$ 5 9 11  $\infty$ 7

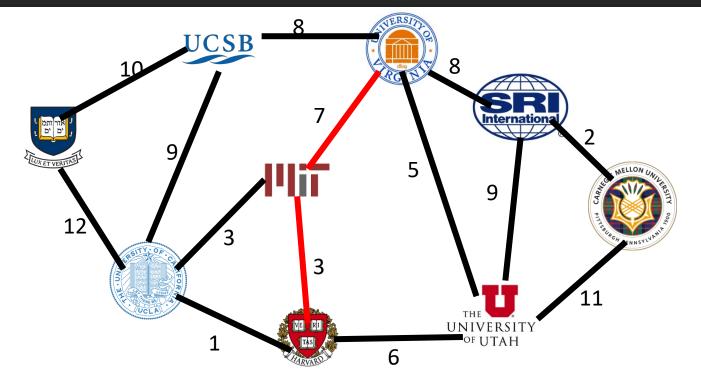
 $d_u$  is the cost to add node u to the tree with only one edge

Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_v$  as key Pick a start node s, set  $d_s = 0$ While *PQ* is not empty: v = PQ.extractmin()for each  $u \in V$  s.t.  $(v, u) \in E$ :  $PQ.decreaseKey(u, \min(d_u, w(v, u)))$ Ε 10  $\infty$ 5 9 11  $\infty$ 7

Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_{\nu}$  as key Pick a start node s, set  $d_s = 0$ While *PQ* is not empty: v = PQ.extractmin()for each  $u \in V$  s.t.  $(v, u) \in E$ : PQ. decreaseKey( $u, \min(d_u, w(v, u))$ ) Ε 10  $\infty$ 5 9 11  $\infty$ 7

Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_{\nu}$  as key Pick a start node s, set  $d_s = 0$ While *PQ* is not empty: V loops v = PQ.extractmin() $O(\log V)$ for each  $u \in V$  s.t.  $(v, u) \in E$ : *E* times total  $PQ.decreaseKey(u, \min(d_u, w(v, u))) O(\log V)$  $O(E \log V + V \log V)$ 10 9 11  $\infty$ 7

#### Single-Source Shortest Path

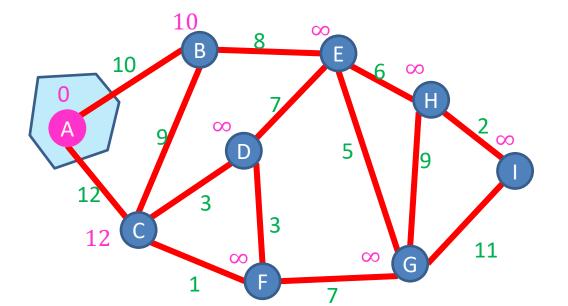


Find the quickest way to get from UVA to each of these other places

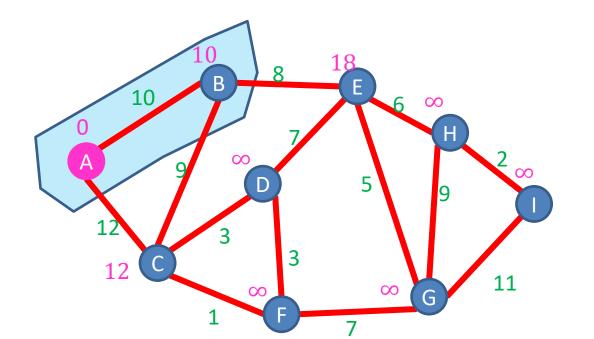
Given a graph G = (V, E) and a start node  $s \in V$ , for each  $v \in V$  find the least-weight path from  $s \rightarrow v$  (call this weight  $\delta(s, v)$ )

(assumption: all edge weights are positive)

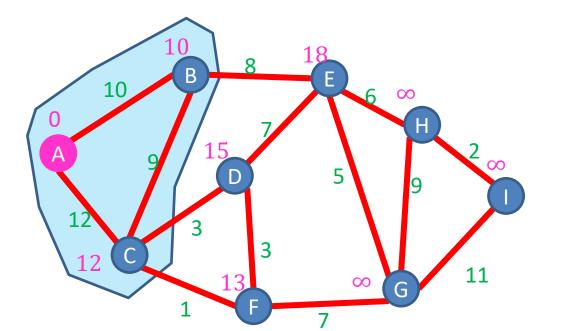
Given some start node sStart with an empty tree ARepeat V - 1 times: Add the "nearest" node not yet in A



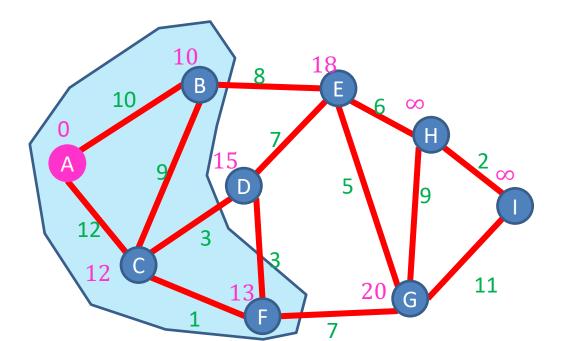
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Given some start node sStart with an empty tree ARepeat V - 1 times: Add the "nearest" node not yet in A



Given some start node s
Start with an empty tree A
VERY similar to Prim's!
Repeat V - 1 times:
Add the "nearest" node not yet in A



Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_v$  as key Pick a start node s, set  $d_s = 0$ While *PQ* is not empty: v = PQ.extractmin()for each  $u \in V$  s.t.  $(v, u) \in E$ : PQ. decreaseKey( $u, \min(d_u, w(v, u))$ ) E 10 0  $\infty$ 5 9 11  $\infty$ 7

Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_{\nu}$  as key Pick a start node s, set  $d_s = 0$ V loops While *PQ* is not empty:  $O(\log V)$ v = PQ.extractmin()for each  $u \in V$  s.t.  $(v, u) \in E$ : <sup>*E* times total</sup>  $O(\log V)$ PQ. decreaseKey(u, min $(d_u, d_v + w(v, u))$ ) E 6 00  $O(E \log V + V \log V)$ 10 0  $\infty$ 5 9 11  $\infty$ 7

# Dijkstra's Algorithm Proof Strategy

- Proof by induction
- Idea: show that when node u is removed from the priority queue,  $d_u = \delta(s, u)$ 
  - Claim 1: when u is removed from the queue,  $d_u \ge \delta(s, u)$ 
    - i.e.  $d_u$  is at least the length of the shortest path
  - Claim 2: if we consider any path  $(s, ..., u), w(s, ..., u) \ge d_u$ 
    - i.e.  $d_u$  is no longer than any other path from s to u, including the shortest one

# Proof of Dijkstra's

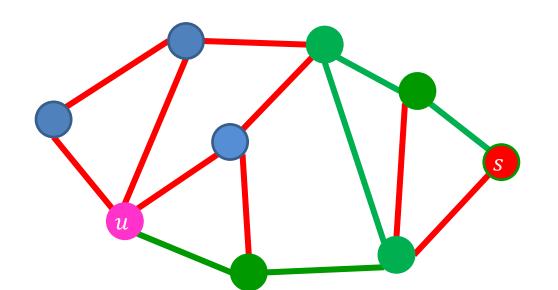
• Base case:

$$-i = 0, u = v_1 = s, \delta(s, v_1) = 0$$

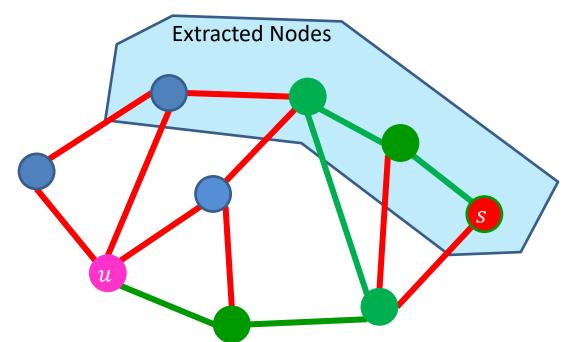
- Assume that nodes  $v_1 = s, ..., v_i$  have been removed from PQ already, and for each of them  $d_{v_i} = \delta(s, v_i)$
- Let node u be the  $(i + 1)^{th}$  node extracted

- Let node u be the  $(i + 1)^{th}$  node extracted
- Claim 1:  $d_u \ge \delta(s, u)$ 
  - Proof: node u has a path of weight  $d_u$  from s
    - Discovering a path was how we updated the key!
  - Since  $d_u$  is the weight of SOME path, its weight is at least that of the SHORTEST path

- Let node u be the  $(i + 1)^{th}$  node extracted
- for any path (s, ..., u),  $w(s, ..., u) \ge d_u$
- Extracted nodes define a cut of the graph
- Let edge (x, y) be the last edge in this path which crosses the cut

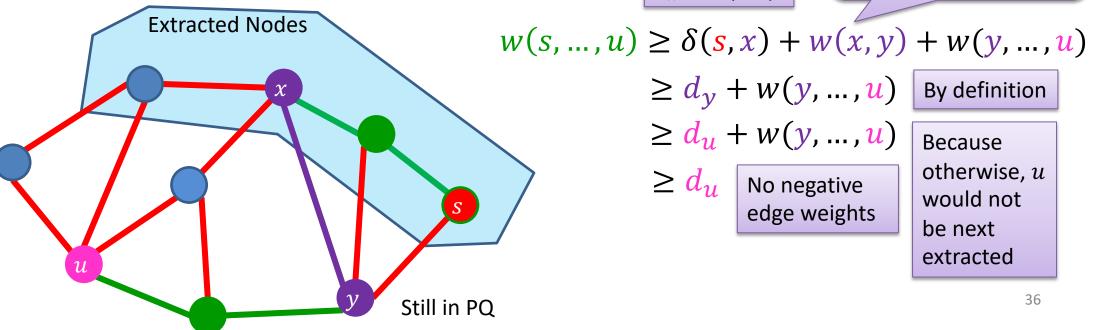


- Let node u be the  $(i + 1)^{th}$  node extracted
- for any path (s, ..., u),  $w(s, ..., u) \ge d_u$
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- Let node u be the  $(i + 1)^{th}$  node extracted
- for any path (s, ..., u),  $w(s, ..., u) \ge d_u$
- Extracted nodes define a cut of the graph
- Let edge (x, y) be the last edge in this path which crosses the cut  $d_x = \delta(s, x)$

We updated y's key  $d_y$ when we extracted x if  $d_x + w(x, y) < d_y$ 



# Proof of Dijkstra's: Finale

- Claim 1:  $d_u \ge \delta(s, u)$
- Claim 2: d<sub>u</sub> ≤ w(s, ..., u) for any path from s to u (including the shortest one)
- 1&2 Together:  $w(s, ..., u) \ge d_u \ge \delta(s, u)$ 
  - therefore  $\delta(s, u) \ge d_u \ge \delta(s, u)$
  - $-d_u = \delta(s, u)$

# Breadth-First Search

- Input: a node *s*
- Behavior: Start with node s, visit all neighbors of s, then all neighbors of neighbors of s, ...
- Output: lots of choices!
  - Is the graph connected?
  - Is there a path from s to u?

– Shortest number of "hops" from s to u

Initialize  $d_v = \infty$  for each node v Keep a priority queue PQ of nodes, using  $d_{\nu}$  as key Pick a start node s, set  $d_s = 0$ While PQ is not empty: Replace with a (plain-old) Queue v = PQ.extractmin()for each  $u \in V$  s.t.  $(v, u) \in E$ :  $PQ.decreaseKey(u,\min(d_u,d_v+w(v,u)))$ Ε 10 0  $\infty$ 5 9 11  $\infty$ 

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#### BFS

```
Keep a queue Q of nodes
Pick a start node s
Q.enqueue(s)
While Q is not empty:
      v = Q.dequeue()
      for each "unvisited" u \in V s.t. (v, u) \in E:
            Q.enqueue(u)
                 10
                                5
                                     9
                                         11
                               7
```

#### BFS: Shortest "Hops" Path

```
Keep a queue Q of nodes
Pick a start node s
Q.enqueue(s)
hops = 0
While Q is not empty:
     v = Q.dequeue()
     hops += 1
     for each "unvisited" u \in V s.t. (v, u) \in E:
           u.hops = hops
           Q.enqueue(u)
```