#### CS4102 Algorithms Spring 2019

#### Warm up

Can you cover an 8×8 grid with 1 square missing using "trominoes?"

#### Can you cover this?



#### With these?



# **Office Hours**

- Wednesdays, 10:30am-12pm (primarily 4102)
- Thursdays, 10-11am (regrades)
- Thursdays, 2:00-3:30pm (primarily 2110)

# Today's Keywords

- Recursion
- Recurrences
- Asymptotic notation
- Divide and Conquer
- Trominoes
- Merge Sort

## **CLRS** Readings

• Chapters 3 & 4

# Homeworks

- Hw0 due 11pm Monday, Jan 21
   Submit 2 attachments (zip and pdf)
- Hw1 released Monday, Jan 21
  - Due 11pm Wednesday, Jan 30
  - Written (use Latex!)
  - Asymptotic notation
  - Recurrences
  - Divide and conquer

# Attendance

- How many people are here today?
- Naïve algorithm
  - 1. Everyone stand
  - 2. Professor walks around counting people
  - 3. When counted, sit down
- Run time?
  - Class of n students
  - O(n)
- Other suggestions?



n)

## Better Attendance

- 1. Everyone Stand
- 2. Initialize your "count" to 1

What was the run time of this algorithm?

What are we going to count?

- 3. Greet a neighbor who is standing: share your name, full date of birth(pause if odd one out)
- 4. If you are older: give "count" to younger and sit. Else if you are younger: add your "count" with older's
- If you are standing and have a standing neighbor, go to 3

### **Attendance Algorithm Analysis**



$$T(n) = 1 + 1 + T(\frac{n}{2})$$
 How can we "solve" this?  
$$T(1) = 3$$
 Base case?

Do not need to be exact, asymptotic bound is fine. Why?



# What if $n \neq 2^k$ ?

• More people in the room  $\rightarrow$  more time

$$- \forall 0 < n < m, T(n) < T(m)$$

$$-T(n) \le T(m) = T(2^{\lceil \log_2 n \rceil}) = 2 \lceil \log_2 n \rceil + 3 = O(\log n)$$

These are unimportant. Why?

# Asymptotic Notation\*

- *O*(*g*(*n*))
  - At most within constant of g for large n
  - {functions  $f \mid \exists$  constants  $c, n_0 > 0$  s.t.  $\forall n > n_0, f(n) \le c \cdot g(n)$ }
- Ω(g(n))
  - At least within constant of g for large n
  - {functions  $f \mid \exists$  constants  $c, n_0 > 0$ s.t.  $\forall n > n_0, f(n) \ge c \cdot g(n)$ }
- $\Theta(g(n))$ 
  - "Tightly" within constant of g for large n
  - $\ \Omega\bigl(g(n)\bigr) \cap O(g(n))$



#### Asymptotic Notation Example



## Asymptotic Notation Example

- To Show:  $n \log n \in O(n^2)$ 
  - Find  $c, n_0 > 0$  s.t.  $\forall n > n_0, n \log n \le c \cdot n^2$
  - $\text{Let } c = 1, n_0 = 1$

$$-(1)\log(1) = 0, 1 \cdot 1^2 = 1$$

 $- \forall n \geq 1, \log(n) < n \Rightarrow n \log n \leq n^2$ 

# Asymptotic Notation

• *o*(*g*(*n*))

– Below any constant of g for large n

- {functions  $f | \forall$  constants  $c, \exists n_0$  s.t.  $\forall n > n_0, f(n) < c \cdot g(n)$ }
- ω(g(n))
  - Above any constant of g for large n
  - {functions  $f | \forall$  constants  $c, \exists n_0$  s.t.  $\forall n > n_0, f(n) > c \cdot g(n)$ }
- $\theta(g(n))$ ? -  $o(g(n)) \cap \omega(g(n)) = \emptyset$

## Asymptotic Notation Example

- $o(g(n)) = \{ \text{functions } f | \forall \text{ constants } c, \exists n_0 \text{ s.t. } \forall n > n_0, f(n) < c \cdot g(n) \}$
- Show:  $n \log n \in o(n^2)$   $\forall c \exists n_a : \forall n \ge n_a$   $\land \log n < c \cdot n^2$  $\frac{\log n}{\log n} < c$

## Asymptotic Notation Example

- $o(g(n)) = \{ \text{functions } f | \forall \text{ constants } c, \exists n_0 \text{ s.t. } \forall n > n_0, f(n) < c \cdot g(n) \}$
- To Show:  $n \log n \in o(n^2)$ 
  - given any c find a  $n_0 > 0$  s.t.  $\forall n > n_0, n \log n < c \cdot n^2$
  - Find a value of n in terms of  $c: n \log n < c$
  - $-n\log n < c \cdot n^2$
  - $-\log n < c \cdot n$
  - For a given c, select any value of n such that  $\frac{\log n}{n} < c$



What about larger boards?



Divide the board into quadrants



Place a tromino to occupy the three quadrants without the missing piece



Each quadrant is now a smaller subproblem



Solve **Recursively** 

## **Divide and Conquer**



Our first algorithmic technique!



# **Divide and Conquer\***

#### • Divide:

When is this a good strategy?

- Break the problem into multiple subproblems, each smaller instances of the original
- Conquer:
  - If the suproblems are "large":
    - Solve each subproblem recursively
  - If the subproblems are "small":
    - Solve them directly (base case)
- Combine:
  - Merge together solutions to subproblems







# Analyzing Divide and Conquer

- 1. Break into smaller subproblems
- 2. Use recurrence relation to express recursive running time
- 3. Use asymptotic notation to simplify
- **Divide:** D(n) time,
- Conquer: recurse on small problems, size s
- **Combine:** C(n) time
- Recurrence:

 $-T(n) = D(n) + \sum T(s) + C(n)$ 

## **Recurrence Solving Techniques**







"Cookbook"



Substitution

# Merge Sort

• Divide:

– Break *n*-element list into two lists of n/2 elements

- Conquer:
  - If n > 1:
    - Sort each sublist recursively
  - If n = 1:
    - List is already sorted (base case)
- Combine:
  - Merge together sorted sublists into one sorted list

# Merge

- **Combine:** Merge sorted sublists into one sorted list
- We have:
  - 2 sorted lists ( $L_1$ ,  $L_2$ )
  - -1 output list ( $L_{out}$ )

```
While (L_1 \text{ and } L_2 \text{ not empty}):

If L_1[0] \leq L_2[0]:

L_{out}.append(L_1.pop())

Else:

L_{out}.append(L_2.pop())

L_{out}.append(L_1)

L_{out}.append(L_2)
```

# Analyzing Merge Sort

- 1. Break into smaller subproblems
- 2. Use recurrence relation to express recursive running time
- 3. Use asymptotic notation to simplify
- **Divide:** 0 comparisons
- **Conquer:** recurse on 2 small problems, size  $\frac{n}{2}$
- **Combine:** *n* comparisons
- Recurrence:

$$-T(n) = 2T(\frac{n}{2}) + n$$