

CS4102 Algorithms  
Spring 2019

**Warm up**

Simplify:

$$(1 + a + a^2 + a^3 + a^4 + \dots + a^L)(a - 1) = ?$$

$$\begin{aligned} & (a + a^2 + a^3 + a^4 + a^5 + \dots + a^L + a^{L+1}) + \\ & (-a - a^2 - a^3 - a^4 - a^5 - \dots - a^L - 1) = \\ & \qquad \qquad \qquad a^{L+1} - 1 \end{aligned}$$

$$\sum_{i=0}^L a^i = \frac{a^{L+1} - 1}{a - 1}$$

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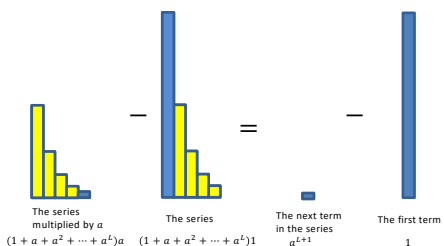
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Finite Geometric Series  $a < 1$



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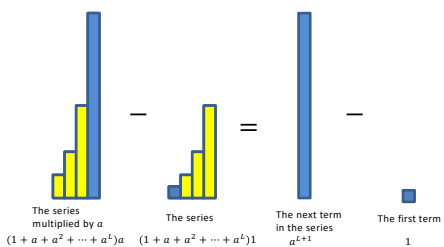
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Finite Geometric Series  $a > 1$



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### Today's Keywords

- Divide and Conquer
- Recurrences
- Merge Sort
- Karatsuba
- Tree Method

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### CLRS Readings

- Chapter 4

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### Homeworks

- Hw1 due Wed, January 30 at 11pm
  - Start early!
  - Written (use Latex!) – Submit BOTH pdf and zip!
  - Asymptotic notation
  - Recurrences
  - Divide and Conquer

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### Homework Help Algorithm

- Algorithm: How to ask a question about homework (efficiently)
  1. Check to see if your question is already on piazza
  2. If it's not on piazza, ask on piazza
  3. Look for other questions you know the answer to, and provide answers to any that you see
  4. TA office hours
  5. Instructor office hours
  6. Email, set up a meeting

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### Divide and Conquer\*

- **Divide:**
  - Break the problem into multiple **subproblems**, each smaller instances of the original
- **Conquer:**
  - If the subproblems are "large":
    - Solve each subproblem **recursively**
  - If the subproblems are "small":
    - Solve them directly (**base case**)
- **Combine:**
  - Merge together solutions to subproblems



\*CLRS Chapter 4

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### Analyzing Divide and Conquer

1. Break into smaller **subproblems**
2. Use **recurrence** relation to express recursive running time
3. Use **asymptotic** notation to simplify

- **Divide:**  $D(n)$  time,
- **Conquer:** recurse on small problems, size  $s$
- **Combine:**  $C(n)$  time
- **Recurrence:**
  - $T(n) = D(n) + \sum T(s) + C(n)$

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### Recurrence Solving Techniques



**Tree** *get a picture of recursion*



**Guess/Check** *guess and use induction to prove*



**"Cookbook"** *MAGIC!*



**Substitution** *substitute in to simplify*

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### Merge Sort

- **Divide:**
  - Break  $n$ -element list into two lists of  $n/2$  elements
- **Conquer:**
  - If  $n > 1$ :
    - Sort each sublist **recursively**
  - If  $n = 1$ :
    - List is already sorted (**base case**)
- **Combine:**
  - Merge together sorted sublists into one sorted list

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### Merge

- **Combine:** Merge sorted sublists into one sorted list
- We have:
  - 2 sorted lists ( $L_1, L_2$ )
  - 1 output list ( $L_{out}$ )

While ( $L_1$  and  $L_2$  not empty):  
 If  $L_1[0] \leq L_2[0]$ :  
      $L_{out}.append(L_1.pop())$   
 Else:  
      $L_{out}.append(L_2.pop())$   
 $L_{out}.append(L_1)$   
 $L_{out}.append(L_2)$

$O(n)$

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### Analyzing Merge Sort

1. Break into smaller **subproblems**
2. Use **recurrence** relation to express recursive running time
3. Use **asymptotic** notation to simplify

- **Divide:** 0 comparisons
- **Conquer:** recurse on 2 small subproblems, size  $\frac{n}{2}$
- **Combine:**  $n$  comparisons
- **Recurrence:**
  - $T(n) = 2T(\frac{n}{2}) + n$

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### Recurrence Solving Techniques



Tree

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✓
Guess/Check


"Cookbook"


Substitution

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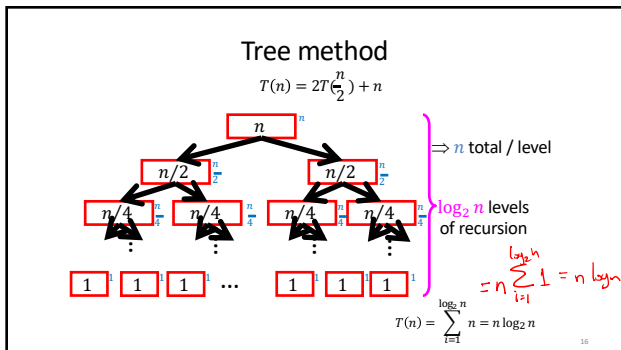
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### Multiplication

- Want to multiply large numbers together

$$\begin{array}{r} 4102 \\ \times 1819 \\ \hline \end{array}$$

$n$ -digit numbers

- What makes a "good" algorithm?
- How do we measure input size?
- What do we "count" for run time?

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### "Schoolbook" Method

$$\begin{array}{r} 4102 \\ \times 1819 \\ \hline 36918 \\ 4102 \\ 32816 \\ + 4102 \\ \hline 7461538 \end{array}$$

How many total multiplications?

$n$ -digit numbers

}  $n$  mults

}  $n$  mults

}  $n$  mults

}  $n$  mults

}  $n$  levels  $\Rightarrow \theta(n^2)$

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**Divide and Conquer method**

1. Break into smaller subproblems

$4102 = 4100 + 02$   
 $= 100 \cdot 41 + 02$   
 $= 10^2 \cdot 41 + 02$   
 $n=4, \frac{n}{2}=2$

$$\begin{array}{r} \boxed{a} \ \boxed{b} = 10^{\frac{n}{2}} \boxed{a} + \boxed{b} \\ \times \boxed{c} \ \boxed{d} = 10^{\frac{n}{2}} \boxed{c} + \boxed{d} \\ \hline 10^n (\boxed{a} \times \boxed{c}) + \\ 10^{\frac{n}{2}} (\boxed{a} \times \boxed{d} + \boxed{b} \times \boxed{c}) + \\ (\boxed{b} \times \boxed{d}) \end{array}$$


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**Divide and Conquer Multiplication**

- **Divide:**
  - Break  $n$ -digit numbers into four numbers of  $n/2$  digits each (call them  $a, b, c, d$ )
- **Conquer:**
  - If  $n > 1$ :
    - Recursively compute  $ac, ad, bc, bd$
  - If  $n = 1$ : (i.e. one digit each)
    - Compute  $ac, ad, bc, bd$  directly (base case)
- **Combine:**
  - $10^n(ac) + 10^{\frac{n}{2}}(ad + bc) + bd$

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**Divide and Conquer method**

2. Use recurrence relation to express recursive running time

$$10^n(\overline{ac}) + 10^{\frac{n}{2}}(\overline{ad} + \overline{bc}) + \overline{bd}$$

Recursively solve

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$


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### Divide and Conquer method

3. Use asymptotic notation to simplify

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

$$T(n) = 5n \sum_{i=0}^{\log_2 n} 2^i$$

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### Divide and Conquer method

3. Use asymptotic notation to simplify

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

$$T(n) = 5n \sum_{i=0}^{\log_2 n} 2^i$$

$$T(n) = 5n \frac{2^{\log_2 n + 1} - 1}{2 - 1}$$

$$T(n) = 5n(2n - 1) = \Theta(n^2)$$

$L = \log_2 n, a = 2$   
 $\sum_{i=0}^L a^i = \frac{a^{L+1} - 1}{a - 1}$   
 $2^{\log_2 n + 1} = 2 \cdot 2^{\log_2 n} = 2n$

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### Karatsuba

1. Break into smaller subproblems

$$\begin{aligned} \begin{matrix} a & b \\ \times & c & d \end{matrix} &= 10^{\frac{n}{2}} \begin{matrix} a & b \\ c & d \end{matrix} \\ &= 10^{\frac{n}{2}} (a \times c) + 10^{\frac{n}{2}} (a \times d + b \times c) + (b \times d) \end{aligned}$$

24

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a b  
× c d      Karatsuba

$$10^n(ac) + 10^{\frac{n}{2}}(ad + bc) + bd$$

Can't avoid these      This can be simplified

$$(a + b)(c + d) =$$

$$ac + ad + bc + bd$$

$$ad + bc = (a + b)(c + d) - ac - bd$$

Two multiplications      One multiplication

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a b  
× c d      Karatsuba

2. Use **recurrence** relation to express recursive running time

$$10^n(ac) + 10^{\frac{n}{2}}((a + b)(c + d) - ac - bd) + bd$$

Recursively solve

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$


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Karatsuba

- **Divide:**
  - Break  $n$ -digit numbers into four numbers of  $n/2$  digits each (call them  $a, b, c, d$ )
- **Conquer:**
  - If  $n > 1$ :
    - Recursively compute  $ac, bd, (a + b)(c + d)$
  - If  $n = 1$ :
    - Compute  $ac, bd, (a + b)(c + d)$  directly (**base case**)
- **Combine:**
  - $10^n(ac) + 10^{\frac{n}{2}}((a + b)(c + d) - ac - bd) + bd$

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a b  
c d

### Karatsuba Algorithm

1. Recursively compute:  $ac, bd, (a + b)(c + d)$
2.  $(ad + bc) = (a + b)(c + d) - ac - bd$
3. Return  $10^n(ac) + 10^{2n}(ad + bc) + bd$

**Pseudo-code**

1.  $x = \text{Karatsuba}(a,c)$
2.  $y = \text{Karatsuba}(a,d)$
3.  $z = \text{Karatsuba}(a+b,c+d) - x - y$
4. Return  $10^n x + 10^{n/2} z + y$

$T(n) = 3T\left(\frac{n}{2}\right) + 8n$

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### Karatsuba

3. Use **asymptotic** notation to simplify

$T(n) = 3T\left(\frac{n}{2}\right) + 8n$

$T(n) = 8n \sum_{i=0}^{\log_2 n} \left(\frac{3}{2}\right)^i$

$8 \cdot 1n$   
 $8 \cdot 3n$   
 $8 \cdot 9n$   
 $\vdots$   
 $\frac{8}{2^{\log_2 n}} \cdot 3^{\log_2 n} n$

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### Karatsuba

3. Use **asymptotic** notation to simplify

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

$$T(n) = 8n \sum_{i=0}^{\log_2 n} \left(\frac{3}{2}\right)^i$$

$$T(n) = 8n \frac{\left(\frac{3}{2}\right)^{\log_2 n + 1} - 1}{\frac{3}{2} - 1}$$

Math, math, and more math... (on board, see lecture supplement)

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**Karatsuba**

$$T(n) = 8n \frac{\left(\frac{3}{2}\right)^{\log_2 n} + 1}{2} - 1$$

$$= 16n \left( \left(\frac{3}{2}\right)^{\log_2 n} - 1 \right)$$

$$= 16n \left( \left(2^{\log_2 3}\right)^{\log_2 n} - 1 \right) - 16n$$

$$= 16n \left( 2^{\log_2 3 \log_2 n} - 1 \right) - 16n$$

$$= 16n \left( 2^{\log_2 n \log_2 3} - 1 \right) - 16n$$

$$= 16n \left( 2^{\log_2 n \log_2 3} - 1 \right) - 16n$$

$3 = 2^{\log_2 3}$   
 $\frac{2^{\log_2 3}}{2} = 2^{\log_2 3 - 1}$

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**Karatsuba**

3. Use asymptotic notation to simplify

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

$$T(n) = 8n \sum_{i=0}^{\log_2 n} \left(\frac{3}{2}\right)^i$$

$$T(n) = 8n \frac{\left(\frac{3}{2}\right)^{\log_2 n + 1} - 1}{\frac{3}{2} - 1}$$

Math, math, and more math... (on board, see lecture supplement)

$$T(n) = 24(n^{\log_2 3}) - 16n = \Theta(n^{\log_2 3})$$

$$\approx \Theta(n^{1.585})$$

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