

## CS4102 Algorithms

Spring 2019

### Warm up:

Show that no cycle crosses a cut exactly once

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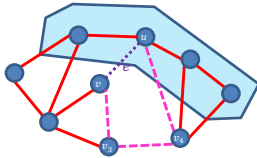
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no cycle crosses a cut exactly once

- Consider some edge  $(u, v)$  in the cycle which crosses the cut
- If we remove  $(u, v)$  then there is still a path from  $u$  to  $v$  which must somewhere cross the cut



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### Today's Keywords

- Graphs
- Minimum Spanning Tree
- Prim's Algorithm
- Shortest path
- Dijkstra's Algorithm
- Breadth-first search

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### CLRS Readings

- Chapter 22
- Chapter 23

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### Homeworks

- HW7 Due **Tuesday April 16 @11pm**
  - Written (use latex)
  - Graphs

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### Graphs

Definition:  $G = (V, E)$

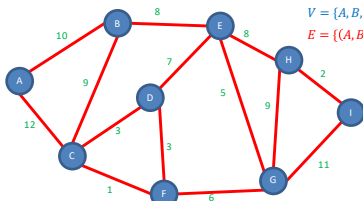
$w(e)$  = weight of edge  $e$

Vertices/Nodes

Edges

$V = \{A, B, C, D, E, F, G, H, I\}$

$E = \{(A, B), (A, C), (B, C), \dots\}$



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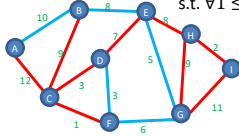
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**Definition: Path**

A sequence of nodes  $(v_1, v_2, \dots, v_k)$   
 s.t.  $\forall 1 \leq i \leq k-1, (v_i, v_{i+1}) \in E$

**Simple Path:**

A path in which each node appears at most once

**Cycle:**

A path of  $> 2$  nodes in which  $v_1 = v_k$

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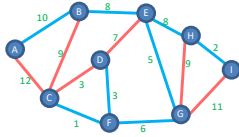
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**Definition: Minimum Spanning Tree**

A Tree  $T = (V_T, E_T)$  which connects ("spans") all the nodes in a graph  $G = (V, E)$ , that has minimal cost



$$\text{Cost}(T) = \sum_{e \in E_T} w(e)$$

How many edges does  $T$  have?  
 $V - 1$

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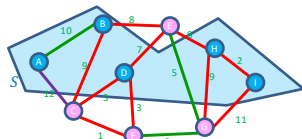
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**Definition: Cut**

A Cut of graph  $G = (V, E)$  is a partition of the nodes into two sets,  $S$  and  $V - S$



Edge  $(v_1, v_2) \in E$  crosses a cut if  $v_1 \in S$  and  $v_2 \in V - S$  (or opposite), e.g.  $(A, C)$

A set of edges  $R$  Respects a cut if no edges cross the cut  
 e.g.  $R = \{(A, B), (E, G), (F, G)\}$

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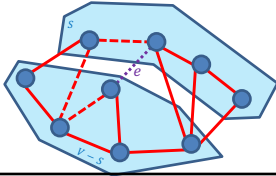
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### Cut Property

Consider any cut  $(S, V - S)$  in a graph  $G = (V, E)$ , the **minimum weight edge** crossing that cut is in *some* MST of  $G$



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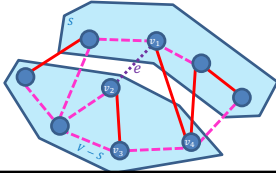
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### Warm up 2gether: Cycle Theorem

Consider any cycle in a graph  $G = (V, E)$ , the **maximum weight edge** on that cycle is *not* in *some* MST of  $G$



**What is our strategy?**

Assume we have a **MST** Already:

2 cases:

1. tree has max weight edge
2. does not have max weight edge

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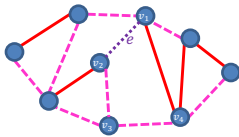
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### Cycle Theorem: Case 1

Consider any cycle  $v_1, v_2, \dots, v_k, v_1$  in a graph  $G = (V, E)$ , the maximum weight edge  $e$  on that cycle is *not* in *some* MST of  $G$



Consider some MST  $T$ ,

Case 1: (the easy case)

If  $e \notin T$  Then claim holds

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### Cycle Theorem: Case 2

Consider any cycle  $c = (v_1, v_2, \dots, v_k, v_1)$  in a graph  $G = (V, E)$ , the maximum weight edge  $e$  on that cycle is *not* in *some* MST of  $G$

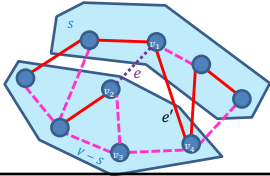
Consider some MST  $T$ ,

Case 2:

Consider if  $e = (v_1, v_2) \in T$   
Let  $(S, V-S)$  be a cut which  $e$  crosses

There is some other edge  $e'$   
not in  $T$  which crosses  
 $(S, V-S)$

Build tree  $T'$  by exchanging  
 $e'$  for  $e$



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### Cycle Theorem: Case 2

Consider any cycle  $c = (v_1, v_2, \dots, v_k, v_1)$  in a graph  $G = (V, E)$ , the maximum weight edge  $e$  on that cycle is *not* in *some* MST of  $G$

Consider some MST  $T$ ,

Case 2:

if  $e = (v_1, v_2) \in T$

$T' = T$  with edge  $e'$  instead of  $e$

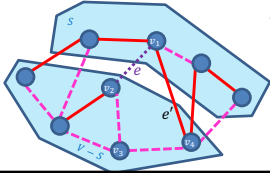
We assumed  $w(e) \geq w(e')$

$w(T') = w(T) - w(e) + w(e')$

$w(T') \leq w(T)$

So  $T'$  is also a MST!

Thus the claim holds



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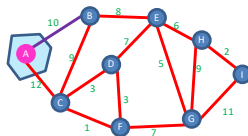
### Prim's Algorithm

Start with an empty tree  $A$

Pick a **start node**

Repeat  $V - 1$  times:

Add the min-weight edge which connects to node  
in  $A$  with a node not in  $A$



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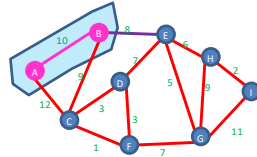
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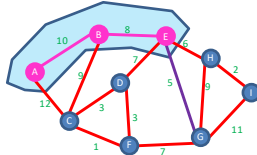
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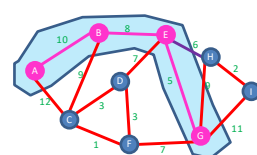
### Prim's Algorithm

Start with an empty tree  $A$

Pick a **start node**

Repeat  $V - 1$  times:

Add the min-weight edge which connects to node in  $A$  with a node not in  $A$



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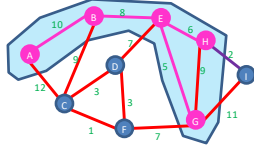
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### Prim's Algorithm

Start with an empty tree  $A$  Keep edges in a Heap  $O(E \log V)$   
 Pick a **start node**  
 Repeat  $V - 1$  times:  
 Add the min-weight edge which connects to node  
 in  $A$  with a node not in  $A$



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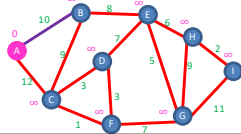
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### Prim's Algorithm

Initialize  $d_v = \infty$  for each node  $v$   
 Keep a priority queue  $PQ$  of nodes, using  $d_v$  as key  
 Pick a start node  $s$ , set  $d_s = 0$   
 While  $PQ$  is not empty:  
    $v = PQ.extractmin()$   
   for each  $u \in V$  s.t.  $(v, u) \in E$ :  
      $PQ.decreaseKey(u, \min(d_u, w(v, u)))$



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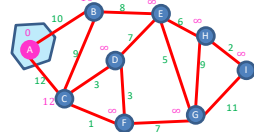
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### Prim's Algorithm

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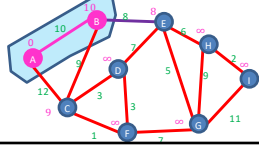
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### Prim's Algorithm

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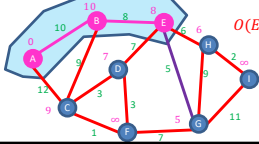
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### Prim's Algorithm

Initialize  $d_v = \infty$  for each node  $v$   
 Keep a priority queue  $PQ$  of nodes, using  $d_v$  as key  
 Pick a start node  $s$ , set  $d_s = 0$   
 While  $PQ$  is not empty:  $V$  loops  
    $v = PQ.extractmin()$   $O(\log V)$   
   for each  $u \in V$  s.t.  $(v, u) \in E$ :  $E$  times total  
      $PQ.decreaseKey(u, \min(d_u, w(v, u)))$   $O(\log V)$



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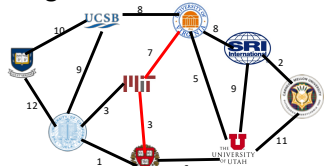
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### Single-Source Shortest Path



Find the quickest way to get from UVA to each of these other places

Given a graph  $G = (V, E)$  and a start node  $s \in V$ , for each  $v \in V$  find the least-weight path from  $s \rightarrow v$  (call this weight  $\delta(s, v)$ )

(assumption: all edge weights are positive)

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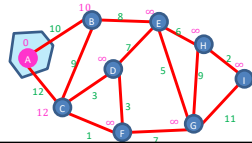
### Dijkstra's Algorithm

Given some start node  $s$

Start with an empty tree  $A$

Repeat  $V - 1$  times:

Add the "nearest" node not yet in  $A$



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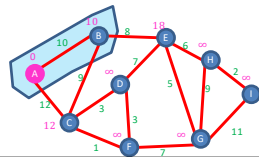
### Dijkstra's Algorithm

Given some start node  $s$

Start with an empty tree  $A$

Repeat  $V - 1$  times:

Add the "nearest" node not yet in  $A$



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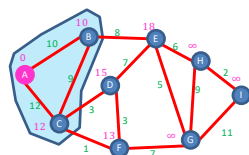
### Dijkstra's Algorithm

Given some start node  $s$

Start with an empty tree  $A$

Repeat  $V - 1$  times:

Add the "nearest" node not yet in  $A$



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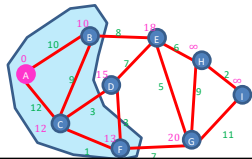
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### Dijkstra's Algorithm

Given some start node  $s$   
 Start with an empty tree  $A$  VERY similar to Prim's!  
 Repeat  $V - 1$  times:  
   Add the "nearest" node not yet in  $A$



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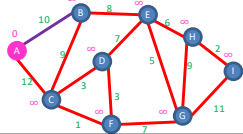
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### Prim's Algorithm

Initialize  $d_v = \infty$  for each node  $v$   
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 Pick a start node  $s$ , set  $d_s = 0$   
 While  $PQ$  is not empty:  
    $v = PQ.extractmin()$   
   for each  $u \in V$  s.t.  $(v, u) \in E$ :  
      $PQ.decreaseKey(u, \min(d_u, w(v, u)))$



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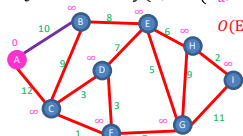
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### Dijkstra's Algorithm

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 Keep a priority queue  $PQ$  of nodes, using  $d_v$  as key  
 Pick a start node  $s$ , set  $d_s = 0$   
 While  $PQ$  is not empty:  
    $v = PQ.extractmin()$   $V$  loops  $O(\log V)$   
   for each  $u \in V$  s.t.  $(v, u) \in E$ :  $E$  times total  $O(\log V)$   
      $PQ.decreaseKey(u, \min(d_u, d_v + w(v, u)))$   $O(E \log V + V \log V)$



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### Dijkstra's Algorithm Proof Strategy

- Proof by induction
- Idea: show that when node  $u$  is removed from the priority queue,  $d_u = \delta(s, u)$ 
  - Claim 1: when  $u$  is removed from the queue,  $d_u \geq \delta(s, u)$ 
    - i.e.  $d_u$  is at least the length of the shortest path
  - Claim 2: if we consider any path  $(s, \dots, w), w(s, \dots, u) \geq d_u$ 
    - i.e.  $d_u$  is no longer than any other path from  $s$  to  $u$ , including the shortest one

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### Proof of Dijkstra's

- Assume that nodes  $v_1 = s, \dots, v_i$  have been removed from  $PQ$  already, and for each of them  $d_{v_i} = \delta(s, v_i)$
- Let node  $u$  be the  $(i + 1)^{th}$  node extracted
- Base case:
  - $i = 0, u = v_1 = s$

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### Proof of Dijkstra's: Claim 1

- Let node  $u$  be the  $(i + 1)^{th}$  node extracted
- Claim 1:  $d_u \geq \delta(s, u)$ 
  - Proof: node  $u$  has a path of weight  $d_u$  from  $s$
  - Since  $d_u$  is the weight of SOME path, its weight is at least that of the SHORTEST path

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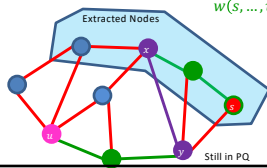
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### Proof of Dijkstra's: Claim 2

- Let node  $u$  be the  $(i + 1)^{th}$  node extracted
- for any path  $(s, \dots, u)$ ,  $w(s, \dots, u) \geq d_u$
- Extracted nodes define a cut of the graph
- Let edge  $(x, y)$  be the last edge in this path which crosses the cut



$$\begin{aligned} w(s, \dots, u) &\geq \delta(s, x) + w(x, y) + w(y, \dots, u) \\ &\geq d_y + w(y, \dots, u) && \text{By definition} \\ &\geq d_u + w(y, \dots, u) \\ &\geq d_u && \text{No negative edge weights} \end{aligned}$$

Because otherwise,  $u$  would not be next

By definition

Because otherwise,  $a$  would not be next extracted

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[illegible]

## Proof of Dijkstra's: Finale

- Claim 1:  $d_u \geq \delta(s, u)$
- Claim 2:  $d_u \leq w(s, \dots, u)$  for any path from  $s$  to  $u$  (including the shortest one)
- 1&2 Together:  $w(s, \dots, u) \geq d_u \geq \delta(s, u)$ 
  - therefore  $\delta(s, u) \geq d_u \geq \delta(s, u)$
  - $d_u = \delta(s, u)$

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[illegible]

## Breadth-First Search

- Input: a node  $s$
- Behavior: Start with node  $s$ , visit all neighbors of  $s$ , then all neighbors of neighbors of  $s$ , ...
- Output: lots of choices!
  - Is the graph connected?
  - Is there a path from  $s$  to  $u$ ?
  - Shortest number of “hops” from  $s$  to  $u$

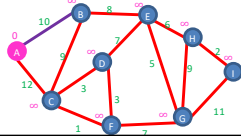
Sounds like Dijkstra's!

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[illegible]

### Dijkstra's Algorithm

Initialize  $d_v = \infty$  for each node  $v$   
 Keep a **priority queue**  $PQ$  of nodes, using  $d_v$  as key  
 Pick a start node  $s$ , set  $d_s = 0$   
 While  $PQ$  is not empty: Replace with a (plain-old) Queue  
    $v = PQ.extractmin()$   
   for each  $u \in V$  s.t.  $(v, u) \in E$ :  
      $PQ.decreaseKey(u, \min(d_u, d_v + w(v, u)))$



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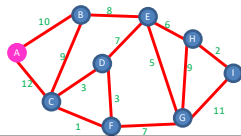
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### BFS

Keep a **queue**  $Q$  of nodes  
 Pick a start node  $s$   
 $Q.enqueue(s)$   
 While  $Q$  is not empty:  
    $v = Q.dequeue()$   
   for each "unvisited"  $u \in V$  s.t.  $(v, u) \in E$ :  
      $Q.enqueue(u)$



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### BFS: Shortest "Hops" Path

Keep a **queue**  $Q$  of nodes  
 Pick a start node  $s$   
 $Q.enqueue(s)$   
 $hops = 0$   
 While  $Q$  is not empty:  
    $v = Q.dequeue()$   
    $hops += 1$   
   for each "unvisited"  $u \in V$  s.t.  $(v, u) \in E$ :  
      $u.hops = hops$   
      $Q.enqueue(u)$

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